

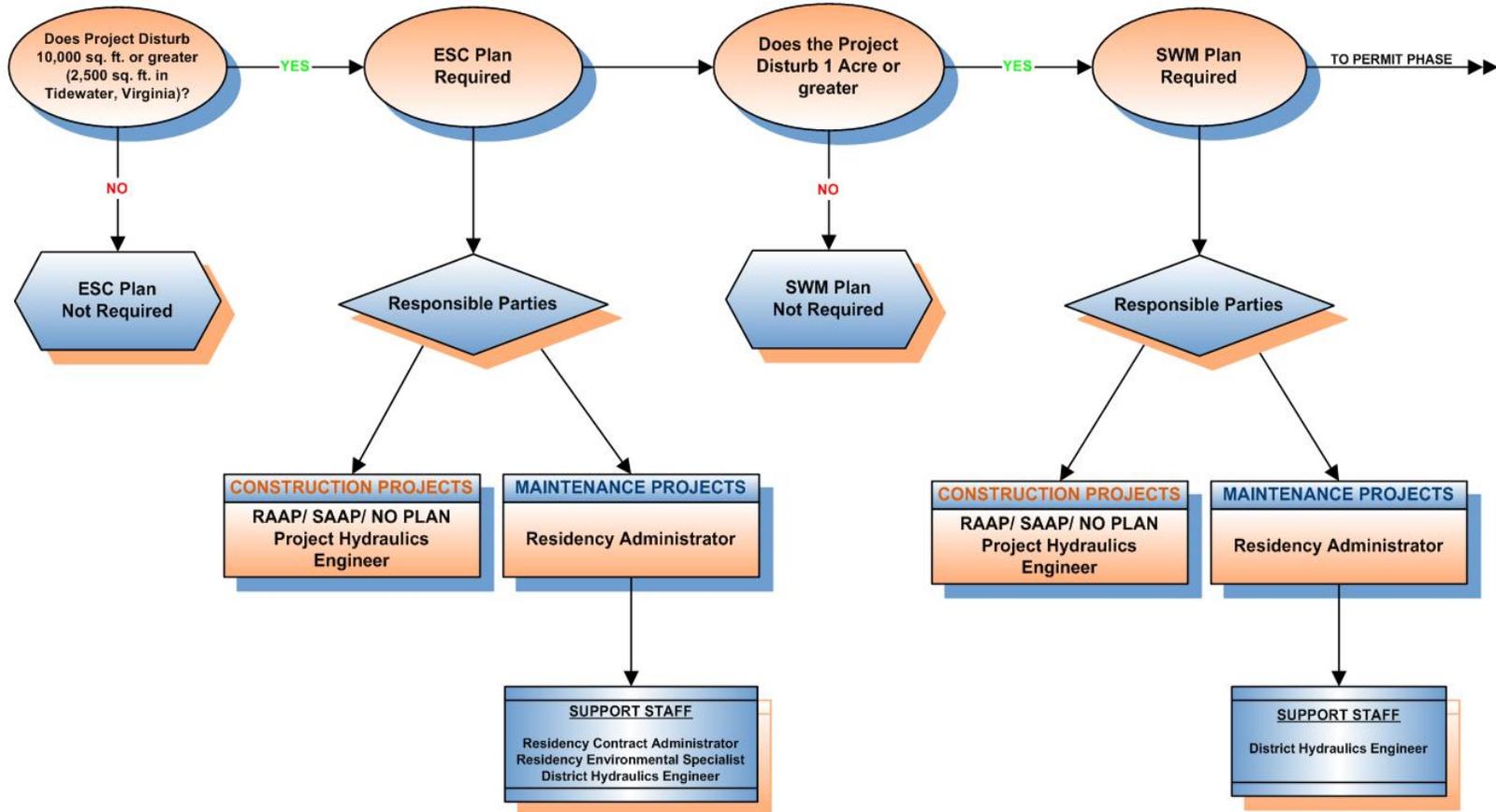
## **APPENDIX F**

### **Stormwater Program ESC, SWM, VSMP Construction Permit Requirements Flowchart Instructional and Informational Memoranda**

#### **ECS/SWM/VSMP Flow Chart Requirements**

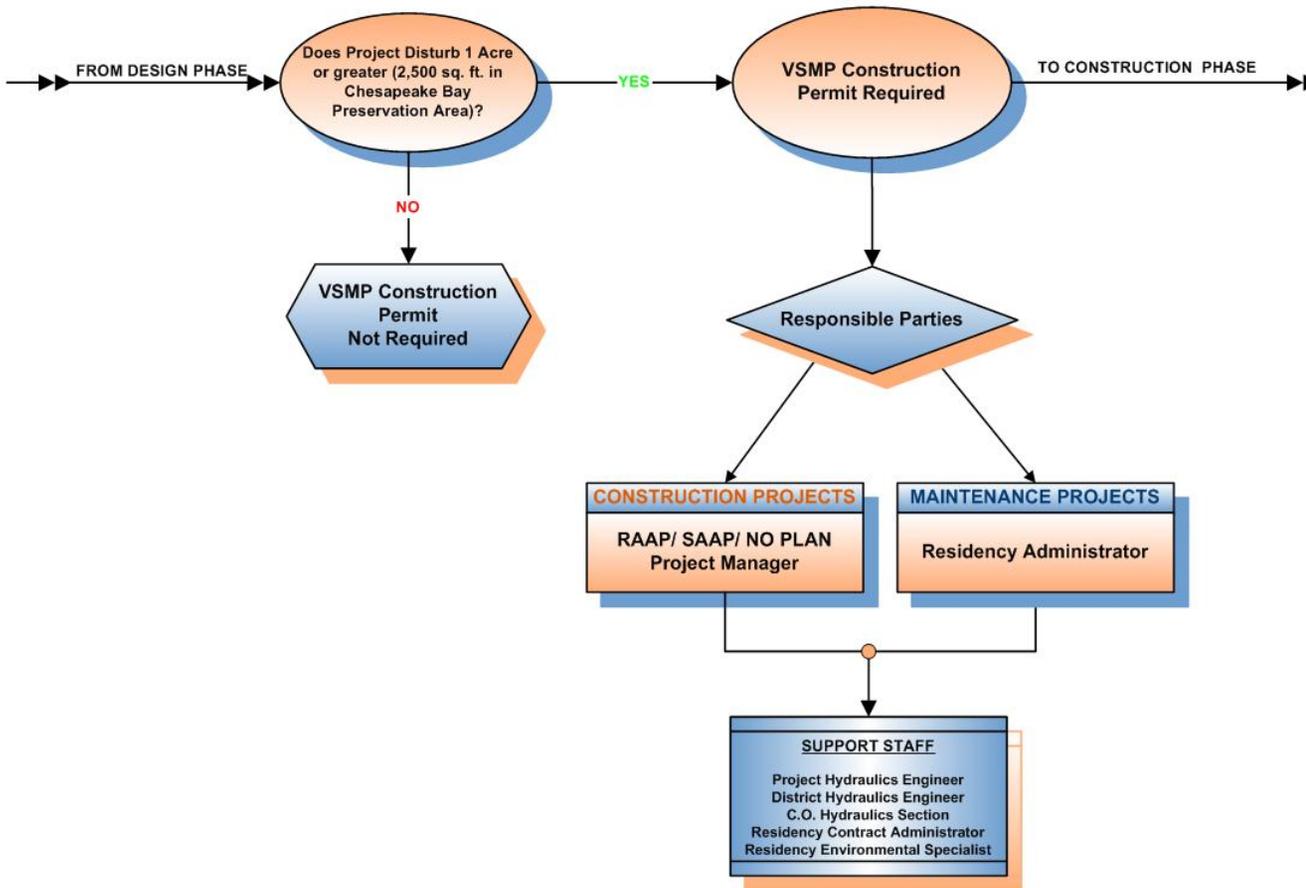
<b>IIM-LD-11.26</b>	<b>Erosion and Sediment Control-Temporary Erosion and Sediment Control Measures to be Incorporated into Plans</b>
<b>IIM-LD-73.5</b>	<b>Riprap - Stone Dimensions Soil Survey Construction Procedure</b>
<b>IIM-LD-110.20</b>	<b>General Notes</b>
<b>IIM-LD-122.13</b>	<b>Roadside Development - Roadside Development Sheet; Coordination; Computing Quantities/Summarization</b>
<b>IIM-LD-166.4</b>	<b>Soil Stabilization Mat - Standard EC-3</b>
<b>IIM-LD-195.6</b>	<b>Management of Stormwater - Engineering and Plan Preparation</b>
<b>IIM-LD-214.2</b>	<b>Culvert Design</b>
<b>IIM-LD-228.1</b>	<b>Sinkholes - Guidelines for the Discharge of Stormwater at Sinkholes</b>
<b>IIM-LD-242.3</b>	<b>General Virginia Stormwater Management Program (VSMP) Construction Permit</b>
<b>IIM-LD-245</b>	<b>Rural Rustic Roads Projects</b>
<b>IIM-LD-246.2</b>	<b>Stormwater pollution prevention plan</b>
<b>HDA 05-03</b>	<b>VDOT's Adoption &amp; Implementation of NOAA ATLAS 14 Rainfall Precipitation Frequency Data</b>
<b>HDA 05-04.3</b>	<b>Application of NRCS "TR-55" and "TR-20" Hydrologic Computations Using NOAA ATLAS 14 Rainfall Data</b>
<b>HDA 06-03.1</b>	<b>Culvert Outlet Protection</b>
<b>HDA 06-05</b>	<b>Erosion and Sediment Control Plan Details</b>
<b>HDA 07-01.2</b>	<b>Stormwater Management Plans</b>
<b>HDA 08-02</b>	<b>Guidelines for the Design and Acceptance of Roadway Causeways</b>
<b>HDA 09-1</b>	<b>ESC SWM structures variance/exemption procedures</b>

# VDOT STORMWATER PROGRAM - ESC, SWM, VSMP CONSTRUCTION PERMIT REQUIREMENTS



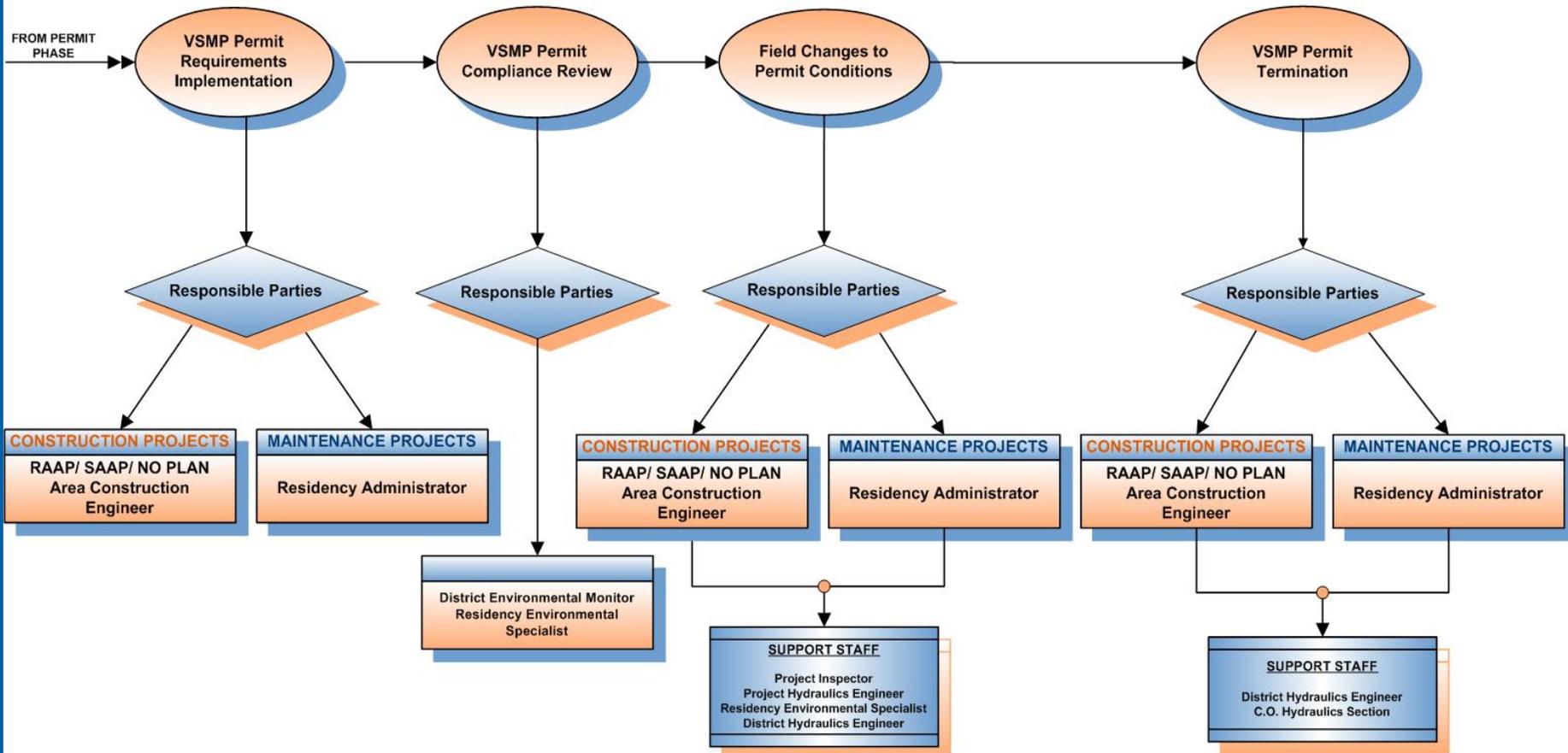
**PLAN DESIGN PHASE - For Construction and Maintenance Activities**

# VDOT STORMWATER PROGRAM - ESC, SWM, VSMP CONSTRUCTION PERMIT REQUIREMENTS



## VSMP CONSTRUCTION PERMIT PHASE - For Construction and Maintenance Activities

# VDOT STORMWATER PROGRAM - ESC, SWM, VSMP CONSTRUCTION PERMIT REQUIREMENTS



## CONSTRUCTION PHASE - For Construction and Maintenance RLD Activities

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: STORMWATER MANAGEMENT EROSION AND SEDIMENT CONTROL PROGRAM	NUMBER: IIM-LD-11.26
SPECIFIC SUBJECT: PROGRAM ADMINISTRATION AND MINIMUM REQUIREMENTS FOR THE DEVELOPMENT AND IMPLEMENTATION OF EROSION AND SEDIMENT CONTROL AND POST CONSTRUCTION STORMWATER MANAGEMENT PLANS	DATE: MARCH 19, 2010
	SUPERSEDES: IIM-LD-11.25
DIVISION ADMINISTRATOR APPROVAL:	Mohammad Mirshahi, P.E. State Location and Design Engineer Approved March 19, 2010

Changes are shaded.

### CURRENT REVISION

- Instructions on the administration, development and implementation of erosion and sediment control and post construction stormwater management plans have been revised to comply with the Virginia Department of Conservation and Recreation's approval of VDOT's Erosion and Sediment Control and Stormwater Management Standards and Specifications and the Virginia Stormwater Management Program General Permit for Discharges of Stormwater from Construction Activities (VSMP Construction Permit) requirements.

### EFFECTIVE DATE

- This memorandum is effective upon receipt.

### BACKGROUND

- Program administration details and instructions on the development of erosion and sediment control plans for Standard, Minimum, No Plan, SAAP, Capital Outlay and State Force Construction/Maintenance Projects are contained in this IIM.

- Instructions pertaining to the design criteria and procedures for incorporating erosion and sediment control features into an erosion and sediment control plan and an example of an erosion and sediment control plan for a “No Plan” project are contained in Appendix 10B-1 and 10C-1 in Chapter 10 of the latest version of the VDOT Drainage Manual.

## ACRONYMS

- The following acronyms are used within this document:
  - ACE – Area Construction Engineer
  - CA – Contract Administrator
  - CEP – Concurrent Engineering Process
  - DCR – Department of Conservation and Recreation
  - EPA – Environmental Protection Agency
  - ESC – Erosion and Sediment Control
  - ESCCC – Erosion and Sediment Control Contractor Certification
  - FI – Field Inspection
  - HDA – Hydraulic Design Advisory
  - IIM – Informational and Instructional Memorandum
  - PFI – Preliminary Field Inspection
  - PM – Project Manager
  - RA – Residency Administrator
  - R&B – Road and Bridge
  - RLD – Responsible Land Disturber
  - RLDA – Regulated Land Disturbance Activity
  - SLS – Straight Line Sketch
  - SWM – Stormwater Management
  - SWPPP – Stormwater Pollution Prevention Plan
  - TMDL – Total Maximum Daily Load
  - VDOT – Virginia Department of Transportation
  - VSMP – Virginia Stormwater Management Program
  - VTCA – Virginia Transportation Construction Alliance

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## 1.0 PROGRAM ADMINISTRATION

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- 1.1 VDOT receives an annual approval of its ESC Standards and Specifications from DCR. By its annual approval of VDOT’s ESC Standards and Specifications, DCR authorizes VDOT to administer its ESC Program in accordance with the Approved ESC Standards and Specifications, on all regulated land disturbance activities undertaken by the Department.

- 1.2 VDOT's Approved ESC Standards and Specifications shall apply to all plan design, construction and maintenance activities undertaken by VDOT, either by its internal workforce or contracted to external entities, where such activities are regulated by the Virginia ESC Law and Regulations. During any inspections of VDOT land disturbing activities by DCR, EPA and other such environmental agencies, compliance with the VDOT's Approved ESC Standards and Specifications (and all parts thereof) will be expected. A standard, specification or product not contained or referenced in VDOT's Approved ESC Standards and Specifications can not be used unless it is submitted to and approved by DCR either as a revision to the Approved ESC Standards and Specifications or a project specific variance.
- 1.3 Statewide use of standards, specifications or products not contained in VDOT's DCR Approved ESC Standards and Specifications will require a revision to the Approved ESC Standards and Specifications. Any revisions to the Approved ESC Standards and Specifications shall be reviewed and approved by DCR prior to implementation by VDOT. Such review and approval shall be coordinated by the VDOT ESC Program Administrator (State Hydraulics Engineer).
- 1.4 Where determined necessary to meet an individual project need, VDOT may request DCR to grant a project specific variance to the Approved ESC Standards and Specifications.
  - 1.4.1 All requests for project specific variances for those projects being designed in a VDOT District Office shall be coordinated by the District Hydraulics Engineer with the appropriate DCR Regional Office. All variance requests shall be accompanied by complete details and documentation, including justification for the requested variance. Copies of any variance requests and subsequent correspondence are to be sent to the DCR ESC Program Manager in the DCR Central Office and the VDOT ESC Program Administrator (State Hydraulics Engineer) in the VDOT Central Office. If the VDOT District Office and the DCR Regional Office can not come to agreement on a specific request, or if additional review is necessary, the assistance of the DCR or VDOT Central Office can be requested.
  - 1.4.2 All requests for project specific variances for those projects being designed in the VDOT Central Office shall be coordinated by the State Hydraulics Engineer with the DCR Central Office. All variance requests shall be accompanied by complete details and documentation, including justification for the requested variance.
  - 1.4.3 All requested variances are to be considered unapproved until written approval from DCR is received.
  - 1.4.4 All approved variances shall be listed in Note 1 in Section II of the SWPPP General Information Sheets in the construction plans (or other such documents) for the land disturbing activity (see latest version of IIM-LD-246).

1.4.5 All documentation for and approval of requested variances shall be retained in the appropriate (i.e. design, construction, etc.) files of the proposed activity.

1.5 Non linear projects, such as those administered by the VDOT's Capital Outlay Program, are encouraged to utilize VDOT's Approved ESC Standards and Specifications in the development of the ESC Plan for such projects. Where deemed impractical to use VDOT's Approved ESC Standards and Specifications and when approved by the VDOT ESC Program Administrator (State Hydraulics Engineer), DCR's ESC Standards and Specifications, as outlined in the Virginia Erosion and Sediment Control Regulations and Handbook, may be utilized in combination with VDOT's Approved ESC Standards and Specifications to develop ESC Plans for non linear projects. Such projects include, but are not limited to, new and/or additions/modifications to Rest Areas, District or Residency Office complexes, Area Maintenance Headquarters/Repair Shops and buildings on the right of way or associated with bridges/piers/tunnels, spreader/tailgate/wash rack sites, holding ponds or containment pads, fuel dispensing facilities, security facilities and drainage improvements to building/parking sites and structures.

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## 2.0 DCR CERTIFICATIONS

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2.1 The Virginia ESC Law and Regulations require that the ESC Program administration and the ESC Plan design, implementation and inspection activities be conducted by DCR certified personnel for all Regulated Land Disturbance Activities.

2.2 VDOT's ESC Program will be administrated by a DCR Certified Program Administrator.

2.2.1 The Program Administrator shall be the person within the Department who has been designated to have overall responsibility for administration of VDOT's ESC Program.

2.2.2 The DCR Program Administrator Certification is acquired by satisfying the DCR eligibility/training requirements and passing the DCR Program Administrator Exam or by possessing a DCR Combined Administrator Certification.

2.2.3 The State Hydraulics Engineer in the Central Office Location and Design Division is currently designated as VDOT's ESC Program Administrator.

2.3 The Virginia ESC Regulations require that each RLDA be overseen by a DCR certified RLD.

2.3.1 The DCR RLD Certification is required for the VDOT person who has general oversight of the construction phase of a specific RLDA.

- 2.3.2 The RLD for a specific RLDA must be identified prior to beginning any land disturbance activity (see note 5 in Section I of the SWPPP General Information Sheets referenced in the latest version of IIM-LD-246).
- 2.3.3 The DCR RLD Certification is acquired by passing the DCR RLD Exam or by possessing a DCR Combined Administrator, Program Administrator, Plan Reviewer or Inspector Certification or by possessing a Professional Engineer, Land Surveyor, Landscape Architect or Architect License pursuant to Chapter 4, Title 54.1, of the Code of Virginia.
- 2.4 The proposed ESC Plan for each RLDA must be reviewed and approved by a DCR Certified ESC Plan Reviewer to ensure that the ESC Plan has been developed in accordance with VDOT's Approved ESC Standards and Specifications or variances authorized thereto.
  - 2.4.1 The DCR Plan Reviewer Certification is required for any person that has responsibility for reviewing and approving the proposed erosion and sediment control plan for a specific RLDA.
  - 2.4.2 The Certified Plan Reviewer shall be a VDOT employee, or an employee of an engineering consulting firm under contract to VDOT, who has expertise in drainage design and erosion and sediment control design.
  - 2.4.3 The DCR Plan Reviewer Certification is acquired by satisfying the DCR eligibility/training requirements and passing the DCR Plan Reviewer Exam or by possessing a DCR Combined Administrator Certification or by possessing a Professional Engineer, Land Surveyor, Landscape Architect or Architect License pursuant to Chapter 4, Title 54.1, of the Code of Virginia.
- 2.5 A DCR ESC Inspector Certification is required for those persons having responsibility for ensuring the proper implementation of, or compliance with, the proposed ESC Plan and VDOT's Approved ESC Standards and Specifications, or variances authorized thereto, throughout the construction phase of the RLDA. The ESC Law and Regulations also require that inspections of ESC facilities be conducted by a DCR certified ESC Inspector.
  - 2.5.1 The Certified Inspector shall be a VDOT employee or an employee of an engineering consulting firm under contract to VDOT and who is so identified on the SWPPP Certification form LD-445E (see latest version of IIM-LD-246).
  - 2.5.2 The DCR Inspector Certification is acquired by satisfying the DCR eligibility/training requirements and passing the DCR Inspector Certification Exam or by possessing a DCR Combined Administrator Certification.
- 2.6 It shall be the responsibility of the Project Authority to ensure that those staff with the appropriate DCR Certifications (RLD, Plan Reviewer or Inspector) perform the functions required by the ESC Law and Regulations and noted in Sections 2.3 through 2.5 of this document.

- 2.6.1 For the purposes of this document, the Project Authority is defined as that person with overall responsibility of a land disturbing activity or a specific phase of a land disturbing activity.
- 2.6.2 The Project Authority for preconstruction (design) activities is typically the PM, Residency CA, RA or other such person responsible for the preconstruction phase of the land disturbing activity. This person shall ensure that the proposed ESC Plan has been reviewed and approved by a DCR Certified Plan Reviewer.
- 2.6.3 The Project Authority for actual land disturbance (construction) activities is typically the ACE, RA or other such person responsible for the construction phase of the land disturbing activity. This person shall ensure that the RLDA has an assigned DCR Certified RLD and that the implementation of the ESC Plan, including inspection requirements, is being overseen/conducted by a DCR Certified Inspector.

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### 3.0 VDOT TRAINING/CERTIFICATIONS

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- 3.1 Where land disturbing activities occurring within VDOT right of way are regulated under the Virginia ESC Law and Regulations, Section 107.16(a) of the 2007 VDOT R&B Specifications requires that all contractors performing such land disturbing activities have a person certified by the VDOT in erosion and sediment control within the project limits. This certification requirement is mandatory for all contractors performing land disturbing activities under contracts managed by VDOT, including PPTA and Design Build agreements. For contractors performing land disturbing activities on VDOT right of way under a Land Use Permit, the certification requirements of Section 107.16(a) shall apply if the area of land disturbance within the VDOT right of way exceeds that noted in Sections 4.3 and 4.4 of this document.

EXCEPTION – Those contractors performing maintenance related land disturbing activities under a hired equipment contract whose work is directly supervised by VDOT personnel.

- 3.1.1 Successful completion of the Department’s “Erosion and Sediment Control Contractor Certification” course satisfies the certification requirements of Section 107.16 (a) of the 2007 VDOT R&B Specifications.
  - 3.1.2 The ESCCC is a joint training effort between the VDOT and the VTCA. The VDOT develops the course material and the VTCA administers the training, testing and issuance of certifications.
- 3.2 The VDOT “In Stream Maintenance Training” course is required training for all VDOT personnel performing or supervising maintenance activities, where such activities are regulated under the Virginia ESC Law and Regulations.

- 3.2.1 The “In Stream Maintenance Training” course is developed and administered by the VDOT’s Central Office Environmental Division.
- 3.2.2 The “In Stream Maintenance Training” course consists of several modules that are targeted toward best management practices for working in and around streams and other environmentally sensitive areas and controlling erosion and sedimentation associated with land disturbance on maintenance activities.
- 3.2.3 The “In Stream Maintenance Training” course is designed to be conducted at the local level (i.e., Residency, Area Maintenance Headquarters, etc.) by the Residency Environmental Specialist or other such person. The modules can be taught individually in short group meetings or several modules can be combined and taught at a more formal training session. A web based training option is available in the VDOT University Virtual Campus.

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#### 4.0 POLICY/GENERAL GUIDELINES

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- 4.1 Requirements of the Virginia ESC Regulations and the VDOT ESC Standards and Specifications, as approved by the DCR and described herein, shall be incorporated into all erosion and sediment control designs and shall be enforced on all Regulated Land Disturbance Activities managed by VDOT.
- 4.2 When requested by DCR, and where deemed practical by VDOT, projects located in jurisdictions with more stringent ESC technical criteria than that contained in the Virginia ESC **Law and** Regulations shall be designed to meet the more stringent criteria. The local criteria may be part of a locally adopted State approved program or may be part of a watershed initiative related to the protection of a water supply, a TMDL implementation plan, or a Tributary Strategy Plan. It will be the responsibility of the ESC Plan Designer to demonstrate, through appropriate analysis and documentation, that the local requirements are not practical for the project under consideration. Early coordination should occur between the ESC Plan Designer and the local ESC program authority in order to identify any such requirements.
- 4.3 Any maintenance or construction activity disturbing 2,500 square feet (232 m<sup>2</sup>) or greater within the area of Tidewater, Virginia, as defined in the Virginia Chesapeake Bay Preservation Act, must have a project specific ESC Plan developed and implemented in accordance with the VDOT’s Approved ESC Standards and Specifications. Tidewater, Virginia is defined as the Counties of Accomack, Arlington, Caroline, Charles City, Chesterfield, Essex, Fairfax, Gloucester, Hanover, Henrico, Isle of Wight, James City, King George, King and Queen, King William, Lancaster, Matthews, Middlesex, New Kent, Northampton, Northumberland, Prince George, Prince William, Richmond, Spotsylvania, Stafford, Surry, Westmoreland and York and the Cities of Alexandria, Chesapeake, Colonial Heights, Fairfax, Falls

Church, Fredericksburg, Hampton, Hopewell, Newport News, Norfolk, Petersburg, Poquoson, Portsmouth, Richmond, Suffolk, Virginia Beach and Williamsburg.

- 4.4 Any maintenance or construction activity disturbing 10,000 square feet (929 m<sup>2</sup>) or greater in areas other than those within Tidewater, Virginia (as defined in Section 4.3 of this document) must have a project specific ESC Plan developed and implemented in accordance with VDOT's Approved ESC Standards and Specifications.
- 4.5 The Virginia ESC Law defines land disturbance as any land change which may result in soil erosion from water or wind and the movement of sediments into state waters or onto lands of the Commonwealth, including, but not limited to, clearing, grading, excavating, transporting and filling of land.
- 4.6 The blading/dragging/grading associated with the maintenance of the travel surface of an unpaved roadway is considered a land disturbance.
- 4.7 VDOT shall be responsible for ensuring compliance with its approved ESC Standards and Specifications by private entities (i.e., agents, contractors, subcontractors, consultants) conducting regulated land disturbance activities on projects managed by VDOT, including those constructed under the Public/Private Transportation Act (PPTA), the Design/Build process and the Capital Outlay Program.
- 4.8 When not included in the proposed ESC Plan for the RLDA, the contractor must provide an ESC Plan in accordance with Section 106 of the 2007 VDOT R&B Specifications for borrow pit sites and disposal area sites utilized exclusively to obtain or dispose of project materials. Any such ESC Plan provided by the contractor must comply with VDOT's Approved ESC Standards and Specifications. Where required, the contractor must design, construct and maintain sediment traps and/or basins at these sites. The contractor shall supply supporting calculations for sediment trap and/or basin design and calculations demonstrating compliance with the Virginia ESC Regulation MS-19 for an adequate receiving channel. All information provided by the contractor should be reviewed by the District Hydraulics Engineer or other appropriate VDOT personnel to ensure accuracy, the use of appropriate methodology and compliance with VDOT's Approved ESC Standards and Specifications, Virginia ESC Law and Regulations, and VSMP Construction Permit Conditions (where applicable).

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## 5.0 MINIMUM REQUIREMENTS FOR ALL EROSION AND SEDIMENT CONTROL PLANS

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- 5.1 The ESC Plan shall include a plan view depicting (using appropriate plan symbols and notes) locations where specific measures are needed in order to control erosion

and sediment deposition within the RLDA limits. Specific erosion and sediment control measures include, but are not limited to, protective linings for ditches, pipe outlet protection, filter barrier, silt fence, check dams, silt traps, sediment traps, sediment basins, diversion berms and ditches, etc. The ESC Plan should be based on the existing field conditions at the time of design, the anticipated sequence of construction, and the site conditions expected as the RLDA is brought to final grade.

## 5.2 Erosion and Sediment Control Plan Information:

General information related to the ESC Plan is to be documented utilizing the notes in Section I, II and III of the SWPPP General Information Sheets (see the latest version of IIM-LD-246). Information required to complete the SWPPP notes will be developed by the ESC Plan Designer with assistance from District Hydraulics or Residency staff as needed.

## 5.3 Sequence of Construction

The proposed ESC Plan shall be developed in conjunction with the proposed Sequence of Construction Plan and should denote the required erosion and sediment controls for the intended sequence of major construction activities. In planning the sequence of construction, consideration should be given to elimination or minimization of the need for major erosion and sediment control facilities, such as sediment basins, by strategic planning of the construction timing and location of erosion and sediment control measures, grading operations, temporary and permanent channels and drainage facilities. Any changes to the proposed sequence of construction plan that could potentially cause a significant change to the proposed ESC or related Drainage Plan shall be submitted to the ESC Plan Designer/Hydraulics Engineer for evaluation of impacts.

## 5.4 Contents of ESC Plan

Details of the RLDA'S ESC Plan may be shown on, but is not limited to, the plan, profile, typical section and detail sheets of the construction plan set or other such documents. The ESC Plan shall, at a minimum, contain the following information:

- 5.4.1 Section I, II and III notes of the SWPPP General Information Sheets (see latest version of IIM-LD-246).
- 5.4.2 Limits of clearing and grading (plan view and typical section).
- 5.4.3 Location of temporary and permanent erosion and sediment control and related permanent stormwater management features (plan view).
- 5.4.4 Construction details for any temporary or permanent erosion and sediment control or related permanent stormwater management features if different from the VDOT R&B Standards and Specifications.

5.4.5 Location of any surface waters, wetland features, or other environmentally sensitive/critical areas within or immediately adjacent to the RLDA area. (Such features located within close proximity of the project, yet outside the limits of the construction plans or other such documents, shall be described in Note 6 in Section I of the SWPPP General Information Sheets (see latest version of IIM-LD-246).

5.4.6 Appropriate existing and proposed topographic features.

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## 6.0 PLAN DEVELOPMENT PROCESS

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### 6.1 Concurrent Engineering Process for Plan Development

The CEP for plan development incorporates the principles of teamwork, flexibility, and milestones. The development, review, and approval of the project specific erosion and sediment control plan is included in the CEP milestones as follows:

#### 6.1.1 Scoping Stage

The ESC Plan Designer/Hydraulics Engineer shall identify any local ESC or related SWM technical criteria or watershed initiatives that may influence the ESC or related post construction SWM design of the project. This should include early coordination with the local ESC/SWM program authority to assess any potential impacts on the project design.

#### 6.1.2 PFI/Public Hearing Stage

The ESC Plan Designer/Hydraulics Engineer shall develop preliminary ESC and associated post construction SWM Plans (see the latest version of IIM-LD-195 for information on the technical criteria and requirements for permanent SWM facilities) and show locations of all major erosion and sediment control, permanent stormwater management, and/or drainage facilities on the plans that may affect the required right of way. Members of the project team shall provide comments, as appropriate, to the ESC Plan Designer/Hydraulics Engineer regarding the preliminary plan, including any pertinent information that might affect the final design of the ESC or post construction SWM Plan.

#### 6.1.3 FI Stage

Prior to the FI, the ESC Plan Designer/Hydraulics Engineer shall develop final ESC and associated post construction SWM plans and show final design locations, sizes, and other plan details as necessary to accurately determine the right-of-way and/or easement requirements, and to determine whether the selected ESC Plan Concept (see Section 6.5 of this document) is appropriate. The ESC and related post construction SWM Plan design shall address any comments or recommendations from the Public Hearing process as accepted/incorporated by the Project Manager (or other such project

authority). This phase of the ESC and related post construction SWM Plan design process provides all the necessary information needed to conduct a thorough Field Inspection. Members of the project team shall provide comments, as appropriate, to the ESC Plan Designer/Hydraulics Engineer regarding the proposed ESC and post construction SWM Plan.

#### 6.1.4 ESC Plan Design Completion

After FI and prior to the Right of Way stage, the ESC Plan Designer/Hydraulics Engineer shall incorporate all changes, deletions, and/or additions into the ESC and related post construction SWM Plan resulting from any FI and/or Quality Control Review comments or plan revisions. The ESC and post construction SWM Plan shall be carefully reviewed for compliance with the approved VDOT ESC and SWM Standards and Specifications and the VSMP Construction Permit (where applicable) including, but not limited to, the types of proposed measures, means of access for maintenance, and required right of way and/or easements.

#### 6.1.5 ESC & SWM Plan Design Certification

Prior to the Pre-Advertisement Conference (or similar project meeting), the ESC Plan Designer/Hydraulics Engineer shall have the ESC and related post construction SWM Plan reviewed by a DCR Certified ESC Plan Reviewer. The ESC Plan Reviewer shall verify that the ESC and related post construction SWM Plan for the project is in compliance with the VDOT Approved ESC and SWM Standards and Specifications. Any comments by the Plan Reviewer shall be addressed with the ESC Plan Designer/Hydraulics Engineer. Once all comments have been reconciled, the ESC Plan Reviewer completes, signs and forwards the ESC & SWM Plan Design Certification Form (LD-445C) to the ESC Plan Designer/Hydraulics Engineer. The ESC Plan Designer/Hydraulics Engineer provides the completed LD-445C form to the Project Manager (or other such project authority) for use in the VSMP Construction Permit Application Process (see the latest version of IIM-LD-242), if applicable. A copy of the completed LD-445C form is to be retained with the other documentation for the proposed ESC Plan.

### 6.2 Plan Development Process for “No Plan” Projects and Special Advertisement and Award Process (SAAP) Projects

6.2.1 A “No Plan” project is defined as an assembly of letter size sketches and narratives depicting the project’s location, typical cross section, estimated quantities and any other specific details necessary (i.e., ESC and/or post construction SWM plans) for the construction of the project. Any “No Plan” project that disturbs 2,500 square feet (232 m<sup>2</sup>) or greater in Tidewater, Virginia or 10,000 square feet (929 m<sup>2</sup>) or greater elsewhere within the State must have a project specific ESC Plan. A project developed under the “No Plan” concept is one that generally requires little or no survey, engineering or hydraulic analysis in order to produce the necessary contract documents. Any required right of way is generally acquired through donations in lieu of the purchase/condemnation process. See Appendix A of the *VDOT Road Design Manual* for additional information on the “No Plan” concept.

6.2.2 “SAAP” Projects are defined as those advertised under the Special Avertisement and Award Process. The “No Plan” concept is generally used to produce the required contract documents. “SAAP” projects generally have one or more of the following characteristics:

- They require little or no preliminary engineering.
- They are standard maintenance repair contracts (e.g., bridge, guardrail or concrete pavement repairs).
- They are standard incidental construction and/or improvement projects of limited scope.
- The work being performed involves a singular function or specialty work (e.g., bridge painting, pavement markings or pipe installation).

Any “SAAP” project that disturbs 2,500 square feet (232 m<sup>2</sup>) or greater in Tidewater, Virginia or 10,000 square feet (929 m<sup>2</sup>) or greater elsewhere within the State must have a project specific ESC Plan.

6.2.3 During the early stages of the preparation of the contract assembly for any “SAAP” or “No Plan” Project, the Contract Administrator (CA) (or other such project authority) should conduct a Scoping Meeting to determine what is needed on the project in order to comply with the VDOT Approved ESC and SWM Standards and Specifications. This should include filling out form LD-439 to the extent possible.

The Scoping Meeting should include the CA, the District L&D Engineer and/or Hydraulics Engineer, and the appropriate District Environmental Section personnel in order to accurately determine the project requirements.

6.2.4 The CA, with the assistance of the District Hydraulics Engineer, or other appropriately qualified personnel, shall prepare a preliminary Straight Line Sketch (SLS) in accordance with the instructions on Form LD-438.

6.2.5 Upon completion of the Preliminary SLS, the CA shall coordinate with the appropriate personnel in the District Hydraulics Section and other appropriate District/Residency sections to schedule a Field Review. The following data should be made available to all Field Review participants:

- A completed form LD-439.
- A Vicinity Map – United States Geological Survey (USGS) Topographical Map and County Road Map showing the location and limits of the proposed project.
- A SLS of the project prepared in accordance with the instructions on form LD-438, showing the project limits and the approximate location of proposed drainage items and erosion and sediment control items.

6.2.6 If during the Field Review it is found that such items as permanent stormwater management facilities, drainage improvements, temporary sediment basins or temporary sediment traps are required, the District Hydraulics Section will determine and request the necessary survey data, and provide engineering support in the development of the SLS to ensure consistency with the VDOT Approved ESC and SWM Standards and Specifications.

6.2.7 Upon completion of the design of any required permanent stormwater management facilities, drainage improvements, or sediment trapping facilities, the District Hydraulics Section will provide the CA with final comments, recommendations and plan details.

6.2.8 Final approval of the SLS:

- Upon incorporation of all the required revisions, a DCR Certified ESC Plan Reviewer shall make a final review of the ESC and post construction SWM Plan (if applicable). Once any Plan Reviewer comments have been reconciled with the ESC Plan Designer/Hydraulics Engineer, the Plan Reviewer shall complete and sign the LD-445C Erosion and Sediment Control and Stormwater Management Certification form and forward it to the CA for use in the VSMP Construction Permit Application Process (see the latest version of IIM-LD-242), if applicable. A copy of the completed LD-445C form is to be retained with the other documentation for the proposed ESC Plan.
- The CA will incorporate the final SLS into the contract assembly.
- Thereafter, any significant change to the project that may impact the ESC, post construction SWM, or Drainage Plan will require resubmission of the revised SLS to the ESC Plan Designer and/or District Hydraulics Engineer for review and approval prior to implementation.

6.2.9 The final version of the SLS, the SWPPP General Information Sheets (See latest version of IIM-LD-246) and any Construction Notes will serve as the ESC and post construction SWM Plan for the project. During the construction phase of the project, a copy of the ESC and post construction SWM Plan (Record Set) and all other SWPPP documents shall be kept on the project site and in the project file at the appropriate District/Residency Office as documentation that all policies and procedures have been addressed with regards to the post construction SWM, ESC and SWPPP requirements of the project. During construction, any authorized changes to the proposed ESC Plan necessitated by unforeseen conditions or other circumstances shall be documented on the Record Set in accordance with Section 107.16(e) of the 2007 VDOT R&B Specifications.

### 6.3 Plan Development Process for State Force Construction Projects

- 6.3.1 State Force Construction Projects include land-disturbing activities that are performed with state force equipment and/or hired equipment.
  - 6.3.2 Residency personnel are to contact the Residency Environmental Specialist and/or the District Hydraulics Engineer to review any State Force Construction Projects to determine if the proposed work is of a magnitude that may require drainage improvements, an ESC Plan, a post construction SWM Plan, and/or a SWPPP. If it is determined that any of these items are needed, the same procedures outlined in Section 6.2 of this document shall be followed.
- 6.4 Plan Development Process for Minimum Plan and Standard Plan Construction Projects
- 6.4.1 Minimum Plan projects are those that require a limited amount of survey information in order to perform the necessary engineering studies and to provide the information required to secure the necessary rights of way. The minimum amounts of detail needed to address environmental requirements and to construct the project are provided in a standard plan assembly format. See Appendix A of the *VDOT Road Design Manual* for additional information on the Minimum Plan concept.
  - 6.4.2 Standard Plan Projects are those that require complete survey information in order to perform the necessary detailed engineering studies and to develop a complete and detailed construction plan assembly.
  - 6.4.3 Projects developed under the Minimum and Standard Plan concepts must have an ESC plan and a SWPPP (see the latest version of IIM-LD-246) if they exceed the land disturbance threshold amounts noted in Sections 4.3 and 4.4 of this document. In addition, such projects may also require a post construction SWM Plan (see the latest version of IIM-LD-195 for applicability and technical criteria and requirements). These plan assemblies should be developed consistent with the steps identified under the Concurrent Engineering Plan Development process described in Section 6.3 of this document.
- 6.5 The ESC Plan shall be developed utilizing either a single phase or a multiple phase concept. The decision as to which concept to use in the development of the ESC Plan for each specific RLDA shall be determined by the ESC Plan Designer/Hydraulics Engineer and the Project Manager (or other such project authority) during the initial stages of plan development.
- 6.5.1 Single Phase ESC Plan Concept
    - 6.5.1.1 The Single Phase ESC Plan concept may be used on minor construction projects where all of the erosion and sediment control measures can be clearly depicted on the construction plan sheet (e.g., rural secondary project, minor urban widening project, bridge and approach project, etc.)

6.5.1.2 The ESC Plan shall address both those items requiring installation prior to the beginning of grubbing operations or the installation of major drainage structures and those items to be installed as grading operations and installation of minor drainage facilities progress. The ESC Plan shall contain or be accompanied by, at a minimum, all those items identified in Section 5.4 of this document (Contents of an ESC Plan).

6.5.1.3 In addition to standard plan symbols, supplemental notes/narratives may be used to clearly define the intent and purpose of the proposed erosion and sediment control measures and to define their sequence of installation. Some standard construction notes and symbols have been developed and are included as a part of the VDOT CADD Cell and Custom Line Style Library and the Geopak Road Plan View Labeler.

#### 6.5.2 Multiple Phase ESC Plan Concept

6.5.2.1 The Multiple Phase ESC Plan concept shall be used on construction projects where additional plan sheet(s) are needed in order to clearly depict the erosion and sediment control measures required at the various stages of construction (e.g., rural multi-lane roadway projects, major urban roadway projects, roadway projects on new locations, roadway projects through environmentally sensitive areas, etc.).

6.5.2.2 In addition to standard plan symbols, supplemental notes/narratives may be used to clearly define the intent and purpose of the proposed erosion and sediment control measures and to define their installation sequencing. Some standard construction notes and symbols have been developed and are included as a part of the VDOT CADD Cell and Custom Line Style Library and the Geopak Road Plan View Labeler.

6.5.2.3 Projects may be developed using the Multiple Phase concept on only those portions of the project that require greater detail and clarity than that provided by the Single Phase concept (e.g., construction in environmentally sensitive areas or major waterway areas, areas where plan clutter reduces the ability to clearly show the erosion and sediment control items, and where grading operations are required prior to installation of major temporary ESC measures or permanent drainage improvements).

6.5.2.4 At a minimum, the multiple phase ESC Plan should be developed in two phases:

- Phase I for those items that need to be installed prior to the beginning of grubbing operations or the installation of major drainage structures.

- Phase II for those items that need to be installed as grading operations and installation of minor drainage facilities progress.
- 6.5.2.5 Projects with complex grading operations and/or sequence of construction plans may warrant additional ESC Plan Phases to clearly identify all required ESC items.
- 6.5.2.6 Generally, the Phase I and the Phase II plan details (including associated narratives or notes) should each be depicted on a separate plan sheet following the applicable construction plan sheet (e.g., Construction Plan Sheet 5, Profile Sheet 5A, ESC Phase I Plan Sheet 5B, ESC Phase II Plan Sheet 5C).
- 6.5.2.7 When found appropriate, the Phase I and Phase II plan details may be depicted on a single plan sheet following the applicable construction plan sheet (e.g., Construction Plan Sheet 5, Profile Sheet 5A, ESC Phase I & II Plan Sheet 5B).
- 6.5.2.8 In general, when utilizing a separate plan sheet for the Phase I and the Phase II plan details, erosion and sediment control items (including protective linings in permanent ditches and channel relocations) depicted on the Phase I Plan Sheet should not be duplicated on the Phase II Plan Sheet. Temporary erosion and sediment control items depicted on the Phase I & II Plan Sheets should not be duplicated on the Construction Plan Sheet. Permanent drainage improvements identified for completion in Phase I, such as culverts, channels, etc, should also be shown on the Phase II plan.
- 6.5.2.9 The ESC Phase I Plan Sheet shall, at a minimum, depict the following:
- Existing contours and appropriate existing hydraulic and topographic features as referenced in the Survey File.
  - Proposed centerline, edges of pavement and construction limits.
  - Permanent drainage culverts, temporary diversion channels and permanent channel relocations (including any protective linings required) involving natural drainage ways that would be constructed or installed prior to the start of grading operations.
  - Temporary Sediment Basins (including grading contours, if applicable) that are to be constructed in the initial phases of the grading operations.
  - Permanent stormwater management basins (including grading contours, if applicable) that will be utilized as temporary sediment basins and that are to be constructed in the initial phases of the grading operations.
  - Diversion dikes, berm ditches and other perimeter ditches (including any required protective linings) that need to be installed prior to the start of grubbing or other earth moving operations.

- Temporary sediment traps, filter barriers, silt fences, rock check dams, turbidity curtains and any other perimeter controls that need to be installed prior to the start of grubbing or other earth moving operations.
- Any necessary construction notes/narratives (to include the need/location for items not typically shown on the plan view such as temporary slope drains, construction entrances, etc.).

6.5.2.10 The Phase II Plan Sheet shall, at a minimum, depict the following:

- Proposed centerline, edges of pavement and construction limits.
- Any permanent drainage culverts and channel relocations involving natural drainage ways installed under the Phase I Plan.
- Temporary sediment basins and permanent stormwater management basins installed under the Phase I Plan.
- All culverts, storm sewer pipe, drop inlets and associated drainage structures that will be installed as grading operations progress.
- All required protective ditch linings (e.g., Standard EC-2 or EC-3, concrete, riprap, etc.), paved flumes and associated structures that will be installed as grading operations progress.
- Temporary sediment traps, filter barriers, silt fences, rock check dams, drop inlet silt traps, and any other erosion and sediment control measures needed to be installed as grading operations progress.
- Any necessary construction notes/narratives (to include the need/location for items not typically shown on the plan view such as temporary slope drains, construction entrances, etc.).

6.5.2.11 The following drainage items from the Phase I and II Plan Sheets shall be depicted on the Construction Plan Sheet:

- Permanent drainage culverts, storm sewer systems, drop inlets and associated structures.
- Permanent channel relocations involving natural waterways.
- Permanent stormwater management facilities.
- Rock checkdams that will be left in place after construction to serve as a permanent stormwater management structure.

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## 7.0 COMPUTATIONS

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- 7.1 All computations to support the ESC and related post construction SWM Plan, and the drainage design plan, including the drainage area map, shall be developed in accordance with the instructions contained in the VDOT Drainage Manual, Hydraulic

Design Advisories, related Informational and Instructional Memoranda, and Drainage Design Memoranda, and shall be made part of the project file and the SWPPP for the land disturbance activity.

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## 8.0 FIELD REVISIONS AND EVALUATIONS

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- 8.1 The ESC Plan must be fully and effectively implemented throughout the entire construction phase of the project.
- 8.2 During the construction phase of the project, the Project Engineer the Project ESC Inspector, and the contractor shall continuously evaluate the project for areas that may require the deletion/addition/modification of the proposed erosion and sediment control measures/plan in order for the project to remain in compliance with the approved VDOT ESC Standards and Specifications, the Virginia ESC Law and Regulations, and the VSMP Construction Permit conditions (where applicable). Changes in the proposed ESC Plan may be needed due to unforeseen site conditions, contractor scheduling, changes in the proposed sequence of construction or other factors unknown at the time of the development of the proposed ESC Plan.
  - 8.2.1 Minor changes to the proposed ESC Plan (e.g., deletion/addition/modification to non-engineered items such as filter barrier, silt fence, check dams, inlet protection, etc.) may be approved/authorized by the VDOT DCR Certified Inspector and/or the designated RLD for the activity.
  - 8.2.2 When changes to the proposed ESC Plan require detailed hydrologic/hydraulic engineering analysis/calculations (e.g., deletion/addition/modification to engineered items such as sediment traps, sediment basins, etc.), the Project Engineer and/or the Project ESC Inspector shall coordinate a site inspection with the District Hydraulics Engineer and/or the ESC Plan Designer/Hydraulics Engineer. The site inspection should be used to assemble detailed notes, sketches, and photographs to formally document the need for ESC Plan changes. The ESC Plan Designer and/or Hydraulics Engineer will provide the appropriate engineering analysis to document the required changes and to ensure the ESC Plan's continued compliance with the approved VDOT ESC Standards and Specifications, Virginia ESC Law and Regulations, and VSMP Construction Permit conditions (where applicable).
  - 8.2.3 Any authorized changes to the proposed ESC Plan must be noted on a designated plan set (Record Set) which shall be retained on the project site and made available upon request (see Section 107.16(e) of the 2007 VDOT R&B Specifications).

8.3 During the construction phase of the project, the Project Engineer and/or the Project ESC Inspector will periodically, upon request, provide the ESC Plan Designer and/or Hydraulics Engineer with a detailed evaluation report that notes the success or failure of the proposed erosion and sediment control measures depicted in the construction plans (or other such documents) and/or the implementation of different measures as a result of new technologies/products. The VDOT ESC Program Administrator (State Hydraulics Engineer) is to be provided a copy of all such reports.

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## 9.0 MAINTENANCE

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- 9.1 Maintenance of the erosion and sediment control items must be continually provided during the duration of the land disturbance activity.
  - 9.2 The inspection and maintenance of all temporary and permanent erosion and sediment controls shall be conducted in accordance with Sections 107.16 and 303.03 of the 2007 VDOT R&B Specifications.
  - 9.3 Accumulated sediment shall, at a minimum, be removed from erosion and sediment control facilities in accordance with Section 303.03 of the 2007 VDOT R&B Specifications.
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## 10.0 STANDARD FORMS

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- LD-438 Guidelines for Development of Erosion and Sediment Control and Stormwater Management Plans for Projects with Straight Line Sketches
- LD-439 Drainage Information Sheet
- LD- 445C Erosion and Sediment Control and Stormwater Management Plan Certification Form

For the current version of these forms, see the VDOT extranet site at:  
<http://www.extranet.vdot.state.va.us/forms/> .

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: RIPRAP	NUMBER: IIM-LD-73.5
SPECIFIC SUBJECT: STONE DIMENSIONS; SOIL SURVEY; CONSTRUCTION PROCEDURE	DATE: JULY 9, 2008
	SUPERSEDES: IIM-LD-73.4
DIVISION ADMINISTRATOR APPROVAL:      Mohammad Mirshahi, P.E. State Location and Design Engineer Approved July 9, 2008	

Changes are shaded.

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### CURRENT REVISION

- 
- This memorandum was revised to add Pay Items for Erosion Control Stone Class AI, Standard EC-1 (Tons and Square Yards).
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### EFFECTIVE DATE

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- This memorandum is effective upon receipt.
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### POLICY

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- The Road and Bridge Specifications allow Riprap that is primarily placed by the dumped method to be measured by Square Yards or Tons.
  - Unless otherwise requested by the District Administrator at Field Inspection Stage, the measurement should be in Tons to comply with the supplier's method of measurement and expedite final measurement for payment by field personnel.

## DIMENSIONS AND WEIGHTS

- The following table may be used as a guide for state personnel to correspond certain rock dimensions to equivalent weights. This table is not to be used for acceptance or rejection of Riprap material

APPROXIMATE ROCK DIMENSIONS AND EQUIVALENT WEIGHTS			
WEIGHT	MEAN SPHERICAL DIAMETER	RECTANGULAR SHAPE	
		LENGTH	HT./WIDTH
25 lbs.	0.7'	1.1'	0.4'
50 lbs.	0.8'	1.4'	0.5'
75 lbs.	1.0'	1.6'	0.5'
100 lbs.	1.1'	1.75'	0.6'
150 lbs.	1.3'	2.0'	0.67'
300 lbs.	1.6'	2.6'	0.9'
500 lbs.	1.9'	3.0'	1.0'
1000 lbs.	2.2'	3.7'	1.25'
1500 lbs	2.6'	4.7'	1.5'
2000 lbs.	2.75'	5.4'	1.8'
2 tons	3.6'	6.0'	2.0'
3 tons	4.0'	6.9'	2.3'
4 tons	4.5'	7.6'	2.5'
10 tons	6.1'	10.0'	3.3'

APPROXIMATE PERCENT OF VOIDS	
%	MATERIAL
25	DRY RIPRAP CL.AI
25	DRY RIPRAP CL.I
25	DRY RIPRAP CL.II
25	DRY RIPRAP CL.III
25	DUMPED RIPRAP TY.I
25	DUMPED RIPRAP TY.II
25	EROSION CONTROL STONE
25	GROUTED RIPRAP
25	STONE RIPRAP (CLASSIFICATION SHOWN ON PLANS)

## PROCEDURES

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- A soil survey is to be conducted through areas where a channel change is proposed and through embankment areas where riprap may be required. The plans or profile rolls for the regular soil survey will show the location of channel changes and the location where riprap will be required on the fill section.
  - Borings along the proposed channel change are to be taken at sufficient intervals to determine the type of material encountered along the slopes and in the bottom of the channel.
  - The borings made in the cut sections or in the borrow pits for construction of the fills are adequate to determine the type of material used in the fills. The test results on the material used in embankments or along channel changes where riprap is required should include the Plastic and Liquid Limits of the minus No. 40 sieve and the grading or particle size of the total sample. This information should be submitted in the regular Soil Survey Report.
  - The Project Inspector will visually examine the slope upon which the plans designate Riprap to be placed. If the slope material appears coarser than the bedding aggregate specified, the Project Inspector is to notify the District Materials Engineer, through normal channels, for a more detailed investigation to determine the actual need for the bedding. If the slope is comprised of solid rock or closely consolidated boulders with soundness, size and weight equal to or exceeding the specifications, for the proposed riprap, then the riprap may be deleted by the District Construction Engineer.
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## PLANS

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- The project designer shall specify on the plans the type of riprap and the dimensions (length, width and depth) for placement. The quantity shall be computed using two (2) tons per cubic yard (148 lbs. per cu. ft.) for plan estimating purposes, unless otherwise specified by the District Administrator.
- The quantities will be field adjusted, utilizing the supplier's stone weight and the applicable Percent (%) of Voids for the Type / Class of material used, to obtain the actual quantity.

## GENERAL NOTE

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- The applicable note is to be included in the project's General Notes when riprap is specified. (See IIM-LD-110, Drainage Notes D-10 & D-11)

D-10 The proposed riprap may be omitted by the Engineer if the slope designated for placement of riprap is found to be comprised of solid rock or closely consolidated boulders with soundness, size and weight equal to, or exceeding, the specifications for the proposed riprap.

The following note is to be included when a Granular Filter Blanket is used in lieu of Geotextile Fabric Bedding. This does not apply to the aggregate cushion which is placed over the geotextile fabric in certain cases.

D-11 The proposed granular filter blanket for the proposed riprap may be omitted by the Engineer if the slope on which it is to be placed is found to be comprised of material which is coarser than that specified for the proposed granular filter blanket.

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## PAY ITEMS

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- The Road and Bridge Specifications allow Erosion Control Stone to be measured by the Square Yard or Ton and to include Bedding for Riprap and Riprap Filter Cloth in the price bid for Riprap.

<u>ITEM CODE</u>	<u>DESCRIPTION</u>	<u>UNIT</u>
09148	Erosion Control Stone Class A1, Standard EC-1	Ton
09149	Erosion Control Stone Class A1, Standard EC-1	Square Yards
09150	Erosion Control Stone Class I, Standard EC-1	Ton
09151	Erosion Control Stone Class I, Standard EC-1	Square Yards
09152	Erosion Control Stone Class II, Standard EC-1	Ton
09153	Erosion Control Stone Class II, Standard EC-1	Square Yards

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: GENERAL NOTES	NUMBER: IIM-LD-110.20
SPECIFIC SUBJECT:	DATE: JUNE 26, 2009
	SUPERSEDES: IIM-LD-110.19
DIVISION ADMINISTRATOR APPROVAL:	Mohammad Mirshahi, P.E. State Location and Design Engineer Approved June 26, 2009

Changes are shaded.

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### CURRENT REVISION

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- Notes relevant to Title Sheets have been removed from this memorandum and are now included in IIM-LD-204.

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### EFFECTIVE DATE

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- These instructions are effective upon receipt for all projects except where otherwise noted below.

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### GENERAL INSTRUCTIONS

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- It is unlikely that any one project will need all of the available general notes. Designers should use only those notes that are applicable. It may be necessary to modify notes and/or supplement notes with additional information.
  - Notes referring to dated materials, such as Specifications or Standards, should be updated when new or revised Specifications or Standards take effect, as applicable.
  - The General Notes are available as CADD cells.

- The Drainage, Erosion and Sediment Control and Stormwater Management General Notes to be used with each project will be determined by the Hydraulics Engineer/ESC Plan Designer. The Hydraulics Engineer/ESC Plan Designer will also be responsible for completing any of these notes where project specific information is required.
  - Dual Units (Metric and Imperial) are shown for informational purposes.
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## GRADING GENERAL NOTES

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G-1 The grade line denotes top of finished pavement unless shown otherwise on typical sections or plans.

The following applicable notes are for use where settlement is uncertain. (Omit notes G-2 and G-3 if project has both Excavation and Embankment set up as pay items.)

- Applicable when the contract is on plan quantity basis:

G-2 Earthwork quantities on this project are based on anticipated settlement and may require adjusting during construction.

- Applicable when the contract is not on plan quantity basis:

G-3 Earthwork quantities on this project are based on anticipated settlement and may require adjusting during construction. Payment will be made only for quantities actually moved.

Non-significant masonry items (e.g. sidewalk, curb and gutter, paved ditch, small footings, small block or brick items, etc.) may be included in regular excavation and designated by the following note:

G-4 The cost of removal of all existing concrete items located in the area to be graded, including, but not limited to the following, shall be included in the price bid for regular excavation: \_\_\_\_\_

When a project has excavation of unsuitable material shown on the plans for a specified depth and undercut excavation is not set up as a bid item, the following note will be used:

G-5 The excavation of unsuitable material as specified on these plans is based on previously conducted subsurface soil investigation. If, during construction, it is deemed necessary to change the depth more than 1 foot (0.3 m) or the limits of such excavation, such change shall be made at the direction of the Engineer and measurement and payment shall be made in accordance with Section 303 of the applicable VDOT Road and Bridge Specifications.

- G-6 The borrow material for this project shall be a minimum CBR\_\_\_\_\_or as approved by the Materials Engineer.
- G-7 Material from regular excavation which is suitable for stabilization with hydraulic cement (lime) shall be placed in the top portion of the subgrade.
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## DRAINAGE GENERAL NOTES

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- D-1 The horizontal location of all drainage structures shown on these plans is approximate only, with the exception of structures showing specific stations, special design bridges and storm sewer systems.
- D-2 The horizontal location and invert elevations shown for proposed culverts and storm sewer outfall pipes are based on existing survey data and required design criteria. If, during construction, it is found that the horizontal location or invert elevations shown on the plans differ significantly from the horizontal location or elevations of the stream or swale in which the culvert or storm sewer outfall pipe is to be placed, the Engineer shall confer with, and get approval from, the applicable District Drainage Engineer before installing the culvert or storm sewer outfall pipe.
- D-3 The "H" dimensions shown on the plans for drop inlets and junction boxes and the "L.F. (m)" dimensions shown for manholes are for estimating purposes and are based on the proposed invert elevations shown for the structure and the anticipated top (rim) elevation based on existing or proposed finished grade. The actual "H" or "L.F. (m)" dimensions are to be determined by the contractor from field conditions.

The following note is to apply only at specific locations that are designated on the District Administrator's Field Inspection Report. The portion regarding "Excavation For Minor Structures" will apply to single line culvert installations with a diameter or span of 48" (1200 mm) or greater or any multiple line culvert installation with an overall span (out to out) of 48" (1200 mm) or greater.

- D-4 At Station \_(specify station number)\_, the fill shall be placed and allowed to settle and displace all soft materials. Any necessary temporary drainage shall be installed. When directed by the Engineer, that part of the fill where the permanent drainage structure is to reside shall be removed and the structure placed. The cost of installing and removing the temporary drainage facility, the cost of removing the fill above the original ground for installation of permanent drainage structure and the cost of backfill shall be included in the unit price bid for regular excavation. Excavation below the original ground necessary for the installation of the permanent drainage structure will be measured and paid for in accordance with Section 303 of the applicable VDOT Road and Bridge Specifications.

If the cost of constructing fills is to be paid for as "embankment" make the appropriate change in the previous note.

The following note is to be included for all projects that have locations that require, or allow as an option, Structural Plate Steel Pipe or Pipe Arch with a concrete invert:

D-5 At locations where Structural Plate Steel Pipe or Pipe Arch with a concrete invert is required or is allowable as an option to Corrugated Steel Pipe or Pipe Arch, the concrete invert is to be field applied and shall cover, at a minimum, the bottom 25% of the circumference of a circular shape structure or the bottom and corner plates of an arch shape structure. As an option to providing the concrete invert, the plates along the bottom 25% (minimum) of the circumference of the Structural Plate Steel Pipe or the bottom and corner plates (minimum) of the Structural Plate Steel Pipe Arch shall be a minimum of two sheet thickness (gages) heavier than the sheet thickness (gage) indicated in the applicable VDOT Road and Bridge Standard PC-1 for the specified height of cover for the structure. Example: For a pipe with height of cover requiring 0.109" (2.8 mm) sheet thickness (12 gage) plates, the bottom plates shall be 0.168" (3.5 mm) sheet thickness (8 gage). The sheet thickness (gage) of the remainder of the pipe plates shall either conform to those specified in Standard PC-1 for the applicable height of cover or to the heavier plates used in the bottom of the pipe.

The following note is to be included when multiple types of pipes are allowed (Allowable Pipe Type Table is required):

D-6 Pipes shall conform to any of the allowable types shown on sheet number (specify sheet number), within the applicable height of cover limitations. For strength, sheet thickness, or class designation, available sizes, height of cover limitations and other restrictions for a particular pipe type or height of cover, see the VDOT Road and Bridge Standard PC-1. Structural plate pipe may be substituted for corrugated pipe of the same size and a structural plate pipe arch may be substituted for a corrugated pipe arch of the same size, provided the substitution complies with the applicable sections of the VDOT Road and Bridge Standard PC-1.

The following note is to be included when only one type of pipe is allowed (Allowable Pipe Type Table is not required):

D-7 All pipe on this project shall be (specify type). For strength, sheet thickness, or class designation, available sizes, height of cover limitations and other restrictions for a particular pipe type or height of cover, see the applicable sections of the VDOT Road and Bridge Standards PC-1.

The following note should be included when the plans specify concrete pipe be laid on a radius:

D-8 Where open joint pipe is to be used, no joint shall be opened a distance exceeding 25% of the spigot length. Sealing of the pipe joint shall be in accordance with Section 302 of the applicable VDOT Road and Bridge Specifications.

The following note should be included when the plans specify concrete pipe be laid on a radius and specify a particular pipe joint length:

D-9 A pipe joint length different from that stated on the plans may be used. An adjustment in the percentage of open joint (not to exceed 25% of the spigot length) or amount of bevel shall be made that will obtain the radius stated on the plans. Extra payment for this adjustment will not be allowed. The proposed adjustment shall be approved by the Engineer prior to installation of the pipe line.

The following note is to be included when riprap is specified:

D-10 The proposed riprap may be omitted by the Engineer if the slope designated for placement of riprap is found to be comprised of solid rock or closely consolidated boulders with soundness, size and weight equal to, or exceeding, the specifications for the proposed riprap.

The following note is to be included when a granular filter blanket is used in lieu of geotextile fabric bedding. This does not apply to the aggregate cushion which is placed over the geotextile fabric in certain cases.

D-11 The proposed granular filter blanket for the proposed riprap may be omitted by the Engineer if the slope on which it is to be placed is found to be comprised of material which is coarser than that specified for the proposed granular filter blanket.

D-12 All existing drainage facilities labeled "To Be Abandoned" shall be left in place, backfilled and plugged in accordance with the VDOT Road and Bridge Standard PP-1. Basis of Payment will be C.Y. (m<sup>3</sup>) of Flowable Backfill.

D-13 Existing drainage facilities being utilized as a part of the drainage system, and designated on the plans "To Be Cleaned Out", shall be cleaned as directed by the Engineer. The cost incidental to this shall be included in the contract price for other items.

D-14 Proposed drop inlets with a height (H) less than the standard minimum shown in the VDOT Road and Bridge Standards shall be considered and paid for as Standard Drop Inlets for the type specified.

D-15 Where the plans specify the installation of standard curb drop inlets adjacent to the City of (specify city) Standard Curb and Gutter, the Standard Drop Inlets (as shown in the VDOT Road and Bridge Standards) shall be modified in accordance with details shown on sheet number (specify sheet number). These drop inlets shall be considered and paid for as Standard Drop Inlets for the type specified.

D-16 When Standard CG-6 or CG-7 is specified on a radius (such as at a street intersection), the Engineer may approve a decrease in the cross slope of the gutter to facilitate proper drainage.

D-17 St'd SL-1 Safety slab locations are based on the assumed use of precast structures. If cast-in-place structures are utilized, and the interior chamber dimensions (length and width, or diameter) are less than 4 feet, the safety slabs shall not be installed.

## PAVEMENT GENERAL NOTES

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- The following note applies to projects without bridge approach slabs.
- P-1 If any settlement occurs in concrete pavement adjacent to bridges prior to acceptance of the project by the Department, the contractor shall restore the pavement to the original grade either by the mud jack method or by replacing the pavement. In the event the pavement cracks or becomes damaged, it shall be replaced, if directed by the Engineer.
- P-2 The pavement materials on this project will be paid for on a tonnage basis. The weight will vary in accordance with the specific gravity of the aggregates and the asphaltic content of the mix actually used to secure the design depth. The weight of the asphalt concrete is based on 95% of theoretical maximum density. (See IIM-LD-158)
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## INCIDENTAL GENERAL NOTES

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On Primary and Secondary projects involving grade crossings to remain in place, show the following notes in the General Notes, on applicable plan sheets and in the summary. Do not include the railroad crossbuck signs in the estimate.

- I-1 Two Reflectorized Railroad Grade Crossing Crossbuck Signs, complete with posts, SHALL BE FURNISHED AND ERECTED BY THE RAILROAD COMPANY.
- I-2 Two Reflectorized Railroad Advance Warning Signs W10-1 complete with two approved posts, WILL BE FURNISHED AND ERECTED BY STATE FORCES.

The following note applies to all projects where access to private property will ultimately be by means of a service road. This note will be on the plans for all applicable projects when submitted for Right of Way Acquisition:

- I-3 Service Roads are to be constructed, and private entrances connected thereto prior to the permanent severing of private entrances by other phases of the proposed construction.
- Principal-Minor Arterial Projects:
- I-4 All trees located within the Clear Zone or within a minimum of 30 feet (9m) of the edge of pavement, within the limits of the right of way or construction easement, unless otherwise noted on plans or directed by the Engineer, shall be removed, as provided for in Section 301 of the applicable VDOT Road and Bridge Specifications.
- Secondary – Collector – Local Projects:

I-5 That portion of the right of way lying within the Clear Zone or within a minimum of 10 feet (3m), from the edge of pavement or surfacing or within the limits of the construction slopes beyond 10 feet (3m), shall be cleared and grubbed in accordance with the applicable VDOT Road and Bridge Specifications, Section 301, where sufficient right of way or construction easement is provided.

Exceptions:

I-6 Certain trees shall be preserved as noted on plans or as directed by the Engineer.

I-7 Where Standard slope roundoffs would damage trees, bushes or other desirable vegetation, they shall be omitted when so ordered by the Engineer.

The following note shall be shown on all applicable plans when submitted for right of way acquisition:

I-8 All fruit trees between Station \_\_\_\_\_ and Station \_\_\_\_\_, lying within the right of way, shall be removed and destroyed. These trees shall be removed and destroyed as soon as possible after the contractor actually commences work. The cost of this work shall be included in the price bid for clearing and grubbing.

When the following note applies to specific locations on a project, show Sta. \_\_\_\_\_ to Sta. \_\_\_\_\_.

I-8A Clearing and grubbing shall be confined to those areas needed for construction. No trees or shrubs in ungraded areas shall be cut without the permission of the Engineer. Station \_\_\_\_\_ to Station \_\_\_\_\_.

I-9 When no centerline alignment is shown for a proposed entrance, the entrance shall be constructed in the same location as the existing entrance.

Right of Way Monuments (RM-1 and RM-2) will be set in accordance with Road and Bridge Specification 105.13 except when note I-10 and/or I-12 is shown on applicable projects:

I-10 St'd. RM-1 Right of Way Monuments shall be set by the Contractor.

I-11 VOID

I-12 St'd. RM-2 Right of Way Monuments shall be set by the Contractor.

The following notes will be included in the General Notes when "Reuse Guardrail" is specified in the plans as follows:

a) Used when the District Administrator desires to retain the guardrail component materials not used by the Contractor in the new construction:

I-13 Salvaged guardrail materials not used in the new construction shall become the property of the Department and the Contractor shall deliver and store, at no additional cost to the Department, the unused materials at the Department's maintenance yard at (location) during the Department's normal working hours.

- b) Used when the District Administrator does not wish to retain the guardrail component parts not used by the Contractor in the new construction:

I-14 Salvaged guardrail materials not used in the new construction shall become the property of the Contractor and shall be disposed of at a licensed landfill, recycled or be retained by the contractor.

The following note may be used with note I-13 or I-14:

I-15 Where Guardrail Standard GR-2 or GR-8 is shown on the plans and in the summaries, either new guardrail or reused guardrail beam shall be used as provided elsewhere in these plans. The total quantities have been proportioned between new and reuse guardrail based on an estimate of the amount of existing beam that is reusable. The Contractor will be paid for the actual quantities of Guardrail, St'd GR-2 or St'd. GR-8, or Reuse Guardrail St'd. GR-2 or St'd GR-8, as determined by the Engineer.

The following note will be included in the General Notes when the Underground Utilities" survey data on a project has been provided by a consultant. (See IIM-LD-140)

I-16 The "Underground Utilities" survey data on this project has been provided by consultant and copies are available from the Department.

The following note is applicable in accordance with VDOT's Road Design Manual:

I-17 For method of constructing Straight-Line Taper Lanes in Curb and/or Curb and gutter sections, see typical details on Sheet \_\_\_\_\_.

I-18 All pavement markings and traffic flow arrows shown on the roadway construction plans are schematic only. The actual location and application of pavement markings shall be in accordance with Section 704 of the applicable VDOT Road and Bridge Specifications, MUTCD, sequence of construction/traffic control plans, pavement marking plan sheets \_\_\_\_\_ thru \_\_\_\_\_ and as directed by the Engineer.

The following note is applicable to projects having work performed by others:

I-19 The following outside sources, under contract with VDOT, have provided information on this project.

	(Show Name of Source)			
Hydraulic Design	"	"	"	"
Roadway Design	"	"	"	"
Utility Design	"	"	"	"
Utility Designation	"	"	"	"
Utility Location	"	"	"	"
Survey	"	"	"	"
Bridge Design	"	"	"	"

If questions or problems arise during construction, please contact the Project Designer. DO NOT CONTACT THE OUTSIDE SOURCES.

The following notes are applicable to all projects:

- I-20 The Official Electronic PDF Version of the plans will override the paper copies or prints of specific layers. Portions of this plan assembly have been CADD generated. To assist in the construction of the project electronic files will be available to the prime contractor during bids and after award of the contract.
- I-21 All electronic plan assemblies will include the construction plans in two formats: PDF files and Microstation format (.dgn) files. Only the PDF files will be considered as part of the official plan assembly.

The Microstation format (.dgn) files are furnished only as information for the contractor. These plans are developed in layers (levels) to aid in readability. However, the construction items may or may not be in the proper layering scheme as described in the VDOT CADD Manual. The Microstation files will only match the scanned files if all required levels are turned on. A Microstation Software license is required to be able to read these files.

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## STORMWATER MANAGEMENT (SWM) GENERAL NOTES

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- S-1 CLEARING AND GRUBBING OF SWM BASIN SITE – The area where the dam is to be constructed and the area upstream of the dam, to an elevation equal to the crest of the dam (maximum ponded water elevation), shall be cleared and grubbed in accordance with Section 301 of the applicable VDOT Road and Bridge Specifications.
- S-2 SWM BASIN DAM CONSTRUCTION – The dam for detention basins (no permanent pool) shall conform to the details contained in the plans and shall be constructed in accordance with Section 303 of the applicable VDOT Road and Bridge Specifications. The native material on which the dam will set shall meet the specifications for AASHTO Type A-4 or finer material. Where the native material does not meet this requirement, the area beneath the dam is to be excavated a minimum of 4' (1.2 m) and backfilled with a material meeting the AASHTO Type A-4 or finer classification, unless otherwise specified in the plans. The material used for the embankment of the dam shall be AASHTO Type A-4 or finer or as otherwise specified in the plans. Dams with foundation and embankment material not meeting the above requirements, dams greater than 15' (4.6 m) in height, or dams for retention basins (permanent pool) shall incorporate a membrane-lined trench, a homogenous embankment with seepage controls, a zoned embankment or other such approved designs as specified in the plans.
- S-3 SWM BASIN OUTLET PIPE – The pipe culvert under or through the dam for detention basins (no permanent pool) shall be reinforced concrete pipe with rubber gaskets in accordance with Section 232 and 212 of the applicable VDOT Road and Bridge Specifications. A concrete cradle shall extend the full length of the pipe culvert in accordance with the Standard Drawings. The connection between the pipe culvert and the SWM-1 Drainage Structure (or other control structure) shall be made watertight as approved by the Engineer and the cost shall be included in the price bid for the pipe.

- S-4 The SWM-1 Drainage Structure (or other control structure) shall have 4" (100 mm) high numbers and 1" (25 mm) wide stripes painted at 1' (300 mm) intervals as shown on the Standard Drawings or detail sheets. The numbers and stripes are to be installed at the time of the initial installation of the SWM-1 Drainage Structure (or other control structure). Paint and application shall be in accordance with Section 231 and 411 of the applicable VDOT Road and Bridge Specifications and the cost is to be included in the price bid for the applicable structure.
- S-5 All SWM Basins designated for use as temporary sediment basins shall be constructed during the initial phase of earth moving activities or as specified by the plans or directed by the Engineer. During project construction, the SWM-1 Drainage Structure (or other control structure) shall be modified in accordance with the Standard Drawings or plan details in order to provide a temporary sediment basin with both a "wet" storage volume (permanent pool) and a "dry" storage volume. Sediment accumulated in the basin shall be removed when the volume of the "wet" storage (permanent pool) has been reduced by 50%. Sediment shall be disposed of in accordance with Section 106.04 of the applicable VDOT Road and Bridge Specifications. When project construction is complete to a stage where no additional sediment from the project is expected to enter the basin, as determined by the Engineer, the basin shall be cleaned out and restored to the original design elevations, the area stabilized and all temporary modifications to the SWM-1 Drainage Structure (or other control structure) removed.
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## EROSION AND SEDIMENT CONTROL (ESC) GENERAL NOTES

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- E-1 If the removal of Brush Silt Barrier is specified by the plans or required by the Engineer, the cost of removal and disposal of brush shall be in accordance with Section 109 of the applicable VDOT Road and Bridge Specifications.
- E-2 Rock for Check Dams, Inlet Protection, Erosion Control Stone and Riprap shall be in accordance with Section 203 and Section 414 of the applicable VDOT Road and Bridge Specifications.
- E-3 The following symbols are used to depict Erosion and Sediment Control items in the plan assembly:

		Denotes Protective Covering, St'd. EC-2
		Denotes Soil Stabilization Mat. St'd. EC-3 Type A, B or C
		
		
		Denotes Temporary Filter Barrier, St'd EC-5
		Denotes Temporary Silt Fence, St'd EC-5
		Denotes Temporary Diversion Channel, St'd EC-12
		Denotes Temporary Diversion Dike, St'd EC-9
		Denotes Turbidity Curtain, Type - Impervious
		Denotes Turbidity Curtain, Type - Pervious
		Denotes Rock Check Dam, Type I; St'd EC-4
		Denotes Rock Check Dam, Type II; St'd EC-4
		Denotes Inlet Protection, Type A; St'd EC-6
		Denotes Inlet Protection, Type B; St'd EC-6

The ESC symbols are to be used to denote proposed erosion and sediment control items on the plans and are available in the CADD Cell and Custom Line Style Libraries and the GeoPak Road Plan View Labels.

The location (sheet number) of the ESC legend is to be noted in the “References” block on each applicable sheet of the plan set.

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## ELECTRONIC SELECTION OF GENERAL NOTES

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Microstation has the capability of incorporating the necessary inserts in the General Notes (e.g. sheet number, station, etc.) and also incorporating any additional notes that are required for the project. The General Notes are to be shown for the applicable unit of measurement (Imperial or Metric). General Notes with metric units are denoted by the suffix “M”.

- A General Notes Sheet file is created as follows:
  - Create a file in MicroStation by using the **SEEDGEN.DGN** seed file.
  - Ensure that the **LGENNOTE.CEL** is part of the workspace search list for MicroStation cell library. (If you are using the **LD** workspace, then this is already set.)

Type **macro gnote** into the “Key-in Windows”. This will start a MicroStation macro command that will prompt you for information about the General Notes Sheet.

- Select the notes needed, or select the **Select All** button, then de-select the notes that are not needed. The **Add Sp** button will prompt you for additional spaces that you may need between each note header.

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: ROADSIDE DEVELOPMENT	NUMBER: IIM-LD-122.13
SPECIFIC SUBJECT: ROADSIDE DEVELOPMENT SHEET; COORDINATION; COMPUTING QUANTITIES/SUMMARIZATION	DATE: APRIL 27, 2009
	SUPERSEDES: IIM-LD-122.12
DIVISION ADMINISTRATOR APPROVAL:	Mohammad Mirshahi, P.E. State Location and Design Engineer Approved April 27, 2009

Changes are shaded.

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### CURRENT REVISION

- 
- The typical fertilizer application rate has been revised in accordance with the 2007 Road and Bridge Specifications. An application rate of 300 pounds per acre is to be estimated unless otherwise specified by the Maintenance Division.
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### EFFECTIVE DATE

- 
- These instructions are effective upon receipt.
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### POLICY

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- The Roadside Development Sheet and the Erosion Control Summary Sheet are to be included in project plan assemblies.
  - The Roadside Development Summary will indicate the Maintenance Division's recommended seed mixtures, and estimated quantities for Topsoil, Seeding (Regular and Legume), Fertilizer and Lime.
  - Seed additives (e.g. foxtail millet) are paid for as Regular Seeding except Crown Vetch, Sericea Lespedeza and Birdsfoot Trefoil
  - Seed mixture recommendations may at times deviate from the seed mixture guidelines on the Roadside Development Sheet. The District Roadside Manager will provide recommendations for the application of seed mixtures (core mix and additives), fertilizer, lime, etc.

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## SPECIAL INSTRUCTIONS

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- The approximate area (hectares or acres) to be disturbed will be shown under “Notes” on the Roadside Development Sheet. This area is not to be expanded for estimating purposes.
  - Notes on the Roadside Development Sheet marked by a star are for the use of field forces only. The Designer is not to use any percentages shown under “Notes” on the Roadside Development sheet when computing quantities.
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## MULCH

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- Roadside Development involves two categories of mulch as follows:
  - Seeding Mulch, Type I or II is applied in the field with the seed mixture. This mulch is included in the price for the regular seeding and is not summarized in the plans.
  - Erosion Control Mulch is summarized on the Erosion Control Summary Sheet when recommended by the Maintenance Division. This material is estimated at the rate of 0.25 acres (1,210 S.Y.) per 100 feet of alignment or 0.332 hectares (3,319 m<sup>2</sup>) per 100 meters of roadway alignment) and is to be paid for as follows:

<u>PAY ITEM</u>	<u>UNIT</u>	<u>ITEM CODE</u>
Erosion Control Mulch	Acres (Hectare)	27288
Erosion Control Mulch	S.Y. (m <sup>2</sup> )	27284

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## LEGUME SEEDING

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- The seed mixes available for roadside development include three “Legume” seeds, Crown Vetch, Sericea Lespedeza and Birdsfoot Trefoil specified as additives “E, F, and G” on the Roadside Development Sheet.
- These Legume seeds are used only on slopes 3:1 or greater and are not used on shoulders or other locations to be mowed.
- Legume Seed, and Legume Overseeding are to be summarized for separate payment.
- Whenever the Maintenance Division specifies any of these Legume seeds, the mowable areas on the project (slopes flatter than 3:1) and non-mowable areas (slopes 3:1 and greater) must be measured separately in order to accurately summarize the seeding requirements.

## ESTIMATING QUANTITIES

- If the lime application rate is not provided by the Maintenance Division, the Designer should estimate the Normal Lime Quantity based on 2 tons per acre (5 metric tons per hectare).
- If the fertilizer application rate is not provided by the Maintenance Division, the Designer should estimate the Normal Fertilizer Quantity based on **300** pounds per acre (**336** kilograms per hectare).
- The seed mixtures (core mix plus additives) shown on the Roadside Development Sheet are weights per acre (or hectare) of disturbed area. These quantities may vary for each construction season.
- The Designer is advised to:
  1. Determine the disturbed area to be seeded.
  2. Determine the application rate for the sloped and mowed areas shown for each construction season.

Example for Seed Mix 2E:

100 lbs. Core Mix + 20 lbs. Additive = 120 lbs.

3. The greatest seeding rate is assumed to be the “Normal” Seeding rate.

Example for 10 acre area:

### MIX REQUIREMENTS ON THIS PROJECT

PROJECT NUMBERS	SLOPES	MOWED	SLOPES	MOWED	SLOPES	MOWED
	SPRING & FALL		SUMMER		LATE FALL & WINTER	
0123-123-103	2E	2B	3A	3A	4B	4B
	120 LBS.	120 LBS.	110 LBS	110 LBS.	120 LBS.	120 LBS.

The Normal Seeding rate = 120 lbs. per acre.

120 lbs. x 10 acres of disturbed area = 1200 lbs. “Normal” Seeding Quantity

- When a legume seed additive is specified (Crown Vetch, Sericea Lespedeza or Birdsfoot Trefoil) the sloped areas and mowed areas must be measured separately when summarizing seeding quantities.
  1. Determine the flat (less than 3:1) areas and sloped (3:1 and greater) areas to be seeded.
 

Example: 10 acres of mowed areas; 5 acres of sloped areas.
  2. Determine the application rate for the mowed areas.

Example for "Seed Mix 2B": 100 lbs. Core Mix + 20 lbs. Additive = 120 lbs.

- Determine the application rate for the sloped areas:

Example for Seed Mix 2E: Core Mix "2" = 100 lbs.; Additive E" = 20 lbs.

- Determine the quantities of Regular Seed and Legume Seed.

Example for mowed area (Seed Mix 2B):

Core Mix 100 lbs. + 20 lbs. = 120 lbs. x 10 acres = 1200 lbs. Regular Seed

Example for sloped areas (Seed Mix 2E):

100 lbs. x 5 acres = 500 lbs. Regular Seed

20 lbs. x 5 acres = 100 lbs. Legume Seed

- The "Normal" quantities for lime, fertilizer, and seeding are based on the actual area to be disturbed. The "Normal" quantities are to be increased by the following percentage factors to obtain the quantity to show in the summary:
  - Lime = Normal Quantity increased by 90%
  - Fertilizer (15-30-15)= Normal Quantity increased by 90%
  - Regular Seed = Normal Seeding Quantity increased by 60%
  - Overseeding=100% of Normal Seeding Quantity (no mulch or fertilizer)
  - Legume Seed = Normal Seeding Quantity increased by 60%
  - Legume Overseeding = 100% of Normal Seeding Quantity (no mulch or fertilizer)

Examples for determining quantities to summarize:

20 tons "normal" Lime x 1.90 (or 190%) = 38 tons Lime

3 tons "normal" Fertilizer x 1.90 (or 190%) = 5.7 or 6 tons Fertilizer

1700 lbs. "normal" Seeding x 1.60 (or 160%) = 2720 lbs. Regular Seeding

1700 lbs. "normal" Seeding (@ 100%) = 1700 lbs. Overseeding

100 lbs. "normal" Legume Seeding x 1.60 (or 160%)= 160 lbs. Legume Seed

100 lbs. "normal" Legume Seeding (@ 100%) = 100 lbs. Legume Overseeding

## PAY ITEMS

• Lime	Metric Ton/Ton	27250
• Fertilizer	Metric Ton/Ton	27215
• Regular Seed	kg/lbs.	27102
• Overseeding	kg/lbs.	27103
• Legume Seed	kg/lbs.	27104
• Legume Overseeding	kg/lbs.	27105
• Topsoil Class A	ha/acres	27012
• Topsoil Class B	ha/acres	27022
• Erosion Control Mulch	ha/acres/m2/S.Y.	27288

## REVIEW BY MAINTENANCE DIVISION

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- The Roadside Development Sheet is to be reviewed by the Maintenance Division prior to submission of the plan assembly for construction.
  - Anytime the current Roadside Development Sheet is replaced by a revised Roadside Development Sheet, the District Roadside Manager should be requested to determine the need for any changes in seed mixes, quantities, etc.
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## INSERTABLE SHEETS

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- The Imperial Roadside Development Sheet may be accessed from the **sheet2000.cel** library in Microstation.
  - A-4 Roadside Development Sheet (**RDSDEV**)
- The Metric Roadside Development Sheet may be obtained through the insertable sheet directory on Falcon DMS.
  - Special Design Section Drawing No. MA-4 (Metric)
- The Imperial Erosion Control Summary Sheet may be accessed from the **sheet2000.cel** library in Microstation.
  - A-5 Erosion Control Summary Sheet (**ECSUM**)
- The Metric Erosion Control Summary Sheet may be obtained through the insertable sheet directory on Falcon DMS
  - Special Design Section Drawing No. MA-5 (Metric)

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: SOIL STABILIZATION MAT	NUMBER: IIM-LD-166.4
SPECIFIC SUBJECT: STANDARD EC-3	DATE: DECEMBER 1, 2005
	SUPERSEDES: IIM-LD-166.3
DIVISION ADMINISTRATOR APPROVAL: Mohammad Mirshahi, P.E. State L&D Engineer Approved: December 1, 2005	

Changes are shaded.

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### CURRENT REVISION

- 
- Updated for current Division Administrator Approval.
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### EFFECTIVE DATE

- 
- These instructions are effective on all projects scheduled for the August 1996 advertisement and all subsequent projects.
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### POLICY

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#### Ditches

- Geotextile materials designated as Standard EC-3 (Type A and B) Soil Stabilization Mat are used for protective linings in ditches.
- Standard EC-3 Soil Stabilization Mat is intended to be used as a protective ditch lining material to be applied when the design velocity exceeds the allowable velocity for Standard EC-2 (i.e., jute mesh).

- When the design velocity exceeds the allowable velocity for Standard EC-3, a paved (or riprap) lining will be required.

## Slopes

- The Standard EC-3 (Type C) Soil Stabilization Mat may be used as a protective slope lining for dry cut or fill slopes and wet cut slopes to stabilize the slope on which vegetation is being established. (See Road and Bridge Standards)

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## TYPES AND APPLICATION

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### Ditches

- Type A is to be employed where the design (2 year) velocity in the ditch is within the range of 1.2 to 2.1 meters per second (m.p.s.) (4 to 7 f.p.s.)
- Type B is to be employed where the design velocity is within the range of 2.1 to 3.0 m.p.s. (7 to 10 f.p.s.)
- A Manning's "n" value of 0.05 should be used with Standard EC-3.
- Typically, the use of Standard EC-3 Type A should begin at the point where flow velocity exceeds 1.2 m.p.s. (4 f.p.s.) (velocity is assumed to be for flow in an EC-2 lined channel) and continue changing to EC-3, Type B at the appropriate point, until the design velocity exceeds 3.0 m.p.s. (10 f.p.s.) or until such point as the use of a ditch lining can be discontinued.
- Experience has shown that the installation of this material is particularly critical. It must be installed in strict accordance with the standard drawings and manufacturer's specifications.
- It is requested that Standard EC-3 (Type A and B) installations be monitored very closely to determine the validity of the present design criteria. It is recommended that the District Drainage Engineer, in cooperation with appropriate District Environmental and/or maintenance personnel, visit these installations, particularly after significant or intense rainfall events, and prepare a report of their observations which would then be submitted to the Central Office Hydraulics Section on a regular basis until further notice.

## PAY ITEMS AND SUMMRIZATION

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The following items are to be summarized, when applicable, in the Erosion & Sediment Control Summary:

<u>ITEM</u>	<u>UNIT</u>	<u>ITEM CODE</u>
Soil Stabilization Mat EC-3, Type A	m <sup>2</sup> (S.Y.)	27325
Soil Stabilization Mat EC-3, Type B	m <sup>2</sup> (S.Y.)	27326
Soil Stabilization Mat EC-3, Type C	m <sup>2</sup> (S.Y.)	27327

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: MANAGEMENT OF STORMWATER	NUMBER: IIM-LD-195.6
SPECIFIC SUBJECT: ENGINEERING AND PLAN PREPARATION	DATE: AUGUST 18, 2008
	SUPERSEDES: IIM-LD-195.5 DDM 2 (Drainage Manual)
DIVISION ADMINISTRATOR APPROVAL:      Muhammad Mirshahi, P.E. State Location and Design Engineer Approved August 18, 2008	

Changes are shaded.

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### CURRENT REVISION

- 
- Changed the name of Construction Division to Scheduling and Contract Division.
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### EFFECTIVE DATE

- 
- This memo is effective upon receipt.
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### BACKGROUND

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- Acts of the General Assembly have resulted in the issuance of Virginia Stormwater Management (SWM) Regulations and Virginia Erosion and Sediment Control (ESC) Regulations. The general application to highway operations associated with these regulations is addressed in this memorandum. Additional information and instructions for the incorporation of the erosion and sediment details in plan assemblies are contained in the current version of IIM-LD-11.
  - Additional details and examples of the engineering application of the Virginia SWM Regulations in the design of VDOT projects can be obtained from the VDOT Hydraulics Section in any of the various District offices or the Central Office in Richmond.

- Further information regarding the Virginia SWM Regulations or the Virginia ESC Regulations may be obtained from the Virginia Department of Conservation and Recreation (DCR) located at 203 Governor Street, Richmond, VA 23219 or at: <http://www.dcr.state.va.us/sw/index.htm>. Details may also be obtained from the Virginia SWM Handbook (Volume I and II) and the Virginia ESC Handbook published by DCR and available for reference in all VDOT Hydraulics Sections.
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## OBJECTIVE

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### Stormwater Management

- To inhibit the deterioration of the aquatic environment by instituting a stormwater management program that maintains both water quantity and quality post development runoff characteristics, as nearly as practicable, equal to or better than pre-development runoff characteristics.
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### Erosion and Sediment Control

- To effectively control soil erosion, sediment deposition, and post development runoff to minimize soil erosion and to prevent any sediment from escaping the project limits.
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## CRITERIA

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### General

- The runoff control provisions of both regulations are complementary and will be addressed under a single set of criteria. The information and instructions contained in this memorandum supersede all previous departmental documents. Where there are conflicts with previous instructions, this memorandum shall take precedence.
- For the applicability of the Virginia Erosion and Sediment Control Regulations see the latest version of IIM-LD-11.
- The Virginia Stormwater Management Regulations are applicable to all state agency projects.
- “State Agency Projects” are those land development activities wherein VDOT has funded any portion of the design, right of way acquisition, or construction including those constructed under the Public/Private Transportation Act (PPTA) and Design/Build projects. Projects, such as subdivision streets, industrial access roads, etc., which are designed and constructed by other parties and which are eligible for acceptance into the state roadway system for maintenance after completion of construction are not considered state agency projects and must conform to

appropriate local regulations. Land development activities occurring within existing VDOT right of way, which are allowed by permit and which are designed, constructed, and funded by other parties, are not considered state agency projects and must conform to appropriate local regulations.

- “Land Development Project” is defined as a manmade change to the land surface that potentially changes its runoff characteristics as a permanent condition. The permanent condition should consider the effects of mature vegetative cover and should not be concerned with temporary changes due to construction activities. The temporary changes are addressed by the ESC regulations.
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## Water Quantity Control

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- Water quantity control shall be governed by the Virginia ESC Regulation MS-19 that requires an adequate receiving channel for stormwater outflows.
- Receiving channels, pipes and storm sewers shall be reviewed for adequacy based upon the following criteria:
  - Natural channels shall be analyzed by the use of a 2-year storm to verify that stormwater will not overtop channel banks or cause erosion of the channel bed and banks.
  - All previously constructed manmade channels shall be analyzed by the use of a 10-year frequency storm to verify that the stormwater will not overtop the banks and analyzed by the use of a 2-year storm to verify that the stormwater will not cause erosion of the bed or banks.
  - Pipes and storm sewer systems shall be analyzed by the use of a 10-year frequency storm to verify that the stormwater will be contained within the pipe or storm sewer system. The receiving channel at the outlet of the pipe or storm sewer shall be analyzed for adequacy of the 2 year storm for natural channels or the 10 year storm for man made channels.
- Water quantity control for the 1 year storm (in lieu of the 2 year storm as required by ESC Regulation MS-19) may be needed if there are existing or anticipated erosion concerns downstream. Control of the 1 year storm requires detaining the volume of runoff from the entire drainage area and releasing that volume over a 24 hour period. The computations are similar to those used for detaining the Water Quality Volume

(WQV) and releasing over a 30 hour period. See the DCR SWM Handbook pages 1-23 and 5-38 thru 5-41 for additional information. When the 1 year storm is detained for 24 hours there will be no need to provide additional or separate storage for the WQV if it can be demonstrated that the WQV will be detained for approximately 24 hours. The control of the 1 year storm may require a basin size that is 1.5 to 2 times larger than a basin used to control the increase in the discharge from a 2 year or a 10 year storm.

- Pre-development conditions shall be considered that which exist (or is anticipated to exist) at the time the road plans are approved for right of way acquisition. All land cover shall be assumed to be in good condition regardless of actual conditions existing at the time the analysis is done.
- Impounding structures (dams) that are not covered by the Virginia Dam Safety Regulations shall be checked for structural integrity and floodplain impacts for the 100-year storm event.
- Outflows from stormwater management facilities shall be discharged into an adequate receiving channel as defined by the ESC Regulation MS-19.
- Existing swales being utilized as natural outfall conveyances for pre-development run-off will be considered as channels and, if the swale satisfactorily meets the criteria contained in the ESC Regulation MS-19 for post-development run-off, it will be considered as an adequate receiving channel.
- Construction of stormwater management facilities should be avoided in floodplains. When this is unavoidable, a special examination to determine the adequacy of the proposed stormwater management facilities during the passage of the 10-year flood will be required. The purpose of this analysis is to ensure that the stormwater management facility will operate effectively. The stormwater management facility shall also be examined for structural stability during the passage of the 100-year flood event on the floodplain and shall be examined for any possible impacts caused by the basin on the 100-year flood characteristics of the floodplain. The construction of stormwater management facilities shall be in compliance with all applicable regulations under FEMA's National Flood Insurance Program.
- If it can be demonstrated that the total drainage area to the point of analysis within the receiving channel is 100 times greater than the contributing drainage area within the project site, the receiving channel may be considered adequate, with respect to the channel capacity and stability requirements of the ESC Regulations, without further computations.
- Construction of stormwater management facilities within a sinkhole is prohibited. If stormwater management facilities are required along the periphery of a sinkhole, the design of such facilities shall comply with the guidelines in IIM-LD-228 (Sinkholes) and DCR's Technical Bulletin #2 (Hydrologic Modeling and Design in Karst) and applicable sections of the DCR's SWM Handbook.

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## Water Quality Control

- A water quality control plan shall be developed for each outfall or watershed where one acre or more of land is disturbed and one acre or greater of impervious area is added.

- At outfalls or watersheds where one acre or more of land is disturbed but less than one acre of impervious area is added, an assessment based on specific site characteristics/limitations shall be made to determine what opportunities exist to enhance water quality.
- Where two or more outfalls flow directly into an adjacent natural or manmade receiving system, or where two or more outfalls converge into one system some distance downstream of the project, the combined additional impervious area of all affected outfalls shall be considered when determining the applicability of VDOT's Annual SWM Plan and the water quality requirements of the Virginia SWM Regulations. The presence of wetlands, perennial streams, natural channels, or other environmentally sensitive areas at the convergence of the outfalls will typically require that the outfall impervious areas be considered in total when assessing the project's water quality impacts. Multiple project outfalls can be considered individually only when the convergence (if applicable) of flows is sufficiently far from the outfalls so as to effectively disconnect the impact of the total combined project impervious area.
- The following comments represent the significant points of the current regulations (the page numbers referenced are those in the DCR SWM Handbook):
  1. BMP (Best Management Practice) requirements for quality control are "Technology Based" (4VAC-3-20-71). The type of BMP required is determined by the percent of area within the project site (right of way and permanent easement) with **new** impervious cover, per outfall. Table 1 shows the relationship of the new impervious cover to the type of BMP required.

<b>TABLE 1*</b> <b>BMP SELECTION TABLE</b>		
Water Quality BMP	Target Phosphorus Removal Efficiency	Percent Impervious Cover**
Vegetated filter strip	10%	16-21%
Grassed swale	15%	
Constructed wetlands	30%	22-37%
Extended detention (2xWQV)	35%	
Retention basin I (3xWQV)	40%	
Bioretention basin	50%	38-66%
Bioretention filter	50%	
Extended detention-enhanced	50%	
Retention basin II (4xWQV)	50%	
Infiltration (1xWQV)	50%	
Sand filter	65%	67-100%
Infiltration (2xWQV)	65%	
Retention basin III (4xWQV with aquatic bench)	65%	

\*Innovative or alternate BMPs not included in this table may be allowed at the discretion of DCR.

\*\*Percent Impervious Cover: Relationship of the area of new impervious cover within the project site (right of way and permanent easement) to the total area of the project site (right of way and permanent easement), per outfall.

2. BMP requirements for flooding or quantity control are determined by the ESC Regulation MS-19 for adequate receiving channels.
3. Extended Detention Basins and Extended Detention Basins Enhanced require a Water Quality Volume (WQV) of 2 x the standard WQV or 1" of runoff from the new impervious area.
4. Extended Detention Basins and Extended Detention Basins Enhanced require a 30 hour drawdown time for the required WQV. The 3" minimum size water quality orifice previously allowed has been eliminated. If the required orifice size is found to be significantly less than 3", an alternative water quality BMP should be investigated for use, such as a linear facility that treats the first flush and allows larger storms to bypass. The calculation procedure for drawdown time and orifice sizing is shown on Pages 5-33 through 5-38 (SWM Handbook) and also in example problems available from VDOT.
5. Sediment Forebays should be used on Extended Detention Basins and Extended Detention Basins Enhanced. The volume of the Forebay should be 0.1" – 0.25" x the new impervious area or 10% of the required detention volume. See Pages 3.04-1 through 5 (SWM Handbook) for details. The overflow spillway shall be stabilized utilizing rip rap, concrete or other non-erodible material.
6. Suggested details for the Extended Detention Basin are shown on Pages 3.07-4 and 5 (SWM Handbook). The riprap lined low flow channel through the basin is not recommended due to maintenance concerns.
7. Suggested details for the Extended Detention Basin Enhanced are shown on Pages 3.07-6 and 7 (SWM Handbook). The geometric design will probably need to be more symmetrical than that shown in order to construct the basin to the dimensions needed.
8. Non-structural practices including, but not limited to, minimization of impervious areas and curbing requirements, open space acquisition, floodplain management, and protection of wetlands may be utilized as appropriate in order to at least partially satisfy the water quality requirements. Approval of such non-structural measures will be secured in advance from the Department of Conservation and Recreation.

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## MULTI-USE SWM BASINS

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### Quantity Control – Quality Control – Temporary Sediment Storage

- SWM basins may function as both quantity control and quality control facilities. Some basins may only be needed for quality control. Most swm basins are needed to serve as temporary sediment basins during the construction phase of the project and the design will need to address this dual function. The design that is needed for a permanent swm basin may need to be altered to provide additional temporary sediment storage volume that is in excess of the required WQV. For design purposes the two volumes (WQV and temporary sediment storage volume) should not be added together but rather the larger of the two should govern the basin design.

The additional volume needed for temporary sediment storage may be provided by excavating the bottom of the basin lower than that required for the WQV. The basin's permanent outlet control structure can be temporarily altered to serve as the control structure for the temporary sediment basin (See Standard SWM-DR of VDOT's Road and Bridge Standards and the DCR ESC Handbook). When the project is nearing completion and the basin is no longer needed for temporary sediment control, the basin can be readily converted to the permanent SWM basin by regarding (excavating and/or filling) and removing any temporary control structure appurtenances.

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## IMPLEMENTATION

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### Plan Preparation

- Standard and minimum plan projects shall show stormwater management and erosion control measures on the plans as directed in the latest version of IIM-LD-11 and the Road Design Manual.
  - No-plan, SAAP and other types of projects (including maintenance) that do not have a "formal" plan assembly must conform to the requirements of the Virginia Stormwater Management Regulations. For the definition of these types of projects, and the procedures for addressing both the erosion and sediment control and stormwater management requirements on such projects, see the latest version of IIM-LD-11.
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### Foundation Data

- Foundation data (a soil boring) for the base of the dam should be requested for all stormwater management basins in order to determine if the native material will support the dam and not allow ponded water to seep under the dam. An additional boring near the center of the basin should also be requested if:
  1. Excavation from the basin may be used to construct the dam, or
  2. Rock may be encountered in the area of excavation, or
  3. A high water table is suspected that may alter the performance of the swm basin.

For large basins, more than one boring for the dam and one boring for the area of the basin may be needed. The number and locations of the borings are to be determined by the Hydraulics Engineer and/or the Materials Engineer.

- The foundation data for the swm basin should be requested by the Hydraulics Engineer at the same time that the request for culvert foundation data is initiated.

## Right of Way

- Permanent stormwater management facilities may be placed in fee right of way or in permanent easements. It is recommended that all permanent stormwater management facilities (dams, ponds, risers, etc.) be placed within fee right of way initially. Ditches and similar features may initially be placed in permanent easements. The final decision on right of way versus permanent easement should be made prior to the Right of Way Stage of the project development process based on information obtained at the Field Inspection meeting and/or the Design Public Hearing. The Department will generally be amenable to the desires of affected landowners in this matter. The multiple use of property for stormwater management and such features as utilities is permissible. The decision on the advisability of such actions must be made on an individual site basis.

## Design Details

- The following details are to be incorporated into the design of VDOT stormwater management basins in order to be in compliance with the Virginia SWM Regulation Revisions of 1998 and the DCR SWM Handbook. These details address concerns with seepage through the dam and along the culvert due to the ponding of water in the basins being of longer duration than previous designs that used a minimum 3" water quality orifice.
  1. Foundation data for the dam is to be secured by the Materials Division in order to determine if the native material will support the dam and not allow ponded water to seep under the dam.
  2. The foundation material under the dam and the material used for the embankment of the dam should be an AASHTO Type A-4 or finer and/or meet the approval of the Materials Division. If the native material is not adequate, the foundation of the dam is to be undercut a minimum of 4' or the amount recommended by the Materials Division. The backfill and embankment material must meet the above soil classification or the design of the dam may incorporate a trench lined with a membrane (such as bentonite penetrated fabric or an HDPE or LDPE liner) and be approved by the Materials Division.
  3. The pipe culvert under or through the dam is to be reinforced concrete pipe with rubber gaskets. Pipe: Specifications Section 232 (AASHTO M170), Gasket: Specification Section 212 (ASTM C443)
  4. A concrete cradle is to be used under the pipe to prevent seepage through the dam. The concrete cradle is to begin at the riser or inlet end of the pipe and extend the full length of the pipe.
  5. If the height of the dam is greater than 15' or if the basin includes a permanent water pool, the design of the dam is to include a homogenous embankment with seepage controls or zoned embankment or similar design in accordance with the DCR SWM Handbook and recommendations of the Materials Division.

6. The top width of the dam should be 10' (3m) minimum to facilitate both construction and maintenance.
  7. The side slopes of the basin should be no steeper than 3:1 to permit mowing and maintenance access.
  8. The longitudinal bottom slope through the basin should be no more than 2% nor less than 0.5%.
  9. The depth of the basin from bottom to the primary outflow point (top of riser, or invert of orifice or weir) should be no more than 3' (1m), if possible, in order to reduce the hazard potential. If the depth needs to be more than about 3' (1m), fencing of the basin site should be considered.
  10. The primary control structure (riser or weir) should be designed to operate in weir flow conditions for the full range of design flows. Where this is not possible or feasible and the control structure will operate in orifice flow conditions at some point within the design flow range, an anti-vortex device, consistent with the design recommendations in the DCR SWM Handbook, shall be utilized.
  11. The length to width ratio of the basin should be about 3:1 (wider at the outlet end). If the ratio is less than about 2:1, and if there is concern that the velocity of flow through the basin will be high, consideration should be given to using baffles within the basin to reduce velocity. Baffles should be constructed of "pervious" type material, such as snow fence, rather than earth berms that tend not to reduce the velocity.
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## Perimeter Controls

All SWM basins should be reviewed for the needs of fencing, barricades and no trespassing signs in accordance with the following guidelines:

- Fencing of SWM Basins
  1. Fencing of stormwater management basins is normally not required and should not be considered for most basins due to:
    - Insignificant Hazard - Ponding of water in the basin should only occur with very heavy storms and be noticeable for only a few hours. The ponded depth will normally be no more than about 3' (1m). Ponds and lakes are almost never fenced, even though they may be located in subdivisions and have deep, permanent pools.
    - Limits Maintenance - Fencing will limit maintenance operations and could deter the frequency of maintenance. Fencing could become damaged during major maintenance operations.

2. Fencing of SWM basins may occasionally be needed and should be considered when:
- The basin is deep with ponded depth greater than about 3' (1m) and/or has steep side slopes with 2 or more sides steeper than 3:1, or
  - The basin is in close proximity to schools, playgrounds or similar areas where children may be expected to frequent, or
  - It is recommended on the Field Inspection Report, the Resident Engineer or the City/County (where City/County will take over maintenance responsibility.)

- Barricades

A chain barricade (See Standard CR-1 of VDOT's Road and Bridge Standards) or gate may be needed on some basins to prohibit vehicular access if there is concern with illegal dumping or other undesirable access.

- Signs

"No Trespassing" signs shall be considered for use on all basins, whether fenced or unfenced, and should be recommended, as needed, on the Field Inspection Report.

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## Regional Facilities

- There are many cases where it is more feasible to develop one major stormwater management facility to control a large watershed area rather than a number of small individual facilities controlling small drainage basins. The concept of regional stormwater management facilities is endorsed by VDOT provided that certain requirements are met.
- Development and use of regional stormwater management facilities must be a joint undertaking by VDOT and the local governing body. The site must be part of a master stormwater management plan developed and/or approved by the local governing body and any agreements related to these facilities must be consummated between VDOT and the local governing body. VDOT may enter into an agreement with a private individual or corporation provided the local governing body has a swm program that complies with the Virginia SWM Regulations and the proper agreements for maintenance and liability of the regional facility have been executed between the local governing body and the private individual or corporation.
- Where the roadway embankment serves as an impounding structure, the right of way line will normally be set at the inlet face of the drainage structure. The local government would be responsible for the maintenance and liabilities outside of the right of way and VDOT would accept the same responsibilities inside the right of way.
- Hydraulic design of regional stormwater management facilities must address any mitigation needed to meet the water quality and quantity requirements of the roadway project. Stormwater management facilities located upstream of the roadway project

shall provide sufficient mitigation for the water quality and quantity impacts of run-off from the roadway project which may bypass the facility.

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## Maintenance

- Requirements for maintenance of stormwater management facilities, the recommended schedule of inspection and maintenance, and the identification of persons responsible for the maintenance will be addressed in VDOT's "Stormwater Management Annual Plan" as approved by DCR.
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## Future Reconstruction

- If a stormwater management facility is constructed to address the water quality and quantity requirements of a current project and, at some time in the future, is displaced to accommodate future roadway construction, the new stormwater management facility constructed at that time must address the water quality and quantity requirements due to the future construction and the water quality and quantity requirements that were mitigated by the original stormwater management facility.
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## Reporting

- VDOT is required to submit an annual report to the Department of Conservation and Recreation (DCR) that identifies the location, number and type of stormwater management facilities installed during the preceding year, their storage capacities, the affected water body, and a summary of any water quality monitoring data associated with the facility. A database has been established on the Hydraulics Section's telecommunication file system to record this type of data for all projects. It shall be the responsibility of the district drainage engineer and the hydraulic design engineers in the Central office to ensure that the required information is logged on the database for all stormwater management facilities that are designed for roadway projects. In order for the database to reflect those facilities constructed during the preceding year, it is recommended that the required information be logged at the time of the first submission of plans to the **Scheduling and Contract** Division. The reporting period will be from July 1 to June 30.
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## PLAN DETAILS

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### Stormwater Management Drainage Structure Standard SWM-1

- To be used at all applicable locations where a riser type of control structure is desired.

### Stormwater Management Dam

- To be used at locations where a wall type control structure is desired (includes modifications to standard endwalls). Normally used for shallow depths of ponding.
  - Details to be provided for individual locations.
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Copies of the control structures other than those above shall be submitted to the office of the State Hydraulics Engineer to facilitate future development or modification of standard details.

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### Stormwater Management Details Standard SWM-DR

- Specify at each location requiring a water quality orifice and/or where modifications are required in order to provide for a temporary sediment basin during the construction phase of the project. The size opening for the water quality orifice or other required openings in the control structure shall be specified in the description for the control structure for each basin.
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### Access

- A means of access for inspection and maintenance personnel shall be provided at each SWM facility location. The Standard PE-1 details shown in VDOT's Road and Bridge Standards should be used for vehicular entrances.
  - A turnaround should be provided on each vehicular entrance.
  - Appropriate all weather surface material shall be provided for each vehicular entrance.
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### Method of Measurement – Basis of Payment

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#### Stormwater Management Drainage Structure (SWM-1):

- Basis of payment to be linear feet (meters) measured from invert of structure to top of concrete.

#### Stormwater Management Dam:

- Basis of payment to be cubic yards (m<sup>3</sup>) of Concrete Class A3 Miscellaneous and pounds (kilograms) of Reinforcing Steel.

Grading:

- Excavation for stormwater management basins will be measured and paid for as cubic yards (m<sup>3</sup>) of Stormwater Management Basin Excavation.
- Fill material needed for dams or berms will be measured and paid for as cubic yards (m<sup>3</sup>) of Regular Excavation, Borrow Excavation or Embankment.
- The Grading Diagram is to reflect how the cubic yards (m<sup>3</sup>) of Stormwater Management Basin Excavation and cubic yards (m<sup>3</sup>) of Embankment or Borrow is to be distributed.

Stormwater Management Summary

- All drainage items related to the construction of stormwater management facilities shall be summarized, by location, in the Drainage Summary for the project.
- All incidental items related to the construction of stormwater management facilities shall be summarized, by location, in the Incidental Summary for the project.
- Stormwater Management Excavation and Borrow or Embankment, if needed, is to be included in the totals on the Grading Diagram and Summary.

PAY ITEMS

The following pay items are established:

PAY ITEM	UNIT		ITEM CODE
	Metric	Imperial	
SWM Basin Excavation	m <sup>3</sup>	Cu. Yds.	27545
SWM Drainage Structure (SWM-1)	m	Lin. Ft.	27550
For SWM Dam:			
Conc. Cl. A3 Misc.	m <sup>3</sup>	Cu. Yds.	00525
Reinf. Steel	Kg.	Lbs.	00540

## SPECIAL PROVISIONS

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The current Special Provision/Copied Note for measurement and payment for stormwater management items is available for applicable projects as follows:

- <http://www.viriniadot.org/business/manuals-default.asp>.
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## INSERTABLE SHEETS

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The following insertable sheets (English and Metric) are available on Falcon DMS, under the **UPC#** eng-ser, Division, minsert and insert, for insertion into applicable plan assemblies:

- SWM Details – SD/MSD # 2209.
- SWM Drainage Structure (SWM-1) – SD/MSD # 2216.
- SWM Trash Rack – SD/MSD # 2216A

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: CULVERT DESIGN	NUMBER: IIM-LD-214.2
SPECIFIC SUBJECT:  COUNTERSINKING AND LOW FLOW CONSIDERATIONS FOR SINGLE AND MULTIPLE BARREL CULVERTS	DATE: July 27, 2006
	SUPERSEDES: IIM-LD-214.1
DIVISION ADMINISTRATOR APPROVAL:      Mohammad Mirshahi, P.E. State Location and Design Engineer Approved July 27, 2006	

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EFFECTIVE DATE

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- This memorandum is effective upon receipt. Shading has been omitted.

### 1.0 DEFINITIONS

- 1.1 Stream Bed – The substrate along the length of a stream, which lies below the ordinary high water elevation. The substrate may consist of organic matter, bedrock or inorganic particles that range in size from clay to boulders, or a combination of materials. Areas contiguous to the stream bed, but above the ordinary high water elevation, are not considered part of the stream bed.
- 1.2 Culvert – A culvert is generally defined as an enclosed structure that is used to convey surface waters from one side of an embankment to the other. For the purposes of this IIM there is no distinction between temporary and permanent culvert installations.

## 2.0 POLICY

- 2.1 The District Environmental staff will determine if the culvert impacts a jurisdictional stream bed (US Army Corps of Engineers) and will notify the appropriate project authority and the Hydraulic Engineer when the below requirements must be incorporated into the design.
- 2.2 Culverts constructed in jurisdictional stream beds are required to have the upstream and downstream inverts set (countersunk) below the natural stream bed elevation to stimulate natural stream bed establishment within the culvert and to meet the requirements of the environmental permitting process. The countersinking requirement does not apply to floodplain culverts or extensions or maintenance of existing structures where the existing structure will remain in service.
- 2.3 When performing the hydraulic analysis for any culvert installation that is to be countersunk, the analysis shall either:
  - 1) Consider the hydraulic opening as being that above the countersunk portion of the culvert, or
  - 2) Determine the required hydraulic opening (size) based on no countersinking; then specify the next larger size structure (3" or 6" greater height as appropriate) with the additional opening installed below the steam bed.
- 2.4 When performing a hydraulic analysis for any multiple barrel culvert crossing, it is appropriate to consider the natural channel and flood plain configuration as projecting through the crossing, the same as if it were a bridge spanning a flood plain. For the purpose of determining the hydraulic capacity of the crossing, any culvert area that is outside the natural channel area and below the flood plain elevation will be considered obstructed and, therefore, not available for hydraulic conveyance.
- 2.5 Culverts will be adequately sized to allow for the passage of ordinary high water with the countersinking, invert and flood plain restrictions taken into account.
- 2.6 If the culvert is greater than 24" (or equivalent) in diameter, the inlet and outlet ends shall be countersunk a minimum of 6" below the natural stream bed. If the culvert is 24" (or equivalent) or less in diameter, the inlet and outlet ends shall be countersunk a minimum of 3" below the natural stream bed.

### **3.0 MULTIPLE BARREL CULVERTS**

- 3.1 When multiple barrel culverts are used, the 6" countersink requirement may only be needed for one barrel. The Hydraulic Engineer should determine whether it is appropriate and/or feasible to countersink one barrel or all of the barrels considering the following:
  - 3.1.1 Width of Normal Stream - The width of the culvert barrel(s) receiving the low flow should approximate the width of the normal stream to avoid accelerating velocities (at normal flow) through the culvert.
  - 3.1.2 Width of Floodplain - Narrow and constricted floodplains may necessitate all barrels being at the lowest possible elevation. Wide floodplains with significant over bank areas may permit one barrel to be countersunk and the remaining barrels to be either at the floodplain elevation or at an elevation slightly higher than the natural stream bed.
  - 3.1.3 Pipe Culverts – Pipe Culverts may be designed to have barrels at different invert elevations. However, special provisions are needed to ensure proper bedding and backfill. Special Design Endwalls will be required. These considerations may negate any potential cost savings associated with not countersinking all barrels a like amount.
  - 3.1.4 Box Culverts - Precast box culverts may be designed to have barrels at different invert elevations. In doing so, the installation is usually configured with the top of all barrels at the same elevation. This will require the same special considerations for bedding, backfill and endwall design as noted in Section 3.1.3. Cast in place box culverts usually have all barrels of the same size and elevation in order to construct the box culvert using standard details.
- 3.2 Multiple barrel culverts that are constructed with all barrels countersunk shall provide measures for directing the low flow through one or more barrels that approximate the width of the normal stream.
  - 3.2.1 If the normal stream width is approximately equal to the total span of all barrels, low flow diversion measures normally should not be needed. If the Hydraulic Engineer elects not to utilize a low flow diversion structure, the District Environmental Manager shall be notified of the decision and be provided justification in order to advise the environmental review agencies during the permitting process.

- 3.2.2 When low flow diversion measures are needed, they shall be constructed to permit the stream to continue the natural meander or moving process normally associated with flood flows. The low flow diversion structures shall be constructed of rip rap, or other similar material. The rip rap material used should be small enough to allow movement during flood events (i.e., Class I Dry Rip Rap).

See Standard Insertable Sheet isd1588.dgn "Low Flow Diversion for Multiple Line Culvert Installations" for standard low flow diversion details.

- 3.2.3 Other methods of achieving the desired low flow conditions may also be employed. These shall be reviewed and approved by the District Environmental Manager.

## **4.0 SPECIAL CULVERT INSTALLATIONS**

- 4.1 Culverts on Bedrock: If the bedrock prevents countersinking, evaluate the use of a three-sided structure to cross the waterway or evaluate alternative locations for the new culvert that will allow for countersinking. If none of these alternative measures are practicable, the Hydraulic Engineer shall submit documentation to the District Environmental Manager, including the cost, engineering factors, and site conditions that prohibit countersinking the culvert, and shall coordinate the evaluation of options to minimize disruption of the movement of aquatic life. Options that must be considered include partial countersinking (such as less than 3" of countersinking, or countersinking of only one end of the culvert), constructing stone step pools and low rock weirs downstream of the culvert, or other measures that provide for the movement of aquatic life.

NOTE: Blasting of bedrock stream bottoms through the use of explosives is not acceptable as a means of providing for countersinking of pipes on bedrock.

- 4.2 Culverts on Steep Terrain: Culverts on steep terrain (slope of 5% or greater) may generate flow velocities that cause excessive scour at the outlet and may prevent the establishment of a natural bed of material through the culvert. Should this situation present itself, the Hydraulic Engineer shall coordinate the evaluation of alternatives to countersinking. These include partial countersinking of the inlet end and implementation of measures to minimize any disruption of the movement of aquatic life, constructing a stone step/pool structure, using river rock/native stone rather than riprap or constructing low rock weirs to create a pool or pools.

Stone structures should be designed with sufficient-sized stone to prevent erosion or washout and should include keying-in as appropriate. These structures should be designed both to allow for aquatic life passage and to minimize scour at the outlet. The Hydraulic Engineer shall submit documentation to the District Environmental Manager, including the cost, engineering factors, and site conditions that prohibit countersinking the culvert, and shall coordinate the evaluation of options to minimize disruption of the movement of aquatic life.

- 4.3 Culverts at the Confluence of Two Streams: The outlet end of culverts that discharge a tributary directly into another stream must be countersunk below the natural stream bed at the discharge point. If this measure is not practicable, the Hydraulic Engineer shall submit documentation to the District Environmental Manager, including the cost, engineering factors, and site conditions that prohibit countersinking the culvert, and shall coordinate the evaluation of options to minimize disruption of the movement of aquatic life.
- 4.4 Other unusual circumstances that prohibit countersinking shall be evaluated on a case-by-case basis. The Hydraulic Engineer shall submit documentation to the District Environmental Manager, including the cost, engineering factors, and site conditions that prohibit countersinking the culvert, and shall coordinate the evaluation of options to minimize disruption of the movement of aquatic life.
- 4.5 Proposed culverts that do not include countersinking are subject to environmental agency review and approval and may require additional documentation or evaluation of other alternative measures.

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: SINKHOLES	NUMBER: IIM-LD-228.1
SPECIFIC SUBJECT: GUIDELINES FOR THE DISCHARGE OF STORMWATER AT SINKHOLES	DATE: SEPTEMBER 12, 2008
	SUPERSEDES: IIM-LD-228
LOCATION AND DESIGN DIVISION APPROVAL: Mohammad Mirshahi, P.E. State Location and Design Engineer Approved September 10, 2008	
MATERIALS DIV. APPROVAL: Charles A. Babish, P.E. Approved August 26, 2008	ENVIRONMENTAL DIV. APPROVAL: Stephen J. Long Approved August 28, 2008

Changes are shaded.

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### CURRENT REVISION

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- Revised IIM to change the Scoping Form LD-430 to PM-100.
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### EFFECTIVE DATE

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- These instructions are effective upon receipt.
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### PURPOSE

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- The purpose of these guidelines is to:
    1. Increase awareness of the regulatory requirements for controlling stormwater runoff into sinkholes and identify the applicability of this guidance and the environmental regulations.

2. Provide design guidance for the discharge of stormwater into sinkholes.
  3. Provide design details for addressing sinkholes directly impacted by the roadway embankment.
  4. Provide guidance to comply with the Environmental Protection Agency (EPA) regulatory requirements to complete an inventory of “improved” sinkholes.
- These guidelines are applicable to roadways and drainage outfall facilities that are constructed and maintained by VDOT and similar facilities that are constructed by others but which will be ultimately maintained by VDOT, such as subdivision streets and associated drainage outfalls.
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## BACKGROUND

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- Sinkholes are found in areas of karst terrain. Karst terrain is generally formed over limestone and dolomite formations. Karst terrains primarily occur within the Valley and Ridge Physiographic Province of western Virginia. Karst type terrains are also known to occur in very limited areas of the Blue Ridge, Piedmont and Coastal Plain Physiographic Provinces of Virginia. While information contained in these guidelines is directed more to those sinkholes located in the Valley and Ridge Physiographic Province, the same considerations should be applied to sinkholes located in other areas of the state.
  - Karst terrain is characterized by closed depressions (sinkholes), caves, and underground drainage resulting from the solutions of the calcium and/or magnesium carbonates. Sinkholes may develop either by solution of the surficial rocks or collapse of underlying caves. The actual rock cavity may or may not be choked by residual soil and debris. It is the potential instability of the sinkhole infilling, most often associated with changes in the local hydrology, which traditionally has been the concern of the construction industry. Those concerns have now broadened to include the potential impacts of construction on the area’s hydrology and water quality.
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## REGULATIONS

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- Pursuant to the Safe Drinking Water Act, the Environmental Protection Agency (EPA) regulates the discharge of stormwater runoff into “improved” sinkholes through their Underground Injection Control (UIC) Program. The improvement of sinkholes, and subsequent directing of water into the subsurface, is classified as underground injection. Improved sinkholes used for this purpose are classified as a Class V Underground Injection Wells and may require a permit to function as a recipient of stormwater runoff.
- The EPA classifies the following activities as sinkhole “improvements”:
  - Cleaning out a sinkhole to facilitate drainage.

- Cutting a ditch to the base or mouth of a sinkhole.
  - Piping stormwater runoff to a sinkhole.
  - Cutting brush to facilitate stormwater flow to a sinkhole.
- The EPA does not regulate (i.e., require a permit for) stormwater discharges that flow naturally into sinkholes without modification to the sinkhole.
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## CONCERNS

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- Both the EPA and VDOT have concerns with changes to the existing hydrology at sinkhole locations. These concerns include:
  - Water Quality – Sinkholes are often direct links to underground sources of drinking water. Stormwater runoff from highways could potentially contain various constituents such as oil, grease, heavy metals and salt that could enter and impact these water supplies. The underground ecosystems could potentially be impacted by highway runoff containing sediment generated both during and following highway construction and material from potential spills resulting from traffic accidents once the highway is operational.
  - Water Quantity – Directing additional stormwater flow to a sinkhole can result in the enlargement of the feature, create surface failures and erosion and cause flooding of adjacent property. Increasing the quantity of stormwater runoff flowing to a sinkhole can also cause the characteristics of the sinkhole opening to change in such a manner so as to restrict the flow into the subsurface, resulting in greater surface ponding in and around the area of the sinkhole.
  - Instability – The area within and surrounding a sinkhole can settle or sink unexpectedly, resulting in loss of competent structural material and damage to overlying structures.
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## DESIGN CONSIDERATIONS

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- The following design considerations must be followed for any projects involving the construction of highways or drainage outfalls in areas where sinkholes are present:
  - Avoidance – Determine if there are any feasible alternatives that would avoid construction in the area of the sinkhole. Where the sinkhole is the natural outfall for the stormwater runoff from the roadway area, determine if the stormwater runoff can be diverted away from the sinkhole to an adequate surface water channel. It should be recognized that drainage facilities to accommodate the diversion of stormwater runoff may require significant additional grading and right of way. In addition, stormwater quantity management facilities may be

required at the point where the diverted flow is released from the project right of way in order to avoid the liabilities inherent with stormwater runoff diversion.

- Minimization of Impacts from Direct Discharges – If avoidance is not possible, drainage outfalls from the roadway should include natural buffer zones between the outlet of the roadway drainage structure and the sinkhole in order to provide for a natural filtering process. Where stormwater runoff naturally terminates in sinkhole areas, vegetated flow areas (minimum 80' – 100' in length), runoff spreaders and vegetated swales should be used between the outlet of the roadway drainage structure and the bottom of the sinkhole in order to provide for filtering of the flow. If concentrated flow from the roadway pavement area is being directed into the bottom of the sinkhole, a stormwater management water quality basin or other type of water quality filtering device should be incorporated into the design. The water quality basin or filtering device should not be located in the bottom (throat) of the sinkhole (where the flow enters the ground) but rather should be located as close to the roadway or discharge point as practicable. Stormwater management basins constructed in these areas may require an impermeable lining in order to prevent impacts to the underlining soil and subsurface area. The District Materials Section should provide recommendations regarding this issue. A stormwater management basin may also be needed to provide attenuation of any increased flow quantity that may be directed toward the sinkhole.
  
- If stormwater runoff from a roadway project must be directed to a sinkhole, the area of the sinkhole should be investigated to determine if any existing ponding occurs during rainfall events. The drainage design for the project should reflect how the sinkhole is anticipated to function after completion of the construction activities. The project should be designed to avoid any flood damages resulting from potential blockage and ponding in the sinkhole area.

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## COORDINATION

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- During the Scoping Phase of the Plan Development Process, the District Materials Section should identify those projects where visible sinkholes are present along the project corridor. The presence of sinkholes should be noted on Form PM-100 (LD-430), Scoping Report and, if possible, the approximate location of observed sinkholes should be identified. The project survey shall provide an accurate and detailed location and description of all identifiable sinkholes located within the survey boundaries.
  
- During the hydraulic analysis phase of the project development process, the Hydraulics Engineer should coordinate with the District Materials Section and the District Environmental Section if the project Scoping Report or survey data indicates the presence of sinkholes and if it is anticipated that those sinkholes might be impacted by stormwater runoff from the project.

## PLAN DETAILS

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- Where the roadway traverses over or through a sinkhole area, the sinkhole should be treated in accordance with one of the typical details shown on Standard Insertable Sheet No. isd/msd 2944 unless otherwise directed by the District Materials Engineer.
  - Detail No. 1 should be used for sinkholes that receive stormwater runoff from relatively large areas and have a well-defined opening (throat). This treatment involves cleaning out soil and debris to expose the throat, installing a length of pipe to convey surface drainage into the sinkhole and backfilling with riprap and successive layers of smaller aggregate and a geotextile fabric prior to the placement of the regular roadway embankment material.
  - Detail No. 2 should be used for sinkholes with broad, flat depressions and which have no defined throat. These sinkholes typically receive stormwater runoff from relatively small areas. The width of the roadway embankment is generally less than the width of the depression. This treatment involves the placement of riprap in the bottom of the roadway embankment to allow for the continued infiltration of surface flows. The riprap is capped with successive layers of smaller aggregate and a geotextile fabric before placement of the regular roadway embankment material.
  - Detail No. 3 should be used for small shallow sinkholes that receive stormwater runoff from relatively small areas and where the roadway embankment will cover most or all of the depression. This treatment involves filling the depression with successive layers of smaller aggregate and a geotextile fabric before placement of the regular roadway embankment material. Since this treatment effectively “caps” the sinkhole and precludes the entry of surface water, a drainage ditch or other hydraulic conveyance is typically required along the edge of the roadway embankment to convey stormwater runoff to an adjacent outfall.
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## ROADSIDE DITCHES

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- In areas of karst topography, roadside ditches with a gradient of less than 5% may need to be lined to inhibit the infiltration of surface waters. The District Materials Section should make this determination during the preliminary soils investigation phase of the project and, where applicable, include their recommendations for ditch lining with those other recommendations requested on Form LD-252 - Request for Supporting Data. Where ditch lining is recommended, the roadside ditches should be lined with concrete using Standard PG-2A or PG-5 (as applicable) or similar details. When using Standard PG-2A or PG-5 concrete ditches in these areas, the standard detail drawings will need to be modified to include the following:
  - Add a 30-mil polyethylene film beneath all joints (to extend 4 feet longitudinally in each direction).

- Show the location of the curtain wall (normally placed adjacent to each expansion joint) 4 feet downgrade of the expansion joint (to coincide with the end of the 30-mil polyethylene film).
  - In areas where these modifications apply, the plan description should note “St’d. PG-2A Modified” or “St’d. PG-5 Modified”, as applicable. The details for these modifications are included on the Sinkhole Insertable Sheet.
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## REPORTING REQUIREMENTS

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- If direct discharge of runoff into a sinkhole is the only feasible option available and improvements (modifications) such as cleaning, clearing, etc. are needed in the lowest section of the sinkhole (where water enters the ground), the details of such improvements (modifications) must be discussed with the District Environmental Section in order that they can determine what permits and/or reporting will be required. Typical sinkhole improvements (modifications) that would fit into this category are depicted in Detail 1 and Detail 2 on Standard Insertable Sheet No. isd/msd 2944. These “improved” sinkhole sites are brought to the attention of the District Environmental Section early in project development process in order to allow adequate time for coordination with the EPA and other applicable regulatory agencies. The Environmental Division’s Form EQ-120 must be completed for those sites where it is determined necessary to “improve” a sinkhole and where it is determined such improvements would be regulated under the EPA’s UIC Program. The Hydraulics Engineer shall be responsible for completing Form EQ-120 and submitting it to the District Environmental Hazardous Materials Manager for further processing.
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## SUBDIVISIONS AND FACILITIES BUILT BY OTHERS

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- These Guidelines shall apply to roadways that are designed and constructed by others and which will ultimately be maintained by VDOT. In addition, where a sinkhole is being utilized as a drainage outfall, an acceptable legal agreement shall be executed that absolves VDOT of any liability and maintenance responsibilities associated with the sinkhole. The agreement should identify the County as the responsible party in the event that the developer or homeowners association cannot (or will not) assume the responsibility for liability or maintenance. A sample legal agreement can be found in Secondary Roads Division’s publication “GUIDE FOR ADDITIONS, ABANDONMENTS, AND DISCONTINUANCES – SECONDARY SYSTEM OF STATE HIGHWAYS”. The sample agreement shown in this publication is for stormwater management facilities but it can be modified slightly to cover the use of a sinkhole as a drainage outfall. The development of the agreement for the use of a sinkhole as an outfall should be coordinated with and approved by the **Local Assistance** Division in the Central Office.

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## SUMMARIZATION

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- Quantities relative to sinkholes (Aggregate Material, Dry Rip Rap, etc.) are to be summarized in a separate summary.
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## PAY ITEMS

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- Standard PG-2A Modified, Paved Ditch,            S.Y./m<sup>2</sup>
  - Standard PG-5 Modified, Paved Ditch,            S.Y./m<sup>2</sup>
  - 30-mil Polyethylene Film is included in the bid price for Standard PG-2A Modified and/or Standard PG-5 Modified Paved Ditch.
  - Grate for EW-1 is included in the bid price for Standard EW-1 Endwall for Pipe Culverts.
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## INSERTABLE SHEET

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- The following insertable sheets are available on Falcon DMS under the **UPC#** eng\_ser, Division, for applicable plan assemblies:
    - Sinkhole Details, Drawing No. isd 2944 (Imperial), msd 2944 (Metric)
    - Paved Ditch PG-2A/ PG-5 Modified, Drawing No. isd 2945 (Imperial), msd 2945 (Metric)
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## FORM EQ-120 SINKHOLE INVENTORY

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- Form EQ-120 is available inside VDOT at:

<http://bioapp10:89/>

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: VIRGINIA STORMWATER MANAGEMENT PROGRAM	NUMBER: IIM-LD-242.3
SPECIFIC SUBJECT:  GENERAL VIRGINIA STORMWATER MANAGEMENT PROGRAM (VSMP) CONSTRUCTION PERMIT	DATE: MARCH 19, 2010
	SUPERSEDES: IIM-LD-242.2
DIVISION ADMINISTRATOR APPROVAL:                      Mohammad Mirshahi, P.E. State Location and Design Engineer Approved March 19, 2010	

Changes are shaded.

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### CURRENT REVISION

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- Revisions have been made throughout this memorandum to clarify the VSMP construction permit requirements.
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### EFFECTIVE DATE

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- This memorandum is effective upon receipt.
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### ACRONYMS

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- DCR – Department of Conservation and Recreation
  - ESC – Erosion and Sediment Control
  - IIM – Instructional and Informational Memorandum
  - IAT – Interagency Transfer
  - L&D – Location and Design
  - PAC – Pre Advertisement Conference
  - RLD – Responsible Land Disturber
  - RLDA – Regulated Land Disturbance Activity
  - SWM – Stormwater Management
  - SWPPP – Stormwater Pollution Prevention Plan
  - VDOT – Virginia Department of Transportation
  - VSMP – Virginia Stormwater Management Program
  - VSWCB – Virginia Soil and Water Conservation Board

## BACKGROUND

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- Acts of the General Assembly have resulted in the enactment of the Stormwater Management Law (Section 10.1-603 of the Code of Virginia) and the issuance of the Virginia Stormwater Management Program Permit Regulations (4 VAC 50-60 et seq.) for discharges of stormwater from Regulated Land Disturbing Activities. The law empowered the VSWCB to regulate, permit, and control stormwater runoff in the Commonwealth and authorized the VSWCB to delegate such powers to the DCR.
  - Authorization to discharge under the VSMP Regulations and the Virginia Stormwater Management Act is permitted through the DCR's VSMP General Permit for the Discharge of Stormwater from Construction Activities VAR10 (hereafter referred to as the VSMP Construction Permit). The current permit became effective on July 1, 2009 and will expire on June 30, 2014. Coverage under the VSMP Construction Permit must be obtained prior to beginning any land disturbance on regulated activities.
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## APPLICATION

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- The VSMP Construction Permit is applicable for all RLDAs undertaken by or for the VDOT including, but not limited to, RAAP, SAPP, Minimum and No Plan, PPTA and Design Build and Capital Outlay projects and routine and non routine maintenance activities, including those performed by state forces or hired equipment.
- VDOT shall apply for and secure coverage (in accordance with the instructions contained in this document) under the VSMP Construction Permit for all applicable land disturbing activities over which it has contractual control or which are done by state forces.
- It shall be the responsibility of those conducting land disturbing activities on VDOT right of way under agreement and/or a land use permit to secure coverage under the VSMP Construction Permit (if applicable) for their activities. This would include those land disturbing activities conducted on VDOT right of way by municipalities under the First Cities program, the Locally Administered Project program or the Transportation Enhancement Program.
- Coverage under the VSMP Construction Permit is required for all land disturbing activities, except those associated with routine maintenance, that equal or exceed the following land disturbance threshold amounts:
  - In a Designated Chesapeake Bay Preservation Area – 2,500 square feet
  - In all other areas – 1 Acre
- Coverage under the VSMP Construction Permit is required for all routine maintenance activities that disturb 5 acres or greater.

1. Routine maintenance is defined as those activities performed to maintain the original line and grade, hydraulic capacity, or original construction of the facility. Such activities include, but are not limited to, ditch cleaning operations, shoulder grading operations and pipe replacement or rehabilitation operations provided the original line and grade, hydraulic capacity and original construction of the project are maintained.
  2. Changes affecting hydraulic capacity or original construction of a ditch could include, but are not limited to, changes to depth, width, side slopes, grade and lining. Likewise, changes affecting the hydraulic capacity or original construction of a drainage structure include, but are not limited to, changes in type, size, slope and material.
  3. Where there is any question as to the application of the routine maintenance definition to a land disturbing activity, the appropriate District Hydraulics Engineer should be consulted.
- Routine maintenance activities that disturb less than five acres of land are exempt from the VSMP Construction Permit requirements.
    1. This exemption is only for those maintenance activities considered routine (e.g., ditch cleaning operations, shoulder grading operations, pipe replacements, etc.) and only applies to the VSMP Regulations and Construction Permit Program. It does not apply to the ESC Program or to the SWPPP requirements. An ESC Plan (including MS-19 channel adequacy analysis, as appropriate) and a SWPPP is required for any land disturbing activity that equals or exceeds 10,000 square feet (2,500 square feet in the area defined as Tidewater, Virginia in the Chesapeake Bay Preservation Act) (See latest version of IIM-LD-11) regardless of any exemption under the VSMP Regulations and Construction Permit Program.
    2. For any maintenance activity being authorized under this exemption, the activity files shall be thoroughly documented as to the original and proposed line, grade, hydraulic capacity of the drainage features and purpose of the facility.
    3. Where there is any question as to the application of this exemption, the appropriate District Hydraulics Engineer should be consulted.
  - The normal operational procedures for maintaining the travel surface of unpaved/gravel roadways (i.e., dragging, blading, grading, etc.) have been granted an exemption from the VSMP Construction Permit requirements by DCR. This exemption only applies to maintaining the travel surface and does not include normal ditch cleaning operations to restore hydraulic capacity or pipe replacements. When normal ditch cleaning operations to restore hydraulic capacity or pipe replacements are a part of the maintenance operations for the unpaved/gravel travel surface, the VSMP Construction Permit requirements will be applicable if the maintenance operation disturbs 5 acres or more (including the dragging/blading/grading of the unpaved/gravel travel surface). Additionally, the normal operational procedures for maintaining the travel surface of the gravel roadway (i.e., dragging, blading, grading, etc.) will be subject to the requirements of the Erosion and Sediment Control Regulations if the operation disturbs 10,000 square feet or greater (2,500 square feet or greater in the

area defined as Tidewater, Virginia in the Chesapeake Bay Preservation Act). (See the latest version of IIM-LD-11).

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## LAND DEVELOPMENT AREA AND LAND DISTURBANCE AREA

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- The application for coverage under the VSMP Construction Permit requires the reporting of both the area of land development and the area of land disturbance.
  - The area of land development is the total area of the RLDA site including, but not limited to, the area of the right of way, temporary and permanent easements and offsite supporting areas.
  - The area of land disturbance is the total area within the land development area that will be disturbed by the proposed activities. Land disturbance, for the purposes of applicability of the VSMP Regulations and the VSMP Construction Permit, is defined as any manmade change to the land surface that potentially changes its runoff characteristics **including** any clearing, grading or excavation associated with the proposed activity. This includes any grading/dragging/blading associated with the maintenance of unpaved/gravel roadways or shoulders.
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## SUPPORT FACILITIES (ONSITE AND OFFSITE)

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- Support facilities (both those onsite and offsite) associated with the RLDA, such as staging areas, equipment and material storage areas, excavated material disposal areas, borrow areas, etc., and which are to be covered under the VSMP Construction permit for the RLDA, are to be identified in the SWPPP, the ESC Plan and, where applicable, the post construction SWM Plan for the RLDA. The total area and the amount of land disturbance for any such support facilities **shall** be included in the total calculated values for the land development area and the land disturbance area for the RLDA.

In many instances, the identification of the locations of the support facilities is the responsibility of the contractor or other such person performing the land disturbing activity and the exact location and size of such areas are not known until after the award of the contract for the RLDA and/or after the VSMP Construction Permit registration process has been completed. The estimated area of land development and the area to be disturbed that is reported on the VSMP Construction Permit Registration Information form (LD-445) is to include an estimated quantity for any potential support facilities that will be identified after the award of the contract, or after the VSMP Construction Permit registration process has been completed, and which will be covered by the VSMP Construction Permit for the proposed land disturbance activity. The approved ESC Plan, post construction SWM Plan (if applicable) and SWPPP for the RLDA will require modification for the inclusion of these areas once they are identified (see the latest version of IIM-LD-11 and IIM-LD-246 for additional information for modifying the approved ESC Plan, post construction

SWM Plan and SWPPP). If the inclusion of the land disturbance area for later identified support facilities results in the land disturbance threshold for the permit fee initially paid being exceeded, then the original VSMP Construction Permit Registration assembly must be revised and resubmitted, along with any additional permit fees required as a result of any increase in the land disturbance area, following the same procedures as for the initial permit registration outlined in this document. In the instance where the inclusion of the later identified offsite areas results in the total land development area exceeding that reported in the initial permit registration application, then a **new** permit registration application must be submitted including a **new** permit fee for the total land disturbance area.

- In some instances, where the proposed land disturbance activity does not require coverage under the VSMP Construction Permit (due to the land disturbance area being less than the threshold amounts requiring permit coverage), the additional disturbed area associated with the support activities identified after the award of the contract and/or after the beginning of the proposed land disturbance activity, when combined with the total disturbed area for the initially proposed activity, may necessitate the need for coverage under the VSMP Construction Permit. Once this is determined, any ongoing land disturbance activity shall be halted immediately and shall not begin again until coverage under the VSMP Construction Permit is secured. An ESC Plan, post construction SWM Plan (if applicable) and a SWPPP will need to be prepared for the total area of the RLDA and the VSMP Construction Permit Registration application will need to be processed in accordance with the procedures outlined in this document. Information and instructions for the development and incorporation of erosion and sediment control and post construction stormwater management details into plan assemblies are contained in the latest versions of IIM-LD-11, IIM-LD-195 and Hydraulic Design Advisory 07-01. Information and instructions for the development and incorporation of a SWPPP into plan assemblies is contained in the latest version of IIM-LD-246.
- Having to delay/cease land disturbance activities on an ongoing project to await coverage under the VSMP Construction Permit is not a desirable situation. It is, therefore, imperative that proper attention be given to the estimation of the land development area and land disturbance area for any potential support areas and that such estimates be included with the land development area and land disturbance area for the project when determining the applicability of and the application for the VSMP Construction Permit coverage.

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## RESPONSIBLE PARTIES

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- **Project Authority**  
Responsible for initiating the VSMP Construction Permit Registration application process. Completes, or coordinates the completion of, all of the information on the VSMP Construction Permit Registration Information form (LD-445) and the VSMP Construction Permit Fee Registration form (LD-445B), attaches the completed ESC & SWM Plan Certification form (LD-445C) and sends the completed assembly for each RLDA to the applicable District VSMP Construction Permit Coordinator.

For the purposes of this IIM, the Project Authority is defined as that person with responsibility for oversight of the preliminary engineering aspects of the RLDA such as the Project Manager, the Residency Contract Administrator, or other such person that manages/oversees the pre-construction activities of the proposed land disturbing activity.

- ESC Plan Designer/Hydraulic Engineer
  1. Responsible for preparing the ESC and SWM Plan for the RLDA in accordance with VDOT's Approved ESC and SWM Standards and Specifications. Develops and ensures that all applicable information is included on the SWPPP General Information Sheets (see the latest version of IIM-LD-246). Assists the Project Authority in completing the VSMP Construction Permit Registration Information form (LD-445). Submits the completed Erosion and Sediment Control and Stormwater Management Plan Certification form (LD-445C) to the Project Authority.
  
- District VSMP Construction Permit Coordinator
  1. Responsible for coordinating the VSMP Construction Permit Registration application process for the District. Collects all of the completed VSMP Construction Permit Registration application assemblies (forms LD-445, LD-445B and LD445C) and submits them to the Central Office VSMP Construction Permit Coordinator. Collects and submits the completed Stormwater Pollution Prevention Plan Certification forms (LD-445E) and the VSMP Construction Permit Termination Notice forms (LD-445D) to the Central Office VSMP Construction Permit Coordinator. Attaches a copy of the VSMP Construction Permit Registration Information form LD-445 to the applicable VSMP Construction Permit coverage letter received from the Central Office VSMP Construction Permit Coordinator and forwards both to the RLD for each specific RLDA. Inputs Permanent BMP data submitted with the VSMP Construction Permit Termination Notice forms (LD-445D) into the L&D Stormwater Database.
  2. The District VSMP Construction Permit Coordinator is the District Drainage Engineer or his/her designee.
  
- Responsible Land Disturber (RLD)

Responsible for insuring the implementation of the SWPPP (including the ESC and Post Construction SWM Plan) for the RLDA. Completes, signs, and forwards, to the appropriate District VSMP Construction Permit Coordinator, the SWPPP Certification form (LD-445E), certifying that all contractor supplied information noted on the SWPPP General Information Sheets contained in the construction plan set (or other such documents) will be received and approved and included with the other SWPPP documents for the proposed activity prior to any land disturbance occurring in those areas identified by such information, and the VSMP Construction Permit Termination Notice form (LD-445D), including permanent BMP information, certifying that final stabilization has been achieved on all portions of the RLDA site, that all post construction SWM facilities have been constructed in accordance with their design details and that the post construction SWM facilities are currently operational.

1. The RLD is the VDOT person so identified on form LD-445 and the SWPPP General Information Sheets and satisfies the requirements of DCR's RLD Certification Program. See the latest version of IIM-LD-11 for additional information on DCR's RLD Certification Program.
- Central Office VSMP Construction Permit Coordinator
    1. Responsible for compiling all VSMP Construction Permit Registration assemblies statewide and applying to the DCR for coverage under the VSMP General Construction Permit for the impending RLDA's. Submits the VSMP Construction Permit Registration information, registration fees (in the form of an IAT), the VSMP Construction Permit Termination Notice forms (LD-445D) and the SWPPP Certification forms (LD-445E) to DCR. Forwards the VSMP Construction Permit coverage letters (including permit number) received from the DCR to the District VSMP Construction Permit Coordinator. Maintains an online database documenting pertinent information on the RLDA's submitted for VSMP Construction Permit coverage.
    2. The Central Office VSMP Construction Permit Coordinator is a designated person in the Central Office Hydraulics Section.
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## VSMP CONSTRUCTION PERMIT REGISTRATION PROCEDURE

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- Coverage under the VSMP Construction Permit must be obtained prior to any land disturbance occurring on any proposed activities that exceed the land disturbance threshold amounts. The land disturbance activity is considered covered by the VSMP Construction Permit 15 business days following the submission of a complete and accurate registration statement (including applicable permit fee) to the DCR unless notification of such coverage is issued earlier by DCR. The registration statement will be considered submitted once it and the appropriate permit fee (in the form of the IAT documentation) have been sent to the DCR by the Central Office VSMP Construction Permit Coordinator.
- On or before the initiation of the PAC process for a RLDA (or other appropriate stage for those activities that do not go through a formal PAC process), the Project Authority shall complete, or have the appropriate person complete, the applicable sections of the VSMP Construction Permit Registration Information form (LD-445) and the VSMP Construction Permit Fee Registration form (LD-445B), attach the ESC and SWM Plan Certification form (LD-445C) and send this assembly to the appropriate District VSMP Construction Permit Coordinator prior to the 21<sup>st</sup> day of each month (for information regarding the process for completing the LD-445C form see the latest version of IIM-LD-11).
- The District VSMP Construction Permit Coordinator shall review all permit registration assemblies for completeness and forward the completed assemblies to the Central Office VSMP Construction Permit Coordinator on or before the last day of each month. The District VSMP Construction Permit Coordinator will return all incomplete assemblies to the Project Authority for completion and resubmission.

- For Capital Outlay projects, the project authority shall submit the completed permit registration assembly directly to the Central Office VSMP Construction Permit Coordinator in the Central Office Hydraulics Section.
- For PPTA and Design Build projects, the project authority shall submit the completed permit registration assembly to either the District VSMP Construction Permit Coordinator (where the project is being managed in the District) or the Central Office VSMP Construction Permit Coordinator (where the project is being managed in the Central Office).
- The Central Office VSMP Construction Permit Coordinator shall compile all VSMP Construction Permit registration form assemblies received and determine the total fee to be paid to DCR for registering the RLDA's.
- The Central Office VSMP Construction Permit Coordinator shall, by the 7<sup>th</sup> day of each month, submit the VSMP Construction Permit Registration Application information for all proposed activities to DCR including the IAT documentation for the combined permit registration fees. At the request of DCR, these transactions will only occur on a once a month basis. However, if necessitated by an emergency or other similar situation, permit coverage can be obtained from DCR at other times. An emergency or other similar situation is defined as one which requires immediate attention due to liability or safety concerns.
- Once DCR receives the registration application information and appropriate IAT documentation for the permit fees, they will issue a registration statement to the Central Office VSMP Construction Permit Coordinator with a project specific permit number for each RLDA. The Central Office VSMP Construction Permit Coordinator will forward the RLDA registration statements to the appropriate District VSMP Construction Permit Coordinator or Capital Outlay/PPTA/Design Build Project Authority
- The District VSMP Construction Permit Coordinator or Capital Outlay/PPTA/Design Build Project Authority shall attach a copy of the VSMP Construction Permit Registration Information form LD-445 to each applicable RLDA registration statement received and distribute both to the appropriate RLD.
- The Central Office VSMP Construction Permit Coordinator shall submit copies of the LD-445B forms to the Central Office Location and Design Administrative Section in order to debit the appropriate permit registration fees from each specific RLDA.
- The Central Office VSMP Construction Permit Coordinator shall maintain an online database documenting the registered RLDA's and shall retain, on file, copies of the VSMP Construction Permit Registration Application information for a period of not less than 3 years after the completion of the RLDA and the termination of the VSMP Construction Permit coverage.
- The VSMP Construction Permit Registration Application for any RLDA missing any of the submission cutoff dates (i.e., to District or Central Office VSMP Construction Permit Coordinator) will be carried over to the next month's submission to DCR.

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## CONDITIONS OF COVERAGE UNDER THE VSMP CONSTRUCTION PERMIT

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- The SWPPP (see the latest version of IIM-LD-246), along with a copy of the VSMP General Construction Permit, the VSMP Construction Permit Registration Information form LD-445 and the VSMP Construction Permit registration statement showing the permit number, must be retained on the site of the RLDA from the commencement of any land disturbance activity to the date of final stabilization and permit coverage termination. Where no facilities are available at the activity site to maintain these documents, they are to be kept by or with the designated RLD at a location convenient to the activity site where they would be readily available for review upon request during normal business working hours. Where the SWPPP documents are not stored on-site, a copy of such documents, except for the ESC and SWM engineering calculations and documentation, shall be in the possession of those with day to day operational control over the implementation of the SWPPP (e.g. the RLD, ESC Inspector, etc.) whenever they are on site. A copy of the VSMP General Construction Permit may be obtained from the DCR website at [http://www.dcr.virginia.gov/soil\\_and\\_water/documents/vsmpgenpermvar10.pdf](http://www.dcr.virginia.gov/soil_and_water/documents/vsmpgenpermvar10.pdf)
- The VSMP General Construction Permit requires that the SWPPP be made available for review upon the request of the DCR, the EPA, local government officials or the operator of a municipal separate storm sewer system (MS4) receiving discharge from the construction site.
- The VSMP General Construction Permit requires that a copy of the General Permit coverage letter (registration statement) and the name and contact information for the VDOT person responsible for the land disturbing activity and the SWPPP be posted at a publicly accessible location at the construction site. The LD-445A form is to be used to identify the name and contact information for VDOT responsible person (typically the designated RLD for the activity). The General Permit coverage letter (registration statement) and the LD-445A form are to be posted outside the project's construction office along with other Federal and State mandated information. Where there is no construction office (e.g., a maintenance activity), the permit coverage letter (registration statement) and LD-445A form are to be maintained with the other SWPPP documents for the land disturbing activity.
- For those land disturbing activities requiring coverage under the VSMP General Construction Permit on or after July 1, 2009, and which have not been previously covered by a VSMP General Construction Permit, the VSMP Construction Permit requires that the SWPPP be made available for review by the public upon request. Such reviews shall be at a time and publicly accessible location convenient to the VDOT and shall be scheduled during normal business hours and no less than once a month.
- Any modifications to the approved SWPPP (including the ESC and post construction SWM Plan) must be implemented in accordance with Section 107.16(e) of the 2007 VDOT Road and Bridge Specifications, the VDOT's Approved ESC and SWM Standards and Specifications, and the procedures outlined in the latest version of IIM-LD-11 and IIM-LD-246.

## PROCEDURE FOR TERMINATING COVERAGE UNDER THE VSMP CONSTRUCTION PERMIT

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- Upon completion of the RLDA (i.e., all areas are stabilized and all post construction stormwater management facilities are operational), the RLD shall add the "In Service Date" for each BMP on the Permanent BMP table in Section VI of the SWPPP General Information Sheets for the land disturbing activity and complete and sign the VSMP Construction Permit Termination Notice form (LD-445D). The LD-445D form along with a copy of the Permanent BMP table (with In Service Date shown) is to be submitted to the appropriate District VSMP Construction Permit Coordinator prior to the 21<sup>st</sup> day of the month.
- The District VSMP Construction Permit Coordinator shall enter the permanent BMP information into the L&D Stormwater Data Base and then sign and forward all original LD-445D forms and copies of the Permanent BMP information to the Central Office VSMP Construction Permit Coordinator on or before the last day of each month. The District Maintenance Engineer and the Central Office Maintenance Division Administrator shall be copied on all such information.
- The Central Office VSMP Construction Permit Coordinator shall compile all LD-445D forms received and forward the VSMP Construction Permit termination information and Permanent BMP information to DCR by the 7<sup>th</sup> day of each month.
- The Central Office VSMP Construction Permit Coordinator will retain a copy of the permit termination information on file for a period of not less than 3 years after the completion of the RLDA and record the effective termination date in the online database.

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## FORMS

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- LD-445 VSMP Construction Permit Registration Information
- LD-445A VSMP Construction Permit Contact Information
- LD-445B VSMP Construction Permit Fee Registration
- LD-445C ESC and SWM Plan Certification
- LD-445D VSMP Construction Permit Termination Notice
- LD-445E Stormwater Pollution Prevention Plan (SWPPP) Certification

L&D forms are available through the VDOT website and can be downloaded at the following link: <http://vdotforms.vdot.virginia.gov/>

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: RURAL RUSTIC ROAD PROJECTS	NUMBER: IIM-LD-245
SPECIFIC SUBJECT: Virginia Stormwater Management Program (VSMP) Construction General Permit and Virginia Erosion and Sediment Control (ESC) Regulation Requirements	DATE: SEPTEMBER 12, 2008
	SUPERSEDES:
DIVISION ADMINISTRATOR APPROVAL:	Mohammad Mirshahi, P.E. State Location and Design Engineer Approved September 10, 2008

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### EFFECTIVE DATE

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- These instructions are effective upon receipt.
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### INTRODUCTION

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- The Rural Rustic Road Program was developed as a means to pave Virginia's low volume unpaved roads while ensuring environmental and financial stewardship. Legislation enacted by the 2002 session of the General Assembly and amended by the 2003 session provided that this construction method would be considered as a first alternative for improving all unpaved roads in the Commonwealth.
- The Guidelines For Rural Rustic Road Program developed by the Local Assistance Division and the Rural Rustic Road Policy Committee can be found at:  
<http://www.virginiadot.org/info/resources/RuralRusticProgram.pdf>

These guidelines define the eligibility criteria and approval process for candidate projects.

- Ideally, Rural Rustic Road Projects:
  - Provide a paved travelway width that approximates the existing gravel width (For roadways with an excess of 400 vpd, an 18' paved surface is desirable).
  - Require no improvements to the existing horizontal or vertical alignment.
  - Require roadside ditch work only to reestablish existing line, grade or hydraulic capacity, provide positive drainage or address safety concerns.

- Require drainage pipe work to extend existing structures, replace structurally deficient structures or address safety concerns.
  - Do not change the characteristics of the stormwater run-off leaving the project site, i.e., no increase in peak rates of flow, volume or velocity.
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## POLICY

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- Rural Rustic Road projects that disturb one acre (2,500 square feet in designated Chesapeake Bay Preservation Areas) or greater must obtain coverage under the Virginia Stormwater Management Program (VSMP) Permit for discharges of stormwater from construction activities. One of the many requirements of the VSMP Construction Permit is the development of a project specific Stormwater Pollution Prevention Plan (SWPPP). For more information about a SWPPP, see Road and Bridge Specification 107.16(e) and IIM-LD-246 (Under Development).
- Rural Rustic Road projects that disturb 10,000 square feet (2,500 square feet in localities define as Tidewater, Virginia in the Chesapeake Bay Act) or greater must have an Erosion and Sediment Control (ESC) Plan and must comply with VDOT's Approved ESC and SWM Standards and Specifications. A part of that compliance is adherence to Minimum Standard (MS) 19 of the Virginia ESC Regulations. MS19 contains criteria for documenting adequacy of all off-site outfall channels for capacity and erosion protection. MS19 also contains requirements for adequate onsite drainage facilities. That requirement mandates that such drainage facilities have the capacity to convey the run-off from a 10 year storm event.
- Any grading, filling, scarifying or manipulation of the surface of the existing gravel travelway is to be included in the calculation for total land disturbance for the purposes of determining the application of the ESC Regulations and VSMP Construction Permit. This requirement is applicable regardless of the nature of the activity (i.e., construction or maintenance).
- By law and published guidelines, Rural Rustic Road projects do not meet the intent of MS19 for onsite drainage facilities, as such facilities on Rural Rustic Road projects are only improved as necessary to reestablish existing line, grade or hydraulic capacity, provide positive drainage or address safety concerns. As a result, the Rural Rustic Road projects are considered a special classification of land disturbing activities. They will be considered meeting MS19 provided **all** of the following criteria are met:
  1. There will be no increase in impervious area as a result of the project (i.e., the proposed paved area will approximate the existing compacted gravel area).
  2. There will be no improvements to the existing horizontal or vertical alignment.
  3. Roadside ditch work will only be performed as necessary to reestablish existing line, grade or hydraulic capacity, provide positive drainage or address safety concerns.
  4. Drainage pipe work will only be performed as necessary to extend existing structures, replace structurally deficient structures or address safety concerns.
  5. There will be no change in the characteristics of the stormwater run-off leaving the project site, i.e., no increase in peak rates of flow, volume or velocity.

6. There will be no increase in the number of concentrated flow discharge points and the existing drainage patterns between the concentrated flow discharge points will remain the same.
  7. The project files will be thoroughly documented with regards to the project meeting the requirements of items 1 through 6.
  8. A Department of Conservation and Recreation (DCR) Certified Erosion and Sediment Control Plan Reviewer or Professional Engineer with expertise in the field of ESC and SWM shall certify that the project meets the requirements of items 1 through 7. This is accomplished by the Plan Reviewer completing the appropriate sections of Form LD-445C as a part of the overall review and approval process for the erosion and sediment control plan for the project.
- Projects not meeting the above requirements must either provide adequate (10 year design) for onsite drainage facilities or request a project specific Variance from DCR. Instructions for submitting a project specific variance can be found in the latest version of IIM-LD-11.

VIRGINIA DEPARTMENT OF TRANSPORTATION

# LOCATION AND DESIGN DIVISION

## INSTRUCTIONAL AND INFORMATIONAL MEMORANDUM

GENERAL SUBJECT: STORMWATER POLLUTION PREVENTION PLAN	NUMBER: IIM-LD-246.2
SPECIFIC SUBJECT: STORMWATER POLLUTION PREVENTION PLAN <b>DOCUMENTS AND COMPONENTS</b>	DATE: MARCH 19, 2010
	SUPERSEDES: IIM-LD-246.1
DIVISION ADMINISTRATOR APPROVAL:	Mohammad Mirshahi, P.E. State Location and Design Engineer Approved March 19, 2010

Changes are shaded.

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### CURRENT REVISION

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- Instructions for the development of the Stormwater Pollution Prevention Plan, General Information Sheets and Certification Form LD-445E have been revised to clarify the Virginia Stormwater Management Program General Permit for Discharges of Stormwater from Construction Activities (VSMP Construction Permit) requirements.
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### EFFECTIVE DATE

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- These instructions are effective upon receipt.
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### ACRONYMS

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- ESC – Erosion and Sediment Control
  - RLD – Responsible Land Disturber
  - R&B – Road and Bridge
  - SWM – Stormwater Management
  - SWPPP – Stormwater Pollution Prevention Plan
  - VDOT – Virginia Department of Transportation
  - VSMP – Virginia Stormwater Management Program

## 1.0 BACKGROUND

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- 1.1 Section 107.16 (e) of the 2007 VDOT R&B Specifications requires all land disturbance activities that disturb 10,000 square feet or greater (2500 square feet or greater in the area defined as Tidewater, Virginia in the Chesapeake Bay Preservation Act) (see the latest version of IIM-LD-11) to have a SWPPP.
  - 1.2 The VSMP General Permit for the Discharge of Stormwater from Construction Activities (hereafter referred to as the VSMP Construction Permit) also requires a SWPPP for activities covered under that permit. While a SWPPP is an important component of the VSMP Construction Permit, it is only one of the many requirements that must be addressed in order to be in full compliance with the conditions of the permit. Those persons who oversee or perform activities covered by the VSMP Construction Permit must review and understand all of the conditions and requirements contained within that permit.
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## 2.0 SWPPP APPLICABILITY AND REQUIREMENTS

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- 2.1 A SWPPP identifies potential sources of pollutants which may reasonably be expected to affect the stormwater discharges from land disturbing activity sites and any off site support areas and describes and ensures implementation of practices which will be used to reduce pollutants in such discharges.
- 2.2 The required contents of a SWPPP for those land disturbance activities requiring coverage under the VSMP Construction Permit are found in Section II D. of the General Permit section of the VSMP Regulations (4VAC50-60-1170).
- 2.3 Except for the items dealing with the post construction stormwater management requirements, the majority of the items that must be addressed in the SWPPP for land disturbance activities requiring VSMP Construction Permit coverage must also be addressed for those land disturbance activities that do not require VSMP Construction Permit coverage but do require an ESC Plan in accordance with the requirements of the Virginia ESC Law and Regulations.
- 2.4 When the land disturbing activity requires coverage under the VSMP Construction Permit, the SWPPP must also include a copy of the VSMP Construction Permit, the VSMP Construction Permit Registration Information form LD-445, the SWPPP Certification form LD-445E and the VSMP Construction Permit coverage letter received from DCR showing a project specific registration number.
- 2.5 The SWPPP is to include any off site support facilities used exclusively for the land disturbance activity (e.g., borrow and disposal sites, the contractor's storage and fueling areas, etc.).

- 2.6 For those land disturbance activities requiring coverage under the VSMP Construction Permit, Section II B.1. of the General Permit section of the VSMP Regulations (4VAC50-60-1170) requires the SWPPP to be signed by a person so identified in Section III K.2 of that same document. For a State Agency, that person is the principal executive officer or his designee.
- 2.7 Many of the items required in the SWPPP are typically contained in the construction plans (or other such documents) by means of the erosion and sediment control plans, post construction stormwater management plans, etc. and in other VDOT documents such as the R&B Standards and Specifications which can be incorporated into the SWPPP by reference.

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### 3.0 LD-445E

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- 3.1 For those land disturbance activities requiring coverage under the VSMP Construction Permit, the Permit requires that the SWPPP for any covered off site support facilities to be developed and included with the SWPPP for the primary land disturbance activity prior to issuance of permit coverage.
- 3.2 On most VDOT land disturbing activities, it is the responsibility of the contractor or other such person performing the land disturbance activity to identify the location of the off site support facilities and provide the stormwater pollution prevention plan for such to the project engineer/RLD for review and approval.
- 3.3 Since the VSMP Construction Permit coverage for VDOT land disturbance activities is normally obtained prior to the identification of the off site support areas, a mechanism is required whereby the project files can be documented and DCR can be assured that all of the information for the off site support facilities, as well as other required information not available at the time the VSMP Construction Permit coverage is applied for, has been or will be included in the SWPPP for the land disturbance activity. The mechanism to be used for this purpose will be **SWPPP Certification Form LD-445E**.
  - 3.3.1 Form LD-445E is also to be used to identify the VDOT person responsible for the inspection of the erosion and sediment control facilities.
  - 3.3.2 The DCR has approved the signature of the RLD on the LD-445E form as meeting the SWPPP signatory requirements contained in Section II B.1. of the General Permit section of the VSMP Regulations (4VAC50-60-1170).
  - 3.3.3 Form LD-445E is to be completed by the RLD for **all regulated land disturbing activities requiring VSMP Construction Permit Coverage and/or an ESC Plan/SWPPP**.
  - 3.3.4 A copy of completed form LD-445E is to be retained with the other SWPPP documents for the land disturbance activity.

- 3.3.5 For those land disturbing activities requiring coverage under the VSMP Construction Permit, the completed LD-445E form is to be forwarded to the District VSMP Construction Permit Coordinator for inclusion with other VSMP Construction Permit data that is forwarded monthly to the Central Office VSMP Construction Permit Coordinator.

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#### 4.0 SWPPP GENERAL INFORMATION SHEETS

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- 4.1 In order to provide a clear understanding of what is required in a SWPPP and to provide a reference as to where those items are located within the contract/construction documents, a set of SWPPP General Information Sheets has been developed. The SWPPP General Information Sheets provide a summary of the information required in Section II D. of the General Permit section of the VSMP Regulations (4VAC50-60-1170) and, where not included on the General Information Sheets, provide a reference to where that information can be found within the contract/construction documents for the land disturbance activity (e.g., the construction plans or other such documents, the VDOT R&B Standards and/or Specifications, contractor supplied documents, etc.).
- 4.2 The SWPPP General Information Sheets incorporate many of the notes previously included in the ESC General Notes as well as those necessary to identify and describe the post construction stormwater management plan for the land disturbance activity (if applicable).
- 4.3 The SWPPP General Information Sheets are to be included in the plan set (or other such documents) for all land disturbance activities requiring a VSMP Construction Permit and/or an erosion and sediment control plan. Completion and inclusion of the SWPPP General Information Sheets in the contract documents satisfies one of the many requirements contained in the VSMP Construction Permit. Those persons who oversee or perform activities covered by the VSMP Construction Permit must review and understand all of the conditions and requirements contained within that permit.
- 4.4 The SWPPP General Information Sheets are updated from time to time to clarify and/or include additional requirements as a result of changes to the VSMP Construction Permit and/or the VDOT's Approved ESC and SWM Standards and Specifications. Prior to finalization of the construction plans or other such documents for a proposed land disturbance activity, the Project Manager or other such project authority is to verify that the most recent SWPPP General Information Sheets are included.
- 4.5 The SWPPP General Information Sheets have been developed in two formats as follows:

- 4.5.1 Available in the CADD sheet 2000 cell library (referenced as SWPPP1, SWPPP2 & SWPPP3) for use with those land disturbance activities that have a formal set of construction plans (i.e., those developed under a Minimum (M) Plan or Complete (C) Plan Process).
- 4.5.2 Available in Falcon under the Engineering Services' eng-scr directory (No Plan sub-directory) as an 8.5 X 11 word document for use with those land disturbance activities developed under a No (N) Plan Process or for maintenance activities.
- 4.6 The SWPPP General Information Sheets are to be completed by the **ESC Plan Designer**, the Hydraulic Engineer or other **such** person who has the responsibility for developing the ESC and post construction SWM Plan (if applicable) for the land disturbance activity.
- 4.7 Information required by those notes on the SWPPP General Information Sheets designated with an asterisk is to be supplied/completed by the contractor or the VDOT RLD, as appropriate.
- 4.8 All information/notes in Sections I through VI of the SWPPP General Information Sheets are applicable to land disturbance activities requiring coverage under the VSMP Construction Permit.
- 4.9 For land disturbance activities not requiring coverage under the VSMP Construction Permit but requiring an ESC Plan, some information noted on the SWPPP General Information Sheets, specifically that in Section IV (notes 2 through 6) and Sections V and VI, may not be required. Those notes/information not applicable to a specific land disturbance activity should be noted as "Not applicable to this land disturbance activity".
- 4.10 For those activities requiring coverage under the VSMP Construction Permit, Section V of the SWPPP General Information Sheets requires a location map that **clearly** identifies the project location and all surface waters (including names where applicable), such as rivers, streams, lakes, ponds, etc., within a one mile radius of the project site.
- 4.10.1 Instructions for placing a location map in Section V can be found at the following web address:
- 4.10.1.1 For projects with a formal set of construction plans:  
[http://insidevdot/sites/Location\\_and\\_Design/Hydraulic\\_Design/Shared%20Documents/Creating%20SWPPP%20Maps%20using%20TerrainPro%20and%20Microstation.pdf](http://insidevdot/sites/Location_and_Design/Hydraulic_Design/Shared%20Documents/Creating%20SWPPP%20Maps%20using%20TerrainPro%20and%20Microstation.pdf)
- 4.10.1.2 For No Plan projects or maintenance activities:  
[http://insidevdot/sites/Location\\_and\\_Design/Hydraulic\\_Design/Shared%20Documents/SWPPP%20location%20map%20instructions%20for%20a%20WORD%20document.pdf](http://insidevdot/sites/Location_and_Design/Hydraulic_Design/Shared%20Documents/SWPPP%20location%20map%20instructions%20for%20a%20WORD%20document.pdf)
- 4.10.2 Those unable to access the **noted** sites should contact the District or Central Office Hydraulics Section, as appropriate.

4.10.3 Other methods that produce the desired map may be used in lieu of those noted.

4.11 Except for the "In Service Date", the permanent BMP information (when applicable) in Section VI is to be completed by the Hydraulic Engineer (or other such person developing the post construction SWM Plan) and is to be based on the pre-construction design. This information is to be updated if, and as, any changes to the post construction SWM Plan are authorized during the construction phase of activity. Such changes are to be made as a formal revision to the plans. When submitting a request for termination of the VSMP Construction Permit coverage, the RLD is to add the date that the facility was placed into service as a permanent BMP to the other information in the Permanent BMP table and attach a copy of this table to the LD-445D form.

4.12 Some of the notes on the General Information Sheets require project specific user input. Some examples of the information required are as follows:

4.12.1 Section I General

4.12.1.1 Note 1 - Activity Description (Examples)

- This roadway construction project consists of adding two additional parallel lanes to an existing two lane rural roadway facility.
- This roadway construction project consists of improving an existing urban roadway intersection by adding left turn and right turn lanes.
- This roadway construction project consists of replacement of an existing bridge with a new bridge and improvements to the existing roadway approaches.
- This roadway construction project consists of widening an existing urban street and adding additional turn lanes.
- This roadway maintenance project consists of re-grading and enlarging the roadside ditches and replacing drainage pipes along an existing rural roadway.
- This roadway maintenance project consists of re-grading the roadside ditches and replacing deteriorated drainage pipes along an existing rural roadway in order to reestablish original grade and/or hydraulic capacity.

4.12.1.2 Note 6 - Critical Areas (Example)

- There is one farm pond located 1500' north of Station 29+00 Route 602 and an existing perennial stream located 1000' east of and parallel to Route 55 between Stations 204+00 and 212+00.

4.12.2 Section II Erosion and Sediment Control

4.12.2.1 Note 1 - Variances (Example)

- A variance to decrease the height of silt fence to 26" approved by letter from the Department of Conservation and Recreation's Abingdon Office dated July 15, 2006.

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## 5.0 SWPPP DOCUMENTS

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5.1 For VDOT land disturbance activities, the required documents for a SWPPP shall include, but are not limited to:

1. The construction plans/documents.
2. The SWPPP General Information Sheets (with all notes completed with appropriate information).
3. The ESC Plan.
4. The post construction SWM Plan (if applicable).
5. The VDOT R&B Standards and Specifications, Supplemental Specifications, Special Provisions and Special Provision Copied Notes.
6. A copy of the VSMP General Permit For Discharges Of Stormwater From Construction Activities (Construction Permit) (when applicable).
7. A copy of the VSMP Construction Permit coverage letter received from DCR (when applicable).
8. A copy of the VSMP Construction Permit Registration Information form LD-445, (when applicable).
9. A copy of the SWPPP Certification form LD-445E
10. Documents required to be developed by the contractor for erosion and sediment control and stormwater pollution prevention associated with any support facilities.
11. All ESC inspection reports.
12. All ESC and SWM design computations and supporting data.

5.2 All documents related to the SWPPP for a land disturbance activity (except for the ESC and SWM design computations and supporting data) shall be maintained at the activity site and shall be readily available for use by those with SWPPP implementation responsibilities. All documents related to the SWPPP for a land disturbance activity shall be readily available for review by others upon request during normal working business hours. SWPPP related information not included in the construction plans/documents, the VDOT R&B Standards, Specifications, Supplemental Specifications, Special Provisions or Special Provision Copied Notes and the ESC and SWM design computation files is to be kept in a separate paper and/or electronic file. Where no facilities are available at the activity site to maintain the SWPPP documents, they are to be kept at a location convenient to the activity site where they will be readily available for use by those with SWPPP implementation responsibilities and would be available for review by others upon request during normal business working hours. Where the SWPPP documents are not stored on-site, a copy of such documents, except for the ESC and SWM engineering calculations and documentation, shall be in the possession of those with day to day operational control over the implementation of the SWPPP (e.g. the RDL, ESC Inspector, etc.) whenever they are on site.

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## 6.0 SWPPP COMPONENTS

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- 6.1 The following includes the major components of a SWPPP, the person(s) responsible for ensuring that the component is addressed in the SWPPP for a specific land disturbing activity and how that component is addressed in the construction plans or other such documents for a VDOT land disturbing activity.
- 6.1.1 A copy of the VSMP Construction Permit registration statement and coverage letter (when applicable).
- The designated RLD ensures that a copy of the VSMP Construction Permit Registration Information form LD-445, a copy of the SWPPP Certification Form LD-445E and the VSMP Construction Permit coverage letter received from DCR is maintained in the SWPPP file.
- 6.1.2 A copy the VSMP Construction Permit (when applicable).
- The designated RLD ensures that a copy is maintained in the SWPPP file.
- 6.1.3 A narrative description of the nature of the construction activity, including the function of the project.
- The ESC Plan Designer incorporates project specific information into appropriate note(s) on the SWPPP General Information Sheets.
- 6.1.4 The intended sequence and timing of activities that disturb soils at the site (e.g., grubbing, excavation, grading, utilities and infrastructure installation).
- The Contractor or other such person develops/supplies project specific information. The designated RLD ensures that the information is maintained in the SWPPP file.
- 6.1.5 A record of the dates when major grading activities occur, when construction activities temporarily or permanently cease on a portion of the site, and when stabilization measures are initiated.
- The Contractor or other such person develops/supplies project specific information. The designated RLD ensures that the information is maintained in the SWPPP file.
- 6.1.6 Estimates of the total area expected to be disturbed by excavation, grading, or other construction activities including off-site borrow and fill areas.
- The ESC Plan Designer obtains the information and incorporates it into the appropriate note on the SWPPP General Information Sheets.
- 6.1.7 A description of any other potential pollutant sources, such as vehicle fueling, storage of fertilizers or chemicals, sanitary waste facilities, etc.
- The Contractor or other such person develops/supplies project specific information. The designated RLD ensures that the information is maintained in the SWPPP file.

- 6.1.8 Identification of the nearest receiving waters at or near the construction site that will receive discharges from disturbed areas of the project.
- The ESC Plan Designer determines the information and incorporates it into the appropriate note on the SWPPP General Information Sheets.
- 6.1.9 The location and description of any discharge associated with industrial activity other than construction at the site. This includes stormwater discharges from dedicated asphalt plants and dedicated concrete plants that are covered by the VSMP Construction Permit for the project.
- This information is covered by a standard note on the SWPPP General Information Sheets.
- 6.1.10 A legible general location map (e.g., USGS quadrangle map, a portion of a city or county map, or other map) with sufficient detail to identify the location of the construction activity and surface waters within one mile of the construction activity.
- The ESC Plan Designer or the Hydraulic Engineer develops and incorporates the location map into Section V of the SWPPP General Information Sheets.
- 6.1.11 A legible site map/plan identifying the following:
- 6.1.11.1 Directions of stormwater flow and approximate slopes anticipated after major grading activities.
- The ESC Plan Designer ensures that the appropriate information (e.g., grading contours, typical sections, profiles and/or cross sections) is included in the construction plans or other such documents.
- 6.1.11.2 Areas of soil disturbance and areas of the site which will not be disturbed.
- The ESC Plan Designer ensures that the appropriate information (e.g., plan view construction limits and/or typical sections/cross sections) is included in the construction plans or other such documents.
- 6.1.11.3 Locations of major structural and nonstructural control measures identified in the SWPPP, including those that will be permanent after construction activities have been completed.
- The ESC Plan Designer ensures that the appropriate information is included in the construction plans or other such documents.
- 6.1.11.4 Locations where stabilization practices are expected to occur.
- The ESC Plan Designer ensures that the appropriate information (e.g., plan view construction limits and/or typical sections/cross sections) is included in the construction plans or other such documents.

- 6.1.11.5 Locations of surface waters.
  - The ESC Plan Designer ensures that the appropriate information is included in the construction plans or other such documents.
- 6.1.11.6 Locations where concentrated stormwater discharges from the construction site.
  - The ESC Plan Designer ensures that the appropriate information is included in the construction plans or other such documents.
- 6.1.11.7 Locations of off-site material, waste, borrow or equipment storage areas covered by the SWPPP.
  - The Contractor or other such person supplies project specific information. The designated RLD ensures that the information is maintained in the SWPPP file.
- 6.1.11.8 Locations of other potential pollutant sources, such as vehicle fueling, storage of chemicals, concrete wash-out areas, sanitary waste facilities, including those temporarily placed on the construction site, etc.
  - The Contractor or other such person supplies project specific information. The designated RLD ensures that the information is maintained in the SWPPP file.
- 6.1.11.9 Areas where final stabilization has been accomplished.
  - The Contractor or other such person supplies project specific information. The designated RLD ensures that the information is maintained in the SWPPP file.
- 6.1.12 The SWPPP shall include a description of all control measures that will be implemented as part of the construction activity to minimize pollutants in stormwater discharges. For each major construction activity identified, the SWPPP shall clearly describe appropriate control measures, the general sequencing during the construction process in which the control measures will be implemented, and which operator is responsible for the control measure's implementation.
  - The ESC Plan Designer develops ESC Plan and SWPPP for inclusion in the construction plans/documents. The Contractor or other such person provides proposed revisions to ESC Plan and SWPPP as necessary to meet differing field conditions or construction sequencing. The VDOT ESC Inspector reviews and the RLD approves any changes to ESC Plan and SWPPP. The RLD ensures that all required information is maintained in the SWPPP file in accordance with Section 107.16(e) of the 2007 Road and Bridge Specifications.
- 6.1.13 The SWPPP shall include a description of, and all necessary calculations supporting, all erosion and sediment control measures that will be installed during the construction process to control pollutants in stormwater discharges from the construction site.

- The ESC Plan Designer develops ESC Plan and required calculations. The ESC Plan is incorporated into the construction plans/documents. The ESC calculations are maintained in the project hydraulic files and the location of such files is documented by the ESC Plan Designer in the appropriate note on the SWPPP General Information Sheets.
- 6.1.14 The SWPPP shall describe measures to prevent the discharge of solid materials, including building materials, garbage, and debris to state waters, except as authorized by a Clean Water Act § 404 permit.
- This information covered by a standard note on the SWPPP General Information Sheets.
- 6.1.15 The SWPPP shall describe control measures used to comply with applicable state or local waste disposal, sanitary sewer or septic system regulations.
- This information covered by a standard note on the SWPPP General Information Sheets.
- 6.1.16 The SWPPP shall include a description of construction and waste materials expected to be stored on-site with updates as appropriate. The SWPPP shall also include a description of controls including storage practices, to minimize exposure of the materials to stormwater, and for spill prevention and response.
- The Contractor or other such person develops/supplies project specific information. The designated RLD reviews and approves the information and ensures that copies of such are maintained in the SWPPP file.
- 6.1.17 The SWPPP shall include a description of, and all necessary calculations supporting, all post-construction stormwater management measures that will be installed prior to the completion of the construction process to control pollutants in stormwater discharges after construction operations have been completed.
- The Hydraulic Engineer develops the post construction SWM Plan and required calculations. The post construction SWM Plan is incorporated into the construction plans/documents. The post construction SWM calculations are maintained in the project hydraulic files and the location of such files is documented by the Hydraulic Engineer in the appropriate note on the SWPPP General Information Sheets.
- 6.1.18 The SWPPP shall include a description of pollutant sources from off-site support areas and a description of control measures that will be implemented at those sites to minimize pollutant discharges.
- The Contractor or other such person develops/supplies project specific information. The designated RLD reviews and approves the information and ensures that copies of such are maintained in the SWPPP file.
- 6.1.19 The name and phone number of qualified personnel conducting the ESC inspections shall be included in the SWPPP.
- The VDOT RLD provides the appropriate information on SWPPP Certification form LD-445E and ensures a copy is maintained in the SWPPP file.

- 6.1.20 A report summarizing the scope of the ESC inspections, names and qualifications of personnel making the inspections, the dates of the inspections, major observations relating to the implementation of the SWPPP, and any actions taken.
- The Contractor's Erosion and Sediment Control Contractor Certified (ESCCC) person conducts initial inspections and completes the Construction Runoff Control Inspection Form C-107. The VDOT Certified ESC Inspector verifies inspection information on Form C-107 and the RLD ensures that all of the C-107 forms are maintained in the SWPPP file.
- 6.1.21 The pollutant identified in a Waste Load Allocation (WLA) as of the effective date of the VSMP Construction Permit must be specified in the SWPPP. The SWPPP shall include strategies and control measures to ensure consistency with the assumptions and requirements of the Total Maximum Daily Load (TMDL) WLA that apply to the operator's discharge.
- The ESC Plan Designer incorporates pollutant information into the appropriate note on the SWPPP General Information Sheets and ensures that the ESC and post construction SWM Plans consider requirements of the TMDL WLA.

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## 7.0 FORMS

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7.1 LD-445	VSMP Construction Permit Registration Information
7.2 LD-445D	VSMP Construction Permit Termination Notice
7.3 LD-445E	Stormwater Pollution Prevention Plan (SWPPP) Certification
7.4 C-107	Construction Runoff Control Inspection Form

# HYDRAULIC DESIGN ADVISORY

## HDA 05-03

DATE: JUNE 21, 2005

### SUBJECT: VDOT's ADOPTION & IMPLEMENTATION OF NOAA ATLAS 14 RAINFALL PRECIPITATION FREQUENCY DATA

AUTHOR: D.M. LEGRANDE, SR.  
ASST. STATE HYDRAULICS ENGINEER

The U.S. National Oceanic & Atmospheric Administration (NOAA) recently released their "ATLAS 14: RAINFALL PRECIPITATION FREQUENCY DATA" which covers the Ohio River basin and surrounding states (including Virginia). This information is most readily and conveniently accessed on NOAA's Internet web site at the following address: [http://hdsc.nws.noaa.gov/hdsc/pfds/orb/va\\_pfds.html](http://hdsc.nws.noaa.gov/hdsc/pfds/orb/va_pfds.html). This new data supercedes and replaces that which is contained in Technical Paper No. 40 "Rainfall frequency atlas of the United States for durations from 30 minutes to 24 hours and return periods from 1 to 100 years" (Hershfield, 1961), NWS HYDRO-35 "Five- to 60-minute precipitation frequency for the eastern and central United States" (Frederick et al., 1977) and Technical Paper No. 49 "Two- to ten-day precipitation for return periods of 2 to 100 years in the contiguous United States" (Miller et al., 1964). All of the rainfall information currently contained in the "VDOT Drainage Manual" was predicated on TP-40 and/or HYDRO-35. All such information is no longer valid.

With the issuance of this Hydraulic Design Advisory, the Department officially recognizes and adopts the data presented in the "ATLAS 14" publication. Henceforth, the Department will require, subject to the guidelines described below, that its implementation of this data be employed for the design of all drainage facilities for which the hydrologic design is customarily predicated on rainfall data. This will include drainage designs for the Department's own facilities as well as those that may ultimately come under the Department's jurisdiction (subdivision streets, etc.). The Department recognizes that it will take some time before everyone becomes familiar with this new information and it can be fully and universally implemented. For this reason, it will be acceptable to continue to use the rainfall data currently in the "VDOT Drainage Manual" for projects under design that have completed the Public Hearing stage prior to the issuance of this Hydraulic Design Advisory.

In using the NOAA Internet web site, it should be noted that there occasionally will be more than one rainfall station located in or near a given county or city. The total point rainfall data displayed will, therefore, be dependent upon where one places the pointer used to make the selection. It is possible to get two or more different sets of total point rainfall data for the same county or city. To avoid confusion and to simplify the implementation and application of the new rainfall data, the Department has developed a set of "B, D, & E" factors for each county and major city throughout the state. These "B, D, & E" factors have been developed for 2, 5, 10, 25, 50, & 100-yr. recurrence interval storm durations. A tabulation of these factors accompanies this Hydraulic Design Advisory. A Microsoft EXCEL spreadsheet containing this same information and which will allow the data to be digitally transferred (i.e. copied and pasted) to other spreadsheets, software data files, etc. is also available for downloading via this web site. The spreadsheet is protected to preclude the possibility of inadvertently changing the data. These "B, D, & E" factors can be employed to determine rainfall intensity through the application of the following equation:

$$I_f = B / (T_c + D)^E$$

Where:

$I_f$  = Rainfall intensity for a given recurrence interval “f”, in inches/hour  
 $T_c$  = Watershed time of concentration (assumed equal to the storm duration), in minutes

In situations where one must determine total point rainfall (as opposed to rainfall intensity) and time of concentration (or storm duration) is usually employed using hours (as opposed to minutes), the above equation can be modified as shown below:

$$R_f = T_{c(h)} (B / (T_{c(m)} + D)^E)$$

Where:

$R_f$  = Total point rainfall for a given recurrence interval “f”, in inches  
 $T_{c(h)}$  = Watershed time of concentration (assumed equal to the storm duration), in hours  
 $T_{c(m)}$  = Watershed time of concentration (assumed equal to the storm duration), in minutes

When employing the new “Atlas 14” rainfall precipitation frequency data, the Department’s published “B, D, & E” factors shall be employed exclusively for the purposes of developing rainfall intensities and total point rainfall values. The use of the “IDF” (intensity-duration-frequency) and “RDF” (total point rainfall-duration-frequency) curves currently shown in the VDOT Drainage Manual shall be discontinued and they will be removed from the Manual at its next revision.

Regarding the impact of the implementation of the “Atlas 14” rainfall precipitation frequency data on computer software, the Department will no longer accept drainage designs from any software package that has not been predicated on this data, subject to the previously noted implementation period. It is our understanding, from communication with the FHWA, that the rainfall database contained in their popular “HYDRAIN” software suite will not be revised to reflect the “Atlas 14” data. The Department will, therefore, no longer accept any computations from the “HYDRAIN” suite that have been predicated on its current rainfall database, subject to the previously noted implementation period. As for software in current use by the Department, the latest version of the GEOPAK software package is being revised to include the “Atlas 14” based “B, D, & E” factors developed by the Department. Appropriate revisions will be distributed as soon as they are available. The following “written-in-house” programs have been revised to incorporate the “Atlas 14” data and the “B, D, & E” factors developed by the Department:

- (1) “DISCHARGE” (for determining peak discharges using the Daniel G. Anderson & Franklin Snyder methods)
- (2) “VIRTOC” (for determining rainfall intensity, time of concentration, and peak discharges using the Rational Formula)
- (3) “RDDITCH” (for determining roadside and median ditch capacity and protective lining requirements)

These programs will be available to both Department and external users via the usual notification and distribution procedures. In addition to the above, new “.RND” (rainfall) files for all counties and major cities have been developed for use with the commercial “EAGLE POINT WATERSHED MODELING” (version

7.0SU-B) software package currently in use by the Department. These “.RND” files are available upon request, as their distribution should not be in violation of Eagle Point’s copyright since one must have the program in order to use them.

Any comments or questions related to this Hydraulic Design Advisory should be directed to

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B. D. & E factors for determining rainfall intensity in the Rational and Modified Rational Methods (based on NOAA NW-14 Atlas data)

COUNTY/CITY	#	2-YR			5-YR			10-YR			25-YR			50-YR			100-YR		
		B	D	E	B	D	E	B	D	E	B	D	E	B	D	E	B	D	E
Arlington	00	52.73	11.25	0.83	50.63	10.50	0.77	45.98	9.25	0.72	41.35	7.75	0.66	38.56	7.00	0.61	32.29	5.00	0.55
Accomack	01	65.77	13.00	0.88	56.63	11.50	0.79	49.92	10.00	0.73	39.98	7.50	0.65	37.31	6.50	0.61	32.84	5.25	0.55
Albemarle	02	49.02	10.50	0.82	55.71	11.50	0.80	46.95	9.50	0.73	40.11	7.75	0.66	35.68	6.50	0.61	31.09	5.00	0.55
Alleghany	03	51.16	13.25	0.88	39.11	10.00	0.77	40.84	10.00	0.74	36.37	8.75	0.67	29.66	6.50	0.60	26.46	5.00	0.55
Amelila	04	59.23	12.25	0.86	55.73	11.25	0.79	47.70	9.50	0.73	40.73	7.75	0.66	36.22	6.50	0.61	33.29	5.75	0.56
Amherst	05	60.72	13.00	0.88	57.10	12.00	0.81	43.80	9.25	0.72	39.61	8.00	0.66	34.36	6.50	0.60	30.80	5.25	0.55
Appomattox	06	51.76	11.50	0.84	54.03	11.25	0.80	47.13	10.00	0.73	40.72	8.25	0.67	34.36	6.50	0.60	30.14	5.00	0.55
Augusta	07	46.46	12.25	0.85	44.03	10.75	0.79	41.63	10.00	0.74	32.39	7.25	0.64	32.48	7.25	0.62	27.18	5.25	0.55
Bath	08	46.46	12.25	0.85	47.91	11.50	0.80	38.95	9.50	0.72	34.24	7.75	0.66	30.19	6.25	0.60	28.05	5.50	0.56
Bedford	09	47.85	11.25	0.83	48.76	10.75	0.78	45.55	10.00	0.73	40.49	8.50	0.67	33.51	6.50	0.60	29.36	5.00	0.55
Bland	10	36.34	10.00	0.81	41.14	10.75	0.78	38.52	9.75	0.73	32.99	7.75	0.66	29.71	6.50	0.60	26.71	5.25	0.55
Botetourt	11	51.98	12.25	0.85	51.47	11.50	0.80	44.82	9.75	0.73	36.34	7.50	0.65	33.51	6.50	0.60	29.23	5.00	0.55
Bristol	102	41.68	11.50	0.83	41.14	10.75	0.78	42.11	10.75	0.75	31.43	7.75	0.65	27.32	6.00	0.59	26.45	5.50	0.56
Brunswick	12	62.82	12.25	0.85	55.69	10.50	0.78	49.74	9.25	0.72	41.54	7.25	0.65	40.85	7.00	0.62	33.99	5.00	0.55
Buchanan	13	46.78	11.50	0.85	51.34	12.25	0.82	41.75	9.75	0.74	34.41	7.25	0.65	31.36	6.00	0.60	29.24	5.00	0.56
Buckingham	14	54.24	11.50	0.85	54.80	11.50	0.80	44.53	9.25	0.72	39.48	7.75	0.66	33.09	6.00	0.59	30.63	5.25	0.55
Campbell	15	46.46	12.25	0.85	44.03	10.75	0.79	41.63	10.00	0.74	32.39	7.25	0.64	32.48	7.25	0.62	27.18	5.25	0.55
Caroline	16	65.88	12.75	0.88	58.28	11.50	0.80	51.24	10.00	0.74	39.77	7.25	0.65	36.12	6.25	0.60	32.97	5.25	0.55
Carroll	17	54.24	11.50	0.85	52.48	10.75	0.79	48.34	10.00	0.74	38.91	7.75	0.65	35.14	6.50	0.61	30.77	5.00	0.55
Charles City	18	61.04	11.50	0.85	55.05	10.50	0.77	52.12	9.75	0.73	42.40	7.50	0.65	39.29	6.50	0.61	34.58	5.00	0.55
Charlotte	19	59.23	12.25	0.86	56.63	11.50	0.79	53.27	10.50	0.75	40.16	7.75	0.65	36.03	6.50	0.60	31.51	5.00	0.55
Charlottesville (city)	104	49.02	10.50	0.82	55.71	11.50	0.80	46.95	9.50	0.73	40.11	7.75	0.66	35.68	6.50	0.61	31.09	5.00	0.55
Chesapeake (city)	131	78.62	13.00	0.88	74.36	12.25	0.81	56.41	9.25	0.72	51.18	8.25	0.66	46.33	7.00	0.62	39.60	5.25	0.56
Chesterfield	20	52.72	10.75	0.83	49.08	9.75	0.76	50.71	10.00	0.73	39.77	7.25	0.65	37.31	6.50	0.61	32.29	5.00	0.55
Clarke	21	41.52	8.75	0.82	47.08	9.00	0.80	41.34	7.25	0.73	37.79	5.75	0.67	36.75	5.00	0.64	34.34	4.00	0.59
Craig	22	44.29	11.50	0.84	41.60	10.50	0.77	43.45	10.50	0.75	34.24	7.75	0.66	32.48	7.25	0.62	28.23	5.50	0.56
Culpeper	23	58.30	12.25	0.86	56.46	11.50	0.80	45.25	9.25	0.72	42.03	8.25	0.67	34.33	6.00	0.59	32.38	5.25	0.56
Cumberland	24	60.86	12.75	0.87	56.46	11.50	0.80	46.95	9.50	0.73	36.73	7.00	0.64	33.82	6.00	0.59	32.07	5.50	0.56
Danville (city)	108	50.48	10.50	0.82	39.15	8.75	0.72	35.48	7.75	0.66	33.76	6.75	0.62	33.66	6.25	0.59	34.46	6.00	0.57
Dickenson	25	53.26	12.75	0.87	44.86	10.75	0.79	44.28	10.50	0.75	35.17	7.75	0.65	35.11	7.25	0.62	29.39	5.00	0.55
Dinwiddie	26	61.04	11.50	0.85	57.21	10.75	0.78	54.03	10.00	0.74	44.17	7.75	0.66	40.85	7.00	0.62	34.12	5.00	0.55
Essex	28	61.14	12.00	0.86	59.79	11.50	0.80	51.93	10.00	0.74	41.19	7.50	0.65	40.52	7.25	0.62	33.95	5.25	0.56
Fairfax	29	55.09	11.50	0.84	54.20	11.00	0.79	47.70	9.50	0.73	39.18	7.25	0.65	36.34	6.50	0.60	32.29	5.00	0.55
Fauquier	30	54.24	11.50	0.85	54.80	11.50	0.80	48.34	10.00	0.74	41.03	8.25	0.66	35.44	6.50	0.60	31.38	5.00	0.55
Floyd	31	60.86	12.75	0.87	53.32	10.75	0.79	46.45	9.25	0.72	40.41	7.75	0.65	38.96	7.25	0.62	32.00	5.00	0.55
Fluvanna	32	60.98	12.75	0.88	51.10	10.75	0.79	47.55	10.00	0.74	38.60	7.75	0.65	34.59	6.50	0.61	30.33	5.25	0.55

B. D. & E factors for Virginia for determining rainfall intensity in the Rational and Modified Rational Methods (based on NOAA NW-14 Atlas data)

COUNTY/CITY	#	2-YR			5-YR			10-YR			25-YR			50-YR			100-YR		
		B	D	E	B	D	E	B	D	E	B	D	E	B	D	E	B	D	E
Franklin	33	54.24	11.50	0.85	52.48	10.75	0.79	48.34	10.00	0.74	38.91	7.75	0.65	35.14	6.50	0.61	30.77	5.00	0.55
Frederick	34	44.35	9.50	0.84	45.41	8.50	0.79	43.33	7.75	0.75	37.02	5.75	0.67	35.19	4.75	0.63	33.81	3.75	0.59
Fredericksburg (city)	111	65.52	13.25	0.88	60.63	12.00	0.81	49.92	10.00	0.73	41.35	7.75	0.66	38.56	7.00	0.61	30.46	4.50	0.54
Giles	35	48.45	12.25	0.87	47.31	12.00	0.81	38.52	9.75	0.73	34.13	8.25	0.67	28.63	6.50	0.60	26.45	5.50	0.56
Gloucester	36	60.97	11.50	0.84	60.74	11.25	0.79	53.07	9.50	0.73	43.62	7.50	0.65	40.14	6.50	0.60	35.98	5.25	0.55
Goochland	37	59.23	12.25	0.86	55.73	11.25	0.79	47.70	9.50	0.73	40.73	7.75	0.66	36.22	6.50	0.61	31.51	5.00	0.55
Grayson	38	43.44	11.50	0.84	47.31	12.00	0.81	38.69	9.50	0.73	33.62	7.75	0.66	32.48	7.25	0.62	27.65	5.25	0.55
Greene	39	46.81	10.50	0.82	57.10	12.00	0.81	48.11	10.00	0.74	38.01	7.25	0.65	34.64	6.25	0.61	31.75	5.25	0.56
Greensville	40	56.78	11.25	0.84	55.17	10.75	0.78	52.82	10.00	0.74	41.80	7.50	0.65	38.93	6.50	0.61	34.24	5.25	0.55
Halifax	41	62.13	12.25	0.87	54.16	10.75	0.78	49.92	10.00	0.73	42.69	8.25	0.67	36.22	6.50	0.61	31.60	5.25	0.55
Hampton (city)	114	64.31	11.50	0.85	64.94	11.50	0.80	57.19	10.00	0.74	44.49	7.25	0.64	41.77	6.50	0.60	37.02	5.00	0.55
Hanover	42	55.94	11.50	0.84	53.49	10.75	0.78	47.18	9.25	0.72	39.98	7.50	0.65	36.53	6.50	0.60	32.68	5.25	0.56
Harrisonburg (city)	115	43.01	11.25	0.84	44.71	10.50	0.80	39.71	9.25	0.74	32.17	6.50	0.65	28.85	5.25	0.60	28.34	4.75	0.57
Henrico	43	55.94	11.50	0.84	53.49	10.75	0.78	47.18	9.25	0.72	39.98	7.50	0.65	36.53	6.50	0.60	32.68	5.25	0.56
Henry	44	52.73	11.25	0.83	50.63	10.50	0.77	46.45	9.25	0.72	38.59	7.25	0.65	35.26	6.50	0.60	31.09	5.00	0.55
Highland	45	36.67	10.00	0.81	34.94	8.93	0.74	34.82	8.46	0.71	32.93	7.09	0.66	33.21	6.98	0.64	29.31	6.40	0.59
Isle of Wight	46	71.07	12.25	0.86	65.58	11.25	0.79	54.11	9.25	0.72	47.20	7.50	0.65	46.41	7.25	0.62	38.84	5.25	0.56
James City	47	70.63	12.75	0.87	57.84	10.50	0.78	55.61	10.00	0.74	48.54	8.50	0.67	38.78	6.00	0.59	36.77	5.25	0.56
King George	48	62.71	12.75	0.87	54.16	10.75	0.78	48.93	9.50	0.73	43.35	8.25	0.67	36.12	6.25	0.60	32.92	5.00	0.55
King & Queen	49	65.74	12.75	0.87	49.83	9.75	0.76	50.43	9.50	0.73	44.67	8.25	0.66	37.20	6.25	0.60	32.80	4.75	0.55
King William	50	62.90	12.25	0.86	51.80	10.00	0.77	51.51	10.00	0.73	41.19	7.50	0.65	40.52	7.25	0.62	33.21	5.00	0.55
Lancaster	51	60.12	11.50	0.84	61.61	11.50	0.80	53.83	9.75	0.74	44.47	7.75	0.66	39.83	6.50	0.61	35.83	5.25	0.56
Lee	52	51.05	12.25	0.86	45.70	10.75	0.78	38.28	8.75	0.71	38.78	8.50	0.67	34.62	6.75	0.61	31.95	5.75	0.56
Lexington (city)	117	44.29	11.50	0.84	46.49	11.50	0.79	39.05	9.25	0.72	33.28	7.25	0.65	33.34	7.25	0.62	27.07	5.00	0.55
Loudoun	53	61.40	12.25	0.88	44.34	8.75	0.76	46.93	8.75	0.74	41.48	7.25	0.67	36.12	5.50	0.61	33.25	4.50	0.56
Louisa	54	60.86	12.75	0.87	61.41	12.25	0.82	45.25	9.25	0.72	40.11	7.75	0.66	35.68	6.50	0.61	31.05	5.00	0.55
Lunenburg	55	60.15	12.25	0.85	49.08	9.75	0.76	50.71	10.00	0.73	39.77	7.25	0.65	39.34	7.25	0.62	32.50	5.25	0.55
Lynchburg (city)	118	46.46	12.25	0.85	44.03	10.75	0.79	41.63	10.00	0.74	32.39	7.25	0.64	32.48	7.25	0.62	27.18	5.25	0.55
Madison	56	54.24	11.50	0.85	53.17	10.75	0.79	46.61	9.25	0.73	41.85	8.00	0.67	37.33	6.50	0.62	33.01	5.25	0.57
Martinsville (city)	120	52.73	11.25	0.83	50.63	10.50	0.77	46.45	9.25	0.72	38.59	7.25	0.65	35.26	6.50	0.60	31.09	5.00	0.55
Mathews	57	65.67	12.25	0.86	58.83	10.75	0.78	52.39	9.25	0.72	48.24	8.25	0.67	40.45	6.50	0.60	37.10	5.25	0.56
Mecklenburg	58	60.15	12.25	0.85	49.08	9.75	0.76	50.71	10.00	0.73	39.77	7.25	0.65	39.34	7.25	0.62	32.50	5.25	0.55
Middlesex	59	72.66	13.25	0.88	61.46	11.25	0.79	52.39	9.75	0.73	45.09	7.75	0.66	40.37	6.50	0.61	36.12	5.25	0.56
Montgomery	60	47.29	11.75	0.85	44.20	10.75	0.78	44.28	10.50	0.75	35.12	7.75	0.66	33.34	7.25	0.62	27.24	5.00	0.55
Nelson	62	51.90	11.25	0.84	49.81	10.50	0.77	45.25	9.25	0.72	38.59	7.25	0.65	36.22	6.50	0.61	32.21	5.25	0.56
New Kent	63	62.82	12.25	0.85	55.69	10.50	0.78	49.27	9.25	0.72	43.85	7.75	0.66	41.70	7.25	0.62	34.75	5.25	0.55

B. D. & E factors for Virginia for determining rainfall intensity in the Rational and Modified Rational Methods (based on NOAA NW-14 Atlas data)

COUNTY/CITY	#	2-YR			5-YR			10-YR			25-YR			50-YR			100-YR		
		B	D	E	B	D	E	B	D	E	B	D	E	B	D	E	B	D	E
Newport News (city)	121	64.31	11.50	0.85	64.94	11.50	0.80	57.19	10.00	0.74	44.49	7.25	0.64	41.77	6.50	0.60	37.02	5.00	0.55
Norfolk (city)	122	60.83	11.25	0.84	64.03	11.50	0.80	51.92	9.25	0.72	47.96	8.25	0.66	39.29	6.00	0.59	37.10	5.25	0.56
Northampton	65	61.14	12.00	0.86	51.80	10.00	0.77	50.43	9.50	0.73	41.80	7.50	0.65	40.85	7.00	0.62	34.58	5.25	0.55
Northumberland	66	59.28	11.50	0.85	60.70	11.50	0.80	52.30	10.00	0.73	44.98	8.25	0.66	41.70	7.25	0.62	34.58	5.25	0.55
Nottoway	67	62.14	12.00	0.86	55.67	10.75	0.79	53.53	10.50	0.75	39.77	7.25	0.65	36.11	6.25	0.60	32.50	5.25	0.55
Orange	68	58.30	12.25	0.86	49.81	10.50	0.77	46.95	9.50	0.73	40.11	7.75	0.66	35.68	6.50	0.61	30.10	4.75	0.54
Page	69	39.07	8.50	0.82	41.80	8.25	0.78	40.62	7.50	0.74	38.83	6.50	0.68	32.66	4.50	0.62	34.36	4.50	0.60
Patrick	70	69.66	12.75	0.87	58.05	10.75	0.78	50.00	9.25	0.72	44.80	7.75	0.66	39.29	6.50	0.61	34.89	5.25	0.56
Pittsylvania	71	50.48	10.50	0.82	39.15	8.75	0.72	35.48	7.75	0.66	33.76	6.75	0.62	33.66	6.25	0.59	34.46	6.00	0.57
Powhatan	72	55.09	11.50	0.84	53.32	10.75	0.79	49.13	10.00	0.74	42.03	8.25	0.67	37.41	7.00	0.61	32.74	5.50	0.56
Prince Edward	73	42.34	9.75	0.78	54.20	11.00	0.79	48.19	9.50	0.73	40.73	7.75	0.66	34.33	6.00	0.59	31.56	5.25	0.55
Prince George	74	60.12	11.50	0.84	62.36	11.50	0.80	53.51	10.00	0.74	42.40	7.50	0.65	37.20	6.00	0.59	34.71	5.25	0.55
Prince William	76	52.66	11.50	0.85	46.85	10.00	0.77	47.55	10.00	0.74	40.37	8.25	0.66	35.14	6.50	0.61	31.09	5.25	0.55
Pulaski	77	45.53	12.25	0.86	47.31	12.00	0.81	34.60	8.50	0.71	34.79	8.25	0.67	28.35	6.00	0.59	26.38	5.25	0.55
Rappahannock	78	60.32	12.25	0.87	49.28	9.75	0.78	48.73	9.25	0.74	40.59	7.25	0.66	38.67	6.50	0.62	33.55	4.75	0.56
Richmond	79	62.90	12.25	0.86	59.05	11.50	0.80	53.81	10.00	0.74	44.32	8.25	0.66	39.21	6.75	0.61	34.42	5.25	0.56
Richmond (city)	127	57.69	11.50	0.85	54.99	10.75	0.78	47.91	9.25	0.72	41.66	7.75	0.65	36.88	6.50	0.60	33.15	5.25	0.56
Roanoke	80	47.62	11.50	0.85	47.08	10.75	0.79	47.73	10.75	0.75	38.78	8.50	0.67	34.84	7.25	0.62	29.06	5.25	0.55
Roanoke (city)	128	47.62	11.50	0.85	47.08	10.75	0.79	47.73	10.75	0.75	38.78	8.50	0.67	34.84	7.25	0.62	29.06	5.25	0.55
Rockbridge	81	44.29	11.50	0.84	46.49	11.50	0.79	39.05	9.25	0.72	33.28	7.25	0.65	33.34	7.25	0.62	27.07	5.00	0.55
Rockingham	82	43.01	11.25	0.84	44.71	10.50	0.80	39.71	9.25	0.74	32.17	6.50	0.65	28.85	5.25	0.60	28.34	4.75	0.57
Russell	83	46.78	11.50	0.85	43.36	10.75	0.78	38.95	9.50	0.72	37.76	8.75	0.67	31.10	6.50	0.60	28.76	5.25	0.56
Scott	84	51.20	12.75	0.87	52.43	12.75	0.82	42.17	10.00	0.74	35.47	8.00	0.66	33.34	7.25	0.62	28.29	5.25	0.56
Shenandoah	85	45.21	9.25	0.85	44.82	8.50	0.80	43.22	7.75	0.75	39.74	6.25	0.69	35.16	4.75	0.64	32.71	3.50	0.59
Smyth	86	52.17	12.75	0.87	44.20	10.75	0.78	46.01	10.75	0.75	36.42	8.25	0.66	34.52	7.25	0.62	29.06	5.25	0.55
Southampton	87	67.40	12.25	0.86	65.69	11.50	0.80	54.56	9.50	0.73	44.83	7.50	0.65	41.23	6.50	0.60	38.91	5.75	0.57
Spotsylvania	88	65.52	13.25	0.88	60.63	12.00	0.81	49.92	10.00	0.73	41.35	7.75	0.66	38.56	7.00	0.61	30.46	4.50	0.54
Stafford	89	65.52	13.25	0.88	60.63	12.00	0.81	49.92	10.00	0.73	41.35	7.75	0.66	38.56	7.00	0.61	30.46	4.50	0.54
Staunton (city)	132	46.46	12.25	0.85	44.03	10.75	0.79	41.63	10.00	0.74	32.39	7.25	0.64	32.48	7.25	0.62	27.18	5.25	0.55
Suffolk (city)	133	78.09	12.81	0.88	60.79	10.45	0.77	54.21	9.02	0.72	47.94	7.41	0.65	45.23	6.47	0.62	42.16	5.42	0.58
Surry	90	63.47	11.50	0.85	58.83	10.75	0.78	52.39	9.25	0.72	45.72	7.75	0.66	40.68	6.50	0.60	36.45	5.25	0.55
Sussex	91	60.01	11.25	0.84	78.66	13.25	0.85	54.30	10.00	0.73	46.30	8.25	0.66	42.87	7.25	0.62	35.65	5.25	0.55
Tazewell	92	44.30	12.00	0.85	47.70	12.25	0.82	36.89	9.25	0.73	34.19	8.00	0.66	29.66	6.25	0.60	27.24	5.00	0.55
Virginia Beach (city)	134	61.66	11.25	0.84	61.78	10.75	0.79	56.67	10.00	0.73	49.63	8.25	0.67	40.32	6.00	0.59	37.86	5.25	0.56
Warren	93	44.97	9.50	0.84	44.54	8.75	0.78	41.57	7.75	0.73	39.56	6.50	0.68	33.97	4.75	0.61	34.11	4.25	0.59
Washington	95	41.68	11.50	0.83	41.14	10.75	0.78	42.11	10.75	0.75	31.43	7.75	0.65	27.32	6.00	0.59	26.45	5.50	0.56

B. D. & E factors for Virginia for determining rainfall intensity in the Rational and Modified Rational Methods (based on NOAA NW-14 Atlas data)

COUNTY/CITY	#	2-YR			5-YR			10-YR			25-YR			50-YR			100-YR		
		B	D	E	B	D	E	B	D	E	B	D	E	B	D	E	B	D	E
Westmoreland	96	55.94	11.50	0.84	58.28	11.50	0.80	54.10	10.50	0.75	41.98	7.75	0.66	39.93	7.25	0.62	33.44	5.25	0.55
Williamsburg (city)	137	70.63	12.75	0.87	57.84	10.50	0.78	55.61	10.00	0.74	48.54	8.50	0.67	38.78	6.00	0.59	36.77	5.25	0.56
Winchester (city)	138	44.35	9.50	0.84	45.41	8.50	0.79	43.33	7.75	0.75	37.02	5.75	0.67	35.19	4.75	0.63	33.81	3.75	0.59
Wise	97	53.26	12.75	0.87	44.86	10.75	0.79	44.28	10.50	0.75	36.41	8.00	0.66	35.11	7.25	0.62	29.23	5.00	0.55
Wythe	98	50.79	13.00	0.88	44.18	11.25	0.80	42.97	10.75	0.75	35.80	8.25	0.67	31.03	6.50	0.61	28.29	5.25	0.56
York	99	69.54	12.75	0.87	58.89	10.75	0.78	55.09	10.00	0.73	45.72	7.75	0.66	40.68	6.50	0.60	38.41	5.75	0.57

# HYDRAULIC DESIGN ADVISORY

## HDA 05-04.3

DATE: JULY 28, 2005, REVISED FEBRUARY 1, 2008, REVISED AUGUST 27, 2008

SUBJECT: Application of NRCS' "TR-55", "TR-20", & "EFH-2"  
Hydrologic Computations Using NOAA ATLAS 14 Rainfall  
Data

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Senior Hydraulics Advisor

This HDA supplements Hydraulic Design Advisory HDA 05-03, issued 06/21/05 & revised 07/18/05. When using hydrologic computational methods based on 24-hr. point rainfall such as the National Resource Conservation Service's (NRCS) "TR-55", "TR-20", "EFH-2" or other methods predicated on the application of NRCS' procedures, it will be necessary to use NOAA's "ATLAS-14" Rainfall Precipitation Frequency Data. This will be required whenever these hydrologic computational methods are employed in drainage designs for Department projects or those that will ultimately come under the Department's jurisdiction. This takes effect with the issuance of this Hydraulic Design Advisory. Exceptions in the case of projects already underway are outlined in HDA 05-03.

The 24-hr. Rainfall Depths table for Virginia, presented in the VDOT DRAINAGE MANUAL in Chapter 11, Appendix 11C-3, has been revised to reflect the NOAA "ATLAS-14" data for the 1 to 100-yr. rainfall frequency events. This information was provided to the Department by the Richmond, Virginia office of the National Resource Conservation Service (NRCS) on January 29, 2008. This data can also be accessed from the Virginia NRCS web site at the following address:

<http://www.va.nrcs.usda.gov/technical/hydrology.html>

When the web page is displayed, select the link entitled Virginia NRCS NOAA Atlas 14 Rainfall Data, updated January 2008. The (VDOT) revised table will be included in the next formal revision to the VDOT DRAINAGE MANUAL. A copy of the revised table is attached to this Hydraulic Design Advisory.

It should be noted that the NRCS provided rainfall data only for Virginia counties. The rainfall data shown for Virginia cities was obtained by the Department directly from NOAA's Precipitation Frequency Data Server at the following web address:

[http://hdsc.nws.noaa.gov/hdsc/pfds/orb/va\\_pfds.html](http://hdsc.nws.noaa.gov/hdsc/pfds/orb/va_pfds.html)

It should also be noted that the NRCS determined that 17 Virginia counties were covered by multiple rainfall zones within the county: some by as few as two zones, others with as many as 4. The affected counties show up in the attached table with a zone number (in

parentheses) beside the county name. In order to determine the precise location of the zone number within that county it will be necessary to consult the county zone maps located on the Richmond, Virginia NRCS' web site at the following web address:

[http://www.va.nrcs.usda.gov/technical/rainfall\\_maps.html](http://www.va.nrcs.usda.gov/technical/rainfall_maps.html)

The Virginia office of the NRCS has recently advised that the NOAA "ATLAS-14" rainfall data does not, in many instances, follow the current Type II and Type III temporal distribution curves. They indicated that the Type II curve, as shown in the current edition of the VDOT DRAINAGE MANUAL in Appendix 6H-1 of Chapter 6 – HYDROLOGY, will only give reasonable results for return interval (frequency) storm events up to and including a 10-yr. event and should be used with caution. They have advised that the soon to be released revised "TR-20" software package will provide a routine that will convert the "ATLAS-14" rainfall data from NOAA's Precipitation Frequency Data Server to county-specific temporal distribution curves. Their "TR-55" and "EFH-2" software packages will ultimately contain this same feature. The NRCS Virginia office has indicated that additional information on this issue, it will be posted on their web site as it becomes available.

Recent discussions with the Virginia Department of Conservation & Recreation (DCR) have confirmed that they will accept the NOAA "ATLAS-14" Rainfall Precipitation Frequency data as presented herein for regulatory purposes in lieu of the older, NRCS-based tables presented in their handbook.

Any comments or questions related to this Hydraulic Design Advisory should be directed to

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APPENDIX 11C-3     24-HR. RAINFALL DEPTHS (INCHES)

COUNTY	FREQ. (YRS.)						
	1	2	5	10	25	50	100
Accomack	2.67	3.25	4.23	5.07	6.36	7.48	8.75
Albemarle (Zone 1)	3.42	4.14	5.27	6.21	7.59	8.77	10.10
Albemarle (Zone 2)	3.00	3.63	4.63	5.48	6.72	7.79	8.96
Alleghany	2.39	2.88	3.60	4.20	5.05	5.77	6.53
Amelia	2.73	3.30	4.22	5.00	6.15	7.13	8.20
Amherst	2.80	3.39	4.32	5.09	6.22	7.17	8.21
Appomattox	2.82	3.42	4.37	5.17	6.36	7.37	8.49
Arlington	2.69	3.15	4.05	4.84	6.06	7.14	8.37
Augusta (Zone 1)	2.48	3.00	3.78	4.43	5.38	6.17	7.02
Augusta (Zone 2)	2.98	3.61	4.59	5.40	6.58	7.58	8.67
Bath	2.49	3.00	3.76	4.38	5.27	6.01	6.80
Bedford (Zone 1)	3.10	3.76	4.80	5.66	6.92	8.01	9.20
Bedford (Zone 2)	2.76	3.35	4.27	5.04	6.17	7.13	8.18
Bland	2.16	2.58	3.13	3.58	4.19	4.68	5.19
Botetourt	2.60	3.15	4.00	4.70	5.71	6.57	7.50
Brunswick	2.78	3.37	4.33	5.13	6.29	7.27	8.33
Buchanan	2.18	2.60	3.17	3.65	4.34	4.91	5.51
Buckingham	2.78	3.37	4.31	5.09	6.26	7.26	8.36
Campbell	2.75	3.33	4.25	5.03	6.18	7.17	8.25
Caroline	2.68	3.25	4.19	5.00	6.24	7.33	8.56
Carroll (Zone 1)	2.29	2.76	3.48	4.05	4.85	5.50	6.18
Carroll (Zone 2)	2.62	3.17	4.02	4.71	5.68	6.48	7.33
Carroll (Zone 3)	2.95	3.57	4.55	5.35	6.50	7.46	8.50
Carroll (Zone 4)	3.36	4.97	5.20	6.13	7.49	8.65	9.92
Charles City	2.82	3.42	4.41	5.25	6.49	7.57	8.76
Charlotte	2.71	3.28	4.20	4.97	6.10	7.08	8.14
Chesapeake (city)	3.03	3.69	4.76	5.67	7.01	8.17	9.44
Chesterfield	2.77	3.35	4.29	5.09	6.27	7.28	8.39
Clarke	2.41	2.90	3.64	4.25	5.16	5.92	6.75
Craig	2.40	2.89	3.64	4.25	5.12	5.86	6.65
Culpeper	2.70	3.27	4.19	4.99	6.19	7.24	8.42
Cumberland	2.71	3.28	4.19	4.96	6.09	7.07	8.14
Dickenson	2.21	2.63	3.22	3.72	4.44	5.04	5.69
Dinwiddie	2.80	3.39	4.35	5.15	6.32	7.30	8.38

APPENDIX 11C-3      24-HR. RAINFALL DEPTHS (INCHES)

COUNTY	FREQ. (YRS.)						
	1	2	5	10	25	50	100
Essex	2.67	3.25	4.20	5.03	6.29	7.40	8.64
Fairfax	2.57	3.11	4.00	4.78	5.98	7.05	8.25
Fauquier	2.59	3.13	3.99	4.74	5.88	6.88	7.99
Floyd (Zone 1)	2.55	3.09	3.93	4.62	5.64	6.49	7.40
Floyd (Zone 2)	2.86	3.47	4.42	5.21	6.37	7.34	8.41
Floyd (Zone 3)	3.38	4.11	5.24	6.19	7.59	8.78	10.09
Floyd (Zone 4)	3.81	4.63	5.92	7.00	8.59	9.97	11.49
Fluvanna	2.68	3.25	4.15	4.91	6.03	7.00	8.05
Franklin	2.83	3.43	4.37	5.16	6.32	7.31	8.39
Frederick	2.36	2.83	3.53	4.11	4.96	5.68	6.46
Giles (Zone 1)	2.11	2.53	3.14	3.63	4.34	4.92	5.53
Giles (Zone 2)	2.34	2.81	3.51	4.08	4.90	5.57	6.31
Gloucester	2.87	3.49	4.52	5.41	6.73	7.89	9.19
Goochland	2.71	3.28	4.19	4.97	6.12	7.11	8.19
Grayson (Zone 1)	3.24	3.89	4.83	5.59	6.68	7.59	8.57
Grayson (Zone 2)	2.46	2.95	3.66	4.22	5.00	5.64	6.29
Grayson (Zone 3)	2.59	3.13	3.94	4.59	5.50	6.24	7.02
Greene (Zone 1)	3.38	4.09	5.19	6.11	7.47	8.63	9.92
Greene (Zone 2)	3.05	3.69	4.70	5.54	6.79	7.85	9.03
Greensville	2.74	3.32	4.28	5.08	6.25	7.23	8.31
Halifax	2.70	3.26	4.15	4.89	5.96	6.86	7.84
Hampton (city)	2.93	3.57	4.62	5.53	6.87	8.04	9.33
Hanover	2.71	3.28	4.21	5.01	6.21	7.26	8.42
Henrico	2.75	3.33	4.27	5.06	6.26	7.28	8.42
Henry	2.89	3.50	4.47	5.29	6.49	7.51	8.63
Highland	2.44	2.93	3.61	4.18	5.01	5.69	6.42
Isle of Wight	2.95	3.59	4.64	5.53	6.84	7.96	9.20
James City	2.90	3.53	4.56	5.45	6.75	7.89	9.15
King and Queen	2.72	3.31	4.28	5.11	6.38	7.49	8.73
King George	2.62	3.19	4.12	4.94	6.19	7.28	8.52
King William	2.70	3.28	4.23	5.05	6.30	7.38	8.59
Lancaster	2.74	3.33	4.33	5.19	6.49	7.63	8.91
Lee	2.56	3.05	3.71	4.26	5.03	5.68	6.38
Loudoun	2.53	3.05	3.89	4.61	5.70	6.64	7.70

APPENDIX 11C-3      24-HR. RAINFALL DEPTHS (INCHES)

COUNTY	FREQ. (YRS.)						
	1	2	5	10	25	50	100
Louisa	2.73	3.31	4.23	5.01	6.18	7.18	8.28
Lunenburg	2.72	3.29	4.21	4.99	6.12	7.09	8.15
Lynchburg (city)	2.75	3.33	4.26	5.03	6.17	7.14	8.20
Madison (Zone 1)	3.36	4.07	5.18	6.10	7.46	8.62	9.91
Madison (Zone 2)	2.87	3.48	4.44	5.25	6.45	7.48	8.61
Mathews	2.83	3.45	4.47	5.36	6.70	7.87	9.17
Mecklenburg	2.68	3.25	4.14	4.87	5.94	6.84	7.82
Middlesex	2.77	3.37	4.37	5.24	6.54	7.68	8.96
Montgomery (Zone 1)	2.00	2.42	3.06	3.58	4.34	4.97	5.64
Montgomery (Zone 2)	2.28	2.76	3.50	4.11	4.99	5.73	6.52
Montgomery (Zone 3)	2.60	3.15	4.01	4.72	5.75	6.61	7.55
Nelson	2.99	3.62	4.62	5.45	6.66	7.70	8.83
New Kent	2.78	3.37	4.35	5.19	6.45	7.53	8.75
Newport News (city)	2.94	3.58	4.63	5.53	6.86	8.01	9.28
Norfolk (city)	2.94	3.57	4.62	5.50	6.82	7.95	9.20
Northampton	2.74	3.33	4.33	5.19	6.48	7.61	8.88
Northumberland	2.69	3.27	4.25	5.10	6.39	7.51	8.78
Nottoway	2.73	3.31	4.23	5.00	6.15	7.12	8.19
Orange	2.76	3.34	4.27	5.07	6.27	7.30	8.46
Page (Zone 1)	2.44	2.94	3.71	4.35	5.28	6.07	6.93
Page (Zone 2)	3.06	3.70	4.69	5.52	6.73	7.77	8.90
Patrick (Zone 1)	3.79	4.61	5.89	6.97	8.57	9.94	11.46
Patrick (Zone 2)	3.33	4.04	5.16	6.10	7.49	8.68	9.98
Patrick (Zone 3)	3.06	3.71	4.73	5.59	6.85	7.93	9.10
Petersburg (city)	2.80	3.40	4.35	5.16	6.35	7.36	8.46
Pittsylvania	2.78	3.37	4.29	5.07	6.20	7.17	8.23
Poquoson (city)	2.93	3.56	4.61	5.42	6.87	8.05	9.35
Portsmouth (city)	2.96	3.61	4.66	5.55	6.87	8.01	9.27
Powhatan	2.71	3.28	4.20	4.97	6.12	7.11	8.19
Prince Edward	2.74	3.32	4.24	5.02	6.18	7.16	8.25
Prince George	2.81	3.41	4.39	5.21	6.42	7.45	8.58
Prince William	2.51	3.04	3.91	4.67	5.84	6.86	8.03
Pulaski	2.03	2.46	3.10	3.63	4.39	5.02	5.69
Rappahannock	2.74	3.31	4.22	4.98	6.12	7.10	8.18

APPENDIX 11C-3      24-HR. RAINFALL DEPTHS (INCHES)

COUNTY	FREQ. (YRS.)						
	1	2	5	10	25	50	100
Richmond (city)	2.76	3.34	4.28	5.08	6.27	7.29	8.42
Richmond	2.70	3.29	4.26	5.11	6.40	7.52	8.80
Roanoke (Zone 1)	2.37	2.87	3.64	4.27	5.19	5.96	6.78
Roanoke (Zone 2)	2.62	3.17	4.03	4.74	5.77	6.64	7.58
Rockbridge (Zone 1)	2.54	3.07	3.90	4.58	5.57	6.39	7.29
Rockbridge (Zone 2)	2.94	3.56	4.53	5.33	6.48	7.46	8.51
Rockingham (Zone 1)	2.27	2.73	3.43	4.03	4.91	5.66	6.49
Rockingham (Zone 2)	3.06	3.69	4.68	5.50	6.69	7.72	8.84
Russell	2.20	2.62	3.17	3.62	4.27	4.80	5.36
Scott (Zone 1)	2.39	2.84	3.43	3.91	4.59	5.15	5.75
Scott (Zone 2)	2.25	2.67	3.18	3.59	4.14	4.58	5.02
Shenandoah	2.31	2.78	3.48	4.07	4.94	5.69	6.50
Smyth	2.34	2.78	3.35	3.81	4.44	4.95	5.47
Southampton	2.87	3.49	4.50	5.35	6.60	7.66	8.83
Spotsylvania	2.66	3.22	4.14	4.93	6.13	7.19	8.37
Stafford	2.58	3.11	4.00	4.79	5.99	7.05	8.25
Suffolk (city)	2.99	3.64	4.70	5.59	6.91	8.04	9.28
Surry	2.90	3.52	4.55	5.42	6.70	7.80	9.02
Sussex	2.85	3.46	4.45	5.29	6.50	7.52	8.63
Tazewell	2.16	2.56	3.09	3.52	4.12	4.61	5.11
Virginia Beach (city)	3.01	3.67	4.74	5.65	6.99	8.15	9.42
Warren (Zone 1)	2.48	2.99	3.78	4.43	5.38	6.19	7.08
Warren (Zone 2)	2.84	3.43	4.35	5.11	6.25	7.23	8.31
Washington	2.21	2.62	3.13	3.54	4.10	4.54	4.99
Westmoreland	2.66	3.24	4.20	5.04	6.31	7.42	8.68
Wise	2.29	2.73	3.33	3.85	4.59	5.21	5.87
Wythe	2.13	2.55	3.13	3.60	4.24	4.75	5.27
York	2.93	3.56	4.61	5.51	6.84	8.00	9.28

# HYDRAULIC DESIGN ADVISORY

## HDA 06-03.1

DATE: AUGUST 14, 2006

REVISED SEPTEMBER 1, 2007

SUBJECT: CULVERT OUTLET PROTECTION  
ROAD AND BRIDGE STANDARD EC-1

SUPERSEDES: SECTION 8.3.2.6 OF THE 2002 VDOT DRAINAGE MANUAL  
& HDA 06-03

AUTHOR: STEPHEN D. KINDY, P.E.  
ASST. STATE HYDRAULICS ENGINEER

The shaded text reflects the changes from the original HDA 06-03 document.

A review of our current culvert outlet protection practices has indicated that a greater emphasis on the existing soil type is warranted to: 1) insure protection of the downstream channel or swale where material or lining in the downstream channel or swale may be unstable (erodible) under the anticipated velocities exiting the culvert, and 2) insure protection of the culvert end by providing measures to prevent the formation of a scour hole at the culvert outlet.

The type of material in the swale/channel at culvert outlets will need to be determined based on observations or field borings secured in accordance with the guidance found in Drainage Design Memorandum 1 (DDM1), Drainage Design Instructions, located in Chapter 15 of the VDOT Drainage Manual. The allowable velocity for natural material can be found in the table shown in Appendix 7D-2 of the VDOT Drainage Manual. The guidelines and procedures presented herein shall be implemented on all VDOT projects that: 1) have not progressed past the Right-of-Way stage or 2) have not progressed beyond the Pre-Advertisement Conference where right-of-way or easement will not be impacted or 3) will not result in any impacts to any conditions of secured water quality permits.

Highlights of the new procedures/details are:

1. Maintains current rip rap sizes for outlet velocities 8 fps and greater
2. Establishes new riprap size for outlet velocities up to 8 fps
3. Allows the use of EC-3 Type B for velocities less than 6 fps
4. Maintains current apron dimensions for culvert installations with a total hydraulic opening of less than 7 square feet.
5. Increases apron length to five times the height of the culvert for culvert installations with a total hydraulic opening of 7 square feet or greater.
6. Evaluates **need** for outlet protection based on 2 year culvert outlet velocity and allowable velocity of material in outlet channel or swale
7. Evaluates **type** of outlet protection required based on culvert outlet velocity for design discharge

The objectives of the new details/procedures are to:

1. Minimize impacts to right of way of easement areas at smaller culvert sites
2. Minimize length of stream impacts
3. Minimize need for outlet protection where channel/swale material will be stable for culvert outlet velocities
4. Provide alternative to riprap at sites with low outlet velocities
5. Satisfy DCR Minimum Standard 11

### **NEW OUTLET PROTECTION DETAILS**

- Dimensions Of Outlet Protection Apron:
  - Type A Installation – Minimum 3H Length & Minimum 3S Width
  - Type B Installation – Minimum 5H Length & Minimum 3S Width
    - Where: S = Span of Culvert  
H = Height of Culvert
    - For a multiple culvert line installations the largest S and H, dimensions of the individual culvert lines should be used in determining the minimum apron length dimensions.
- Outlet Protection Material
  - Standard EC-3 Type B
  - Class A1 – Class A1 Dry Riprap
  - Class I – Class I Dry Riprap
  - Class II – Class II Dry Riprap

### **NEW OUTLET PROTECTION PROCEDURE**

The following procedure shall be used to analyze the need for outlet protection on:

- All cross drain culverts
- All storm drain outlet pipes
- All entrance and crossover pipes with a diameter of 24” (or equivalent hydraulic opening) or greater

**Step 1** - Determine if Culvert Outlet Protection is required for protection of swale or channel.

- A. Compute culvert outlet velocity for 2 year design storm.
- B. Compare 2 year design storm culvert outlet velocity to allowable velocity for outlet swale/channel material or lining.
  - Swale/channel material type based on field borings/observations or proposed lining.
  - Allowable velocity for natural swale/channel material based on VDOT Drainage Manual Chapter 7 - Appendix 7D-2.

- C. If two year design storm culvert outlet velocity is equal to or less than allowable velocity for swale/channel material, no Culvert Outlet Protection is required for swale/channel protection.
  - **Go to Step 2.**
- D. If two year design storm culvert outlet velocity is greater than allowable velocity for swale/channel material, Culvert Outlet Protection is required.
  - **Go to Step 3.**

**Step 2** - Determine Culvert Outlet Protection required for culvert end protection

- A. Compute culvert outlet velocity for culvert design storm.
- B. If culvert outlet velocity for culvert design storm is less than 6 fps, Culvert Outlet Protection is not required for culvert end protection.
  - **Stop**
- C. If culvert outlet velocity for design storm is 6 fps or greater, Culvert Outlet Protection is required for culvert end protection.
  - **Go to Step 3.**

**Step 3** – Determine Class of Culvert Outlet Protection to use.

- A. When EC-1 Culvert Outlet Protection is required by either Step 1 or Step 2, EC-3 Type B or the Class of EC-1 to be specified shall be based on the culvert design storm outlet velocity with the following velocity limitations.
  - EC-3 Type B – maximum outlet velocity is 6 fps.
  - EC-1 Class A1 – maximum outlet velocity is 8 fps.
  - EC-1 Class I – maximum outlet velocity is 14 fps.
  - EC-1 Class II – maximum outlet velocity is 19 fps.
  - Use Special Design Culvert Outlet Protection for outlet velocity greater than 19 fps.
- **Go to Step 4**

**Step 4** - Determine Type of EC-1 Installation to use.

- A. When Culvert Outlet Protection is required by either Step 1 or Step 2, specify the Type of Installation to use based on the total hydraulic opening of the culvert installation.
  - Use Type A Installation for culvert installations with a total hydraulic opening of less than 7 square feet.
  - Use Type B Installation for culvert installations with a total hydraulic opening of 7 square feet or greater.

## PLAN DESCRIPTION

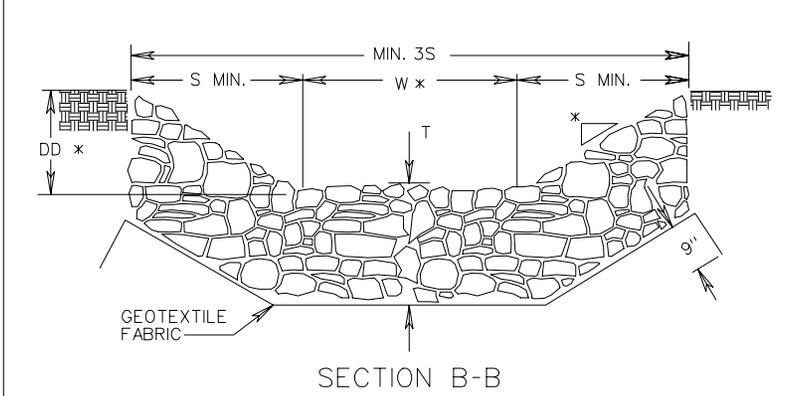
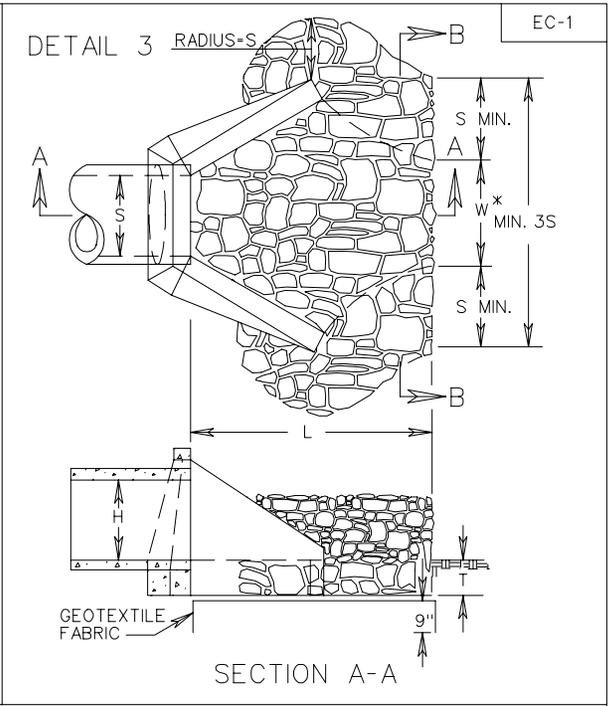
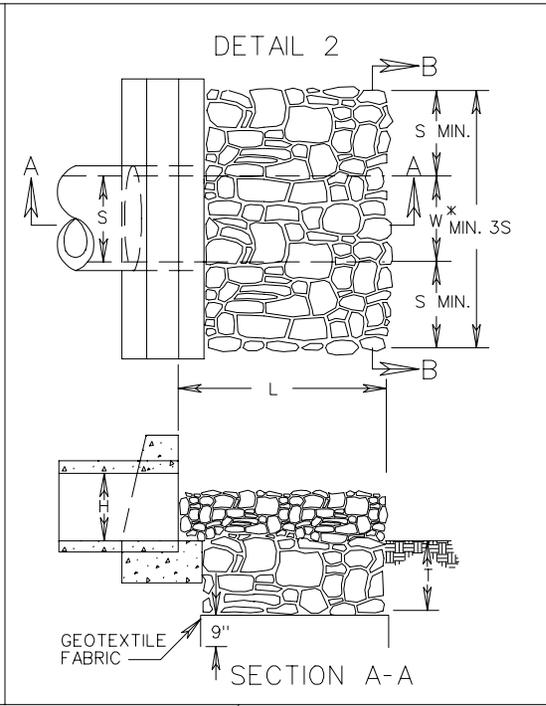
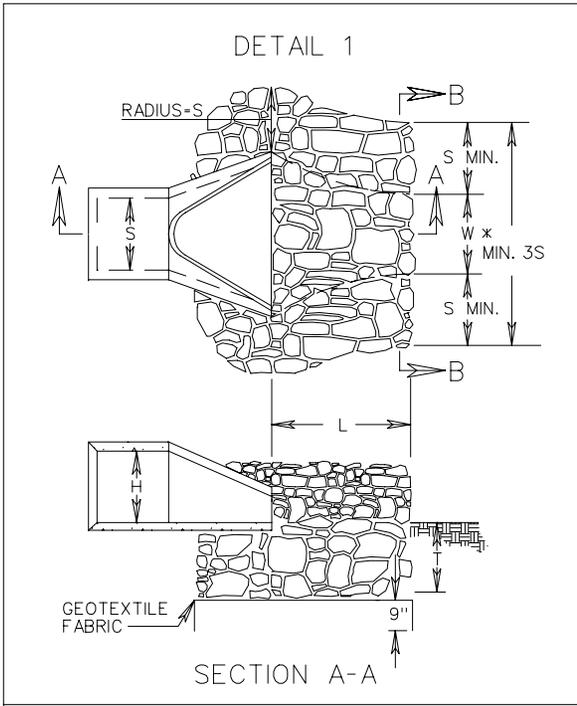
- \_\_\_\_ Sq. Yds. (Tons) Standard EC-1 Class \_\_\_\_ Required  
Type \_\_\_\_ Installation
- \_\_\_\_ Sq. Yds. Standard EC-3 Type B Culvert Outlet Protection Required

Road and Bridge Standard drawings 114.01 and 114.03 and Road and Bridge Specification Sections 414 and 603 have been revised to incorporate the new protection measure details outlined in this HDA.

The revisions to the Road and Bridge Specifications will be in the new, soon to be published book. The item codes in Transport have been established for the associated pay items. In the interim, this HDA will serve as authorization to incorporate these changes, as appropriate, into ongoing activities.

DRAFT

EC-1



NOTES:

1. FOR MULTIPLE LINE INSTALLATIONS, DIMENSION S IS TO GOVERN THE PROTECTION OUTSIDE THE CHANNEL WIDTH (W).
  2. ON ANY INSTALLATION REQUIRING CULVERT OUTLET PROTECTION WHERE NO ENDWALL OR ENDSECTION IS SPECIFIED ON THE PLANS, CONSTRUCTION IS TO BE IN ACCORDANCE WITH DETAIL 2 SHOWN ABOVE.
  3. GEOTEXTILE FABRIC TO BE INSTALLED UNDER CLASS 2, 3, AND 4 MATERIALS IN ACCORDANCE WITH THE SPECIFICATIONS.
  4. S = DIAMETER OF CIRCULAR CULVERT OR SPAN FOR BOX, ELLIPTICAL OR ARCH CULVERT. H = DIAMETER OF CIRCULAR CULVERT OR RISE/HEIGHT FOR BOX, ELLIPTICAL OR ARCH CULVERT.
  5. PLAN AND SECTION DETAILS DEPICT CLASS 2, 3, AND 4 MATERIALS. FOR CLASS 1 INSTALLATION DETAILS SEE EC-3 TYPE B STANDARD DRAWING.
- \* USE TYPICAL SECTION SHOWN ON PLANS FOR SIDE SLOPE, BOTTOM WIDTH AND DEPTH OF CHANNEL OR MATCH EXISTING DITCH OR NATURAL GROUND.

TYPE OF OUTLET PROTECTION MATERIAL		MAXIMUM OUTLET VELOCITY (FOR DESIGN STORM)	MINIMUM "T"
CLASS 1	EC-3 TYPE B	6 fps	NA
CLASS 2	CLASS A1 DRY RIPRAP	8 fps	20"
CLASS 3	CLASS I DRY RIPRAP	14 fps	26"
CLASS 4	CLASS II DRY RIPRAP	19 fps	38"

OUTLET PROTECTION MINIMUM LENGTH (L)	
TYPE A INSTALLATION	3H
TYPE B INSTALLATION	5H

SPECIFICATION REFERENCE
204
245
303
414

CULVERT OUTLET PROTECTION

VIRGINIA DEPARTMENT OF TRANSPORTATION

DRAFT

114.01

HYDRAULIC DESIGN ADVISORY  
HDA 06-05

DATE: NOVEMBER 1, 2006

REVISED NOVEMBER 16, 2006

SUBJECT: EROSION AND SEDIMENT CONTROL PLAN DETAILS

AUTHOR: ROY T. MILLS  
STATE HYDRAULICS ENGINEER  
ESC PROGRAM ADMINISTRATOR

Instructional and Informational Memorandum IIM-LD-11.24, dated August 15, 2006, stated that the design criteria and procedures of erosion and sediment control features would be contained in the VDOT Drainage Manual, Appendix 10B. This information was previously a part of the voided IIM-LD-11.23.

Due to potential changes to many of the erosion and sediment control standards and specifications to bring them in line with current technology, Appendix 10B has not yet been finalized. In the meantime, the technical information noted in the voided IIM-LD-11.23 for the preparation of erosion and sediment control plans is still valid. That information has been duplicated (and updated where appropriate) and titled as a Temporary Appendix 10B and is included as a part of this HDA.

The information in the Temporary Appendix 10B should be utilized until such time it is superseded by the formal addition of an Appendix 10B to Chapter 10 of the VDOT Drainage Manual.

**HYDRAULIC DESIGN ADVISORY 06- 05**  
**EROSION AND SEDIMENT CONTROL PLAN DETAILS**  
**TEMPORARY APPENDIX 10B**  
**(SUPPLEMENT TO CHAPTER 10 OF THE VDOT DRAINAGE MANUAL)**  
**NOVEMBER 1, 2006**  
**REVISED NOVEMBER 16, 2006**

DESIGN GUIDELINES

References

- In addition to the information contained herein, the following references contain design and/or construction guidelines and details:
  - VDOT Road and Bridge Standards.
  - VDOT Road and Bridge Specifications.
  - DCR Virginia Erosion and Sediment Control Handbook.
  - VDOT Drainage Manual
  - Hydraulic Design Advisories
  - L&D Instructional and Informational Memorandum

Diversion of Off-Site Stormwater Run-Off

- Stormwater run-off from areas outside the project limits shall, where practical, be diverted around the disturbed areas of the project.
- Erosion and sediment control measures such as diversion ditches, diversion dikes (VDOT Road and Bridge Standard EC-9) (Reference DCR Standard 3.09), stabilized channels, etc. shall be used to limit the stormwater run-off flowing across the disturbed areas of the project.
- Where diversion of runoff from offsite areas is impractical, the flow can be conveyed through the disturbed area in a culvert or a stabilized channel or ditch. Erosion and sediment control measures, such as temporary filter barrier or silt fence, shall be provided along the sides of the ditch or channel to prevent sediment from adjacent disturbed areas from entering the ditch or channel.

Stabilized Construction Entrances - VDOT Road and Bridge Standard. ESC-INS  
(Reference DCR Standard 3.02)

Wherever construction traffic will enter or cross a public road, a stabilized construction entrance is required to minimize the transporting of sediment onto the adjoining surface. This entrance is to be constructed in accordance with the details shown on Standard Drawing ESC-INS on page 115.01 of the 2001 VDOT Road and Bridge Standards.

In areas where clay or other soils that can be easily tracked onto a public roadway are encountered, a wash rack shall be provided to facilitate removal of sediment from vehicles using the entrance. Sediment laden runoff shall be directed to an approved sediment trapping device.

- Surface water shall be piped under the construction entrance. If piping is impractical, a mountable berm with 5:1 slopes will be permitted.
- Maintenance must be provided to assure continuous performance of the stabilized construction entrance.
- The need and potential locations for stabilized construction entrances should be discussed at the Field Inspection meeting or discussed with the appropriate District Environmental Manager or Construction Engineer.

Temporary Silt Fence - VDOT Road and Bridge Standard EC-5  
(Reference DCR Standard 3.05 & 3.08)

- Temporary Silt Fence is to be used to control sediment in non-concentrated (sheet) flow areas.
- Temporary Silt Fence is to be used at the toe of embankments where the fill height is equal to or greater than 5' (1.5 m).
- Additional erosion and sediment control measures must be provided to supplement Temporary Silt Fences located along the toe of embankments where the area draining to Temporary Silt Fence exceeds 11,000 square feet (1020 m<sup>2</sup>) per 100 linear feet (30 m) of Silt Fence.

Temporary Filter Barrier - VDOT Road and Bridge Standard EC-5  
(Reference DCR Standard 3.05 & 3.08)

- Temporary Filter Barrier is to be used to control sediment in non-concentrated (sheet) flow areas.
- Temporary Filter Barrier is to be used at the toe of embankments where the height of fill is less than 5' (1.5 m).
- Additional erosion and sediment control measures must be provided to supplement Temporary Filter Barriers located along the toe of embankments where the area draining to Temporary Filter Barrier exceeds 11,000 square feet (1020 m<sup>2</sup>) per 100 linear feet (30 m) of Filter Barrier.

- Baled Straw Silt Barrier (Reference DCR Standard 3.04) may be substituted for Temporary Filter Barrier, with the approval of the Project Engineer and/or District Environmental Monitor, in non-critical areas, such as pavement locations, where geotextile type filter barrier cannot be installed in accordance with the Standard Drawings and Specifications.

Brush Barriers - VDOT Road and Bridge Standard ESC-INS  
(Reference DCR Standard 3.06)

- Brush Barriers may to be used to control sediment in non-concentrated (sheet) flow areas.
- Additional erosion and sediment control measures must be provided to supplement Brush Barriers located parallel along the toe of embankments if the area draining to the Brush Barrier exceeds 11,000 square feet (1020 m<sup>2</sup>) per 100 linear feet (30 m) of Brush Barrier.
- It is desirable, where feasible, that Brush Barriers remain in place after completion of the project in order to provide an area for wildlife habit. Any Brush Barriers left in place must have any geotextile fabric removed.

Drop Inlet Silt Trap - VDOT Road and Bridge Standard EC-6 (Reference DCR Standard 3.07)

- Provide Drop Inlet Silt Trap Type A at:
  - Grate inlets in graded median and roadside ditches.
  - Grate inlets in sump areas.
  - Grate inlets in other ditch locations or areas of concentrated flow.
- Provide Drop Inlet Silt Trap Type B at:
  - Curb opening inlets as needed.
- Sediment forebays shall be utilized at drop inlet locations where increased efficiency of sediment removal is desired or where drainage area/storage volume requirements dictate. The need for sediment forebays may be determined by the Hydraulics Engineer during the design phase of the project or by the Project Engineer or District Environmental Monitor during the construction phase of the project.

Sediment Traps – VDOT Road and Bridge Standard EC-7 (Reference DCR Standard 3.13)

- Temporary Sediment Traps should be used to detain sediment-laden runoff from small disturbed areas. Use of Temporary Sediment Traps should be limited to those locations where the total contributing drainage area is less than 3 acres (1.2 hectares).
- Temporary Sediment Traps are normally located in areas of concentrated flow. The outflow from Temporary Sediment Traps is normally controlled by the use of a rock checkdam.
- Temporary Sediment Traps shall not be constructed in live streams.
- The storage volume for Temporary Sediment Traps shall be 134 cubic yards per acre (254 m<sup>3</sup> per hectare) of the total contributing drainage area and shall consist of 50% in the form of wet storage (excavated area) and 50% in the form of dry storage.
- The need and location for Temporary Sediment Traps is to be determined by the Hydraulics Engineer based on the anticipated sequence of construction.
- The general design for Temporary Sediment Traps is to be in accordance with the details shown on Standard Drawing EC-7 on page 114.08 of the 2001 VDOT Road and Bridge Standards. Specific dimensions for each Temporary Sediment Trap are to be determined by the Hydraulics Engineer and summarized on the Temporary Sediment Trap Detail Sheet (Imperial Insertable Sheet Number A6 or Metric Insertable Sheet Number MA6).
- The **Project** Engineer, in conjunction with the District Environmental Monitor, shall determine the time schedule for the removal of the Temporary Sediment Traps.

Temporary Sediment Basins (Reference DCR Standard 3.14)

- Temporary Sediment Basins should be used to detain sediment laden runoff from disturbed areas where the total contributing drainage area is 3 acres (1.2 hectares) or greater. The maximum drainage area controlled by a Temporary Sediment Basin should not exceed 100 acres (40 hectares).
- The sediment storage volume for Temporary Sediment Basins shall be 134 cubic yards per acre (254 m<sup>3</sup> per hectare) of the total contributing drainage area. The storage volume shall consist of 50% in the form of wet storage (permanent pool) and 50% in the form of dry storage. The hydraulic performance of the Temporary Sediment Basin shall be predicated on the runoff from the entire watershed.

- The need and location for Temporary Sediment Basins is to be determined by the Hydraulics Engineer based on the anticipated sequence of construction.
- Specific details and dimensions for each Temporary Sediment Basin are to be determined by the Hydraulics Engineer and the design details (including wet and dry storage volumes) are to be included in the construction plans.
- Concentrated stormwater discharge from Temporary Sediment Basins shall be discharged directly into an adequate natural or man-made receiving channel as defined by Minimum Standard 19 (MS-19) of the Virginia Erosion and Sediment Control Regulations.
- The Hydraulics Engineer is referred to the Virginia Erosion and Sediment Control Handbook for further design parameters and construction details.
- The Project Engineer, in conjunction with the District Environmental Monitor, shall determine the time schedule for removal of Temporary Sediment Basins.
- Permanent Stormwater Management (SWM) basins may be used as temporary sediment basins during the construction phase of the project by modifying the outflow control structure in order to provide the required wet and dry storage volumes. Typical details for modifying a standard riser structure are shown on Standard Drawing SWM-DR on page 116.04 of the 2001 VDOT Road and Bridge Standards.

#### Slope Drains - VDOT Standard EC-INS (Reference DCR Standard 3.15)

- Slope Drains are to be used in high (8' or greater) (2.4 m or greater), long fill situations to control slope erosion. Exceptions would be where the length of fill is less than 100' (30 meters) or at bridge locations where run-off is being handled by other means.
- The need for Slope Drains is to be determined by the Hydraulics Engineer.
- During the construction phase of the project, the Project Engineer and/or the District Environmental Monitor may require additional slope drains as dictated by field conditions.

#### Culvert Outlet Protection - VDOT Road and Bridge Standard EC-1 (Reference DCR Standard 3.18)

- Erosion control protection shall be provided at the outlet of each culvert where required in accordance with the guidelines set forth in Hydraulic Design Advisory HDA 06-03.

- The placement of the outlet protection shall be in accordance with Standard Drawing EC-1 on Page 114.01 of the 2001 VDOT Road and Bridge Standards.
- The Project Engineer and/or the District Environmental Monitor shall inspect the outlet ends of all culverts during the construction phase of the project. Where not specified on the plans, but warranted by field conditions, additional outlet protection shall be added in order to ensure the stability of the area adjacent to the culvert outlet.

Rock Check Dams - VDOT Road and Bridge Standard EC-4 (Reference DCR Standard 3.20)

- Type I Rock Check Dams are to be used in trapezoidal ditches where the bottom width is greater than 2' (0.6 m).
- Type II Rock Check Dams are to be used in triangular (vee) ditches and trapezoidal ditches where the bottom width is 2' (0.6 m) or less.
- Rock Check Dams may be designated as permanent SWM structures that are to be left in place after completion of the project in order to function as a part of the overall SWM Plan for the project. Rock Check Dams designated as permanent structures, and located within the clear zone adjacent to a travelway, shall be designed so as not to present a hazard to traffic (see Standard Drawing EC-4 on page 114.05 of the 2001 VDOT Road and Bridge Standards).
- During the construction phase of the project, the Project Engineer and/or the District Environmental Monitor may approve the use of geosynthetic check dams in lieu of Rock Check Dams Type II provided that the check dams are not designated as permanent stormwater management structures and provided that there is no additional cost to the Department. The Materials Division's Approved Products List includes the names of approved geosynthetic check dam manufacturers.

Temporary Diversion Channel - VDOT Road and Bridge Standard TD-CL (Reference DCR Standard 3.24 & 3.25)

- A Temporary Diversion Channel should be used where culvert installation is proposed in a live stream environment (perennial or intermittent) and where it will be necessary to divert the stream in order for the culvert to be installed in the dry.
- The Hydraulics Engineer, using USGS Topographical Maps and/or field observations, shall determine the need for a Temporary Diversion Channel and identify the most feasible location for the channel.

- When it is determined that a Temporary Diversion Channel is required, the Hydraulics Engineer shall determine the following:
  - The length of the Temporary Diversion Channel.
  - The bottom width of Temporary Diversion Channel necessary to essentially match that of the existing low water stream channel.
  - The depth of the Temporary Diversion Channel (average ground surface elevation minus average natural streambed elevation).
  - The class of lining required based on the following:
    - Specify Class A Lining where the Temporary Diversion Channel slope is less than 2 percent.
    - Specify Class B Lining where the Temporary Diversion Channel slope is equal to or greater than 2 percent.
- The location of the Temporary Diversion Channel should be shown on the appropriate ESC plan sheet, when using the Multiple Phase ESC Plan concept, or the Construction plan sheet, when using the Single Phase ESC Plan concept.
- Temporary Silt Fence shall be provided along both sides of the Temporary Diversion Channel.

Dewatering Basins - VDOT Road and Bridge Standard EC-8 (Reference DCR Standard 3.26)

- Dewatering Basins are provided to receive sediment-laden water pumped from a construction site in order to allow for filtration before the water reenters a natural watercourse.
- Accumulated sediment in the Dewatering Basin shall be removed and disposed of in an approved disposal area outside of the 100-year flood plain, unless otherwise noted on the plans.
- Surface water flow shall be diverted around the Dewatering Basin.
- A stabilized conveyance shall be provided from the outlet of the Dewatering Basin to the receiving channel.
- The need for Dewatering Basins is to be determined by the Hydraulics Engineer during the design phase of the project.

- The field location of Dewatering Basins is to be determined by the Contractor during the construction phase of the project.
- During the construction phase of the project, the Project Engineer and/or the District Environmental Monitor may approve the use of a synthetic dewatering basin in lieu of the dewatering basin shown on Standard Drawing EC-8 on page 114.09 of the 2001 VDOT Road and Bridge Standards provided that there is no additional cost to the Department regardless of the number of synthetic dewatering basins required for each site.

#### Turbidity Curtains (Reference DCR Standard 3.27)

- A Turbidity Curtain is used to provide sedimentation protection for a watercourse from up-grade land disturbance or from dredging or filling operations within the watercourse.
- A Turbidity Curtain may be used in both non-tidal and tidal watercourses where intrusion into the watercourse by construction activities or sediment movement is unavoidable.
- Turbidity Curtains should not be placed across the main flow of a significant body of moving water but instead should be located parallel to the direction of flow.
- The Turbidity Curtain should extend for the entire depth of the water to the bed (bottom) of the channel except in locations subject to tidal action and/or significant wind or wave forces.
- At locations subject to tidal action and/or significant wind and wave forces, the bottom of the Turbidity Curtain should extend no closer than 1.0' (0.3 m) above the bed (bottom) of the channel at mean low water.
- An impervious material should be used for the Turbidity Curtain for general applications.
- A pervious material should be used for the Turbidity Curtain for special applications in areas of tidal or moving water where there is a need to extend the curtain all the way to the bed (bottom) of the channel.
- The maximum depth (height) of the curtain shall be no greater than 10 feet (3.0 m) for all stages of water level anticipated during the duration of the curtain's installation.
- The Hydraulics Engineer is referred to the Virginia Erosion and Sediment Control Handbook for further design parameters and construction details.

## DESIGN CONSIDERATIONS

### Right of Way/Easement:

- Prior to the Public Hearing Stage of the project, the need for fee right-of-way, permanent easement or temporary easement to accommodate the construction and maintenance of temporary diversion channels, sediment traps, sediment basins or other perimeter erosion and sediment control devices should be addressed.
- All right of way or easements needed to accommodate the construction and maintenance of temporary diversion channels and erosion and sediment control measures shall be shown on the plans prior to their submission for right-of-way acquisition.

### Safety

- Guardrail or fencing around sediment traps or sediment basins should be specified where it is determined to be needed for the safety of pedestrians or vehicles.
- The need for guardrail or fencing should be determined by the District Construction Engineer or other person so designated.

### Maintenance Access

- The need to maintain erosion and sediment control, control measures during construction shall be considered in the development of the ESC plan.
- The plan design shall incorporate a means of access (e.g., sufficient right-of-way, easements, flattened slopes, etc.) for the maintenance of sediment traps, sediment basins and other erosion and sediment control measures.

## PLAN DETAILS

### Symbols

- Standard symbols are to be used to depict erosion and sediment control items on the plans in accordance with General Note E-8 shown in the latest Location and Design Instructional and Informational Memorandum (D) 110 and in accordance with instructions in the VDOT CADD Manual.

## Check Dams

- Rock Check Dams that are to function as a part of the permanent SWM Plan for the project should be designated on the plans as follows:  

“Rock Check Dam Type (specify) - Permanent SWM Structure (to remain in place after project completion).”

## Dewatering Basins

- Do not show specific locations on the plans.
- The description of the applicable drainage structure (or a separate description note when utilizing individual sheets to depict a phased ESC Plan) should note the need for a Dewatering Basin(s) and specify the number required.

## Stabilized Construction Entrances

- The specific locations of Stabilized Construction Entrances will not be shown on the plans. A note should be included on the appropriate plan sheet(s) specifying the general location (station, lane, roadway, etc.) where it is anticipated that Stabilized Construction Entrances will be required.

## Filter Cloth

- Where existing fence is available for the attachment of the Filter Cloth, the plans are to specify the following: “Filter Cloth Req’d. (Attach to Exist. Fence).”

## Slope Drains

- The specific locations of Slope Drains will not be shown on the plans. A note should be included on the appropriate plan sheet(s) specifying the general location (station to station, lane, roadway, etc.) and estimated quantity of Slope Drains and Culvert Outlet Protection Class 3, St’d. EC-1 required.

## Temporary Diversion Channel

- When the location is shown on an individual phased ESC Plan Sheet, the description for the Temporary Diversion Channel should specify the width of the channel required and the class of lining required (A or B). Temporary Silt Fence along both sides of the Temporary Diversion Channel should be specified.

- When the location is shown on the Construction plan sheet, the description for the Temporary Diversion Channel should be included in the description for the applicable drainage structure. The following information should be included in the drainage description:

Temporary Diversion Channel Req'd. Width = (specify)  
(specify) cu. yds. (m<sup>3</sup>) Temporary Diversion Channel Excavation  
(specify) sq. yds. (m<sup>2</sup>) Temporary Diversion Channel Lining, Class (specify)  
(specify) ft. (m) Temporary Silt Fence Req'd.

- The plan description calls attention to the need for a Temporary Diversion Channel and defines the width of the channel and the class of lining required.
- The Hydraulics Engineer should be liberal when estimating the length of Temporary Diversion Channel required in order to avoid significant cost overruns during construction.
- The Contractor, with approval of the Project Engineer and/or the District Environmental Monitor, will have the latitude to field locate the Temporary Diversion Channel where needed to best fit his planned construction sequencing. The Contractor is paid for the actual quantity of excavation and quantity of lining installed.
- Sufficient right of way and/or temporary/permanent easement should be provided in order to allow the contractor the latitude to locate the Temporary Diversion Channel on either side of the proposed structure. Location of wingwalls or other appurtenances that protrude beyond the neat lines of the culvert's barrel shall be considered when locating the Temporary Diversion Channel and establishing the required R/W or Easement.

#### General Notes

- See the latest Location and Design Instructional and Informational Memorandum (D) 110 for the applicable Erosion and Sediment Control Notes that are to be included on the General Notes Sheet of the plans.

#### MAINTENANCE

- Accumulated sediment shall, at a minimum, be removed from erosion and sediment control facilities as follows:
  - Sediment Traps & Basins - When the wet storage volume has been reduced by approximately 50%.
  - Temporary Silt Fence or Filter Barrier – When it retains sediment up to ½ of its height.

- Rock Check Dams – When the storage capacity behind the dam has been reduced by approximately 50%.
- Dewatering Basins – When the excavated volume has been reduced by approximately 50%.
- All other erosion and sediment control facilities – When the capacity, height or depth has been reduced by approximately 50%.

## BASIS OF PAYMENT

### Siltation Control Excavation

- All silt removal and sediment cleanout from erosion and sediment control items will be measured and paid for as “cubic yards (m<sup>3</sup>) of Siltation Control Excavation.”

### Rock Check Dams

- To be measured and paid for per each for the type specified.

### Temporary Filter Barrier and Silt Fence

- To be measured and paid for in linear feet (m).

### Temporary Sediment Basins and Sediment Traps

- Excavation for Temporary Sediment Basins or Sediment Traps will be measured and paid for as “cubic yards (m<sup>3</sup>) Temporary Sediment Basin Excavation.” If additional fill material is needed for dams or berms, it will be measured and paid for as “cubic yards (m<sup>3</sup>) of Regular Excavation, Borrow Excavation or Embankment.”

### Dewatering Basins

- To be measured and paid for per each.

### Drop Inlet Silt Traps

- To be measured and paid for per each for the type specified.

### Temporary Diversion Dike

- Will not be measured for payment, but the cost shall be included in the price bid for other appropriate items.

#### Stabilized Construction Entrance

- Will not be measured for payment but the cost shall be included in the price bid for other appropriate items.

#### Slope Drains

- To be measured and paid for per each regardless of size or length.

#### Brush Silt Barriers

- Will not be measured for payment but the cost shall be included in the price bid for other appropriate items.

#### Geotextile Fabric

- When attached to brush barriers or an existing fence, payment will be made for square yards ( $m^2$ ) of Geotextile Fabric.

#### Turbidity Curtains

- To be measured and paid for in linear feet (m) of the type specified, measured from edge of curtain to edge of curtain along the support cable.

#### Temporary Diversion Channel

- To be measured and paid for in cubic yards ( $m^3$ ) Temporary Diversion Channel Excavation and square yards ( $m^2$ ) Temporary Diversion Channel Lining for the Class specified.

### QUANTITY ESTIMATES

#### Summary Sheet

- All estimated quantities for erosion and sediment control items are to be summarized on the Erosion Control Summary Sheet (Imperial Insertable Sheet Number A5 or Metric Insertable Number MA5).
- Estimated quantities are to be shown for each phase of the ESC Plan.

#### Rock Check Dams

- Summarize a quantity of 4.74 cubic yards ( $3.6 m^3$ ) of Siltation Control Excavation for each Rock Check Dam Type I specified. This should allow for two cleanouts.

- Summarize a quantity for 0.32 cubic yards (0.2 m<sup>3</sup>) of Siltation Control Excavation for each Rock Check Dam Type II specified. This should allow for two cleanouts.

#### Temporary Filter Barrier

- The estimated quantity depicted on the plans is to be increased by a percentage factor of 100% and the adjusted quantity shown on the Erosion Control Summary Sheet.
- Summarize a quantity for cubic yards (m<sup>3</sup>) of Siltation Control Excavation as follows:

Metric - 0.25 m<sup>3</sup> of Siltation Control Excavation for each meter of Temporary Filter Barrier summarized on the Erosion Control Summary Sheet.

Imperial - 0.17 Cubic yards of Siltation Control Excavation for each linear foot of Temporary Filter Barrier summarized on the Erosion Control Summary Sheet.

#### Temporary Silt Fence

- Summarize a quantity for cubic yards (m<sup>3</sup>) of Siltation Control Excavation as follows:

Metric - 0.25 m<sup>3</sup> of Siltation Control Excavation for each meter of Temporary Silt Fence specified.

Imperial - 0.17 Cubic yards of Siltation Control Excavation for each linear foot of Temporary Silt Fence specified.

#### Brush Silt Barrier

- The estimated linear feet (m) is to be shown on the Erosion Control Summary Sheet.

#### Temporary Sediment Basins and Traps

- Summarize the cubic yards (m<sup>3</sup>) of Temporary Sediment Basin Excavation on the Erosion Control Summary Sheet. If Borrow or Embankment is needed, it is to be included in roadway totals on the Grading Diagram and Summary Sheet.
- The Grading Diagram is to reflect how the cubic yards (m<sup>3</sup>) of Temporary Sediment Basin Excavation and cubic yards (m<sup>3</sup>) of Embankment is to be distributed.

- Temporary Sediment Basin control structure (riser pipe) – Summarize pay item as linear feet (meters) of Temporary Sediment Riser Pipe (size) on the Erosion Control Summary Sheet.
- Any culvert pipe necessary for a temporary sediment basin shall be included with other applicable pipe on the Drainage Summary Sheet.
- Summarize a quantity for cubic yards ( $m^3$ ) of Siltation Control Excavation that is equal to 50% of the total volume (wet storage volume plus dry storage volume) of the basin or trap. This will allow for two cleanouts.

#### Dewatering Basin

- The number of Dewatering Basins specified for each applicable site shall consider any potential phased construction of the proposed drainage structure. At a minimum, the following number of dewatering Basins shall be specified:
  - One Dewatering Basin for each pipe(s) or major structure that has a combined hydraulic opening of 12.6 square feet ( $1.17 m^2$ ) (48" (1200 mm) diameter pipe or equivalent) or greater including bridges 20' (6 m) or less in length.
  - Two Dewatering Basins for each bridge over 20' (6 m) in length.
- Summarize a quantity of 4 cubic yards ( $3.1 m^3$ ) of Siltation Control Excavation for each Dewatering Basin specified, based on a minimum Dewatering Basin size of 6' x 6' x 3' (2 m x 2 m x 1 m). This will allow for two cleanouts.

#### Drop Inlet Silt Trap

- Type A
  - Summarize a quantity of 15 cubic yards ( $11.5 m^3$ ) of Siltation Control Excavation for each Drop Inlet Silt Trap Type A specified at St'd DI-5, DI-7A,7B and DI-12,12A,12B,12C Drop Inlet locations. This should allow for two cleanouts.
  - Summarize a quantity of 5 cubic yards ( $3.8 m^3$ ) of Siltation Control Excavation for each Drop Inlet Silt Trap Type A specified at Standard DI-1 and DI-7 Drop Inlet locations. This should allow for two cleanouts.
- Type B
  - Summarize a quantity of 5 cubic yards ( $3.8 m^3$ ) of Siltation Control Excavation for each Drop Inlet Silt Trap Type B specified at curb drop inlet locations. This should allow for two cleanouts.

### Stabilized Construction Entrance

- The estimated number of Stabilized Construction Entrances is to be shown on the Erosion Control Summary Sheet.

### Slope Drains

- Summarize the estimated number of Slope Drains and the quantity of Culvert Outlet Protection Class 3, St'd. EC-1 on the Erosion Control Summary Sheet.
- The number of Slope Drains required is to be estimated as follows:
  - One Slope Drain for each 250 linear feet (75 m), or portion thereof, for fills 8 feet (2.4 m) in height or greater, for each roadway baseline; e.g., 200' (60 m) of fill = 1 Slope Drain; 580' (175 m) of fill = 3 Slope Drains.

### Erosion Control Mulch

- Summarize a quantity on the Erosion Control Summary Sheet when recommended by the Environmental Division.
- This material is estimated at the rate of 50 square yards per 100 feet (135 m<sup>2</sup> per 100 meters) of roadway alignment.

### Turbidity Curtain

- Summarize as linear feet (meters) of Turbidity Curtain for the type specified (Pervious or Impervious) on the Erosion Control Summary Sheet.

### Temporary Diversion Channel

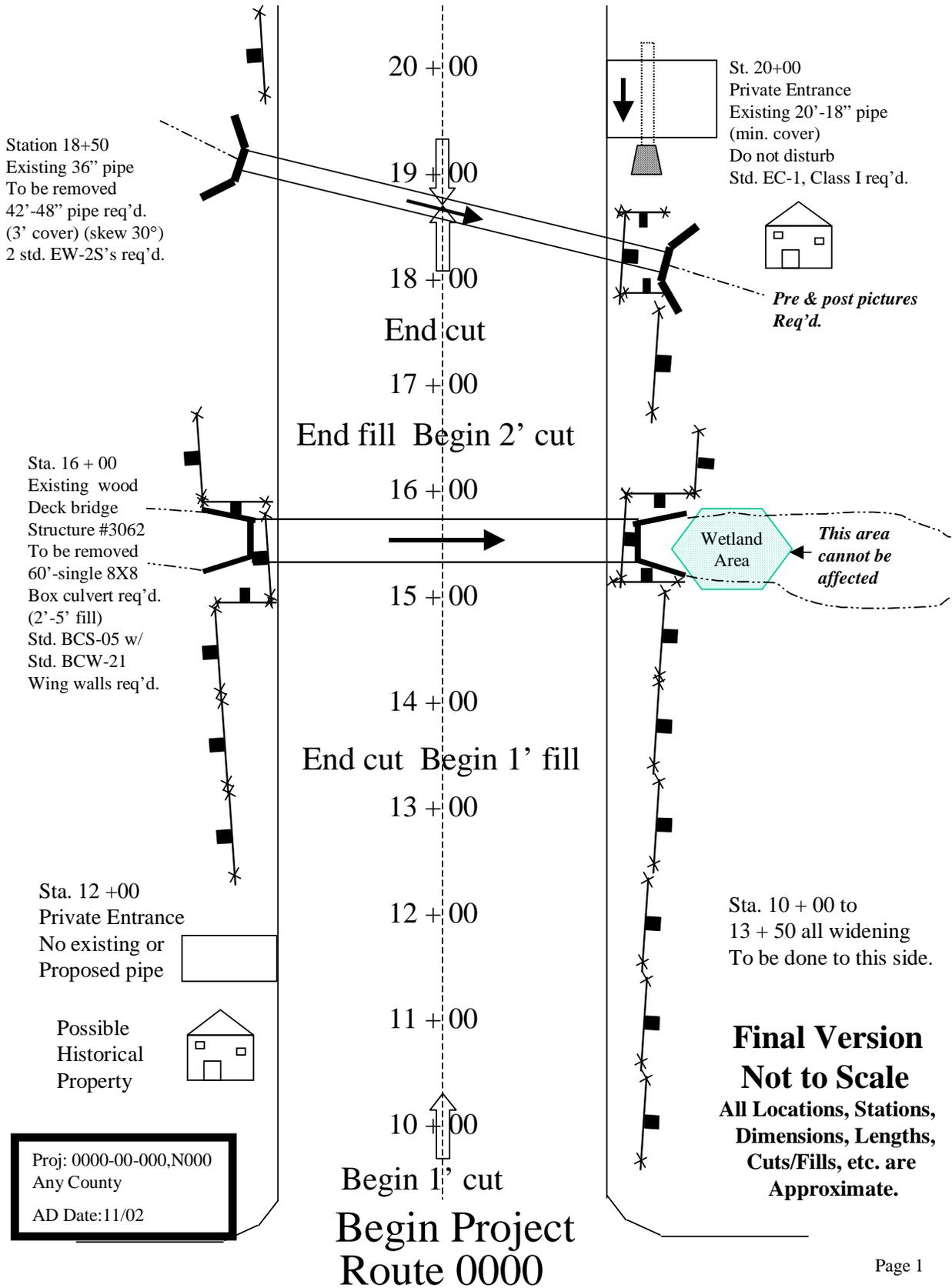
- An estimated quantity of Temporary Diversion Channel Excavation and Temporary Diversion Channel Lining for the Class specified (A or B) is to be shown on the Erosion Control Summary Sheet.
- Silt fence along both sides of channel is to be measured and paid for separately and summarized on the Erosion Control Summary Sheet.
- The Hydraulics Engineer shall estimate the cubic yards (m<sup>3</sup>) of temporary Diversion Channel Excavation and the square yards (m<sup>2</sup>) of Temporary Diversion Channel Lining based on the estimated width and depth of the channel using Table 1.

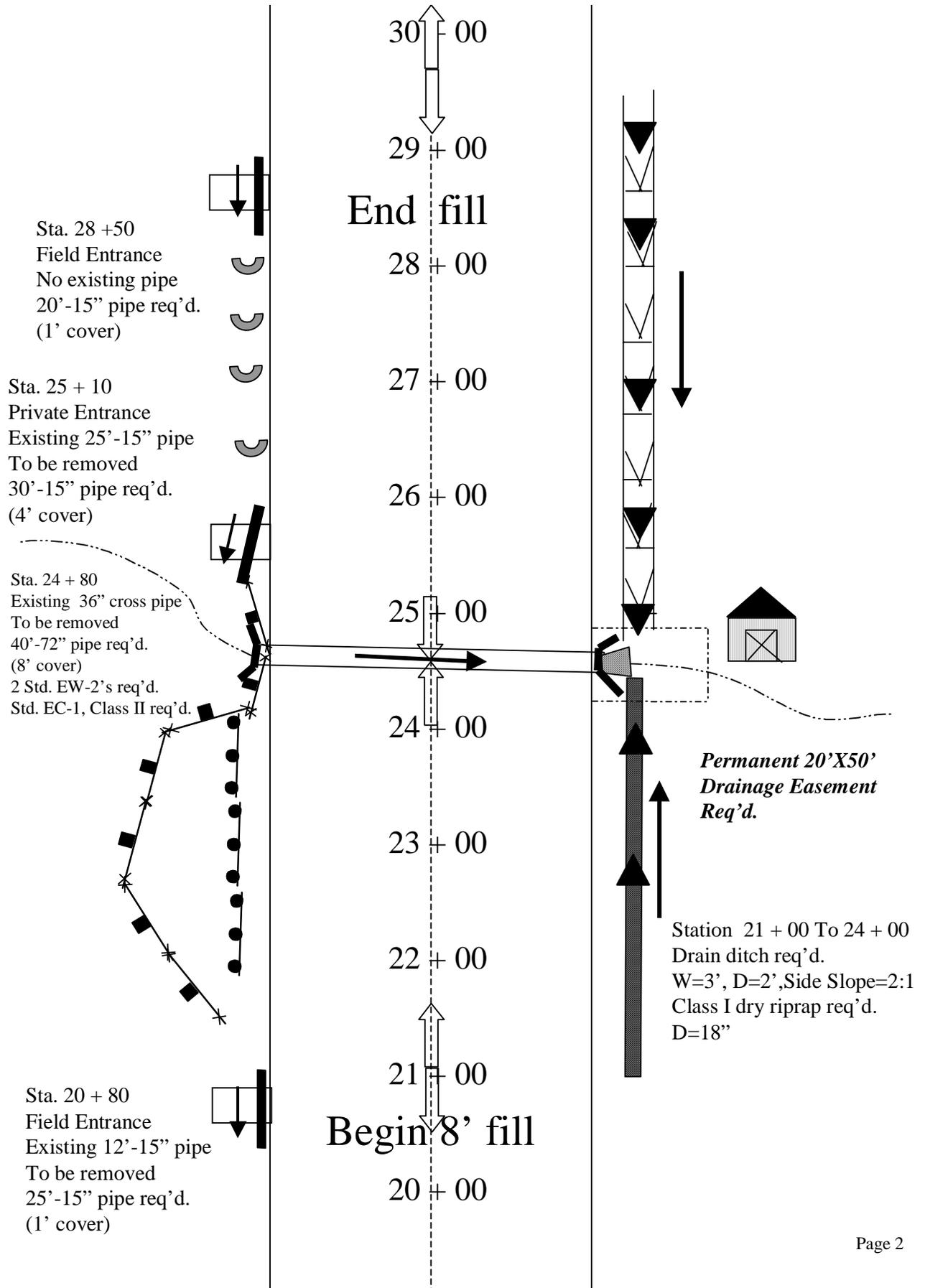
TABLE 1 - TEMPORARY DIVERSION CHANNELS (IMPERIAL)									
S.Y. LINING / C.Y. EXCAVATION (PER LIN. FT.)									
		3' WIDTH	4' WIDTH	5' WIDTH	6' WIDTH	7' WIDTH	8' WIDTH	9' WIDTH	10' WIDTH
1' DEPTH	S.Y.	0.83	0.94	1.10	1.20	1.30	1.40	1.50	1.60
1' DEPTH	C.Y.	0.19	0.22	0.26	0.30	0.33	0.37	0.41	0.44
2' DEPTH	S.Y.	1.32	1.44	1.54	1.66	1.77	1.88	1.98	2.10
2' DEPTH	C.Y.	0.52	0.59	0.67	0.74	0.81	0.89	0.96	1.04
3' DEPTH	S.Y.	1.82	1.94	2.05	2.16	2.27	2.38	2.49	2.60
3' DEPTH	C.Y.	1.00	1.11	1.22	1.33	1.44	1.56	1.67	1.78
4' DEPTH	S.Y.	2.32	2.43	2.54	2.66	2.77	2.88	2.99	3.10
4' DEPTH	C.Y.	1.63	1.78	1.93	2.07	2.22	2.37	2.52	2.67
5' DEPTH	S.Y.	2.82	2.93	3.04	3.16	3.27	3.38	3.48	3.60
5' DEPTH	C.Y.	2.41	2.59	2.78	2.96	3.15	3.33	3.52	3.70
6' DEPTH	S.Y.	3.31	3.43	3.53	3.64	3.76	3.87	3.98	4.09
6' DEPTH	C.Y.	3.33	3.56	3.78	4.00	4.22	4.44	4.67	4.89
7' DEPTH	S.Y.	3.81	3.92	4.03	4.14	4.26	4.39	4.48	4.59
7' DEPTH	C.Y.	4.41	4.67	4.93	5.19	5.44	5.70	5.96	6.22
8' DEPTH	S.Y.	4.31	4.42	4.53	4.64	4.76	4.87	4.98	5.09
8' DEPTH	C.Y.	5.63	5.93	6.22	6.52	6.81	7.11	7.41	7.70
9' DEPTH	S.Y.	4.81	4.92	5.03	5.14	5.25	5.36	5.47	5.58
9' DEPTH	C.Y.	7.00	7.33	7.67	8.00	8.33	8.67	9.00	9.33
10' DEPTH	S.Y.	5.30	5.41	5.52	5.64	5.75	5.86	5.97	6.08
10' DEPTH	C.Y.	8.52	8.89	9.26	9.63	10.00	10.37	10.74	11.11

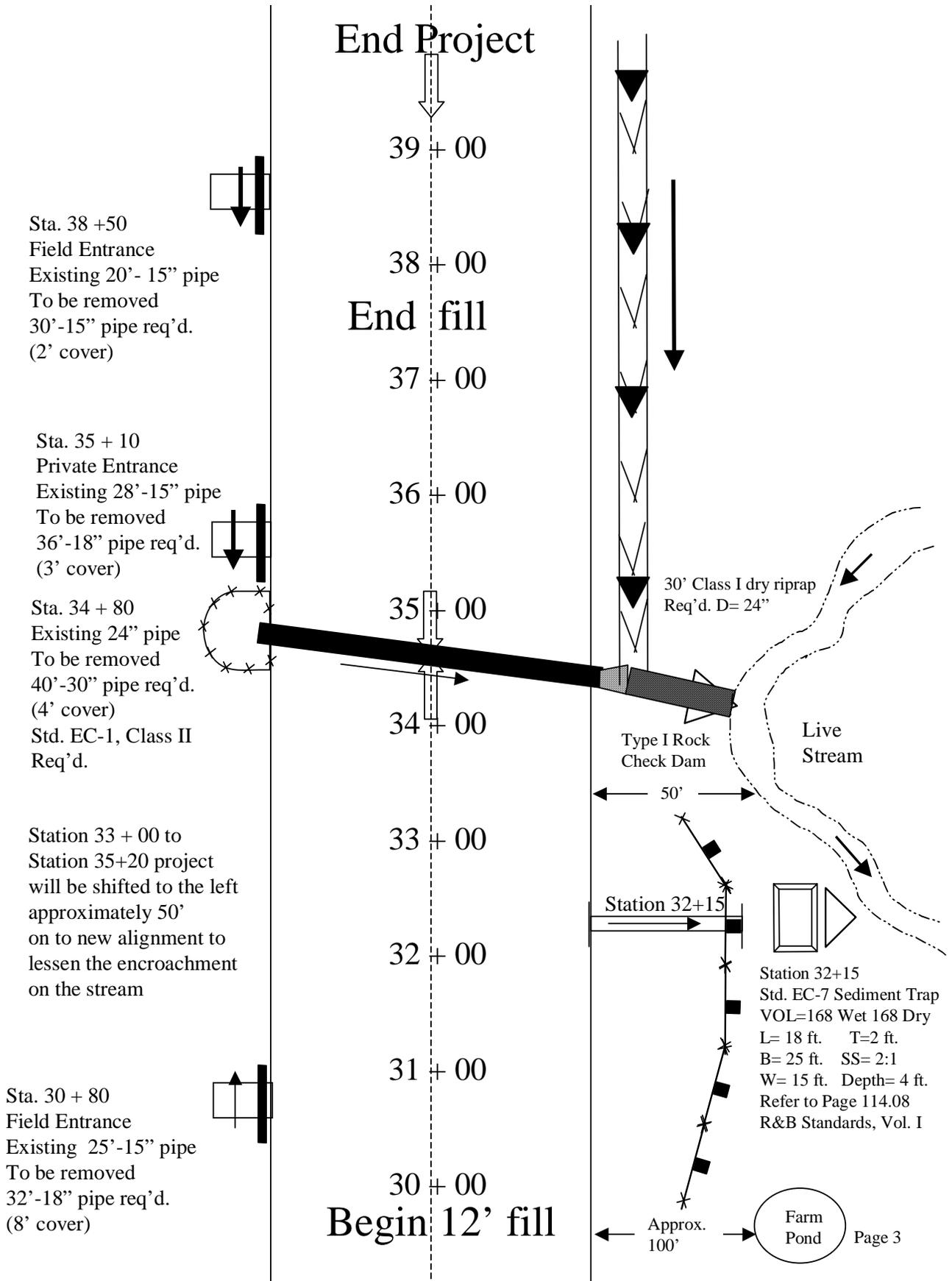
TABLE 1 - TEMPORARY DIVERSION CHANNELS (METRIC)						
M <sup>2</sup> LINING / M <sup>3</sup> EXCAVATION (PER METER)						
		1.00 m WIDTH	1.50 m WIDTH	2.00 m WIDTH	2.50 m WIDTH	3.00 m WIDTH
0.30mDepth	m <sup>2</sup>	2.34	2.84	3.34	3.84	4.34
0.30mDepth	m <sup>3</sup>	0.48	0.63	0.78	0.93	1.08
0.60mDepth	m <sup>2</sup>	3.68	4.18	4.68	5.18	5.68
0.60mDepth	m <sup>3</sup>	1.32	1.62	1.92	2.22	2.52
0.90mDepth	m <sup>2</sup>	5.02	5.52	6.02	6.52	7.02
0.90mDepth	m <sup>3</sup>	2.52	2.97	3.42	3.87	4.32
1.20mDepth	m <sup>2</sup>	6.37	6.87	7.37	7.87	8.37
1.20mDepth	m <sup>3</sup>	4.08	4.68	5.28	5.88	6.48
1.50mDepth	m <sup>2</sup>	7.71	8.21	8.71	9.21	9.71
1.50mDepth	m <sup>3</sup>	6.00	6.75	7.50	8.25	9.00
1.80mDepth	m <sup>2</sup>	9.05	9.55	10.05	10.55	11.05
1.80mDepth	m <sup>3</sup>	8.28	9.18	10.08	10.98	11.88
2.10mDepth	m <sup>2</sup>	10.39	10.89	11.39	11.89	12.39
2.10mDepth	m <sup>3</sup>	10.92	11.97	13.02	14.07	15.12
2.40mDepth	m <sup>2</sup>	11.73	12.23	12.73	13.23	13.73
2.40mDepth	m <sup>3</sup>	13.92	15.12	16.32	17.52	18.72
2.70mDepth	m <sup>2</sup>	13.07	13.57	14.07	14.57	15.07
2.70mDepth	m <sup>3</sup>	17.28	18.63	19.98	21.33	22.68
3.00mDepth	m <sup>2</sup>	14.42	14.92	15.42	15.92	16.42
3.00mDepth	m <sup>3</sup>	21.00	22.50	24.00	25.50	27.00

**EROSION AND  
SEDIMENT CONTROL  
PLAN**

**EXAMPLE  
NO PLAN PROJECT**

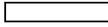
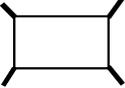
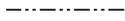
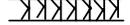
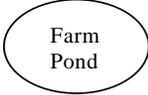
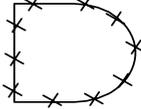
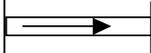






## KEY

### 0000-00-000,N000

	Pipe – Existing		Type II rock check dam
	Pipe – 42” or smaller		Type I rock check dam
	Pipe – 48” or larger		Filter check dam
	Box Culvert		Silt Fence
	Stream or edge of water		EC-2
	Guardrail		EC-3, Type B
	Pond		Inlet protection
	Potential wetland area		EC-1 Outlet protection
	House/dwelling		Dry riprap
	Barn		Sediment trap
			Temporary slope drain

**NOTE:**

- A temporary diversion channel may be required for work in live streams. Must be reviewed and approved by Environmental. (R&B Standard 113.01)
- All E&S controls need to be removed within 30 days after project is stabilized. (MS 18)
- All referenced standards and E&S controls should conform to the latest edition of the VDOT Road & Bridge Standards.
- Refer to contract documents for all quantities. (e.g.: minor structure excavation, bedding, backfill, etc.)
- For additional guidance on E&S controls, refer to I & IM LD-01(D)11.22.
- Dewatering devices may be required at live stream pipe installations.
- All disturbed areas will be stabilized with seed and mulch in accordance with the Roadside Development Sheet.

# HYDRAULIC DESIGN ADVISORY

## HDA 07-01.2

Date: May 14, 2007

Revised February 20, 2009

SUBJECT: STORMWATER MANAGEMENT PLANS

AUTHOR: STEPHEN D. KINDY, P.E.  
STATE HYDRAULICS ENGINEER

A review of the of the Virginia Stormwater Management Program (VSMP) Regulations issued July 1, 2006 and a review of VDOT construction projects statewide has identified a need to amend and/or clarify previously issued guidance regarding the application of water quality requirements on VDOT projects.

The Location and Design Division's Instructional and Informational Memorandum IIM-LD-195.6 is amended to replace the first and second bullets under the Water Quality Control section (Sheet 4 and 5 of 14) with the following:

- Regulated Land Disturbance Activities are defined as those activities that disturb one acre or greater except in those areas designated as a Chesapeake Bay Preservation Area in which case the land disturbance threshold is 2,500 square feet or greater.
- "Land Disturbing Activity" or "Land Disturbance" is defined as a manmade change to the land surface that potentially changes its runoff characteristics including any clearing, grading or excavation associated with a construction activity.
- For determining the water quality requirements for the land disturbing activity, the effects of a change to the land surface should be based on the proposed permanent condition and should consider the effects of a mature vegetative cover and should not be based on the temporary surface changes during construction activities. The temporary surface changes during construction activities are addressed by the Virginia Erosion and Sediment Control Regulations.
- A water quality control plan for the Regulated Land Disturbance Activity shall be developed for each outfall or watershed unless it meets one of the following exemptions:
  1. Linear development projects (i.e., highway construction projects) where **all** of the following conditions are met:
    - a. Less than one acre will be disturbed per outfall or watershed
    - b. There will be insignificant increases in peak flow rates downstream of the discharge point.
    - c. There is no existing or anticipated flooding or erosion problems downstream of the discharge point.
  2. Routine maintenance activities that are performed to maintain the original line and grade, hydraulic capacity or original construction of the project and that disturbs less than five acres of land.

The Hydraulics Engineer is to do the following for each regulated land disturbing activity:

1. Thoroughly document the project's hydraulic files regarding all water quality alternatives considered and reasons for the selected plan.
2. Document compliance with the VSMP Regulations in the construction plan set (or other such documents) utilizing the appropriate notes in Section IV of the Stormwater Management Pollution Prevention Plan (SWPPP) General Information Sheets.

Instructional and Informational Memoranda IIM-LD-195.6 will be revised in the near future to incorporate these changes as well as others that may be necessary to bring them up to date with current regulatory requirements. In the interim, the guidelines in this HDA should be implemented immediately.

# HYDRAULIC DESIGN ADVISORY

## HDA 08-02

Date: February 4, 2008

**SUBJECT: GUIDELINES FOR THE DESIGN AND ACCEPTANCE OF  
ROADWAY CAUSEWAYS**

**AUTHOR: ROY T. MILLS  
STATE HYDRAULICS ENGINEER**

The Department has clearly defined criterion and policies regarding the design and acceptance of roadway facilities into the VDOT maintained secondary system of roadways whose embankment functions as a dam for an upstream impoundment area that has been designed for the purposes of stormwater management, recreation and/or aesthetics. Such criterion and policies are found in the VDOT Drainage Manual and the Subdivision Street Requirements. However, these design criterion and policies do not address those roadway embankments that cross impoundment areas upstream of the actual dam. The roadway embankment of these types of crossings typically functions as a causeway and exerts no influence over the function or control of the impoundment area. Increasingly, the Department is being requested to accept these causeway crossings into its maintained secondary system of roadways. In evaluating such request, the Department must consider future maintenance and liability issues regarding long term exposure of the embankment material to saturation and the inspection/repair/replacement of a drainage structure partially or fully inundated by a permanent water pool. In order to address these concerns, the guidelines included on Attachment A to this HDA have been developed for use in the design of these "causeway" crossings and in evaluating their acceptability for inclusion into the VDOT maintained roadway system. This HDA shall be authorization to utilize these guidelines until such time they are formally incorporated into the VDOT Drainage Manual and/or the Subdivision Street Requirements.

GUIDELINES FOR THE DESIGN AND ACCEPTANCE  
OF ROADWAY CAUSEWAYS  
HDA 08-02  
ATTACHMENT A  
February 4, 2008

1) Definitions

For the purposes of this document, the following definitions apply:

- a) The term “roadway dam” means an embankment designed to impound water, either temporarily or permanently, that also serves as a roadbed for motor vehicles.
- b) The term “roadway causeway” means an earthen embankment intended to serve as a roadbed for motor vehicles across an area designated as a storm water impoundment area.
- c) The term “stormwater impoundment area” means an area designed to be inundated by stormwater, either temporarily or permanently.
- d) The term “permanent impoundment area” means the area within a stormwater impoundment area designed to be normally and permanently inundated by a pool of water.
- e) The term “design impoundment area” means the total area designed to be temporarily inundated by storm water run-off resulting from a 10 year frequency design storm, inclusive of any permanent impoundment area.
- f) The term “design flood area” means the area extending beyond the design impoundment area which will be inundated by storm water run-off resulting from a 100 year frequency design storm.

b) Design Criteria

- a) Roadway Dams – Design criteria for roadway dams is found in Chapter 14 of the VDOT Drainage Manual. The criteria for accepting roads that cross dams as part of the secondary system of state highways is found in VDOT’s Subdivision Street Requirements.
- b) Roadway Causeways Impacted By A Permanent Impoundment Area (See Figures 1 & 1A)

Because of the potential operational and maintenance issues associated with embankments and drainage structures permanently inundated by water, roadway causeways impacted by a permanent impoundment area shall be designed as roadway dams. The criteria for VDOT’s acceptance of a road on such a causeway as part of the VDOT maintained secondary system of state highways shall be the same as that for roadways crossing dams.

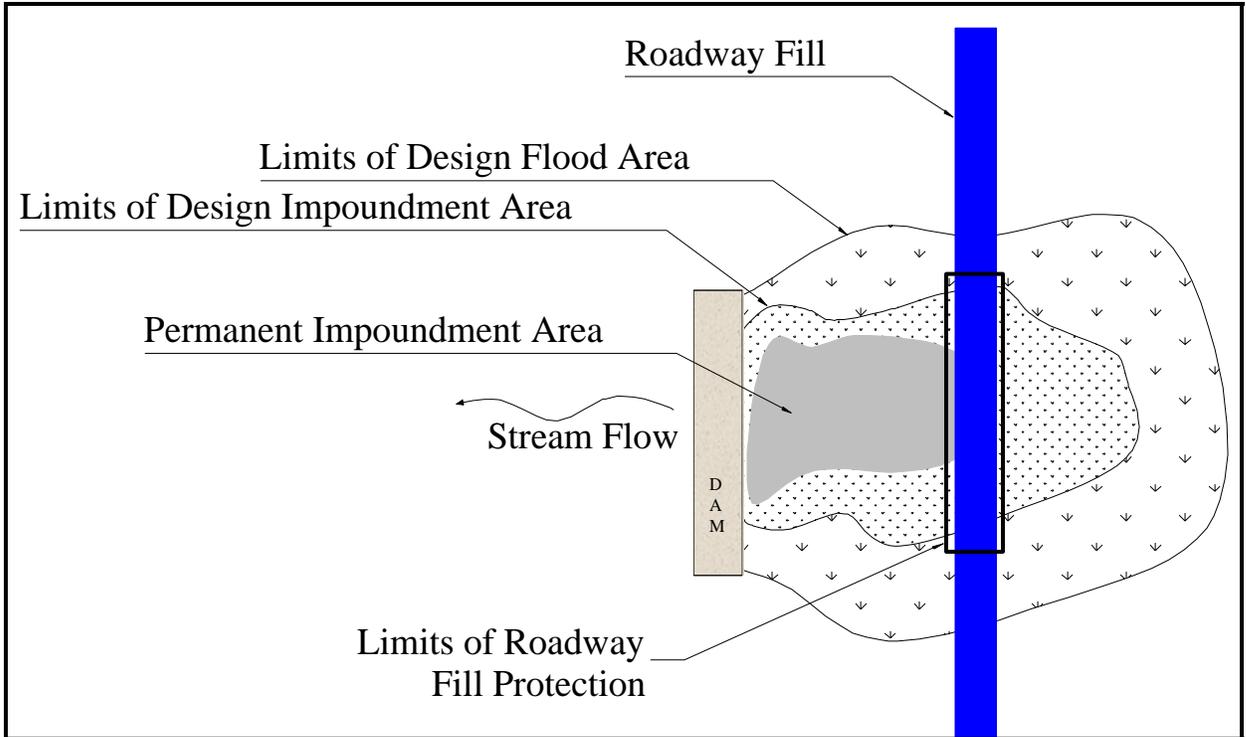


Figure 1

Permanent Impoundment Area abutting one side of a roadway causeway. Causeway is treated as a dam.

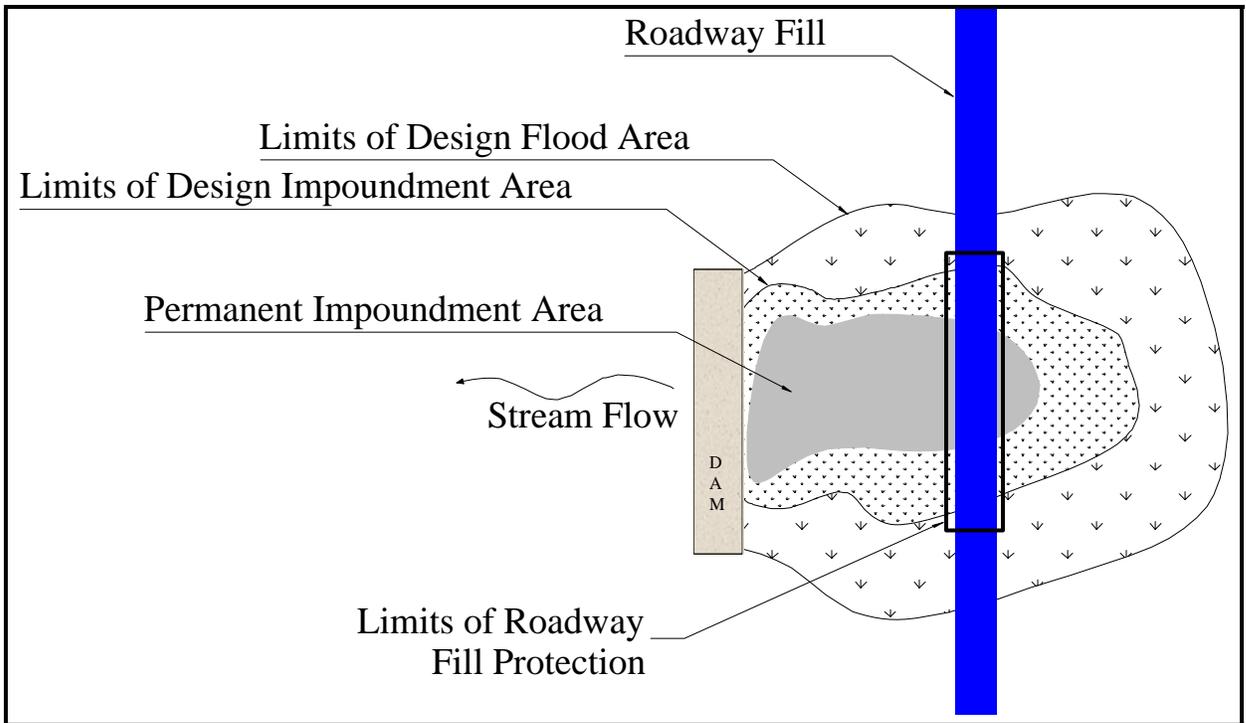


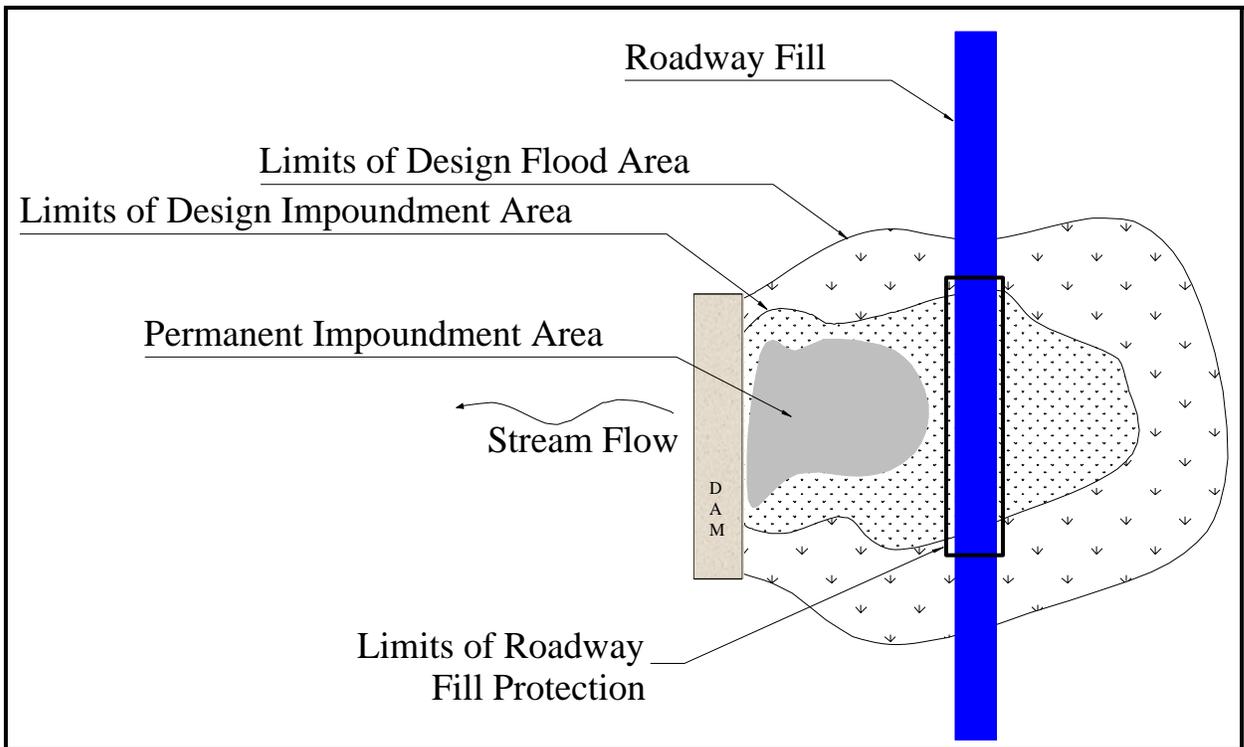
Figure 1A

Permanent Impoundment Area abutting both sides of a roadway causeway. Causeway is treated as a dam.

c) Roadway Causeways Crossing A Design Impoundment Area But Outside The Limits Of A Permanent Impoundment Area (if present) – See Figure 2

Roadway causeways crossing a design impoundment area but outside the limits of any permanent impoundment area shall not be treated as a roadway dam, provided the hydraulic capacity of the drainage facility under the roadway causeway equals or exceeds the hydraulic capacity of the principal spillway of the downstream dam. However, the embankment of such causeways shall, in addition to being constructed to the Department's specifications, have all slopes within the design impoundment area protected by a blanket of highly impervious material (a layer of clay material with a one foot minimum thickness or a geosynthetic clay liner, as approved by the Department) extending from the floor of the impoundment area to an elevation not less than 2 feet above the surface elevation of the design impoundment area or to the edge of the roadway shoulder, whichever is less. The material used for the clay blanket must meet all of the following minimum specifications:

- 50% or more must pass the No. 200 sieve and,
- the Liquid Limit must be less than 50 and,
- the Plasticity Index must be greater than 7.

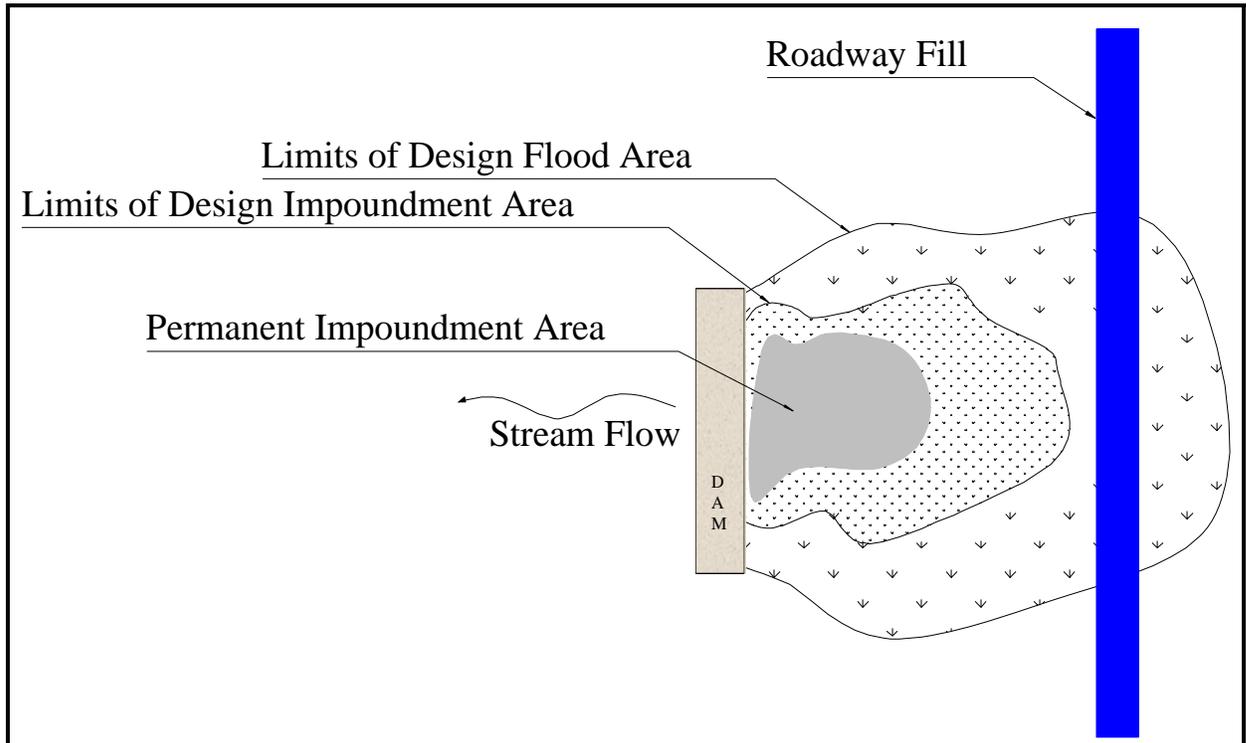


Example 2

Roadway causeway within a Design Impoundment Area but outside the limits of a Permanent Impoundment Area. Causeway is not treated as a dam provided the hydraulic capacity of the drainage facility under the causeway equals or exceeds the hydraulic capacity of the principal spillway of the downstream dam.

d) Roadway Causeways Crossing A Design Flood Area (See Figure 3)

Roadway causeways crossing a design flood area beyond the limits of the design impoundment area shall not be subject to the requirements of this document and shall only be subject to the Department's standard specifications and criterion for roadway embankments and drainage structures.



Example 3

Roadway causeway within the Design Flood Area but outside the limits of the Design Impoundment Area. Causeway is not treated as a dam.

# HYDRAULIC DESIGN ADVISORY

## HDA 09-01

Date: February 20, 2009

**SUBJECT: EROSION AND SEDIMENT CONTROL AND  
STORMWATER MANAGEMENT STRUCTURES  
VARIANCE/EXEMPTION PROCEDURES**

**AUTHOR: STEPHEN D. KINDY, P.E.  
STATE HYDRAULICS ENGINEER**

VDOT submits, annually, its Erosion and Sediment Control (ESC) and Stormwater Management (SWM) Standards and Specifications to the Department of Conservation and Recreation (DCR) for approval. This annual submittal includes all of the ESC and SWM structures and devices detailed in our Road and Bridge (R&B) Standards and Specifications. By obtaining DCR's annual approval, VDOT is allowed to operate its ESC and SWM programs without having to submit the ESC and SWM design for each land disturbing activity to DCR for individual review and approval. Once the DCR annual approval is received, VDOT is required to utilize the approved R&B Standards and Specifications in all of its design and construction activities, including maintenance operations. To use an ESC/SWM standard or specification not included in the VDOT ESC and SWM Standards and Specifications approved by DCR constitutes the use of a non-approved item and would place that specific land disturbing activity into a position of non-compliance. This would be so even if the ESC/SWM item being utilized is included in DCR's ESC or SWM Handbooks.

If the VDOT wishes to use an ESC/SWM item that is not included as a part of its approved annual ESC and SWM Standards and Specifications on a specific land disturbing activity, VDOT must request a project specific variance (for ESC items) or an exemption (for SWM items) from DCR and the request must be approved by DCR before such item(s) are allowed to be utilized or installed. (Note: DCR has indicated that they will not be receptive to the issuance of "after the fact" variances or exemptions). Where the project is being designed in the VDOT District Office, a project specific variance/exemption is to be coordinated between the VDOT's District Hydraulics Engineer and the appropriate DCR Regional Office. Where the project is being designed in the VDOT Central Office, a project specific variance/exemption is to be coordinated between the VDOT's State Hydraulics Engineer and the DCR Central Office. Copies of any variance/exemption requests and subsequent approval letters are to be sent to Mr. Eric R. Capps, Erosion & Sediment Control and Construction Permitting Manager, in the DCR Central Office and Mr. Stephen D. Kindy, State Hydraulics Engineer, in the VDOT Central Office. If the VDOT District Office and the DCR Regional Office can not come to agreement on a specific request, or if additional review is necessary, that request can then be elevated to those persons noted above in the VDOT and DCR Central Offices for resolution.

Any DCR approved variance/exemption must be documented in the construction plans (or other such documents) for the land disturbing activity. The appropriate notes on the

Stormwater Pollution Prevention Plan (SWPPP) General Information Sheets should be utilized for this purpose.

If it is intended that a specific ESC/SWM item be approved for statewide use, then the request for such is to be sent to Mr. Stephen D. Kindy, State Hydraulics Engineer, in the VDOT Central Office. The VDOT Central Office will coordinate with the DCR Central Office for approval of the ESC/SWM item through an amendment to the VDOT's annually approved ESC and SWM Standards and Specifications.