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SECTION 101—DEFINITIONS OF ABBREVIATIONS, ACRONYMS, AND TERMS

101.01 - Abbreviations and Acronyms

In these Specifications and other Contract Documents, the following abbreviations and acronyms shall be interpreted as follows:

AAR	Association of American Railroads
AASHTO	American Association of State Highway and Transportation Officials
ABS	Acrylonitrilebutadienestyrene (an elastomer)
AC	Alternating current
ACI	American Concrete Institute
ADT	Annual average daily traffic
AED	Associated Equipment Distributors
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
ANSI	American National Standards Institute
APA	Engineered Wood Association
API	American Petroleum Institute; American Pipe Institute
ASCE	American Society of Civil Engineers
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
AWG	American wire gage
AWPA	American Wood Preservers Association
AWS	American Welding Society
AWWA	American Water Works Association
BOCA	Building Officials and Code Administrators
C	Celsius, when preceded by "degree(s)"
CABB	Contractor Advertisement Bulletin Board
CBR	California bearing ratio
CRSI	Concrete Reinforcing Steel Institute
DBE	Disadvantaged Business Enterprise
DC	Direct current
DHV	Design hourly volume
EEI	Edison Electric Institute
EEO	Equal employment opportunity
EIA	Electronic Industries Alliance
EPA	Environmental Protection Agency

EPDM	Ethylenepropylenedienemonomer (an elastomer)
ESCCC	Erosion and Sediment Control Contractor Certification
F	Fahrenheit, when preceded by "degree(s)"
F/A	Filler/asphalt ratio
FHWA	Federal Highway Administration
FS	Federal Specifications, General Services Administration
ICEA	Insulated Cable Engineers Association
IMSA	International Municipal Signal Association
ITE	Institute of Transportation Engineers
LCD	Liquid crystal display
LPG	Liquid petroleum gas
MBE	Minority Business Enterprise
MEKP	Methyl ethyl ketone peroxide
MIL	Military specifications
MSDS	Materials Safety Data Sheet
MUTCD	<i>Manual on Uniform Traffic Control Devices for Streets and Highways</i> and the Virginia supplement to same
NEC	National Electrical Code
NEMA	National Electrical Manufacturers Association
NIST	National Institute of Standards and Technology
NOAA	National Oceanic and Atmospheric Administration
NRC	Nuclear Regulatory Commission
PCI	Precast / Prestressed Concrete Institute
PE	Polyethylene
PTL	Plywood Testing Laboratory
PVC	Polyvinylchloride
PVF	Polyvinylfluoride
SAE	Society of Automotive Engineers
SP	Special Provision
SPCN	Special Provision Copied Note
SPIB	Southern Pine Inspection Bureau
SSPC	Society for Protective Coatings
SWPPP	Storm Water Pollution Prevention Plan
TAPPI	Technical Association of the Pulp and Paper Industry
TFE	Polytetrafluoroethylene
TIE	Ticket Information Exchange (Miss Utility)

UL	Underwriters' Laboratories, Inc.
VAC	Volts alternating current
VDC	Volts direct current
VDOT	Virginia Department of Transportation
VEP	Value engineering proposal
VFA	Voids filled with asphalt
VMA	Voids in mineral aggregate
VOSH	Virginia Occupational Safety and Health
VTM	Virginia Test Methods; voids in total mix
VWAPM	Virginia Work Area Protection Manual
WBE	Women Business Enterprise

101.02 - Terms

In these Specifications and other Contract Documents, the following terms and pronouns used in place of them shall be interpreted as follows:

-A-

Advertisement, Notice of. A public announcement, as required by law, inviting bids for work to be performed or materials to be furnished that indicates approximate principal quantities, location of work to be performed, character and quantity of materials to be furnished, and time and place for opening bids.

Affiliate. Any business entity that is closely associated to another business entity so that one has the power to control the other either directly or indirectly; or, where one business entity systematically shares resources, officers and/or other management with another business entity to the extent that a business relationship legally exists or is publicly perceived to exist; or, when a third party has the power to control both; or, where one business entity has been so closely allied with another through an established course of dealings, including but not limited to the lending of financial wherewithal or engaging in joint ventures, so as to cause a public perception that the two firms are one entity.

Alkali soil. Soil in which total alkali chlorides calculated as sodium chloride are more than 0.10 percent based on total solids.

Award. The decision of the Board or Commissioner to accept the bid of the lowest responsive and responsible bidder for the work. The award is subject to the execution and approval of a satisfactory Contract therefor, and such conditions as may be specified or required by law.

Award date. The date on which the decision is made by the Board or Commissioner to accept the bid of the lowest responsive and responsible bidder.

-B-

Backfill. Material used to replace or the act of replacing material removed during construction; may also denote material placed or the act of placing material adjacent to structures.

Balance point. The approximate point, based on estimated shrinkage or swell, where the quantity of earthwork excavation and borrow, if required, is equal to the quantity of embankment material plus any surplus excavation material.

Base course. A layer of material of specified thickness on which the intermediate or surface course is placed.

Base flood. The flood or tide having a one percent chance of being exceeded in any given year.

Bid. The offer of a bidder, submitted by electronic proposal (or on paper if so specified in the proposal) to perform the work and furnish the materials and labor at the prices set forth therein; valid only when properly signed and guaranteed.

Bidder. Any individual, partnership, corporation, or joint venture that formally submits a bid for the work contemplated, or for any portion thereof, acting directly or through a duly authorized representative.

Bids, Invitation for. See **Advertisement, Notice of.**

Board. Commonwealth Transportation Board.

Borrow. Suitable material not available from designated Regular Excavation or other sources of useable materials on-site that is used primarily for embankment.

Brackish water. Water in which total alkali chlorides calculated as sodium chloride are more than 0.10 percent based on total solids.

Bridge. A structure, including supports, that is erected over a depression or an obstruction, such as water, a highway, or a railway, that has a track or passageway for carrying traffic.

Bridge lift. A layer of fill material placed in excess of standard depth over an area that does not support the weight of hauling equipment and for which compaction effort is not required.

-C-

Calendar day. Any day shown on the calendar.

Camber. A vertical curvature induced or fabricated into beams or girders and a deck slab or slab span formwork; a vertical curvature set in the grade line of a pipe culvert to accommodate differential settlement.

Channel. A watercourse or drainage way.

Commissioner. Commonwealth Transportation Commissioner.

Commonwealth. Commonwealth of Virginia.

Composite hydrograph. A graph showing the mean daily discharge versus the calendar day, indicating trends in high and low flow for a one-year period.

Construction area. The area where authorized construction occurs.

Construction limits (On-Site). The disturbed area required for the construction of a Project including the intersection of side slopes, with the original ground, plus slope rounding and slopes for drainage ditches, bridges, culverts, channels, temporary or incidental construction, and identified by the surface planes as shown and/or described within the Contract Documents.

Contract. The written agreement executed between the Department and the Contractor that sets forth the obligations of the parties thereunder, including, but not limited to, the performance of the work, furnishing of materials and labor, and the method of measurement and basis of payment of the work, as identified in the Contract Documents.

Contract Documents. The edition of the *Road and Bridge Specifications* cited in the Bid Proposal and Contract, which include addenda or Revisions issued prior to the Bid Date, the Supplemental Specifications, Special Provisions, Special Provision Copied Notes, the Plans, the Edition of the *Road and Bridge Standard Drawings* cited on the title sheet of the plans which include Addendum's or Revisions issued prior to the Bid Date, Change Orders and/or Work Orders issued subsequent to the Contract Execution date and Written Directives, Agreements or Clarification. Oral representations or promises will not be considered a part of the Contract.

Contract item. A specifically described unit of work for which a price is provided in the Contract.

Contract time limit. The number of calendar days or fixed calendar date or that specifies the time allowed for completion of the work described in the Contract, including authorized extensions.

Contractor. Any individual, partnership, corporation, or joint venture that contracts with the Department to perform the prescribed work as an independent contractor and not as an agent for the Department, Commissioner or Commonwealth Transportation Board.

Corporation. A body of persons granted a charter legally to conduct business recognizing them as a separate entity having its own rights, privileges, and liabilities distinct from those of its members.

Cul-de-sac. An area at the terminus of a dead-end street or road that is constructed for the purpose of allowing vehicles to turn around.

Culvert. A structure that is not classified as a bridge which provides an opening under any roadway.

Cut. When used as a noun with reference to earthwork, that portion of a roadway formed by excavating below the existing surface of the earth and limited by design or the direction of the Engineer.

Cut Slope. See also Fill Slope. A surface plane generally designated by design or the direction of the Engineer which is formed during excavation below existing ground elevations that intersects with existing ground at its termini.

-D-

Day. Unless otherwise stated, a calendar day.

Deflection. The vertical movement occurring between the supports of a bridge superstructure or its components (beams, girders, and slabs) that results from their own weight and from dead and live loads. Although all parts of a structure are subject to deflections, usually only those deflections that occur in the superstructure are of significance during construction.

Department. Virginia Department of Transportation.

Design flood. The magnitude of flood that a given structure can convey without exceeding a designated flood level.

Digital Identification (I.D.). An encrypted signature that is the legal equivalent of a written signature thus allowing for the digital signing of the bid.

Disincentive. A verifiable monetary deterrent used to discourage the Contractor from failing to meet a contract milestone and/or the contract time limit that is identified and defined by specific Contract language.

Disposable material. Material generally found to be unsuitable for roadway construction or material that is surplus.

Disposal areas. Areas generally located outside of the Construction Limits identified in the Contract Documents where unsuitable or surplus material is deposited.

Drainage ditch. An artificial depression constructed to carry off surface water.

-E-

Earthwork. The work consisting of constructing roadway earthwork in conformity with the specified tolerances for the lines, grades, typical sections, and cross sections shown on the plans or as established by the Engineer. Earthwork shall include regular, borrow, undercut and minor structure excavation; constructing embankments; disposing of surplus and unsuitable material; shaping; grading, compaction; sloping; dressing; and temporary erosion control work.

Easement (Right of way). A grant of the right to use property for a specific use.

Embankment. A structure of soil, soil aggregate, soil-like materials, or broken rock between the existing ground and subgrade.

Employee. Any person working on the project specified in the Contract who is under the direction or control of or receives compensation from the Contractor or subcontractor.

Engineer. The Chief Engineer, as designated by the Commonwealth Transportation Commissioner, who acts directly or through his duly authorized representative(s) and who is responsible for highway design, construction, and maintenance. The Engineer, or his representative(s), acts within the scope of the particular duties assigned to him or the authority given to him by the Code of Virginia, the Commonwealth Transportation Commissioner, these Specifications, supplemental specifications, and the Contract Documents.

Engineer, Contract. The Chief Engineer's authorized representative for administering the advertisement of work, receiving bids for such , and awarding such work as contracts for the Department.

Equipment. Machinery, tools, and other apparatus, together with the necessary supplies for upkeep and maintenance, that are necessary for acceptable completion of the work.

Excavation (Excavate). The act of creating a man-made cavity in the existing soil for the removal of material necessary to obtain a specific elevation or to install a structure, material, component or item necessary to complete a specific task or form a final surface or subsurface.

Execution date. The date on which the contract is signed by the Chief Engineer.

Extra work. An item of work that was not provided for in the Contract as awarded but that is found to be essential to the satisfactory fulfillment of the Contract within its intended scope and is identified in a written authorized Work Order or Force Account directive for its execution subject to the limitations, exceptions and provisions in Sections 104.02 and 104.03 and 109.05.

-F-

Falsework. A temporary framework used to support work in the process of constructing permanent structural units.

Federal agencies or officers. An agency or officer of the federal government and any agency or officer succeeding in accordance with the law to the powers, duties, jurisdictions, and authority of the agency or officer mentioned.

Fill Slope. See also Cut Slope. A surface plane formed during embankment above existing ground elevations that intersects with existing ground at its termini.

Firm. A commercial partnership of two or more persons formed for the purpose of transacting business.

Flood frequency. A statistical average recurrence interval of floods of a given magnitude.

Force account work. Prescribed work of a contractual status performed by the Contractor and compensated for as specified in Section 109.05. A Force Account agreement is made with the Contractor when neither the Engineer nor the Contractor can firmly establish an applicable estimate for the cost of the work, because the scope of the work is not defined or quantifiable at the time of discovery or start of execution. Force account is used when what is to be done is known, but the level of effort or quantity of materials that will be necessary to accomplish that task is unknown but will be determined as the work progresses. In these cases the rates for the labor, equipment, and materials to be used are agreed upon in advance, and daily records are kept by the Engineer in order to track the eligible expenditures.

Formwork. A temporary structure or mold used to retain the plastic or fluid concrete in its designated shape until it hardens. Formwork shall be designed to resist the fluid pressure exerted by plastic concrete and additional fluid pressure generated by vibration and temporary construction loads.

Frontage street or road. A local street or road auxiliary to and located on the side of a highway for service to abutting property and adjacent areas and control of access.

-G-

Gage. U.S. Standard Gage.

Grade separation. Any structure that provides a traveled way over or under another traveled way or over a body of water.

-H-

Highway. The entire right of way reserved for use in constructing or maintaining the roadway and its appurtenances.

Historical flood level. The highest flood level that is known to have occurred at a given location.

Holidays. The days specifically set forth in Section 108.02 or in the Contract Documents.

Hydrologic data sheet. A tabulation of hydrologic data for facilities conveying a 100-year discharge equal to or greater than 500 cubic feet per second.

-I-

Incentive. A verifiable monetary amount used to encourage the Contractor to complete work prior to the milestone dates and/or the time limit specified in the Contract.

Inspector. The Engineer's authorized representative who is assigned to make detailed inspections of the quality and quantity of the work and its conformance to the requirements and provisions of the Contract.

Invert. The lowest point in the internal cross-section of a pipe or other drainage structure.

-J-K-

Joint venture. Two or more individuals, partnerships, corporations, or combinations thereof that join together for the purpose of bidding on and constructing a project.

-L-

Laboratory. The testing laboratory of the Department or any other testing laboratory that may be designated by provisions in the Contract or by the Engineer.

Liquidated damages. Compensatory damages as set forth in the Contract, paid by the Contractor to the Department when the Contractor fails to complete the project within the time frame specified in the Contract. These damages include, but are not limited to, additional costs associated with administration, engineering, supervision and inspection of the project.

-M-

Major Item. Any pay item specifically indicated as such in the Contract Documents.

Material. Any substance that is used in the work specified in the Contract

Median. The portion of a divided highway that separates the traveled ways.

-N-

Non-Contract item. Item(s) of work that is required to permit completion of the specified work in an acceptable manner, located within the Limits of Construction, but is not included in the Contract

Documents and will be completed by others prior to or during the construction of the Project.

No Plan and Minimum Plan Concept Project. Generally a project of a very limited scope and duration requires few details to describe proposed work.

Notice to Proceed. The date of contract execution or a specified date identified as such and set forth in the Contract Documents on which the Contractor may begin the work.

-O-

Ordinary high water. A water elevation based on analysis of all daily high waters that will be exceeded approximately 25 percent of the time during any 12 month period.

Overtopping flood. The magnitude of flood that just overflows the traveled way at a given structure and/or on the approach traveled way of such structure.

-P-Q-

Pavement structure. The combination of select or stabilized materials, subbase, base, and surface courses, described in the Typical Pavement Section in the Plans that is placed on a subgrade to support the traffic load and distribute it to the roadbed.

Pay item. A specifically described unit of work for which a price is provided in the Contract.

Phase inspection. The inspection of work at predetermined stages in lieu of continuous inspection.

Plans. The approved project plans and profiles, which may include Standard Drawings, survey data, typical sections, summaries, general notes, details, plan and profile views, cross-sections, special design drawings, computer output listings, supplemental drawings or exact reproductions thereof, and all subsequently approved revisions thereto which show the location, character, dimensions, and details of the work specified in the Contract

Prequalification. The procedure used by the Department to assure itself of the Contractor's ability to perform the work with attention to quality and safety including his experience in similar work, and sufficiency of equipment to accomplish the work and that the Contractor's financial resources will permit financing the cost in accordance with the Rules Governing Prequalification Privileges.

Profile grade. The line of a vertical plane intersecting the top surface of the proposed wearing surface, usually along the longitudinal centerline of the roadbed.

Project. The total scope of work specified to be performed in the Contract Documents.

Project showing. The scheduled event at which the Department's representative meets with prospective bidders to describe and answer questions regarding the proposed work.

Proposal: The document provided by the Department to prospective bidders or personally obtained by prospective bidders that describes the work for which bids will be accepted which includes the electronic forms on which the Department requires bids to be submitted for the work described.

-R-

Ramp. A connecting roadway between two highways or traveled ways or between two intersecting highways at a grade separation.

Right of way. A general term denoting land, property, or interest therein, usually in the form of a strip, that is acquired for or devoted to transportation facilities but is not meant to denote the legal nature of ownership.

Road. A general term denoting a public way for purposes of vehicular travel including the entire area within the right of way; the entire area reserved for use in constructing or maintaining the roadway and its appurtenances.

Road and Bridge Specifications. The specifications contained herein and generally recognized as the standard specifications for all contracts awarded by the Commonwealth Transportation Board or the Transportation Commissioner.

Roadbed. The graded portion of a highway within the top and side slopes that is prepared as a foundation for the pavement structure and shoulders.

Roadbed material. The material below the subgrade in cuts, embankments, and embankment foundations that extends to a depth and width that affects the support of the pavement structure.

Roadside. A general term that denotes the area within the right of way that adjoins the outer edges of the roadway; extensive areas between the roadways of a divided highway.

Roadside development. Items that are necessary to complete a highway that provide for the preservation

of landscape materials and features; rehabilitation and protection against erosion of areas disturbed by construction through placing seed, sod, mulch, and other ground covers; and such suitable plantings and other improvements as may increase the effectiveness, service life and enhance the appearance of the highway.

Roadway. The portion of a highway within the limits of construction and all structures, ditches, channels, and waterways which are necessary for the correct drainage thereof.

-S-

Seawater. Water in which total alkali chlorides calculated as sodium chloride are more than 0.10 percent of total solids.

Select borrow. Borrow material that has specified physical characteristics.

Select material. . Material obtained from roadway cuts, borrow areas, or commercial sources that is designated or reserved for use as a foundation for the subbase, subbase material, shoulder surfacing, or other specified purposes designated in the Contract Documents.

Shoulder. The portion of the roadway contiguous with the traveled way that is for the accommodation of stopped vehicles, emergency use, and lateral support of the base and surface courses.

Sidewalk. The portion of the roadway constructed primarily for the use of pedestrians.

Skew. The acute angle formed by the intersection of a line normal to the centerline of the roadway with a line parallel to the face of the abutments or, in the case of culverts, with the centerline of the culverts.

Special Provision (SP). A document that sets forth specifications or requirements for a particular project that is not covered by the standard Specifications.

Special Provision Copied Note (SPCN). A document that sets forth specific specifications or requirements, usually limited in scope, for a particular project.

Specialty item. An item of work designated as "Specialty Item" in the proposal that is limited to work that requires highly specialized knowledge, craftsmanship, or equipment that is not ordinarily available in contracting organizations prequalified to bid and is usually limited to minor components of the overall Contract.

Specifications. A general term that includes all directions, provisions, and requirements contained herein and those that may be added or adopted as supplemental specifications, special provisions, or special provision copied notes. All are necessary for the proper fulfillment of the Contract.

Standard drawings. Unless otherwise specified, applicable drawings in the Department's *Road and Bridge Standards* and such other standard drawings as are referred to on the plans.

State. Commonwealth of Virginia.

Station. When used as a definition or term of measurement, 100 linear feet.

Street. A general term denoting a public way for purposes of vehicular travel including the entire area within the right of way; the entire right of way reserved for use in constructing or maintaining the roadway and its appurtenances.

Structures. Bridges, culverts, catch basins, inlets, retaining walls, cribs, manholes, end walls, buildings, steps, fences, sewers, service pipes, underdrains, foundation drains, and other features that may be encountered in the work and are not otherwise classed herein.

Subbase. A layer(s) of specified or selected material of designed thickness that is placed on a subgrade to support a base course.

Subcontractor. Any individual, partnership, corporation, or joint venture to which the prime Contractor, with the written consent of the Department, subcontracts part of the Contract.

Subgrade. The top earthwork surface of a roadbed, prior to application of Select or Stabilized material courses, shaped to conform to the typical section on which the pavement structure and shoulders are constructed, or surface that must receive an additional material layer, such as Topsoil, Stone or other Select Material.

Subgrade stabilization. The modification of roadbed soils by admixing with stabilizing or chemical agents that will increase the load bearing capacity, firmness, and resistance to weathering or displacement.

Subletting. Subcontracting

Substructure. The part of a structure that is below the bearings of simple and continuous spans, skewbacks of arches, and tops of footings of rigid frames, together with the back walls, wingwalls, and wing protection

railings.

Superintendent. The Project representative of the Contractor who is authorized to receive and fulfill instructions from the Engineer and who supervises and directs the construction.

Superstructure. The portion of a structure that is not defined as substructure.

Supplemental specifications. Additions and revisions to the *Road and Bridge Specifications* identified in the Contract Documents

Surety. A corporate entity bound with and for the Contractor for full and complete fulfillment of the Contract and for payment of debts pertaining to the work. When applied to the proposal guaranty, it refers to the corporate body that engages to be responsible in the execution by the bidder, within the specified time, of a satisfactory Contract and the furnishing of an acceptable payment and contract bond.

Surface course. One or more top layers of a pavement structure designed to accommodate the traffic load, which is designed to resist skidding, traffic abrasion, and disintegrating effects of weather. Also see wearing course.

Surplus material. Material that is present on a project as a result of unbalanced earthwork quantities, excessive swell, slides, undercutting, or other conditions beyond the control of the Contractor

Suspension. A written notice issued by the Engineer to the Contractor that orders the work on a project to be stopped wholly or in part as specified. The notice will include the reason for the suspension.

-T-

Temporary structure. Any structure that is required to maintain traffic while permanent structures or parts of structures specified in the Contract are constructed or reconstructed. The temporary structure shall include earth approaches.

Theoretical maximum density. The maximum compaction of materials that can be obtained in accordance with the values established VTM-1.

Tidewater, Virginia. Areas within the Commonwealth as defined in the Department of Conservation and Recreation Erosion and Sediment Control Manual.

Topsoil: The uppermost original layer of material that will support plant life and contains more than 5 percent organic material reasonably free from roots exceeding 1 inch in diameter, brush, stones larger than 3 inches in the largest dimension and toxic contaminants.

Ton. A short ton; 2,000 pounds avoirdupois.

Top of earthwork. The uppermost surface of the regular or embankment excavation, not including select material, that is shaped to conform to the typical section shown in the plans or directed by the Engineer.

Traveled way. The portion of the roadway for the movement of vehicles, not including shoulders.

-U-

Unsuitable Material. Any material which contains more than 5 percent by weight organic matter, or which has unstable bearing capacity, excessive moisture content, plasticity indexes or liquid indexes, or other characteristics defined by the Engineer or the Contract Documents as unsuitable for the use intended.

Utilities. Private, county, city, municipal or public facility, designed, owned and maintained for public use, such as electricity, water, sanitary sewer, storm sewer, drainage culverts, telecommunications, conduits, gas, oil, fiber optics, cable television, that is not identified as a Pavement Structure, Roadway, Highway, Street or Traveled Way.

-V-

Vouchered. The action of approval by the Department; constitutes the date of release to the State Comptroller for payment.

-W-X-Y-Z-

Wearing course. (See **Surface course**) The top and final layer of any pavement

Work. The furnishing of all materials, labor, tools, equipment, and incidentals necessary or convenient for the successful completion of the project and the carrying out of the duties and obligations specified in the Contract.

Working drawings. Stress sheets, shop drawings, erection plans, falsework plans, framework plans, cofferdam plans, bending diagrams for reinforcing steel, or any other supplementary plans or similar data the Contractor is required to submit to the Engineer for review.

Work Order. A written agreement made between the Contractor and the Engineer in order to establish

changes to the contract. A work order may be used to add, modify, or delete: pay items, contract time, or other terms of the contract. Work orders may be issued on a bilateral or unilateral basis.

Work Order, Bilateral. A written change order to the Contract where the Engineer and Contractor agree upon scope cost and time estimation for the proposed work. The process uses Form C-10 to perform, communicate and integrate the required and approved change. This type of work order is what is typically meant when the term *work order* is used elsewhere in Department publications.

Work Order, Unilateral. A written directive to the Contractor signed only by the Engineer used to effect a contract change when the Contractor and the Department cannot agree upon the cost and time estimation of the change or where due to issues of emergency, safety, environmental damage, other similar critical factors the Department must act quickly and unilaterally to effect the change. In these cases, the Department must act unilaterally to establish a cost or time adjustment for additional work to the Contract. The process uses Form C-10 to perform, communicate and integrate the required and approved change.

SECTION 103—AWARD AND EXECUTION OF CONTRACTS

103.05 - Requirements of Contract Bond

Within 15 calendar days after notification of award of the Contract the successful bidder shall furnish the following bonds for contracts in excess of \$250,000.00:

- (a) a performance bond in the sum of the Contract amount, conditioned upon the faithful performance of the Contract in strict conformity with the plans, Specifications and conditions of the Contract, and
- (b) a payment bond in the sum of the Contract amount, conditioned upon the prompt payment for all labor, materials, public utility services and rental of equipment used in the prosecution of the work for the Contract.

Bidders will not be awarded an unbonded contract when their bid plus the balance of other unbonded contracts exceeds \$250,000.00 or as otherwise limited by their current prequalification status.

The bonds shall be made on official forms furnished by the Department and shall be executed by the bidder and a surety company carrying a minimum "Best Rating" of "B +" and authorized to do business in Virginia in accordance with the laws of Virginia and the rules and regulations of the State Corporation Commission. To be considered properly executed, the bonds shall include authorized signatures and titles.

SECTION 104—SCOPE OF WORK

104.01 - Intent of Contract

The intent of the Contract is to provide for completion of the work specified therein within the budget and time limit stated in the Contract. Further it is understood that the Contractor execute the work under the contract as an independent contractor and not as an agent of the Department, the Commissioner or the Commonwealth Transportation Board.

104.02 - Alteration of Quantities or Character of Work

(a) General

The Engineer reserves the right to make, in writing, at any time during the work, such changes in quantities and such alterations in the work as are necessary to complete the project satisfactorily. Such changes in quantities and alterations shall not invalidate the Contract or release the surety, and the Contractor shall agree to perform the work as altered. No change, alteration or modification in or deviations from the Contract or the Contract Documents, or the giving by the Department of any extension of time for the performance of the Contract, or the forbearance on the part of the Department shall release or exonerate in whole or in part either the Contractor or any surety on the obligations of any bond given in connection with the Contract. Neither the Department nor the Contractor shall be under any obligation to notify the surety or sureties of any such alteration, change, extension or forbearance, notice thereof being expressly waived. Any increase in the Contract amount shall automatically result in a corresponding increase in the penal amount of the bonds without notice to or consent from the surety, such notice and consent being hereby waived. Decreases in the Contract amount shall not, however, reduce the penal amount of the bonds unless specifically provided in any change order as authorized in accordance with the provisions of Section 109.05 decreasing the scope of the work.

If the alterations in the nature of the work or changes in quantities, significantly change the character of the work under the Contract, an adjustment, excluding anticipated profits for reduced or eliminated work, may be made to the Contract. The basis for the adjustment shall be agreed upon prior to the performance of the work. If a basis cannot be agreed upon, an adjustment will be made either for or against the Contractor in such amount as the Engineer may determine to be fair and equitable.

At the option of the Engineer, the Contractor may be directed to accomplish the work on a force account basis when the scope of work meets the requirements for such a determination in accordance with the requirements of Section 109.05.

If the alterations or changes in quantities do not significantly change the character of the work to be performed under the contract, the altered work will be paid for as provided elsewhere in the Contract.

The term *significant change* shall be construed to apply only to the following circumstances:

- (1) When the character of the work as altered differs materially in kind or nature from that involved or included in the original proposed construction or
- (2) When a major item of work, as defined elsewhere in the contract is increased or decreased more than 25 percent of the original contract quantity. Any allowance for an increase or decrease in cost due to an increase in quantity of more than 25 percent shall be calculated only on that quantity in excess of 125 percent of the original contract bid item quantity. Also any allowance for an increase or decrease in cost due to a decrease in quantity of more than 25 percent shall be calculated only on that quantity below 75 percent of the original contract bid item quantity, or in case of a decrease below 75 percent, to the actual amount of work performed, or
- (3) When overruns and underruns of piling amount to more than 25 percent of the original bid quantity, whether or not such item has been designated as a major item, or
- (4) When overruns or underruns of more than 100% on minor items can be demonstrated as not representative of the true cost of the work when considering the unit bid price.

(b) Value Engineering Proposals

The Contractor may submit to the Engineer written Value Engineering Proposals (VEP) for modifying the plans, Specifications, or other requirements of the Contract for the purpose of reducing the total cost and/or contract time of construction without reducing the design capacity or quality of the finished product. If the VEP is accepted by the Department, the net savings and/or contract time will be equally divided by the Department and the Contractor. When an accepted VEP includes contract time savings, the contract completion date shall be advanced by half of the time savings accepted in the VEP and the Contractor shall have exclusive use of the remaining half of the time as contractor float.

Each VEP shall result in a net savings over the contract cost and/or contract time without impairing essential functions and characteristics of the item(s) or of any other part of the project, including, but not limited to, service life, reliability, economy of operation, ease of maintenance, aesthetics, and safety. At least the following information shall be submitted with each VEP:

- Statement that the proposal is submitted as a VEP
- Statement concerning the basis for the VEP benefits to the Department and an itemization of the contract items and requirements affected by the VEP
- Detailed estimate of the cost and/or contract time under the existing Contract and under the VEP
- Proposed specifications and recommendations as to the manner in which the VEP changes are to be accomplished
- Statement as to the time by which a contract work order adopting the VEP must be issued so as to obtain the maximum cost-effectiveness

The Department will process the VEP in the same manner as prescribed for any other proposal that would necessitate issuance of a work order. The Department may accept a VEP in whole or part by issuing a work order that will identify the VEP on which it is based. The Department will not be liable to the Contractor for failure to accept or act on any VEP submitted pursuant to these requirements or for delays in the work attributable to any VEP. Until a VEP is put into effect by a work order, the Contractor shall remain obligated to the terms and conditions of the existing Contract. If an executed work order has not been issued by the date on which the Contractor's proposal specifies that a decision should be made or such other date as the Contractor may subsequently have specified in writing, the VEP shall be deemed rejected.

The work order effecting the necessary modification of the Contract will establish the net savings agreed on, provide for adjustment of the contract prices, and/or contract time, and indicate the net savings. The Contractor shall absorb all costs incurred in preparing a VEP. Costs for reviewing and administering a VEP will be borne by the Department. The Department may include in the agreement any conditions it deems appropriate for consideration, approval, and implementation of the VEP. The Contractor's 50 percent share of the net savings and/or contract time shall constitute full compensation to him for effecting all changes pursuant to the agreement.

Unless specifically provided for in the work order authorizing the VEP, acceptance of the VEP and performance of the work thereunder will not change the contract time limit.

The Department may adopt a VEP for general use in contracts administered by the Department if it determines that the VEP is suitable for application to other contracts. VEPs identical with or similar to previously submitted VEPs will be eligible for consideration and compensation under these provisions if they have not been previously adopted for general application to other contracts administered by the Department. When a VEP is adopted for general use, compensation pursuant to these requirements will be applied only to those awarded contracts for which the VEP was submitted prior to the date of adoption of the VEP.

Proposed changes in the basic design of a bridge or pavement type or those changes that require different right-of-way limits will not normally be considered an acceptable VEP. If a VEP is based on or is similar to a change in the plans, Specifications, or special provisions adopted by the Department prior to submission of the VEP, the Engineer will not accept the VEP.

The Engineer will be the sole judge of the acceptability of a VEP. The requirements herein apply to each VEP initiated, developed, and identified as such by the Contractor at the time of its

submission to the Engineer. However, nothing herein shall be construed as requiring the Engineer to approve a VEP.

Subject to the provisions herein, the Department or any other public agency shall have the right to use all or part of an accepted VEP without obligation or compensation of any kind to the Contractor.

If a VEP is accepted by the Department, the provisions of (a) herein that pertain to the adjustment of contract unit prices attributable to alterations of contract quantities will not apply to the items adjusted or deleted as a result of putting the VEP into effect by a work order.

104.03 - Differing Site Conditions

During the progress of the work, if subsurface or latent physical conditions differing materially from those indicated in the contract are encountered at the site, the Contractor shall promptly notify the Engineer in writing of the specific differing conditions.

If unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the Contract, are encountered at the site the Contractor shall promptly notify the Engineer in writing of the specific differing conditions.

Upon receipt of such written notification, the Engineer will acknowledge receipt and investigate the conditions. If it is determined by the Engineer that the conditions materially differ and cause an increase or decrease in the cost or time required for the performance of any work under the Contract, an adjustment, excluding anticipated profits, will be made and the Contract may be modified in writing accordingly. The Engineer will notify the Contractor of the determination whether or not an adjustment of the Contract is warranted.

SECTION 105—CONTROL OF WORK

105.01 - Notice to Proceed

Unless otherwise indicated in the Contract, the date of the Notice to Proceed will be the date of contract execution. The State Contract Engineer will contact the Contractor on the date of contract execution to inform him of such action. The State Contract Engineer will confirm this date in the Letter of Contract execution. This Letter of Contract Execution will be distributed to Department personnel involved in the administration of the Contract and to the Contractor. The Contractor shall begin work within 15 days of the date of contract execution unless the Notice to Proceed date is otherwise indicated in the Contract, in which case the Contractor shall begin work within 15 days of the date of the Notice to Proceed indicated in the Contract.

Contract Time will commence on the date of the Notice to Proceed. The Letter of Contract Execution will identify the Chief Engineer's authorized representative, who is responsible for written directives and changes to the Contract. The Engineer will contact the Contractor after notice of award to arrange a pre-construction conference.

In the event the Contractor, for matters of his convenience, wishes to begin work later than 15 days from the date of Notice to Proceed he shall make such a request in writing to the Engineer promptly after the execution of the Contract. If the Contractor's requested start date is acceptable to the Department, the Contractor will be notified in writing; however, the Contract fixed completion date will not be adjusted but will remain binding. The Contractor's request to adjust the start date for the work on the Contract will not be considered as a basis for claim that the time resulting from Contractor's requested start date, if accepted by the Engineer, is insufficient to accomplish the work nor shall it relieve the Contractor of his responsibility to perform the work in accordance with the scope of work and requirements of the Contract. In no case shall work begin before the Department executes the Contract. The Contractor shall notify the Engineer at least 24 hours prior to the date on which he plans to begin the work.

105.02 - Pre-Construction Conference

Within 14 days after notification of award the Contractor shall attend a pre-construction conference scheduled by the Engineer to discuss the Contractor's planned operations for prosecuting and completing the work within the time limit of the Contract. At the pre-construction conference the Engineer and the Contractor will identify in writing the authorities and responsibilities of project personnel for each party. The pre-construction conference may be held simultaneously with the scheduling conference when the Engineer so indicates this in advance to the Contractor. When these are simultaneously held, the Contractor shall come prepared to discuss preparation and submittal details of the progress schedule in accordance with the requirements of the Contract.

The Engineer will be responsible for setting the conference agenda, conducting discussions and ensuring that minutes of the conference are taken and later timely distributed to all attendees. The pre-construction conference will be the venue to review the contract plans and documents. To that end, the conference agenda may include but not be limited to discussions on the general sequence of work, including the expected primary work tasks as defined by the Contractor, and proposed means and methods for the entire scope of work, potential problems or impacts, constructability issues, special considerations such as limitations and access issues, agreements with local agencies or governments, utility impacts or relocations including railroads, coordination with schedules of the utilities and subcontractors and associated work, sources and delivery of critical materials, submittals required by Contract documents including shop drawings, location of field office, labs, etc., environmental concerns including permits and erosion and siltation efforts, maintenance of traffic issues and EEO\DBE\MBE requirements.

The Contractor shall provide the Engineer with a list of all equipment available for use in the prosecution of the work on the contract at the pre-construction conference or no later than one week prior to the first monthly progress estimate. The make, model, size, capacity, and year of manufacture shall be listed for each piece of equipment. Where possible the Contractor shall provide this list in an electronic format. This list may take the form of the Contractor's fleet list of equipment. The Contractor shall provide the Engineer an updated list of equipment as changes occur.

105.03 – Authorities of Project Personnel

(a) Authority of Engineer

During prosecution of the work, the Engineer will answer all questions that may arise as to the quantity, quality, and acceptability of materials furnished and work performed; rate of progress of the work; interpretation of the plans and Specifications; acceptable fulfillment of the Contract by the Contractor; disputes and mutual rights between contractors; and compensation.

The Engineer has the authority to suspend the work wholly or in part if the Contractor has created conditions that are unsafe or fails to correct conditions that are unsafe for workers or the general public or fails to carry out the provisions of the Contract. The Engineer may also suspend work for such periods as he may deem necessary because of catastrophic or extraordinary weather in accordance with the definition of such in Section 108.04, conditions considered unsuitable for prosecution of the work, or any other condition or reason deemed to be in the public interest.

The Engineer may issue written clarifications or directives that either enhance or alter the Contract Documents. The Engineer may order such work as may be necessary to complete the Contract satisfactorily.

(b) Authority of Inspector.

Inspectors employed by the Department are authorized to inspect all work performed and materials furnished. Inspection may extend to all or any part of the work and to the preparation, fabrication, and manufacture of the materials to be used. The Inspector is not authorized to alter or waive the provisions of these Specifications or make changes in the plans.

The Inspector is not authorized to make final acceptance of the project, approve any operation or item, or act as foreman for the Contractor. However, the Inspector will have the authority to reject defective work and material and suspend work that is being improperly performed, subject to the concurrence of the Engineer. Such inspection shall not relieve the Contractor of any obligation to furnish acceptable materials or provide completed construction that is in accordance with the requirements of the Contracts.

The Inspector will exercise only such additional authority as may be delegated by the Engineer. The Engineer will advise the Contractor in writing of delegations of authority that will affect his operations.

105.04 – Gratuities

Gifts, gratuities, or favors shall not be given or offered by the Contractor to personnel of the Department. A gift, gratuity, or favor of any nature whatsoever or offer of such by the Contractor to personnel of the Department shall be a violation of this provision.

The Contractor shall not employ any personnel of the Department for any services without the prior written consent of the Engineer.

If the Engineer determines after investigation that the Contractor or the Contractor's employees, representatives, or agents of any person acting in his behalf have violated this provision, the Contractor may, at the discretion of the Chief Engineer, be disqualified from bidding on future contracts with the Department for a period of six months from the date of the Chief Engineer's determination of such a violation. Any implicated employees, agents, or representatives of the Contractor may be prohibited from working on any contract awarded by the Department for the period of disqualification.

105.05 - Character of Workers, Work Methods, and Equipment

(a) Workers

Workers shall have sufficient skill and experience to perform properly the work assigned to them. Workers engaged in special or skilled work shall have sufficient experience in such work and in the operation of equipment required to perform it properly and satisfactorily.

Any person employed by the Contractor or any subcontractor who, in the opinion of the Engineer, does not perform his work in a proper and skillful manner or is intemperate or disorderly shall, when directed in writing by the Engineer, be removed by the Contractor or subcontractor employing the person and shall not be employed again on any portion of the work without the written approval of the Engineer. If the Contractor fails to remove the person or furnish suitable and sufficient personnel for proper prosecution of the work, the Engineer may withhold all monies

that are or may become due the Contractor and may suspend the work until the Contractor has complied with the Engineer's directive.

(b) Equipment

Equipment shall be of sufficient size and in such mechanical condition as to comply with the requirements of the work and produce a satisfactory quality of work. Equipment shall be such that no damage to the roadway, adjacent property, other highways or to danger to the public will result from its use. The Engineer may order the removal and require replacement of unsatisfactory equipment.

(c) Work Methods

When methods and equipment to be used by the Contractor are not prescribed in the Contract, the Contractor is free to use whatever methods or equipment he feels will accomplish the contract work in conformity with the requirements of the Contract.

When the Contract specifies that construction be performed by the use of particular methods and equipment, they shall be used unless others are authorized by the Engineer. If the Contractor desires to use a different method or type of equipment, he may request permission from the Engineer to do so. The request shall be in writing and shall include a full description of the methods and equipment he proposes to use and an explanation of the reasons for desiring to make the change. If permission is not given, the Contractor shall use the specified methods and equipment. If permission is given, it will be on the condition that the Contractor shall be fully or equipment, the Engineer determines that the work produced does not conform to the requirements of the Contract, the Contractor shall discontinue the use of the substitute method or equipment and shall complete the remaining construction with the specified methods and equipment. The Contractor shall remove any deficient work and replace it with work of the specified quality or take such other corrective action as the Engineer may direct. No change will be made in the basis of payment for the construction items involved or the contract time limit as the result of authorizing or denying a change in methods or equipment under these provisions.

105.06 – Subcontracting

No portion of the Contract shall be subcontracted or otherwise disposed of without the written consent of the District Administrator or his designee.

The Contractor shall perform with his own organization work amounting to not less than 30 percent of the original contract value unless otherwise noted in the Contract.

The Contractor shall not subcontract any part of the contract work to a contractor who is not prequalified with the Department in accordance with the requirements of Section 102.01, unless otherwise indicated in the Contract. This restriction does not apply to contract specialty items, consultants, manufacturers, suppliers, or haulers. Consent to subcontract or otherwise dispose of any portion of the contract work shall not relieve the Contractor of any responsibility for the fulfillment of the entire Contract.

105.07 - Cooperation of Contractor

The Contractor shall give the work the constant attention necessary to facilitate quality and progress and shall fully cooperate with the Engineer, Inspector, and other contractors involved in the prosecution of the work. If any portion of a project is located within the limits of a municipality, military installation, or other federally owned property; the Contractor shall cooperate with the appropriate officials and agents in the prosecution of the work to the same extent as with the Department.

The Contractor shall have on the project at all times during prosecution of work a competent Superintendent capable of reading and understanding the plans and Specifications and experienced in the type of work being performed who shall receive instructions from the Engineer or his authorized representatives. The Superintendent shall have full authority to execute the orders and directions of the Engineer without delay and supply promptly such materials, equipment, tools, labor, and incidentals as may be required.

105.08 - Cooperation With Regard to Utilities

The adjustment of utilities consists of the relocation, removal, replacement, rearrangement, reconstruction, improvement, disconnection, connection, shifting, or altering of an existing utility facility in any manner.

Existing utilities within the Department's knowledge at the design stage of the project will be indicated on the plans. Where possible, arrangements for adjusting these utilities will be made by the Department prior to project construction. Existing private and public utilities that require adjustment will be adjusted by the utility owner or if denoted in the Contract, shall be adjusted by the Contractor as a contract item. The new location of such utilities will not normally be shown on the plans. Some utilities may remain or be adjusted within the construction limits simultaneously with project construction operations.

The Contractor shall coordinate project construction with planned utility adjustments and take all necessary precautions to prevent disturbance of the utility facilities. The Contractor shall report to the Engineer any failure on the part of the utility owner to cooperate or proceed with the planned utility adjustments.

The Contractor shall perform contract utility work in a manner that will cause the least inconvenience to the utility owner and those being served by the utility owner.

Existing, adjusted, or new utility facilities that are to remain within the right of way shall be properly protected by the Contractor to prevent disturbance or damage resulting from construction operations. If during prosecution of the work the Contractor encounters an existing utility that requires adjustment he shall not interfere with the utility but shall take the proper precautions to protect the facility and shall promptly notify the Engineer of the need for adjustment.

If the Contractor desires the temporary or permanent adjustment of utilities for his own benefit, he shall conduct all negotiations with the utility owners and pay all costs in connection with the adjustment.

When delays, inconvenience, or damage sustained by the Contractor are deemed by him to be attributable to interference by utility appurtenances, or the operation of moving the same, written requests from the Contractor for an extension of time will be considered provided there has been a delay to either the critical path or the controlling item of work. Such delays shall be demonstrated by an impact analysis of the Contractor's schedule.

If it is determined that interference by utility appurtenances caused a delay of such magnitude or otherwise altered project operations so as to increase significantly the Contractor's cost of performing the work, the Engineer will consider additional compensation limited to the actual costs incurred by the Contractor. Actual costs will not include unabsorbed office overhead unless the delay or impact adversely affects the critical path or controlling item of work to such extent that the fixed completion date is delayed. Prior to the Engineer's review, the Contractor shall present sufficient documentation to substantiate fully the request for additional compensation. Such documentation shall be furnished in sufficient detail as requested by the Engineer. Nothing herein shall be construed as requiring the payment of additional compensation.

105.09 - Cooperation Among Contractors

The Department may at any time contract or approve concurrent contracts for performance of other work on, near, or within the same geographical area of the work specified in an existing contract. Contractors shall not impede or limit access to such work by others.

When separate contracts are awarded within the limits of one project, contractors shall not hinder the work being performed by other contractors. Contractors working on the same project shall cooperate with each other. In case of dispute, the Engineer will be the referee, and his decision will be binding on all parties.

When contracts are awarded to separate contractors for known concurrent construction in a common area, the contractors, in conference with the Engineer, shall establish a written joint schedule of operations. The schedule shall be based on the limitations of the individual contracts and the joining of the work of one contract with the others. The schedule shall set forth the approximate dates and sequences for the several items of work to be performed and shall ensure completion within the contract time limit. The schedule shall be submitted to the Engineer for review and approval no later than 30 days after the award date of the later contract and prior to the first monthly progress estimate. The schedule shall be agreeable to, signed by, and binding on each contractor. The Engineer may allow modifications of the schedule when benefit to the contractors and the Department will result.

Any modification of the schedule shall be in writing, mutually agreed to and signed by the contractors, and shall be binding on the contractors in the same manner as the original agreement.

If the contractors fail to agree on a joint schedule of operations, they shall submit their individual schedules to the Engineer, who will prepare a schedule that will be binding on each contractor.

The joint schedule and any modification thereof shall become a part of each contract involved. The failure of any contractor to abide by the terms of the joint schedule will be justification for declaring the contractor in default of his Contract.

Each contractor shall assume all liability, financial or otherwise, in connection with his Contract and shall protect and save harmless the Commonwealth from any and all damages and claims that may arise because of any inconvenience, delay, or loss he experiences as a result of the presence and operations of other contractors working in or near the work covered by his Contract. He shall also assume all responsibility for any of his work not completed because of the presence or operation of other contractors.

Except for an extension of the contract time limit, the Department will not be responsible for any inconvenience, delay, or loss experienced by the Contractor as a result of his failure to gain access to the work at the time contemplated. When the failure to gain access is not due to any fault or negligence of the Contractor, an extension of the contract time limit will be allowed on the basis of the amount of time delayed.

The Department will not assume any responsibility for acts, failures, or omissions of one contractor that delay the work of another except as provided herein.

105.10 - Plans and Working Drawings

(a) **General**

The Contractor will be supplied with two copies of the executed Contract. The Department’s *Road and Bridge Specifications* and the Department’s *Road State and Bridge Standards* will be available for purchase by the Contractor from the office of the Contract Engineer.

(b) **Plans**

Plans will be furnished to the Contractor without charge as follows:

Original Contract Amount in Dollars		Number of Plan Sets	
From	To	Full Size	Half Size
0	1,999,999	4	6
2,000,000	4,999,999	6	8
5,000,000	9,999,999	8	10
10,000,000+		10	10

Plan revisions issued while the project is under construction will be furnished to the Contractor in the same sizes and number

The Contractor shall keep one complete set of plans, standard drawings, contract assemblies, and Specifications available on the project at all times. For maintenance projects, certain sign projects, and other projects having no field office or on which the Contractor has no office, the Contractor shall keep one complete set of plans, contract assemblies, and Specifications with him while prosecuting the work. In the event items of work are required as per the Standard Drawings, the Contractor shall also keep the appropriate Standard Drawings on the project during the performance of that work.

Plans consisting of general drawings and showing such details as are necessary to give a comprehensive understanding of the work specified will be furnished by the Department. Except as otherwise shown on the plans, dimensions shown on the plans are measured in the respective horizontal or vertical planes. Dimensions that are affected by gradients or vertical curvatures shall be adjusted as necessary by the Contractor to accommodate actual field conditions and shall be specifically denoted as “field adjusted” on the working drawings. Failure on the part of the Contractor to so denote field adjustments on the working drawings shall not relieve the Contractor of the responsibility to accommodate and incorporate such existing conditions into the finished work.

(c) **Working Drawings**

The Contractor shall furnish working drawings to the extent, detail and number as may be required by the Contract requirements. The Contractor shall submit to the Department for review nine sets of required working drawings unless otherwise indicated in the Contract requirements. Working drawings and submittals shall be identified by the complete state project and job designation number, as well as the federal project number if applicable. Items or component materials shall be identified by the specific contract item number and Specification reference in the Contract. Any changes from the requirements of the Contract shall be specifically denoted, together with justification, and submitted to the Engineer for review. Working drawings shall be submitted in sufficient time to allow for review, discussion and correction prior to the beginning of the work

they reference. Work shall not be performed or materials ordered prior to the completion of the Department's review of the working drawings.

Reviewed working drawings will be returned to the Contractor within 30 days from the date of receipt by the Department. If a railroad, municipality, or other entity as specified in the Contract or on the plans is required to review the working drawings, the reviewed working drawings will be returned within 45 days from the date of receipt by the Department. If the working drawings are not returned by the time specified, no additional compensation will be allowed except that an extension of time in accordance with the requirements of Section 108.04 will be considered if the work element detailed by the working drawings is on the project critical path or involves a controlling item of work. Three sets of working drawings marked with any suggested modifications or comments will be returned to the Contractor. The other sets will be retained by the Department.

The Department's review of the Contractor's working drawings will relate to conformance to the requirements of the Contract. The review will not relieve the Contractor from responsibility for errors in the working drawings or from complying with the requirements of the Contract for a fully functional finished work item as specified or designed.

Deviations from the Contract requirements initiated by the Contractor shall be requested in writing and clearly identified on the working drawings. Explicit supporting justification shall be furnished specifically describing the reason for the requested deviations as well as any impact such deviations shall have on the schedule of work. Failure to address time or other impacts associated with the Contractor's request will be cause for rejection of the Contractor's request. Deviations from the Contract requirements shall not be made unless authorized by the Engineer. If authorized by the Engineer, such authorization shall not relieve the Contractor from the responsibility for complying with the requirements of the Contract for a fully functional finished work item as specified or designed.

If working drawings detailing a change(s) initiated by the Contractor require more than two resubmissions or revisions, the cost of additional reviews by the Department or its designated representative(s) will be assessed to the Contractor.

Upon completion of the requested work, working drawings indicating the actual as-constructed field conditions, if required, shall be supplied to the Department.

The cost of working drawings furnished by the Contractor shall be included in the cost of appropriate contract items.

The Contractor may authorize the fabricator in writing to act for him in matters relating to working drawings. Such authorization shall have the force and effect of any other representative of the Contractor's organization.

1 Steel Structures

Working drawings for steel structures, including metal handrails, shall consist of shop detail, erection, and other working drawings showing details, dimensions, sizes of units, and other information necessary for the fabrication and erection of metal work. Such drawings shall be signed and sealed by a Professional Engineer, holding a valid license to practice engineering in the Commonwealth of Virginia.

2 Falsework

Working drawings for falsework supporting a bridge superstructure shall be signed and sealed by a Professional Engineer, holding a valid license to practice engineering in the Commonwealth of Virginia.

3 Concrete Structures and Prestressed Concrete Members

Working drawings for concrete structures and prestressed concrete members shall provide such details as required for the successful prosecution of the work and which are not included in the plans furnished by the Department. Drawings shall include plans for items such as prestressing strand details and elongation calculations, location of lift points, falsework, bracing, centering, form work, masonry, layout diagrams and bending diagrams for reinforcing steel when necessary or when requested. Such drawings shall be

signed and sealed by a Professional Engineer, holding a valid license to practice engineering in the Commonwealth of Virginia.

4 Lighting, signal and pedestal poles, overhead and bridge mounted sign structures, breakaway support systems, anchor bolts, framing units, panels, and foundations.

Prior to fabrication or construction, the Contractor shall submit for review one original and six copies of each working drawing and design calculation for lighting, signal and pedestal poles, overhead and bridge mounted sign structures, breakaway support systems, anchor bolts, framing units, panels, and foundations. All sheets of these submittals shall include the Professional Engineer's signature and seal. Certification for foundations will be required only when the designs are furnished by the Contractor. The designs shall be in accordance with the specific editions of the AASHTO *Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals* as required in Section 700. Such designs shall be signed and sealed by a Professional Engineer, holding a valid license to practice engineering in the Commonwealth of Virginia.

5 Reinforced Concrete Pipe

When specified, and prior to manufacture of reinforced concrete pipe, the Contractor shall furnish to the Department a certification of the acceptability of the design of such pipe, as determined from a review that has been signed and sealed by a Professional Engineer holding a valid license to practice engineering in the Commonwealth of Virginia. Such certification shall cover all design data, supporting calculations and materials. Pipe designs previously certified or approved by the Department will not require recertification.

105.11 - Conformity with Plans and Specifications

Values for materials to be used in the work shall conform to the specified values or range of values specified in the Contract. Less than complete conformity may be tolerated if obtaining exact or complete conformity would not be feasible and if authorized by the Engineer.

Permissible tolerances for the elevation of subgrade and finished grade, and for the thickness of the various courses of pavement structure are specified in these Specifications. If permissive tolerances are exceeded or if consistent deviations from the plans or abrupt changes in grade occur, even though within the tolerances, the affected areas shall be reconstructed to conform to the specified tolerance and provide a smooth riding surface. When it is not feasible to reconstruct the areas, payment will be made in accordance with the requirements of the applicable specification for each material placed or adjusted in accordance with the provisions of Section 105.18.

When the plans require the finished surface to tie into any structural item whose elevation is fixed, the elevation of the finished surface must coincide with the elevation of the structural item.

105.12 - Coordination of Plans, Standard Drawings, Specifications, Supplemental Specifications, Special Provisions, and Special Provision Copied Notes

The plans, Standard Drawings, these Specifications, supplemental specifications, special provisions, special provision copied notes, and supplementary documents are parts of the Contract. These Contract documents are defined in Section 101 - Definitions. A requirement occurring in one shall be as binding as though occurring in all. They are intended to be complementary and to describe and provide for a complete work. In case of a discrepancy, the following order of priority will apply, with the highest governing item appearing first and the least governing item appearing last: . .

- (a) Special provision copied notes. The pay items and pay units listed in the proposal have the same status as special provision copied notes.
- (b) Special provisions
- (c) Plans
- (d) Supplemental Specifications.
- (e) Specifications
- (f) Standard Drawings. Calculated dimensions, unless obviously incorrect, will govern over scaled dimensions.

The Contractor shall not take advantage of any obvious or apparent error or omission in the plans or Specifications. If the Contractor discovers an error or omission, he shall immediately notify the Engineer.

The Engineer will then make such corrections and interpretations as may be deemed necessary for fulfilling the intent of the Contract. .

105.13—State Force Construction Surveying

(a) **General Description:**

This work shall consist of the Department performing all surveying and providing surveying and stakeout sketches and information as detailed herein for the successful prosecution of work as indicated on the plans and as directed by the Engineer. Stakeout work will be in accordance with the details and requirements of the Department's Survey Manual and the provisions herein. Survey services will be provided to the extent detailed herein for Construction and for Minimum Plan projects. . .

(b) **Request for Survey Services:**

Once the Contractor requests survey services, the Department will begin the requested work within 3 working days. The Contractor shall not expect the Department survey party to work in the field during adverse weather conditions that could be detrimental to the survey equipment or paperwork, therefore the Contractor shall plan the need for such services accordingly. .

It shall be the Contractor's responsibility to preserve all Department furnished centerline or baseline controls, references and location benchmarks. After initial stakeout, an hourly charge equal to the current hourly rate for Department survey services per district will be billed to the Contractor for resetting stakes where the cause for the resetting of such stakes is due to the fault of the Contractor or his operations. This rate will also apply to travel time to and from the project. .

If the Contractor requests stakes after the initial staking and he is not ready to accommodate such work, the Contractor will be billed the hourly rate for Department survey services per district measured in travel time to and from the project. Such fees will be billed to the Contractor on the next monthly estimate. .

(c) **Contractor Responsibility for Examination of Data:**

It shall be the responsibility of the Contractor to examine all surveying work provided by the Department for accuracy. Should a disagreement involving the accuracy of stakeout or survey work arise during construction, the Contractor shall within 24 hours provide written notice to the Engineer, precisely describing and documenting the discrepancy. The Engineer will determine the validity of the Contractor's assertion in the notice, respond to the Contractor within 3 working days of receipt of the Contractor's notice and provide direction on how to proceed. The Engineer will give consideration to an extension of time in accordance with the requirements of Section 108.04 of the Specifications or provide additional compensation as deemed appropriate after documentation and evidence to the Engineer's satisfaction if the following occurs:

- 1 There are delays to the project as a result of inaccurate stakeout information provided or a controlling item of work by the Department where such delays adversely impact the critical path of the work or,
- 2 where extra expense is encountered by the Contractor to correct elements of defective survey work by the Department, and
- 3 where written notice is provided by the Contractor within the timeframe specified. Failure to furnish written notice of such a discrepancy within the timeframe specified will invalidate any later claim for time impact or costs by the Contractor unless specifically waived by the Engineer.

(d) **Survey Services Furnished:**

1 **Construction (C) Projects:**

a. **Survey Stakeout Descriptions:**

Unless otherwise stated the Department will provide required horizontal and vertical controls for the proper construction stakeout of the project. The Contractor shall preserve all horizontal and vertical controls furnished by the Department.

The following surveying work will be performed by the Department:

- (1.) **Digital Terrain Model (DTM) and Construction Cross-Sections:** Original location Digital Terrain Model (DTMs) will be provided by the Department and will serve as a basis of payment for earthwork. The Contractor shall be responsible for

taking construction DTMs or cross-sections of areas that, in their determination, do not agree with the Department furnished original location DTMs. The Contractor shall submit the disputed DTM information to the Engineer for verification prior to any excavation by the Contractor in these alleged areas of change. The DTM information furnished by the Department and submitted by the Contractor shall be compatible to the Department's current DTM format.

- (2.) **Borrow Pits:** All borrow pit DTM's or cross-sections, originals and finals, will be secured by the Department. The Contractor is encouraged to also secure DTM's or cross-sections of borrow areas. A claim of discrepancy in borrow volume will not be considered by the Engineer unless survey data was obtained and submitted by the Contractor to substantiate his claim.
- (3.) **Horizontal and Vertical Control for Bridges:** Certified plats, field notes, coordinates and computations will be furnished to the Contractor by the Department prior to the Contractor beginning work on these structures.
- (4.) **Horizontal and Vertical Controls for all Box Culverts, all Pipe Culvert Installations (including single and multiple line installations) with total hydraulic openings equivalent to 12.6 square feet and larger, and for all closed systems such as storm sewers, and sanitary sewers regardless of size:** The Department will stake all such installations. Certified Plats will be furnished to the Contractor prior to the Contractor beginning work on these culvert structures. The notes, coordinates, or computations used to support the platted information will be furnished to the Contractor with the certified plat. For the purposes of identifying those pipe culvert installations please refer to the areas (hydraulic openings) shown in the PB-1 Standards for the respective sizes of pipes specified on the plans. Where multiple lines of pipes are shown, the areas of the pipe sizes will apply to the total areas of the number of lines specified in the plans. For box culverts refer to the sizes shown in the BC-1 Standards to determine areas of total hydraulic opening.
- (5.) **Horizontal and Vertical Control for Pipe Culvert Installations (including single and multiple line installations) having total hydraulic openings equivalent to 3.1 square feet and up to 12.5 square feet:** The Department will be responsible for staking horizontal and vertical control for pipe culvert installations having a total hydraulic opening equivalent to 3.1 square feet and up to 12.5 square feet. Sketches will be furnished to the Contractor prior to the Contractor beginning work on these culvert structures. For the purposes of identifying those pipe culvert installations please refer to the areas (hydraulic openings) shown in the PB-1 Standards for the respective sizes of pipes specified on the plans. Where multiple lines of pipes are shown, the areas of the pipe sizes shall apply to the total areas of the number of lines specified in the plans. For box culverts refer to the sizes shown in the BC-1 Standards to determine areas of total hydraulic opening.
- (6.) **Horizontal and Vertical Control for additional centerlines or baselines for roadways, ramps, loops and connections:** Upon written request from the Contractor the Department will provide horizontal and vertical controls for additional centerlines or baselines for roadways, ramps, loops and connections.
- (7.) **Grading and paving construction:** The Department will provide fine grade or other grade stakes required for the construction of the project as the work progresses except as stated herein.

Fine grade stakes will be set on all projects on which the plans show a definite grade line. Fine grade hubs will be set on at least one side with distances and grades referenced to the finished centerline grade. Typically, on curves, the Department will provide the distances and elevations to each edge of pavement and centerline through the transitions and the distances and elevations to the edge of pavement only (straight-line super) through full super portions of the curve.

On projects where grading and paving is performed under the same contract, only one set of fine grade stakes will be provided to the Contractor. Fine grade stakes may be used for fine grade and paving grade.

On Secondary Road projects, fine grade stakes will be provided by the Department

only on those projects having curb and gutter or as directed by the Engineer.

Special design ditches will be staked with an offset and cut to the centerline of the ditch. Radius points for pavement flares at connections will be staked only if requested by the Contractor.

The Department will set all slope stakes. Upon written request from the Contractor cut\fill sheets for slope stakes will be furnished by the Department to the Contractor within 3 working days of the survey party's arrival at the project site or a timeframe agreed upon by the Contractor and the Engineer after reviewing the length and complexity of the project.

- (8.) **Right of way and boundary stakeout affecting property ownership:** Right of Way will be staked by the Department prior to the start of the project. Right of way stakes will be placed at a minimum of 100-foot intervals on each side of the roadway or as directed by the Engineer and the stakes will be marked with both the station and offset back to centerline. All final boundary stakeout will be performed by the Department's survey party
- (9.) **Setting right-of-way monuments:** Final right of way monumentation will be performed by the Department in accordance with the following:
 - a) RM-1: The Department will furnish and install RM-1 right-of-way monuments in accordance with the Road and Bridge Standards.
 - b) RM-2: The Department will furnish and install RM-2 right-of-way monuments and optional locator posts, including the required caps, in accordance with the Road and Bridge Standards.
 - c) Other monumentation: The Department will determine if an alternative form of permanent monumentation will be used if RM-1 or RM-2 monuments are unsuitable for marking the right-of-way at various locations. The Department will indicate this alternative monument usage on the final as-built plan in accordance with the Department's Survey Manual.

Where available, electronic data files along with paper sketches and drawings will be furnished by the Department when requested in writing by the Contractor. All electronic data files furnished to the Contractor will be in the format of the Department's current computer hardware and software or a format fully compatible with such hardware and software.

Additional surveying work and supplemental layout work shall be performed by the Contractor as needed to successfully complete the work. The Contractor shall provide and protect temporary construction benchmarks within the construction limits. Temporary construction benchmarks shall be located not farther than 500 feet apart for the total length of the project or as indicated on the plans. Temporary construction benchmarks that are disturbed during construction operations shall be reestablished by the Contractor at no additional cost to the Department. All drawings, field notes, and computations from such survey work performed by the Contractor shall be submitted to the Engineer.

2 Minimum Plan (M) Projects:

a. Survey Stakeout Descriptions:

Unless otherwise stated, the Department will provide required horizontal and vertical control for the proper construction stakeout of the project. The Contractor shall preserve all horizontal and vertical controls furnished by the Department.

The following surveying work will be performed by the Department:

- (1.) **Digital Terrain Model (DTM) and Construction Cross-Sections:** "M" projects are based on plan quantities; therefore DTM and construction cross-sections are not required, except for borrow pits.
Should the Engineer determine at any time that an actual measurement is warranted, the Department will make the necessary measurement in the field.
- (2.) **Borrow Pits:** All borrow pit DTM's, originals and finals, will be secured by the Department. The Contractor is encouraged to also secure DTM's or cross-sections of borrow areas. A claim of discrepancy in borrow volume

will not be considered by the Engineer unless survey data was obtained by the Contractor to substantiate his claim.

- (3.) **Horizontal and vertical control for bridges:** Certified plats, field notes, coordinates and computations will be furnished to the Contractor prior to the Contractor beginning work on these structures.
- (4.) **Horizontal and Vertical Control for all Box Culverts, all Pipe Culvert Installations (including single and multiple line installations) with a total hydraulic openings equivalent to 12.6 square feet and larger, and for all closed systems such as storm sewers, and sanitary sewers regardless of size:** The Department will stake all such installations. Certified Plats for these stakeouts will be furnished to the Contractor prior to the Contractor beginning work on these culvert structures. The notes, or computations used to support the platted information will be furnished to the Contractor with the certified plat. For the purposes of identifying those pipe culvert installations please refer to the areas (hydraulic openings) shown in the PB-1 Standards for the respective sizes of pipes specified on the plans. Where multiple lines of pipes are shown, the areas of the pipe sizes will apply to the total areas of the number of lines specified in the plans. For box culverts refer to the sizes shown in the BC-1 Standards to determine areas of total hydraulic openings.
- (5.) **Horizontal and Verticals Control for Pipe Culvert installations (including single and multiple line installations) having total hydraulic openings equivalent to 3.1 square feet and up to 12.5 square feet:** The Department will be responsible for staking horizontal and vertical controls for pipe culvert installations having a total hydraulic opening equivalent to 3.1 square feet and up to 12.5 square feet. Sketches will be furnished to the Contractor prior to the Contractor beginning work on these culvert structures. For the purposes of identifying those pipe culvert installations please refer to the areas (hydraulic openings) shown in the PB-1 Standards for the respective sizes of pipes specified on the plans. Where multiple lines of pipes are shown, the areas of the pipe sizes will apply to the total areas of the number of lines specified in the plans. For box culverts refer to the sizes shown in the BC-1 Standards to determine areas of total hydraulic opening
- (6.) **Grading and paving construction:** The Department will provide fine grade or other grade stakes required for the construction of all projects except as stated herein as the work progresses. Slope stakes are not required on "M" projects

Fine grade stakes will be set on all projects on which the plans show a definite grade line. Fine grade hubs will be set on at least one side with distances and grades referenced to the finished centerline grade. Typically, on curves, the Department will provide the distances and elevations to each edge of pavement and centerline through the transitions and the distances and elevations to the edge of pavement only (straight-line super) through full super portions of the curve.

On projects where grading and paving is performed under the same contract, only one set of fine grade stakes will be provided by the Department.. Fine grade stakes may be used for fine grade and paving grade.

On Secondary Road projects, fine grade stakes will be provided by the Department only on those projects having curb and gutter or as directed by the Engineer.

Special design ditches will be staked with an offset and cut to the centerline of the ditch. Radius points for pavement flares at connections will be staked only if requested by the Contractor.

- (7.) **Right of way and boundary stakeout affecting property ownership:** Right of Way will be staked by the Department prior to the start of the job. Right of way stakes will be placed at a minimum of 100-foot intervals on each side of the roadway or as directed by the Engineer and the stakes will be marked with both the station and offset back to centerline. All final boundary stakeout will be performed by the Department survey party.
- (8.) **Setting right-of-way monuments:** Final right of way monumentation will be performed by the Department in accordance with the following:
- a) RM-1: The Department will furnish and install RM-1 right-of-way monuments in accordance with the Road and Bridge Standards.
 - b) RM-2: The Department will furnish and install RM-2 right-of-way monuments and optional locator posts, including the required caps, in accordance with the Road and Bridge Standards.
 - c) Other monumentation: The Department will determine if an alternative form of permanent monumentation will be used if RM-1 or RM-2 monuments are unsuitable for marking the right-of-way at various locations. The Department will indicate this alternative monument usage on the final as-built plan in accordance with the Department's Survey Manual.

105.14 - Maintenance During Construction

The Contractor shall prosecute his work so as to avoid obstructions to traffic to the greatest extent practicable. The Contractor shall provide for the safety and convenience of the general public and residents along the roadway and the protection of persons and property.

Highways closed to traffic shall be protected by barricades and other warning devices as required by the Engineer. Barricades and warning devices shall be illuminated where required during periods of darkness and low visibility. The Contractor shall erect warning devices in advance of a location on the project where operations or obstructions may interfere with the use of the road by traffic and at all intermediate points where the new work crosses or coincides with an existing roadway. The Contractor shall maintain sign faces and reflective surfaces of warning devices in a clean and visible condition. The Contractor shall cover or remove signs when the messages thereon are not applicable. Barricades, warning signs, lights, temporary signals, and other protective devices shall conform to the requirements of Section 512.

The Contractor shall maintain the work from the beginning of construction operations until final acceptance. Maintenance shall be inherent to the continuous and effective work prosecuted day by day with adequate equipment and forces to such end that the roadway and structures are sustained in a safe and satisfactory condition at all times.

When a Contract specifies placing a course on another course or subgrade previously constructed, the Contractor shall maintain the previous course or subgrade in accordance with the contract requirements during all construction operations.

The road shall be kept open to all traffic while undergoing improvements, unless otherwise permitted in the Contract. The Contractor shall keep the portion of the project being used by public, pedestrian, and vehicular traffic in such condition that traffic will be safely and adequately accommodated. However, removal of snow and control of ice on roads open to public travel will be performed by the Department.

The Contractor shall bear all costs of performing maintenance work before final acceptance and of constructing and maintaining necessary approaches, crossings, intersections, and other features without direct compensation except as provided for herein. When the Contractor confines his operation to the surface of the roadway and reasonable width of the shoulder and the surface is disturbed or damaged by his operations or equipment, he shall be responsible for the restoration and maintenance of the surface that is disturbed or damaged.

The Contractor shall keep the portions of the road being used by the public free from irregularities and obstructions that could present a hazard or annoyance to traffic. When directed by the Engineer, allaying of dust shall be performed and paid for in accordance with the requirements of Section 511. Holes in hard surface pavements shall be filled with approved asphalt patching material.

- (a) **Detours:** Detours may be indicated on the plans or in the special provisions or may be used with the approval of the Engineer. Unless otherwise designated in the contract, the Contractor will

furnish and erect all directional markings for through traffic on off-project detours authorized or requested by the Engineer. Detours over existing state roads will be designated, marked, and maintained by the Department. If any project is located wholly or in part within the corporate limits of a municipality and through traffic is to be detoured at the request of the municipality, the municipality will provide and maintain the detours within the corporate limits and will furnish and erect all directional markings. The provision of detours and marking of alternate routes will not relieve the Contractor of the responsibility for ensuring the safety of the public or from complying with any requirements of these Specifications affecting the rights of the public within his contract limits, including those concerning lights and barricades. Maintenance of all other detours shall be the responsibility of the Contractor.

Right of way for temporary highways, diversion channels, sediment and erosion control features or bridges required by these provisions will be furnished by the Department.

- (b) **Maintenance of Traffic During Suspension of Work:** During any suspension of work, the Contractor shall temporarily open to traffic such portions of the project and temporary roadways as may be agreed upon by the Contractor and Engineer.
- (c) **Flagging Traffic:** Certified flaggers shall be provided in sufficient number and locations as necessary for control and protection of vehicular and pedestrian traffic in accordance with the requirements of the *Virginia Work Area Protection Manual (VWAPM)*. Flaggers shall be able to communicate to the traveling public in English while performing the job duty as a flagger at the flaffer station. Flagger shall use sign paddles to regulate traffic in accordance with the requirements of the *VWAPM*.

Certification for flaggers will be awarded upon a candidate's satisfactory completion of an examination. Certification cards shall be carried by flaggers while performing flagging duties. Flaggers found not to be in possession of their certification card shall be removed from the flagging site and operations requiring flagging will be suspended by the Engineer. Further, flaggers performing duties improperly will have their certifications revoked.

- (d) **Delays:** Unless indicated in the Contract Documents or otherwise approved by the Engineer, two-way traffic shall be maintained at all times. The Contractor shall not stop traffic without permission of the Engineer.

If one-way traffic is approved, the Contractor shall provide flaggers to direct the traffic. When specified in the Contract as a pay item, pilot vehicles shall be furnished in accordance with the requirements of Section 512. Upon request from the Contractor and where deemed appropriate by the Department, the Department will install traffic signals that may be used for the control of one-way traffic. The Contractor shall pay the costs of installation, electrical service, maintenance or repair work, and a predetermined rental charge per day for the signals and removal when no longer needed.

- (e) **Connections and Entrances:** Connections with other roads and public and private entrances shall be kept in a reasonably smooth condition at all times.

Stabilization or surfacing material shall be applied to connections and entrances. When specified in the Contract, such material will be paid for at the contract unit price for the specific material. Where such material is not specified in the Contract and determined to be required by the Engineer, the cost for stabilization or surfacing material will be handled in accordance with the provisions of Section 109.05.

The Contractor shall schedule construction operations so that approved continuous access is provided for all property adjacent to the construction when the property is shown on the plans to require access. When frontage roads are shown on the plans, they shall be constructed prior to the closing of any access routes unless other approved access is provided and is acceptable to the property owner.

Connections or entrances shall not be disturbed by the Contractor until necessary. Once connections or entrances have been disturbed, they shall be maintained and completed as follows:

1. **Connections:** Connections that had an original paved surface shall be brought to a grade that will smoothly and safely accommodate vehicular traffic through the intersection, using temporary pavement as soon as practicable after connections are disturbed. Connections that had an original unpaved surface shall be brought to a grade that will smoothly and safely accommodate vehicular traffic through the intersection, using either the required material or a

temporary aggregate stabilization course that shall be placed as soon as practicable after connections are disturbed.

If there are delays in prosecution of work for connections, connections that were originally paved shall have at least two lanes maintained with a temporary paved surface. Those that were not originally paved shall be maintained with a temporary aggregate stabilization course.

2. **Entrances:** Entrances shall be graded concurrently with the roadway with which they intersect. Once an entrance has been disturbed, it shall be completed as soon as is practicable, including placing the required base and surface course or stabilization. If the entrance must be constructed in stages, such as when there is a substantial change in the elevation of the roadway with which it intersects, the surface shall be covered with a temporary aggregate stabilization course or other suitable salvaged material until the entrance can be completed and the required base and surface or stabilization course can be placed.

- (f) **Grading Operations:** When the Contractor elects to complete the rough grading operations for the entire project or exceed the length of one full day's surfacing operations, the rough grade shall be machined to a uniform slope from the top edge of the existing pavement to the ditch line.

When the surface is to be widened on both sides of the existing pavement, construction operations involving grading or paving shall not be conducted simultaneously on sections directly opposite each other.

The surface of pavement shall be kept free from soil and other materials that might be hazardous to traffic. Prior to opening of new pavement to traffic, shoulders shall be roughly dressed for a distance of 3 feet from the edge of the paved surface.

- (g) **Obstruction Crossing Roadways:** Where the Contractor places obstructions such as suction or discharge pipes, pump hoses, steel plates or any other obstruction that must be crossed by vehicular traffic, they shall be bridged as directed by the Engineer at the Contractor's expense. Traffic shall be protected by the display of warning devices both day and night. If operations or obstructions placed by the Contractor damage an existing traveled roadway, the Contractor shall cease operations and repair damages to the roadway at no additional cost to the Department.

- (h) **Patching Operations:** Where existing hydraulic cement concrete pavement is to be patched, the operation of breaking and excavating old pavement shall extend for a distance of not more than two miles. Patching shall be coordinated with excavating so that an area of not more than one-half mile in which excavated patches are located shall be left at the end of any day's work. Necessary precautions shall be taken to protect traffic during patching operations.

- (i) **Temporary Structures:** The Contractor shall construct, maintain, and remove temporary structures and approaches necessary for use by traffic. Unless otherwise specified in the Contract, the cost of these operations shall be included in pay items for the new structure. After new structures have been opened to traffic, temporary structures and approaches shall be removed. The materials contained therein shall remain the property of the Contractor.

The proposed design of temporary structures shall be submitted to the Engineer prior to the beginning of construction in accordance with the requirements of Section 105.10.

- (j) **Failure To Maintain Roadway or Structures:** If the Contractor fails to remedy unsatisfactory maintenance immediately after receipt of a notice by the Engineer, the Engineer may proceed with adequate forces, equipment, and material to maintain the project. The cost of the maintenance, plus 25 percent for supervisory and administrative personnel, will be deducted from monies due the Contractor for the project.

- (k) **Haul Route:** The Contractor shall select haul routes between the project and material source(s) that will minimize disturbance to the community. The Contractor shall furnish to the Engineer, for review, his plan for the haul route and for minimizing the adverse effects of hauling operations on persons who reside adjacent to the haul route or persons who otherwise use a portion of the haul route for ingress or egress to their residential or work area.—The Department may select alternate haul routes, divide the hauling traffic over several routes, and impose other restrictions deemed necessary to minimize the impact of the hauling operation on local residents.

- (l) **Opening Sections of Projects to Traffic**

When specified in the Contract or when directed by the Engineer, certain sections of the work may be opened to traffic. Such opening shall not constitute acceptance of the work or any part thereof or a waiver of any provision of the Contract.

On any section of the work opened by order of the Engineer where the Contract does not provide for traffic to be carried through the work the Contractor will not be required to assume any expense entailed in maintaining the road for traffic. Such expense will be borne by the Department or will be compensated for in accordance with the requirements of Section 109.05. Repair of slides and repair of damage attributable to traffic will be compensated for in accordance with the requirements of Section 109.05. Slides shall be removed by the Contractor in accordance with the requirements of Section 303.

On any section of the work opened by order of the Engineer where the Contract does not provide for traffic to be carried through the work, any additional cost for the completion of other items of work that are required because of the changed working conditions will be compensated in accordance with the requirements of Section 109.05.

If the Contractor is not continuously prosecuting the work to the satisfaction of the Engineer, he shall not be relieved of the responsibility for maintenance during the period the section is opened to traffic prior to final acceptance. Any expense resulting from the opening of such portions under these circumstances, except slides, shall be borne by the Contractor. The Contractor shall conduct the remainder of the construction operations so as to cause the least obstruction to traffic.

105.15 - Removing and Disposing of Structures and Obstructions

The Contractor shall remove and dispose of or store, as directed by the Engineer, fences, buildings, structures, or encumbrances within the construction limits unless separate pay items for this work are included in the Contract. Payment for these operations will be in accordance with the requirements of Section 301.03. Materials so removed, including existing drains or pipe culverts, shall become the property of the Contractor, with the exception of those materials to be stored or delivered to the Department or others as designated in the Contract.

- (a) **Signs:** The Contractor shall relocate all signs within the construction limits that conflict with construction work as approved by the Engineer. Signs that are not needed for the safe and orderly control of traffic during construction as determined by the Engineer shall be removed and stored at a designated location within the project limits. The removed signs shall be stored above ground in a manner that will preclude damage and shall be reinstalled in their permanent locations prior to final acceptance. If any of the removed signs are not to be reinstalled, the Contractor shall notify the Engineer at the time the signs have been properly stored. Such signs will be removed from the storage area by the Department. Any sign that is damaged or lost because of the fault of the Contractor shall be repaired or replaced at his expense. Costs for removing, storing, protecting, and reinstalling such signs shall be included in the price bid for other items in the Contract, and no additional compensation will be made.
- (b) **Mailboxes and Newspaper Boxes:** When removal of mailboxes and newspaper boxes is made necessary by construction operations, the Contractor shall place them in temporary locations so that access to them will not be impaired. Prior to final acceptance, boxes shall be placed in their permanent locations as designated by the Engineer and left in as good condition as when found. Boxes or their supports that are damaged through negligence on the part of the Contractor shall be replaced at his expense. The cost of removing and resetting boxes shall be as specified in the Contract.

105.16 – Cleanup

Removal from the project of rubbish, scrap material, and debris caused by the Contractor's personnel or construction operations shall be a continuing process throughout the course of the work. The work site shall have a neat, safe and orderly appearance at all times.

Before final acceptance, the highway, borrow pits, quarries, disposal areas, storage areas, and all ground occupied by the Contractor in connection with the work shall be cleaned of rubbish, surplus materials, and temporary structures, except in the case where the property is owned or controlled by the Contractor. All parts of the work shall be left in a neat, safe and orderly condition.

Within 30 days after final acceptance, the Contractor shall remove his equipment, materials and debris from the right of way and property adjacent to the project that he does not own or control.

105.17 - Inspection of Work

Inspection will be performed at critical stages. However, all stages, materials, and details of the work are subject to inspection. The Contractor shall provide the Engineer and Inspectors with full and safe access to

all parts of the work and shall be furnished such information and assistance by the Contractor as are required to make a complete, timely and detailed inspection. The Engineer and his appointed representatives shall have ready access to machines and plant equipment used in processing or placing materials.

Prior to the beginning of operations, the Engineer will meet with the Contractor to establish an understanding of the critical stages of work that shall be performed in the presence of the Inspector. In order for the Department to schedule inspection of the work, the Contractor shall keep the Engineer informed of planned operations in accordance with the requirements of Section 108.03

If the Engineer requests it, the Contractor shall remove or uncover such portions of the finished work as may be directed at any time before final acceptance. The Contractor shall restore such portions of the work to comply with the appropriate contract specification requirements. If the work exposed is acceptable, the uncovering or removing and replacing the covering or making good the parts removed will be paid for as extra work in accordance with the requirements of Section 104.03. If the work is unacceptable, the cost of uncovering or removing and replacing the covering or making good the parts removed shall be borne by the Contractor.

When any unit of government, political subdivision, or public or private corporation is to pay a portion of the cost of the work specified in the Contract, its representatives shall have the right to inspect the work. The exercise of this right shall not be construed as making them a party or parties to the Contract or conferring on them the right to issue instructions or orders to the Contractor.

If materials are used or work is performed without inspection by an authorized representative of the Department, the Contractor may be ordered to remove and replace the work or material at his own expense unless the Department's representative failed to inspect the work or material after having been given reasonable notice in writing that the material was to be used or the work was to be performed.

If an inspection reveals that work has not been properly performed, the Contractor will be so advised and he shall immediately inform the Department of his schedule for correcting such work and the time when a reinspection can be made.

105.18 - Removal of Unacceptable and Unauthorized Work

Work that does not conform to the requirements of the Contract will be considered unacceptable work.

Unacceptable work shall be remedied or removed as determined by the Engineer and replaced in an acceptable manner at the Contractor's expense. The Engineer may accept the work at a reduced price when acceptance is considered to be in the best interest of the public.

Work that is done contrary to the instructions of the Engineer, contrary to the requirements of the Contract, beyond the lines shown on the plans or as designated by the Engineer except as specified herein, or without authority will be considered unauthorized and will not be paid for. Such work may be ordered removed or replaced at the Contractor's expense.

The Contractor shall not perform destructive sampling or testing of the work without written authorization of the Engineer. Unauthorized destructive sampling or testing will cause the work to be considered unacceptable.

In the event the Contractor is granted authorization to perform destructive sampling or testing, the Contractor shall obtain the approval of the Engineer for the method and location of each test prior to beginning such sampling or testing. In addition, destructive sampling and testing shall be performed in the presence of the Engineer.

If the Contractor fails to comply immediately with any order of the Engineer made under the provisions of this Section, the Engineer will have the authority to cause unacceptable work to be removed and replaced and to deduct the cost from any monies due or to become due the Contractor.

105.19 - Submission and Disposition of Claims

Early or prior knowledge by the Department of an existing or impending claim for damages could alter the plans, scheduling, or other action of the Department or result in mitigation or elimination of the effect of the act objected to by the Contractor. Therefore, a written statement describing the act of omission or commission by the Department or its agents that allegedly caused damage to the Contractor and the nature of the claimed damage shall be submitted to the Engineer at the time of each and every occurrence that the Contractor feels gives it the right to make a claim or prior to the beginning of the work upon which a claim and any subsequent action will be based. The written statement shall clearly inform the Department that it is a "notice of intent to file a claim." If such damage is deemed certain in the opinion of the Contractor to

result from his acting on an order from the Engineer, he shall immediately take written exception to the order. Submission of a notice of intent to file a claim as specified shall be mandatory. Failure to submit such notice of intent shall be a conclusive waiver to such claim for damages by the Contractor. An oral notice or statement will not be sufficient nor will a notice or statement after the event.

In addition, at the time of each and every occurrence that the Contractor feels gives it the right to make a claim or prior to beginning the work upon which a claim and any subsequent action will be based, the Contractor shall furnish the Engineer an itemized list of materials, equipment, and labor for which additional compensation will be claimed. Only actual cost for materials, labor and equipment will be considered. The Contractor shall afford the Engineer every facility for keeping an actual cost record of the work. The Contractor and the Engineer shall compare records and bring them into agreement at the end of each day. Failure on the part of the Contractor to afford the Engineer proper facilities for keeping a record of actual costs will constitute a waiver of a claim for such extra compensation except to the extent that it is substantiated by the Department's records. The filing of such notice of intent by the Contractor and the keeping of cost records by the Engineer shall in no way establish the validity of a claim.

Upon completion of the Contract, the Contractor may, within 60 days after the final estimate date established by the Department pursuant to Virginia Code, § 33.1-386, deliver to the Department a written claim, which must be a signed original claim document along with three legible copies of the claim document, for the amount he deems he is entitled to under the Contract. For the purpose of this Section, the final estimate date shall be that date set forth in a letter from the Department to the Contractor sent by certified mail and shall be considered as the date of notification of the Department's final estimate. Regardless of the manner of delivery of the claim, the Department must receive and have physical possession of the Contractor's written claim within the 60 day period that commences with the final estimate date. Submittals received by the Department either before the final estimate date or after the 60 day period shall not have standing as a claim. The claim shall set forth the facts upon which the claim is based. The Contractor shall include all pertinent data and correspondence that may substantiate the claim. Only actual cost for materials, labor and equipment will be considered. If the Contractor makes a claim, the Department shall have the right, at its expense, to review and copy all of the Contractor's non-privileged project files and documents, both electronic and paper, for use in analyzing the claim. Within 90 days from the receipt of the claim, the Department will make an investigation and notify the Contractor by certified mail of its decision. However, by mutual agreement, the Department and Contractor may extend the 90-day period for another 30 days.

If the Contractor is dissatisfied with the decision, he shall notify the Commissioner in writing within 30 days from receipt of the Department's decision that he desires to appear before him, whether in person or through counsel, and present additional facts and arguments in support of his claim. The Commissioner will schedule and meet with the Contractor within 30 days after receiving the request. However, the Commissioner and Contractor, by mutual agreement, may schedule the meeting to be held after 30 days but before the 60th day from the receipt of the Contractor's written request. Within 45 days from the date of the meeting, the Commissioner will investigate the claim, including the additional facts presented, and notify the Contractor in writing of his decision. However, the Commissioner and Contractor, by mutual agreement, may extend the 45-day period for another 30 days. If the Commissioner deems that all or any portion of a claim is valid, he shall have the authority to negotiate a settlement with the Contractor subject to any approvals required by the *Code of Virginia*.

Any monies that become payable as the result of claim settlement after payment of the final estimate will not be subject to payment of interest unless such payment is specified as a condition of the claim settlement.

The Contractor shall submit a certification with any claim using the following format:

Pursuant to *Code of Virginia*, I hereby certify that this contract claim submission for Virginia Department of Transportation Project _____ in _____ County, Virginia is a true and accurate representation of additional costs and/or delays incurred by (name of Contractor) in the performance of the required contract work. Any statements made, and known to be false, shall be considered a violation of the Virginia Governmental Frauds Act, punishable as allowed by the Virginia Code for a Class 6 Felony.

(Company)

By:

As officer or duly appointed agent of (Company)

Title:

Date:

State Of:

City/County of _____, To-Wit:

I, the undersigned, a Notary Public in and for the City/ County and State aforesaid, do hereby certify that _____, whose name is signed to the foregoing instrument, bearing date of the _____ day of _____, 20_, has this day acknowledged the same before me in my City/ County and State aforesaid.

Given under my hand this _____ day of _____, 20_.

Notary Public:

My commission expires:

Claims submitted during the statutory period for submitting contract claims and submitted without the certification described above shall not have standing as a claim and shall not be considered by the Department.

SECTION 106 - CONTROL OF MATERIAL

106.01 - Source of Supply and Quality Requirements

The materials used throughout the work shall conform to the requirements of the Contract. The Contractor shall regulate his supplies so that there will be a sufficient quantity of tested material on hand at all times to prevent any delay of work. Except as otherwise specified, materials, equipment, and components that are to be incorporated into the finished work shall be new. Within 30 days after notification of award of the Contract, but not later than 7 days prior to the beginning of construction operations under the Contract, the Contractor shall file a statement of the known origin, composition and manufacture of all materials to be used in the work, including optional or alternate items. Material requirements not previously reported shall be submitted at least 60 days prior to their use on the project, but not less than two weeks prior to delivery. The Contractor's statement shall be electronically submitted by use of Form C-25 and shall be identified by the complete project number, and all items or component materials shall be identified by the specific contract item number and the Specification reference shown in the Contract.

At the option of the Engineer, materials may be approved at the source of supply. If it is found during the life of the Contract that previously approved sources of supply do not supply materials or equipment conforming to the requirements of the Contract, do not furnish the valid test data required to document the quality of the material or equipment, or do not furnish documentation to validate quantities to document payment, the Contractor shall change the source of supply and furnish material or equipment from other approved sources. The Contractor shall notify the Department of this change, and provide the same identifying information noted in this Section, at least 60 days prior to their use on the project, but not less than two weeks prior to delivery.

Materials shall not contain toxic, hazardous, or regulated solid wastes or be furnished from a source containing toxic, hazardous or regulated solid wastes.

When optional materials are included in the Contract, the Contractor shall advise the Engineer in writing of the specific materials selected. Thereafter, the Contractor shall use the selected materials throughout the project unless a change is authorized in writing by the Engineer. However, when the Contractor has an option as to the type of pipe that may be used, he may use any of the approved types for each size of pipe, but he shall use the same type for a particular line. The Engineer may authorize other types and sources in an emergency that will not unreasonably delay delivery of the selected material.

Equipment and material guaranties or warranties that are normally given by a manufacturer or supplier, or are otherwise required in the Contract, shall be obtained by the Contractor and assigned to the Commonwealth in writing. The Contractor shall also provide an in-service operation guaranty on all mechanical and electrical equipment and related components for a period of at least six months, beginning on the date of partial acceptance of that specific item(s) or final acceptance of the project.

106.02 - Material Delivery

The Contractor shall advise the Engineer at least 2 weeks prior to the delivery of any material from a commercial source. Upon delivery of any such material to the project, the Contractor shall provide the Engineer with one copy of all invoices (prices are not required). The following materials shall also comply with the requirements of Section 109.01: asphalt concrete; dense graded aggregate, to include aggregate base, subbase, and select material; fine aggregate; open graded coarse aggregate; crusher run aggregate; and road stabilization aggregate. The printed weights of each load of these materials, as specified in Section 109.01, shall accompany the delivery, and such information shall be furnished to the Inspector at the project.

106.03 - Local Material Sources (Pits and Quarries)

The requirements set forth herein apply exclusively to non-commercial pits and quarries from which materials are obtained for use on contracts awarded by the Department.

Local material sources shall be concealed from view from the completed roadway and any existing public roadway. Concealment shall be accomplished by selectively locating the pit or quarry and spoil pile, providing environmentally compatible screening between the pit or quarry site and the roadway, or using the site for another purpose after removal of the material, or restoration equivalent to the original use (such as farm land, pasture, turf, etc.). The foregoing requirements shall also apply to any pit or quarry opened or reopened by a subcontractor or supplier. However, the requirements will not apply to commercial sand and gravel and quarry operations actively processing material at the site prior to the date of the Notice of Advertisement.

The Contractor shall furnish the Engineer a statement signed by the property owner in which the property owner agrees to the use of his property as a source of material for the project. Upon completion of the use of the property as a material source, the Contractor shall furnish the Engineer a release signed by the property owner indicating that the property has been satisfactorily restored. This requirement will be waived for commercial sources, sources owned by the Contractor, and sources furnished by the Department.

Local material pits and quarries that are not operated under a local or State permit shall not be opened or reopened without authorization by the Engineer. The Contractor shall submit for approval a site plan, including, but not limited to, the following

- (1) the location and approximate boundaries of the excavation;
- (2) procedures to minimize erosion and siltation;
- (3) provision of environmentally compatible screening;
- (4) restoration;
- (5) cover vegetation;
- (6) other use of the pit or quarry after removal of material, including the spoil pile;
- (7) the drainage pattern on and away from the area of land affected, including the directional flow of water and a certification with appropriate calculations that verify all receiving channels are in compliance with Minimum Standard 19 of the Virginia Erosion and Sediment Control Regulations;
- (8) location of haul roads and stabilized construction entrances if construction equipment will enter a paved roadway;
- (9) constructed or natural waterways used for discharge;
- (10) a sequence and schedule to achieve the approved plan and;
- (11) the total drainage area for temporary sediment traps and basins shall be shown. Sediment traps are required if the runoff from a watershed area of less than three acres flows across a disturbed area. Sediment basins are required if the runoff from a watershed area of three acres or more flows across a disturbed area. The Contractor shall certify that the sediment trap or basin design is in compliance with VDOT Standards and Specifications, and all local, state, and federal laws. Once a sediment trap or basin is constructed, the dam and all outfall areas shall be immediately stabilized.

The Contractor's design and restoration shall be in accordance with the Contract requirements and in accordance with the requirements of the federal, state, and local laws and regulations.

If the approved plan provides for the continued use or other use of the pit or quarry beyond the date of final acceptance, the Contractor shall furnish the Department a bond made payable to the Commonwealth of Virginia in an amount equal to the Engineer's estimate of the cost of performing the restoration work. If the pit or quarry is not used in accordance with the approved plan within 8 months after final acceptance, the Contractor shall perform restoration work as directed by the Engineer, forfeit his bond, or furnish the Engineer with evidence that he has complied with the applicable requirements of the State Mining Law.

Topsoil on Department owned or furnished borrow sites shall be stripped and stockpiled as directed by the Engineer for use as needed within the construction limits of the project or in the reclamation of borrow and disposal areas.

If payment is to be made for material measured in its original position, material shall not be removed until Digital Terrain Model (DTM) or cross-sections have been taken. The material shall be reserved exclusively for use on the project until completion of the project or until final DTM or cross-sections have been taken.

If the Contractor fails to provide necessary controls to prevent erosion and siltation, if such efforts are not made in accordance with the approved sequence, or if the efforts are found to be inadequate the Department will withdraw approval for the use of the site and may cause the Contractor to cease all contributing operations and direct his efforts toward corrective action or may perform the work with state forces or other means as determined by the Engineer. If the work is not performed by the Contractor, the cost of performing the work, plus 25 percent for supervisory and administrative personnel, will be deducted from monies due the Contractor.

Costs for applying seed, fertilizer, lime, and mulch; restoration; drainage; erosion and siltation control;

regrading; haul roads; and screening shall be included in the Contract price for the type of excavation or other appropriate items.

If the Contractor fails to fulfill the provisions of the approved plan for screening or restoring material sources, the Department may withhold and use for the purpose of performing such work any monies due the Contractor at the time of the final estimate. The Contractor shall be held liable for penalties, fines, or damages incurred by the Department as a result of his failure to prevent erosion or siltation and take restorative action.

After removing the material, the Contractor shall remove metal, lumber, and other debris resulting from his operations and shall shape and landscape the area in accordance with the approved plan for such work.

- (a) **Sources Furnished by the Department:** Sources furnished by the Department will be made available to the Contractor together with the right to use such property as may be required for a plant site, stockpiles, and haul roads. The Contractor shall confine his excavation operations to those areas of the property specified in the Contract.

The Contractor shall be responsible for excavation that shall be performed in order to furnish the specified material.

- (b) **Sources Furnished by the Contractor:** When the Contractor desires to use local material from sources other than those furnished by the Department, he shall first secure the approval of the Engineer. The use of material from such sources will not be permitted until test results have been approved by the Engineer and written authority for its use has been issued.

The Contractor shall acquire the necessary rights to take material from sources he locates and shall pay all related costs, including costs that may result from an increase in the length of the haul. Costs of exploring, sampling, testing, and developing such sources shall be borne by the Contractor. The Contractor shall obtain representative samples from at least two borings in parcels of 10 acres or less and at least three additional borings per increment of 5 acres or portion thereof to ensure that lateral changes in material are recorded. Drill logs for each test shall include a soil description and the moisture content at intervals where a soil change is observed or at least every 5 feet of depth for consistent material. Samples obtained from the boring shall be tested by an approved laboratory for grading, Atterberg limits, CBR, maximum density, and optimum moisture. The Department will review and evaluate the material based on test results provided by the Contractor. The Department will reject any material from a previously approved source that fails a visual examination or whose test results show that it does not conform to the Specifications or specific contract requirements.

106.04 - Disposal Areas

Unsuitable or surplus material shown on the plans shall be disposed of as specified herein. Material not used on the project shall be disposed of by the Contractor off the right of way. The Contractor shall obtain the necessary rights to property to be used as an approved disposal area. For the purpose of this Specification an approved disposal area is defined as that which is owned privately, not operated under a local or State permit and has been approved by the Department for use in disposing of material not used on the project.

When neither unsuitable nor surplus material is shown on the plans, the Contractor shall dispose of it as shown herein. If the Contractor, having shown reasonable effort, is unsuccessful in obtaining the necessary rights to property to be used as an approved disposal area, the Department will obtain rights for disposal unless otherwise provided for in the contract Compensation, if not shown in the Contract, will be in accordance with the requirements of Section 104.02.

Prior to the Department approving a disposal area, the Contractor shall submit a site plan. The plan shall show:

- (1) the location and approximate boundaries of the disposal area;
- (2) procedures to minimize erosion and siltation;
- (3) provision of environmentally compatible screening;
- (4) restoration;
- (5) cover vegetation;
- (6) other use of the disposal site;

- (7) the drainage pattern on and away from the area of land affected, including the directional flow of water and a certification with appropriate calculations that verify all receiving channels are in compliance with Minimum Standard 19 of the Virginia Erosion and Sediment Control Regulations;
- (8) location of haul roads and stabilized construction entrances if construction equipment will enter a paved roadway;
- (9) constructed or natural waterways used for discharge;
- (10) a sequence and schedule to achieve the approved plan and;
- (11) the total drainage area for temporary sediment traps and basins shall be shown. Sediment traps are required if the runoff from a watershed area of less than three acres flows across a disturbed area. Sediment basins are required if the runoff from a watershed area of three acres or more flows across a disturbed area. The Contractor shall certify that the sediment trap or basin design is in compliance with VDOT Standards and Specifications, all local, state, and federal laws. Once a sediment trap or basin is constructed, the dam and all outfall areas shall be immediately stabilized.
- (12) Disposal areas shall be cleared but need not be grubbed. The clearing work shall not damage grass, shrubs, or vegetation outside the limits of the approved area and haul roads thereto. After the material has been deposited, the area shall be shaped to minimize erosion and siltation of nearby streams and landscaped in accordance with the approved plan for such work or shall be used as approved by the Engineer. The Contractor's design and restoration shall conform to the requirements of the contract and federal, state, and local laws and regulations.

If the Contractor fails to provide and maintain necessary controls to prevent erosion and siltation, if such efforts are not made in accordance with the approved sequence, or if the efforts are found to be inadequate, the Department will withdraw approval for the use of the site and may cause the Contractor to cease all contributing operations and direct his efforts toward corrective action or may perform the work with state forces or other means as determined by the Engineer. If the work is not performed by the Contractor, the cost of performing the work, plus 25 percent for supervisory and administrative personnel, will be deducted from monies due the Contractor.

The Contractor shall furnish the Engineer a statement signed by the property owner in which the owner agrees to the use of his property for the deposit of material from the project. Upon completion of the use of the property as an approved disposal area, the Contractor shall furnish the Engineer a release signed by the property owner indicating that the property has been satisfactorily restored. This requirement will be waived for commercial sources, sources owned by the Contractor, and sources furnished by the Department.

Material encountered by the Contractor shall be handled as follows:

- (a) **Unsuitable material** for the purpose of this Specification is defined as material having poor bearing capacity, excessive moisture content, extreme plasticity or other characteristics as defined by the Engineer that makes it unacceptable for use in the work and shall be disposed of at an approved disposal area or landfill licensed to receive such material.
- (b) **Surplus material** as shown on the plans shall be disposed of by flattening slopes, used to fill in ramp gores and medians, or if not needed, disposed of at an approved disposal area or a landfill licensed to receive such material.

Surplus material stockpile areas on the right-of-way shall be cleared but need not be grubbed. The clearing work shall not damage grass, shrubs, or vegetation outside the limits of the approved area and the haul roads thereto. Placement of fill material shall not adversely affect existing drainage structures. If necessary, modified existing drainage structures, as approved by the Engineer, shall be paid for in accordance with Section 109.05. Within 7 days after the material has been deposited, the area shall be shaped and stabilized to minimize erosion and siltation.

- (c) **Organic materials** such as, but not limited to, tree stumps and limbs (not considered merchantable timber), roots, rootmat, leaves, grass cuttings, or other similar materials shall be chipped or shredded and used on the project as mulch, given away, sold as firewood or mulch, burned at the Contractor's option if permitted by local ordinance, or disposed of at a facility licensed to receive such materials. Organic material shall not be buried in state rights of way or in an approved disposal area.

- (d) **Rootmat** for the purpose of this Specification is defined as any material that, by volume, contains approximately 60 percent or more roots and shall be disposed of in accordance with (c) herein.
- (e) **Inorganic materials** such as brick, cinder block, broken concrete without exposed reinforcing steel, or other such material may be used in accordance with Section 303.04 or shall be disposed of at an approved disposal area or landfill licensed to receive such materials. If disposed of in an approved disposal area, the material shall have enough cover to promote soil stabilization in accordance with the requirements of Section 303 and shall be restored in accordance with other provisions of this Section.

Concrete without exposed reinforcing steel, may be crushed and used as rock in accordance with Section 303. If approved by the Engineer, these materials may be blended with soils that meet AASHTO M57 requirements and deposited in fill areas within the right-of-way in accordance with the requirements of Section 303 as applicable.
- (f) **Excavated rock** in excess of that used within the project site in accordance with the requirements of Section 303 shall be treated as surplus material.
- (g) **Other materials** such as, but not limited to, antifreeze, asphalt (liquid), building forms, concrete with reinforcing steel exposed, curing compound, fuel, hazardous materials, lubricants, metal, metal pipe, oil, paint, wood or metal from building demolition, or similar materials shall not be disposed of at an approved disposal area but shall be disposed of at a landfill licensed to receive such material.

106.05 - Rights for and Use of Materials Found on Project

With the approval of the Engineer, the Contractor may use in the project any materials found in the excavation that comply with the requirements of the Specifications. Unless otherwise specified, the Contractor will be paid for both the excavation of such materials at the contract unit price and for the pay item for which the excavated material is used. However, the Contractor shall replace at his own expense with other acceptable material the excavation material removed and used that is needed for use in embankments, backfills, approaches, or otherwise. The Contractor shall not excavate or remove any material from within the construction limits that is not within the grading limits, indicated by the typical section, slope and grade lines shown in the plans without written authorization by the Engineer.

106.06 - Samples, Tests, and Cited Specifications

Materials will be inspected and tested by the Engineer before or during their incorporation in the work. However, the inspection and testing of such material shall not relieve the Contractor of the responsibility for furnishing material that conforms to the requirements of the Specifications. The Department may retest all materials that have been accepted at the source of supply after delivery and will reject those that do not conform to the requirements of the Specifications. Stored material may be re-inspected prior to use. Work in which untested materials are used without the written permission of the Engineer may be considered unacceptable.

Unless reference is made to a specific dated Specification, references in these Specifications to AASHTO, ASTM, VTM, and other standard test methods and materials requirements shall refer to either the test specifications that have been formally adopted or the latest interim or tentative specifications that have been published by the appropriate committee of such organizations as of the date of the Notice of Advertisement. Unless otherwise indicated, tests for compliance with specification requirements will be made by and at the Department's expense except that the cost of retests, exclusive of the first retest, shall be borne by the Contractor. Samples shall be furnished by the Contractor at his expense, and those that are not tested by the Contractor will be tested by a representative of the Department.

The inspection cost of structural steel items fabricated in a country other than the continental United States shall be borne by the Contractor. Inspection of structural fabrication shall be performed in accordance with the requirements of the appropriate VTM by a commercial laboratory approved by the Department. Additional cleaning or repair necessary because of environmental conditions in transit shall be at the Contractor's expense.

In lieu of testing, the Engineer may approve the use of materials based on the receipt of a certification furnished by the Contractor from the manufacturer. However, furnishing the certificate shall not relieve the Contractor of the responsibility for furnishing materials that conform to the requirements of the Specifications or the contract requirements.

Materials requiring an MSDS will not be accepted at the project site for sampling or at the Department's

laboratories for testing without the document.

106.07 - Plant Inspection

If the Engineer inspects materials at the source, the following conditions shall be met:

- (a) The Engineer shall have the cooperation and assistance of the Contractor and producer of the materials.
- (b) The Engineer shall have full access to parts of the plant that concern the manufacture or production of the materials being furnished.
- (c) For materials accepted under a quality assurance plan, the Contractor or producer shall furnish equipment and maintain a plant laboratory at locations approved for plant processing of materials. The Contractor or producer shall use the laboratory and equipment to perform quality control testing

The laboratory shall be of weatherproof construction, tightly floored and roofed, and shall have adequate lighting, heating, running water, ventilation, and electrical service. The ambient temperature shall be maintained between 68 degrees F and 86 degrees F and thermostatically controlled. The laboratory shall be equipped with a telephone, intercom, or other electronic communication system connecting the laboratory and scale house if the facilities are not in close proximity to each other. The laboratory shall be constructed in accordance with the requirements of local building codes.

The Contractor or producer shall furnish, install, maintain, and replace, as conditions necessitate, testing equipment specified by the appropriate ASTM, AASHTO method or VTM being used and provide necessary office equipment and supplies to facilitate keeping records and generating test reports. The Contractor or producer's technician shall maintain current copies of test procedures performed in the laboratory. The Contractor shall calibrate or verify all balances, scales and weights associated with testing performed as specified in AASHTO R18. The Contractor or producer shall also provide and maintain an approved test stand for accessing truck beds for the purpose of sampling and inspection. The Department may approve a single laboratory to service more than one plant belonging to the same Contractor or producer.

For crushed glass, the plant equipment requirements are waived in lieu of an independent third-party evaluation and certification of crushed glass properties by an AASHTO Materials Reference Laboratory (AMRL)-accredited commercial soil testing laboratory demonstrating that the supplied material conforms to the specified requirements of Section 203. Random triplicate samples will be evaluated and analyzed for every 1,000 tons of material supplied to the project. The averaged results will be used for evaluation purposes. Suppliers of crushed glass shall maintain third party certification records for a period of three years.

106.08 - Storing Materials

Materials shall be stored in a manner so as to ensure the preservation of their quality and fitness for the work. When considered necessary by the Engineer, materials shall be stored in weatherproof buildings on wooden platforms or other hard, clean surfaces that will keep the material off the ground. Materials shall be covered when directed by the Engineer. Stored material shall be located so as to facilitate their prompt inspection. Approved portions of the right of way may be used for storage of material and equipment and for plant operations. However, equipment and materials shall not be stored within the clear zone of the travel lanes open to traffic.

Additional required storage space shall be provided by the Contractor at his expense. Private property shall not be used for storage purposes without the written permission of the owner or lessee. The Contractor shall furnish copies of the owner's written permission to the Engineer. Upon completion of the use of the property, the Contractor shall furnish the Engineer a release signed by the property owner indicating that the property has been satisfactorily restored.

Chemicals, fuels, lubricants, bitumens, paints, raw sewage, and other harmful materials as determined by the Engineer shall not be stored within any floodplain unless no other location is available and only then shall the material be stored in a secondary containment structure(s) with an impervious liner. Also, any storage of these materials in proximity to natural or man-made drainage conveyances or otherwise where the materials could potentially reach a waterway if released under adverse weather conditions, must be stored in a bermed or diked area or inside a container capable of preventing a release. Double-walled storage tanks shall meet the berm/dike containment requirement except for storage within flood plains. Any

spills, leaks or releases of such materials shall be addressed in accordance with Section 107.16(b). Accumulated rain water may also be pumped out of the impoundment area into approved dewatering devices

106.09 - Handling Materials

Materials shall be handled in a manner that will preserve their quality, integrity and fitness for the work. Aggregates shall be transported in vehicles constructed to prevent loss or segregation of materials.

106.10 - Unacceptable Materials

Materials that do not conform to the requirements of the Specifications shall be considered unacceptable. Such materials, whether in place or not, will be rejected and shall be removed from the site of the work. If it is not practical for the Contractor to remove rejected material immediately, the Engineer will mark the material for identification. Rejected material whose defects have been corrected shall not be used until approval has been given by the Engineer.

106.11 - Material Furnished by the Department

The Contractor shall furnish all materials required to complete the work except those specified to be furnished by the Department.

Material furnished by the Department will be delivered or made available to the Contractor at the points specified in the Contract. The cost of handling and placing materials after delivery to the Contractor shall be included in the contract price for the item with which they are used.

After receipt of the materials, the Contractor shall be responsible for material delivered to him, including shortages, deficiencies, and damages that occur after delivery, and any demurrage charges.

106.12 - Critical Materials

Raw, manufactured materials or supplies that are necessary for the fabrication, construction, installation or completion of any item of work that is, or becomes, in extremely short supply regionally or nationally as substantiated by recognized public reports such as news media, trade association journals, etc. due to catastrophic events of nature, needs of national defense or industrial conditions beyond the control of the Department or Contractor, will be declared Critical by the Department.

When the supply of materials becomes critical, the provisions of this Section will become applicable to the Contract.

When all items of work involving noncritical materials have been completed by the Contractor or have progressed to a point where no further work is practicable prior to receipt of critical materials, a complete suspension of work will be granted by the Department. Requests for partial suspension orders because of delays attributable to nonreceipt of critical materials will be considered on the basis of merit in each case.

The Department reserves the right to substitute materials by means of a work order.

Contractors, via their manufacturers or suppliers, that request relief due to critical shortage of materials as specified in this Section shall immediately supply information concerning the product and other supporting data to permit the Department an opportunity to access possible alternatives or methods to avoid undue delay or expenditure.

SECTION 107—LEGAL RESPONSIBILITIES

107.01 - Laws To Be Observed

The Contractor shall keep fully informed of federal, state, and local laws, bylaws, ordinances, orders, decrees, and regulations of governing bodies, courts, and agencies having any jurisdiction or authority that affects those engaged or employed on the work, the conduct of the work, or the execution of any documents in connection with the work. The Contractor shall observe and comply with such laws, ordinances, regulations, orders, or decrees and shall indemnify and hold harmless the Commonwealth and its agents, officers, or employees against any claim for liability arising from or based on their violation, whether by himself, his agents, his employees, or subcontractors. The Contractor shall execute and file the documents, statements, and affidavits required under any applicable federal or state law or regulation required by or affecting his bid or Contract or prosecution of the work there under. The Contractor shall permit examination of any records made subject to such examination by any federal or state law or by regulations promulgated there under by any state or federal agency charged with enforcement of such law.

In accordance with the *Code of Virginia* (Virginia Public Procurement Act), the Contractor shall make payment to all subcontractors, as defined in the Code, within seven days after receipt of payment from the Department; or shall notify the Department and subcontractor in writing of his intention to withhold all or a part of the amount due along with the reason for nonpayment.

In the event payment is not made as noted, the Contractor shall pay interest at the rate of 1 percent per month, unless otherwise provided in the Contract, to the subcontractor on all amounts that remain unpaid after seven days except for the amounts withheld as provided in this Section.

These same requirements shall be included in each subcontract and shall be applicable to each lower-tier subcontractor.

107.02—Permits, Certificates, and Licenses.

General

The Contractor shall conform to the permit conditions as shown in the contract documents. Construction methods shall conform to the stipulations of the permit and/or certification conditions. The Contractor shall assume all obligations and costs incurred as a result of complying with the terms and conditions of the permits and certificates.

If any of the permits shown herein are applicable to the project, the contract documents will indicate such and the applicable permit conditions will be included in the contract documents.

- (a) **Department of the Army, Corps of Engineers Nationwide Permits:** A nationwide permit is issued to the Department by the U.S. Army Corps of Engineers to place fill or dredge material in waters of the United States including wetlands.
- (b) **The State Program General Permit for Linear Transportation Projects (SPGP- 01 2A & B):** The SPGP-01 2A & B is a permit issued to the Department by the U.S. Army Corps of Engineers to proceed with linear transportation projects involving work, structures and filling both temporary and permanent, in waters of the United States including wetlands.
- (c) **Letter of Permission (LOP-1):** The LOP-1 is a regional permit issued to the Department by the U.S. Army Corps of Engineers to proceed with roadway projects involving work, structures and filling both temporary and permanent, in waters of the United States including wetlands.
- (d) **Virginia Marine Resources Commission – Virginia General Permit (VGP-1):** A VGP-1 permit is issued to the Department by the Virginia Marine Resources Commission and is required on projects that cross in, on or over state-owned land which is submerged below low water (channelward of the mean low water line), in tidal areas including tidal wetlands, or below ordinary high water anywhere in the Commonwealth of Virginia.
- (e) **Virginia Water Protection Permit (VWPP):** The VWPP is issued to the Department by the Virginia Department of Environmental Quality, Water Division and is required for activities that result in a discharge to surface waters and wetlands. The VWPP is issued as an individual or general permit.
- (f) **Virginia Stormwater Management Program General/Stormwater Management Permit (VSMP):** The VSMP permit is issued by the Virginia Department of Conservation and Recreation and is required for all construction activities in accordance with Section 107.16. The general VSMP permit covers the discharges from the site during construction. The Department will be

- responsible for acquiring the VSMP permit from the Virginia Department of Conservation and Recreation for the project.
- (g) **Coastal Zone Management (CZM) Consistency Concurrence:** This clearance is issued to the Department by the Virginia Department of Environmental Quality for projects in navigable waters requiring a U.S. Coast Guard bridge permit.
 - (h) **Tennessee Valley Authority (TVA) Permit:** The TVA Section 26a permit is issued to the Department by the Tennessee Valley Authority and is required for construction activities in or along the Tennessee River or its tributaries.
 - (i) **U.S. Coast Guard Bridge Permit:** This permit is required for bridge projects over navigable waters. The Department is responsible for acquiring these permits.
 - (j) **Other Permits, Certificates and Licenses:** Except as otherwise specified herein, the Contractor shall procure all necessary permits, certificates or licenses that have not been obtained by the Department. The Contractor shall pay all charges, fees, and taxes and shall comply with all conditions of the permits, certificates or licenses.

Construction or excavation material shall not be stored within the waterway or wetlands. Cofferdams, stream channel retaining structures and all necessary dikes shall be constructed of non-erodible materials or if specified in the permit(s), faced with coarse non-erodible materials. If faced with non-erodible material, filter cloth shall be placed between the granular fill and riprap in accordance with Section 245, 204, 303.03 and 414. Temporary structures shall be removed from the waterway with minimal disturbance of the streambed. Discharge of dredge or fill material shall be placed in accordance with the best management practice, project permits and all applicable laws and regulations. Dredged or fill material shall be removed to an approved, contained, upland location in accordance with Section 106.04. The disposal area will be of sufficient size and capacity to properly contain the dredge material, to allow for adequate dewatering and settling of sediment, and to prevent overtopping. The disposal area shall be stabilized prior to placement of dredge material.

The Contractor activities shall not substantially disrupt the movement of those species of aquatic life indigenous to the water body including those species that normally migrate through the area. The Contractor to the maximum extent practicable shall not permanently restrict or impede the passage of normal or expected high flows or cause the relocation of the water. The Contractor shall avoid and minimize all temporary disturbances to surface waters during construction. The Contractor shall remove any temporary fill in its entirety and the affected areas returned to their preexisting elevation conditions within 30 days of completing work, which shall include re-establishing pre-construction contours, and planting or seeding with appropriate wetland vegetation according to cover type (emergent, scrub/shrub, or forested). The Contractor shall perform all work activities during low-flow conditions and shall isolate the construction area via the implementation of nonerodible cofferdams, sheetpiling, stream diversions or similar structures.

The Contractor shall accomplish all construction, construction access (e.g., cofferdams, sheetpiling, and causeways) and demolition activities associated with this project in a manner that minimizes construction or waste materials from entering surface waters. Access roads and associated bridges or culverts shall be constructed to minimize the adverse effects on surface waters. Access roads constructed above preconstruction contours and elevations in surface waters must be bridged or culverted to maintain surface flows. All utility line work in surface waters shall be performed in a manner that minimizes disturbance, and the area shall be returned to its original contours and restored within 30 days of completing work in the area.

The Contractor shall prepare and implement an erosion and sediment control plan in compliance with the Erosion and Sediment Control Law, the Erosion and Sediment Control Regulations, and the annual erosion and sediment control standards and specifications approved by the Department of Conservation and Recreation. The Contractor shall stockpile excavated material in a manner that prevents reentry into the stream, restores original streambed and streambank contours, revegetates barren areas, and implements strict erosion and sediment control measures throughout the project period as described in the Virginia Department of Transportation Annual Program approved by the Virginia Department of Conservation and Recreation.

The Contractor shall comply with the Stormwater Management Act, the Stormwater Management Regulations, and the annual storm water management standards and specifications approved by the Department of Conservation and Recreation. The Contractor shall provide fill material that is clean and free of contaminants in toxic concentrations or amounts in accordance with all applicable laws and

regulations. The Contractor shall comply with all applicable FEMA-approved state or local floodplain management requirements.

The Contractor shall adhere to any time-of-year restriction conditions as required by state and federal permitting agencies. No in-stream work shall be permitted during in-stream time-of-year restriction.

The Contractor shall prohibit wet or uncured concrete from entry into flowing surface waters. The Contractor shall not dispose of excess or waste concrete in surface waters and prevent wash water from discharging into surface waters. The Contractor shall employ measures to prevent spills of fuels or lubricants into state waters.

The Contractor shall not violate the water quality standards as a result of the construction activities. The Contractor shall not alter the physical, chemical, or biological properties of surface waters and wetlands or make them detrimental to the public health, to animal or aquatic life, to the uses of such waters for domestic or industrial consumption, for recreation, or for other uses.

The Contractor shall not proceed with work covered by a permit until the work is released in writing by the Engineer.

If the Department has not released work covered by a U.S. Army Corps of Engineers permit and the Contractor has completed all other work within the limits of the project, the Contractor shall so advise the Engineer in writing. Upon receipt of the notification, the Engineer will evaluate the status of the project and advise the Contractor within 45 days of the portion of the project that is acceptable under Section 108.09. If the Engineer determines that all of the work except that encumbered by the permit application is acceptable under the requirements of Section 108.09, the Contractor will be notified accordingly. The Department or the Contractor may then elect to continue or terminate the remaining portion of the Contract.

The party electing to terminate the Contract shall so advise the other party in writing after the 45-day period. The terms of contract termination will be in accordance with the requirements of Section 108.08. No compensation will be made for delays encountered or for work not performed except for an extension of time as determined in accordance with the requirements of Section 108.04.

The Contractor shall submit a request to the Engineer in writing if he wants to deviate from the plans or change his proposed method(s) regarding any proposed work located in waterways or wetlands. Such work may require additional environmental permits. If the Engineer determines that the activities are necessary for completion of the work, the Contractor shall furnish the Engineer all necessary information pertaining to the activity. The Contractor shall be responsible for designing and supplying all sketches and notes necessary to acquire any permit modification required for changes in the proposed construction methods. Such information shall be furnished at least 180 days prior to the date the proposed changed activity is to begin. The District Environmental Manager will apply for the necessary permits modifications to the permits obtained by the Department. The Contractor shall not begin the activity until directed to do so by the Engineer. Additional compensation will not be made for delay to the work or change in the Contractor's proposed methods that result from jurisdiction agency review or disapproval of Contractor's proposed methods.

If additional permits are required to perform dredging for flotation of construction equipment or for other permanent or temporary work as indicated in the Contractor's accepted plan of operation, but have not been obtained by the Department, the Contractor shall furnish the Engineer, at least 75 days prior to the proposed activity, all necessary information pertaining to the proposed activity in order for the Department to apply for the permits. The Contractor shall not begin the proposed activity until the additional permits have been secured and the Engineer has advised the Contractor that the proposed activity may proceed.

The Contractor shall permit representatives of state and federal environmental regulatory agencies to make inspections at any time in order to insure that the activity being performed under authority of the permit(s) is in accordance with the terms and conditions prescribed herein.

The Department will secure necessary rights of way and easements in advance of construction. The Department will not be responsible for any delay in the acquisition of a right of way other than consideration of an extension of time. The Department will provide notification of known delays in the

107.06 - Personal Liability of Public Officials

In carrying out any of the provisions of these Specifications or in exercising any power or authority granted to them by or within the scope of the Contract, there shall be no liability upon the Board, Commissioner, Engineer, or their authorized representatives, either personally or as officials of the Commonwealth. In all such matters, they act solely as agents and representatives of the Commonwealth.

107.07 - No Waiver of Legal Rights

The Commonwealth shall not be precluded or estopped by any measurement, estimate, or certificate made either before or after final acceptance of the work and payment therefor from showing (1) the true amount and character of the work performed and materials furnished by the Contractor, (2) that any such measurement, estimate, or certificate is untrue or incorrectly made, or (3) that the work or materials do not comply with the provisions of the Contract. The Commonwealth shall not be precluded or estopped, notwithstanding any such measurement, estimate, or certificate, and payment in accordance therewith, from recovering from the Contractor or his surety, or both, such damage as it may sustain by reason of his failure to comply with the terms of the Contract. Neither the acceptance by the Department or any representative of the Department nor any payment for or acceptance of the whole or any part of the work, nor any extension of time, nor any possession taken by the Department shall operate as a waiver of any portion of the Contract or of any power herein reserved or of any right to damages. A waiver of any breach of the Contract shall not be held to be a waiver of any other or subsequent breach.

107.08 - Protecting and Restoring Property and Landscape

The Contractor shall preserve property and improvements along the boundary lines of and adjacent to the work unless their removal or destruction is specified in the Contract Documents. The Contractor shall use suitable precautions to prevent damage to such property.

When the Contractor finds it necessary to enter on private property, beyond the limits of the construction easement shown on the plans, he shall secure from the owner or lessee a written permit for such entry prior to moving thereon. An executed copy of this permit shall be furnished to the Engineer.

The Contractor shall be responsible for any damage or injury to property during the prosecution of the work resulting from any act, omission, neglect, or misconduct in the Contractor's method of executing the work or attributable to defective work or materials. This responsibility shall not be released until final acceptance of the project and a written release from the owner or lessee of the property is obtained.

When direct or indirect damage is done to property by or on account of any act, omission, neglect, or misconduct in the Contractor's method of executing the work or in consequence of the nonexecution thereof on the part of the Contractor, the Contractor shall restore such property to a condition similar or equal to that existing before such damage was done by repairing, rebuilding, or restoring, as may be directed by the Engineer, or making settlement with the property owner. The Contractor shall secure from the owner a release from any claim against the Department without additional compensation therefor. A copy of this release shall be furnished the Engineer.

107.12 - Responsibility for Damage Claims

The Contractor shall indemnify and save harmless the Commonwealth, the Board, and its officers, agents, and employees, and the city, town, county, or other municipality in which the work is performed and their officers, agents, and employees, from suits, actions, or claims brought for or on account of any injuries or damages received or sustained by any person, persons, or property resulting from or arising out of the work performed by the Contractor, or by or in consequence of any neglect in safeguarding the work, through the use of unacceptable materials in the construction or the improvement, or resulting from any act or omission, neglect, or misconduct of the Contractor; or by or on account of any claims or amounts recovered by infringement of any patent, trademark, or copyright. The Commissioner may retain as much of the monies due the Contractor under and by virtue of his Contract as the Commonwealth considers necessary to ensure that a fund will be available to pay a settlement or judgment of such suits, actions, or claims. If no monies are due, the Contractor's surety will be held accountable until all such claims and actions have been settled and suitable evidence to that effect has been furnished the Board. Any extension of time granted the Contractor, in which to complete the Contract shall not relieve him or his surety of this responsibility.

It is not intended by any of the provisions of any part of the Contract to establish the public or any member thereof as a third party beneficiary hereunder, or to authorize anyone not a party to the Contract to enter into a suit for personal injuries or property damage pursuant to the terms or provisions of the Contract.

The Contractor shall comply with all requirements, conditions, and terms of the Contract, including but not limited to, environmental permits, commitments identified in the Contract, and applicable environmental laws and regulations. The Contractor shall not cause damage, except as allowed under the terms of the Contract, or as allowed under applicable permits or laws, to the air, water, or other natural resources, or cause damage to adjacent or off-site property.

When any act, omission, or other action of the Contractor occurs, that violates the requirements, conditions or terms of the Contract, and affects the health, safety, or welfare of the public or natural resources, the Engineer will direct the Contractor to take prompt action to repair, replace, or restore the damage or injury within a time frame established by the Engineer, and to comply with the requirements of Section 107.01. If the Contractor fails to make such repair, replacement, or restoration within the established time frame, the Engineer will have the damage or injury repaired, replaced, or restored and will deduct the cost of such repair, replacement, or restoration from monies due the Contractor.

If the Department determines by its own investigation that injury or damage has occurred as a result of work performed or neglected by the Contractor, the Department may suspend the Contractor from future bidding for a period of time commensurate with the severity of the injury or damage as determined by the Chief Engineer. Injury is defined as harm or impairment to persons or natural resources. Damage is defined as the loss or harm resulting from injury to person or property. In addition, the Department may recover either (i) the loss or damage that the Department suffers as a result of such act, omission or other action or (ii) any liquidated damages established in such contract plus (iii) reasonable attorney's fees, expert witness fees, staff salaries, incidental and equipment charges associated with any investigation.

Upon a finding against the Contractor by the Department, the Contractor shall be responsible for and shall reimburse the Department for all expenses associated with the injury or damage. Expenses include, but are not limited to: costs for investigating the act, omission or other action, financial penalties incurred by the Department as a result of the injury or damage, salary and expenses incurred by employees or consultants of the Commonwealth, road user expenses as determined by the Department due to damage or loss of use of the project area, attorney fees, and expert witness fees. The Department may deduct the reimbursement of expenses from any payments owed the Contractor.

Upon determination by the Department of willful, flagrant or repetitious acts, omissions or other actions related to injury or damage to person or property, the Contractor shall be responsible for and shall reimburse the Department for all expenses associated with the investigation as shown herein, and the Department will impose other appropriate actions, as permitted by law, policy and Specifications, such as but not limited to, suspension of work, termination for cause, removal from the bidders' list.

Once a determination is made that injury or damage has resulted in an action against the Contractor, the Contractor shall have the right of appeal in accordance with the provisions and requirements of Section 105.19.

Should any cost remain in dispute after appeal, resolution shall be handled in accordance with the provisions and requirements of Section 105.19

107.16 - Environmental Stipulations

By signing the bid, the bidder shall have stipulated (1) that any facility to be used in the performance of the Contract (unless the Contract is exempt under the Clean Air Act as amended [42 U.S.C. 1857, et seq., as amended by P.L. 91-604], the Federal Water Pollution Control Act as amended [33 U.S.C. 1251 et seq. as amended by P.L. 92-500], and Executive Order 11738 and regulations in implementation thereof [40 C.F.R., Part 15]) is not listed on the EPA's List of Violating Facilities pursuant to 40 C.F.R. 15.20; and (2) that the Department will be promptly notified prior to the award of the Contract if the bidder receives any communication from the Director, Office of Federal Activities, EPA, indicating that a facility to be used for the Contract is under consideration to be listed on the EPA's List of Violating Facilities.

No separate payment will be made for the work or precautions described herein except where provided for as a specific item in the Contract or except where provision has been made for such payment in these Specifications.

Reference is made in various subsections of this section to Tidewater, Virginia. For the purposes of identifying the affected regions assigned to this designation and the requirements therein Tidewater, Virginia is defined as the Counties of Accomack, Arlington, Caroline, Charles City, Chesterfield, Essex, Fairfax, Gloucester, Hanover, Henrico, Isle of Wight, James City, King George, King and Queen, King William, Lancaster, Mathews, Middlesex, New Kent, Northampton, Northumberland, Prince George, Prince William, Richmond, Spotsylvania, Stafford, Surry, Westmoreland and York and the Cities of Alexandria, Chesapeake, Colonial Heights, Fairfax, Falls Church, Fredericksburg, Hampton, Hopewell, Newport News, Norfolk, Petersburg, Poquoson, Portsmouth, Richmond, Suffolk, Virginia Beach and Williamsburg.

- (a) **Erosion and Siltation:** The Contractor shall exercise every reasonable precaution, including temporary and permanent soil stabilization measures, throughout the duration of the project to control erosion and prevent siltation of adjacent lands, rivers, streams, wetlands, lakes, and

impoundments. Soil stabilization and/or erosion control measures shall be applied to erodible soil or ground materials exposed by any activity associated with construction, including clearing, grubbing, and grading, but not limited to local or on-site sources of materials, stockpiles, disposal areas and haul roads.

The Contractor shall comply with the requirements of Sections 301.02 and 303.03. Should the Contractor as a result of negligence or noncompliance leave an area exposed more than 15 days, the cost of temporary soil stabilization in accordance with the provisions of Section 303 shall be at the Contractor's expense. If the delay in stabilizing an exposed area of land is due to circumstances beyond the Contractor's control, the Department will be responsible for the expense.

Temporary measures shall be coordinated with the work to ensure effective and continuous erosion and sediment control. Permanent erosion control measures and drainage facilities shall be installed as the work progresses.

For projects that disturb 10,000 square feet or greater of land or 2,500 square feet or greater in Tidewater, Virginia, the Contractor shall have within the limits of the project during land disturbance activities, an employee certified by the Department in Erosion and Sediment control who shall inspect erosion and siltation control devices and measures for proper installation and operation immediately after each rainfall, at least daily during periods of prolonged rainfall, and weekly when no rainfall event occurs and promptly report their findings to the Inspector. Failure of the Contractor to maintain a certified employee within the limits of the project will result in the Engineer suspending work related to any land disturbing activity until such time as a certified employee is present on the project. Failure on the part of the Contractor to maintain appropriate erosion and siltation control devices in a functioning condition may result in the Engineer notifying the Contractor in writing of specific deficiencies. Deficiencies shall be corrected immediately. If the Contractor fails to correct or take appropriate actions to correct the specified deficiencies within 24 hours after receipt of such notification, the Department may do one or more of the following: require the Contractor to suspend work in other areas and concentrate efforts towards correcting the specified deficiencies, withhold payment of monthly progress estimates, or proceed to correct the specified deficiencies and deduct the entire cost of such work from monies due the Contractor. Failure on the part of the Contractor to maintain a Department certified erosion and sediment control employee within the project limits when land disturbance activities are being performed will result in the Engineer suspending work related to any land disturbance activity until such time as the Contractor is in compliance with this requirement.

(b) Pollution:

- 1 **Water:** The Contractor shall exercise every reasonable precaution throughout the duration of the project to prevent pollution of rivers, streams, and impoundments. Pollutants such as, but not limited to, chemicals, fuels, lubricants, bitumens, raw sewage, paints, sedimentation, and other harmful material shall not be discharged into or alongside rivers, streams, or impoundments or into channels leading to them. The Contractor shall provide the Engineer a contingency plan for reporting and immediate actions to be taken in the event of a dump, discharge, or spill within eight hours after he has mobilized to the project site.

Construction discharge water shall be filtered to remove deleterious materials prior to discharge into state waters. Filtering shall be accomplished by the use of a standard dewatering basin or a dewatering bag. Dewatering bags shall conform to the requirements of Section 245. During specified spawning seasons, discharges and construction activities in spawning areas of state waters shall be restricted so as not to disturb or inhibit aquatic species that are indigenous to the waters. Neither water nor other effluence shall be discharged onto wetlands or breeding or nesting areas of migratory waterfowl. When used extensively in wetlands, heavy equipment shall be placed on mats. Temporary construction fills and mats in wetlands and flood plains shall be constructed of approved nonerodible materials and shall be removed by the Contractor to natural ground when the Engineer so directs.

If the Contractor dumps, discharges, or spills any oil or chemical that reaches or has the potential to reach a waterway, he shall immediately notify all appropriate jurisdictional state and federal agencies in accordance with the requirements of Section 107.01 and shall take immediate actions to contain, remove, and properly dispose of the oil or chemical.

Excavation material shall be disposed of in approved areas above the mean high water mark shown on the plans in a manner that will prevent the return of solid or suspended materials to

state waters. If the mark is not shown on the plans, the mean high water mark shall be considered the elevation of the top of stream banks.

Constructing new bridge(s) and dismantling and removing existing bridge(s) shall be accomplished in a manner that will prevent the dumping or discharge of construction or disposable materials into rivers, streams, or impoundments.

Construction operations in rivers, streams, or impoundments shall be restricted to those areas where identified on the plans and to those that must be entered for the construction of structures. Rivers, streams, and impoundments shall be cleared of falsework, piling, debris, or other obstructions placed therein or caused by construction operations. Stabilization of the streambed and banks shall occur immediately upon completion of work if work is suspended for more than 15 days.

The Contractor shall prevent stream constriction that would reduce stream flows below the minimum, as defined by the State Water Control Board, during construction operations.

If it is necessary to relocate an existing stream or drainage facility temporarily to facilitate construction, the Contractor shall design and provide temporary channels or culverts of adequate size to carry the normal flow of the stream or drainage facility. The Contractor shall submit a temporary relocation design to the Engineer for review and acceptance in sufficient time to allow for discussion and correction prior to beginning the work the design covers. Costs for the temporary relocation of the stream or drainage facility shall be included in the Contract price for the related pipe or box culvert, unless specifically provided for under another Pay Item. Stabilization of the streambed and banks shall occur immediately upon completion of, or during the work or if the work is suspended for more than 15 days.

Temporary bridges or other minimally invasive structures shall be used wherever the Contractor finds it necessary to cross a stream more than twice in a 6 month period, unless otherwise authorized by water quality permits issued by the U. S. Army Corps of Engineers, Virginia Marine Resources Commission or the Virginia Department of Environmental Quality for the Contract.

- 2 **Air:** The Contractor shall comply with the provisions of Section 107.01 and the State Air Pollution Control Law and Rules of the State Air Pollution Control Board, including notifications required therein.

Burning shall be performed in accordance with all applicable local laws and ordinances and under the constant surveillance of watchpersons. Care shall be taken so that the burning of materials does not destroy or damage property or cause excessive air pollution. The Contractor shall not burn rubber tires, asphalt, used crankcase oil, or other materials that produce dense smoke. Burning shall not be initiated when atmospheric conditions are such that smoke will create a hazard to the motoring public or airport operations. Provisions shall be made for flagging vehicular traffic if visibility is obstructed or impaired by smoke. At no time shall a fire be left unattended.

Asphalt mixing plants shall be designed, equipped, and operated so that the amount and quality of air pollutants emitted will conform to the rules of the State Air Pollution Control Board.

Emission standards for asbestos incorporated in the EPA's National Emission Standards for Hazardous Air Pollutants apply to the demolition or renovation of any institutional, commercial, or industrial building, structure, facility, installation, or portion thereof that contains friable asbestos or where the Contractor's methods for such actions will produce friable asbestos.

- 3 **Noise:** The Contractor's operations shall be performed so that exterior noise levels measured during a noise-sensitive activity shall not exceed 80 decibels. Such noise level measurements shall be taken at a point on the perimeter of the construction limit that is closest to the adjoining property on which a noise sensitive activity is occurring. A *noise-sensitive activity* is any activity for which lowered noise levels are essential if the activity is to serve its intended purpose and not present an unreasonable public nuisance. Such activities include, but are not limited to, those associated with residences, hospitals, nursing homes, churches, schools, libraries, parks, and recreational areas.

The Department may monitor construction-related noise. If construction noise levels exceed

80 decibels during noise sensitive activities, the Contractor shall take corrective action before proceeding with operations. The Contractor shall be responsible for costs associated with the abatement of construction noise and the delay of operations attributable to noncompliance with these requirements.

The Department may prohibit or restrict to certain portions of the project any work that produces objectionable noise between 10 P.M. and 6 A.M. If other hours are established by local ordinance, the local ordinance shall govern.

Equipment shall in no way be altered so as to result in noise levels that are greater than those produced by the original equipment.

When feasible, the Contractor shall establish haul routes that direct his vehicles away from developed areas and ensure that noise from hauling operations is kept to a minimum.

These requirements shall not be applicable if the noise produced by sources other than the Contractor's operation at the point of reception is greater than the noise from the Contractor's operation at the same point.

- (c) **Forests:** The Contractor shall take all reasonable precautions to prevent and suppress forest fires in any area involved in construction operations or occupied by him as a result of such operations. The Contractor shall cooperate with the proper authorities of the state and federal governments in reporting, preventing, and suppressing forest fires. Labor, tools, or equipment furnished by the Contractor upon the order of any forest official issued under authority granted the official by law shall not be considered a part of the Contract. The Contractor shall negotiate with the proper forest official for compensation for such labor, tools, or equipment
- (d) **Archeological, Paleontological, and Rare Mineralogical Findings:** In the event of the discovery of prehistoric ruins, Indian or early settler sites, burial grounds, relics, fossils, meteorites, or other articles of archeological, paleontological, or rare mineralogical interest during the prosecution of work, the Contractor shall act immediately to suspend work at the site of the discovery and notify the Engineer. The Engineer will immediately notify the proper state authority charged with the responsibility of investigating and evaluating such finds. The Contractor shall cooperate and, upon the request of the Engineer, assist in protecting, mapping, and removing the findings. Labor, tools, or equipment furnished by the Contractor for such work will be paid for in accordance with the requirements of Section 104.03. Findings shall become the property of the Commonwealth unless they are located on federal lands, in which event they shall become the property of the U.S. government.

When such findings delay the progress or performance of the work, the Contractor shall notify the Engineer in accordance with the provisions of Sections 108.03 and Section 109.05.

(e) **Storm Water Pollution Prevention Plan**

The Storm Water Pollution Prevention Plan (SWPPP) is comprised of, but not limited to, the Erosion and Sediment Control (ESC) Plan, the Stormwater Management (SWM) Plan and related Specifications and Standards contained within all contract documents and shall be required for all land-disturbing activities that disturb 10,000 square feet or greater, or 2,500 square feet or greater in Tidewater, Virginia.

For land-disturbing activities that disturb 1 acre or greater, or 2500 square feet or greater in an area designated as a Chesapeake Bay Preservation Area, coverage under the Department of Conservation and Recreation's Virginia Stormwater Management Program (VSMP) General Construction Permit DCR-01 is required. Where applicable, the Department will apply for and retain coverage under this permit for the land disturbing activity. The requirements of this permit will be satisfied by the Contractor's compliance with the project's SWPPP terms and conditions.

The Contractor shall be responsible for reading, understanding, and complying with the terms and conditions of the DCR-01 General Permit and the project's SWPPP as follows:

1 **Project Implementation Responsibilities**

The Contractor shall be responsible for the installation, maintenance, inspection, and ensuring the functionality of all erosion and sediment control measures on a daily basis and all other stormwater and pollutant runoff control measures identified within or referenced within the plans, Specifications, permits, and other contract documents.

The Contractor shall take all reasonable steps to prevent or minimize any stormwater or non-stormwater discharge that will have a reasonable likelihood of adversely affecting human

health or public and/or private properties.

2 Certification Requirements

In addition to satisfying the personnel certification requirements contained herein, the Contractor shall certify his activities by completing, signing, and submitting Form C-45 VDOT SWPPP Contractor and Subcontractor Certification Statement to the Engineer at least 7 days prior to commencing any project related land-disturbing activities, both on-site and off-site.

3 Off Site (Outside the Construction Limits) Requirements

The Contractor shall develop erosion and sediment control plan(s) and stormwater pollution prevention plan(s) for submission and acceptance by the Engineer prior to usage of any support facilities, off-site borrow and disposal areas, construction materials or equipment storage areas, and any other areas that may generate a stormwater or non-stormwater discharge directly related to the construction process. Such plans, upon acceptance, shall become a part of and subject to the overall project plan, the VSMP General Construction Permit, and all other contract requirements.

4 Reporting Procedures

a. Inspection Requirements

The Contractor shall be responsible for conducting inspections in accordance with the requirements herein. The Contractor shall document such inspections by completion of Form C-107 (a) and (b), Construction Runoff Control Inspection Form and Continuation Sheet, in strict accordance with the directions contained within the form.

b. Unauthorized Discharge Requirements

The Contractor shall not discharge into state waters sewage, industrial wastes, other wastes or any noxious or deleterious substances nor shall otherwise alter the physical, chemical, or biological properties of such waters that render such waters detrimental for or to domestic use, industrial consumption, recreational or other public uses.

(1.) Notification of non-compliant discharges

The Contractor shall immediately notify the Engineer upon the discovery of or potential of any unauthorized, unusual, extraordinary, or non-compliant discharge from the land disturbing activity. Where immediate notification is not possible, such notification shall be not later than 24 hours after said discovery.

(2.) Detailed report requirements for non-compliant discharges

The Contractor shall submit to the Engineer within 5 days of the discovery of any actual or potential non-compliant discharge a written report describing details of the discharge to include its volume, location, cause, and any apparent or potential effects on private and/or public properties and state waters or endangerment to public health, as well as steps being taken to eliminate the discharge. A completed Form C-107 (a) and (b) shall be used for such reports.

5 Plans, Changes, Deficiencies and Revisions

a. Contractor SWPPP

The Contractor shall develop and provide a SWPPP that documents the location and description of potential pollutant sources such as vehicle fueling areas, storage areas for fertilizers or chemicals, sanitary waste facilities, construction and waste material storage areas, etc. prior to any such pollutant sources being established on the project site. Such plans and documentation shall include a description of the controls to reduce, prevent and control pollutants from these sources including spill prevention and response. The Contractor shall submit such plans and documentation as specified herein to the Engineer and, upon review and approval, they shall immediately become a component of the project's SWPPP and subject to all corresponding requirements contained therein.

The Contractor shall ensure that the SWPPP is kept on the project site at all times in accordance with the provisions of Section 105.10 and shall be available for review upon request.

b. Changes and Deficiencies

The Contractor shall report to the Engineer when any planned physical alterations or additions are made to the land disturbing activity or deficiencies in the project plans or contract documents are discovered that could significantly change the nature or increase the quantity of the pollutants discharged from the land disturbing activity to surface waters.

c. **Revisions to the SWPPP**

Where site conditions or construction sequencing or scheduling necessitates revisions or modifications to the erosion and sediment control plan or other any other component of the SWPPP for the land disturbing activity, such revisions or modifications shall be approved by the Engineer and shall be documented by the Contractor on a designated plan set (Record Set). Such plans shall be kept on the project site at all times and shall be available for review upon request.

107.20 - Construction Over or Adjacent to Navigable Waters

The Department will obtain a permit from the U.S. Coast Guard for the anticipated construction and/or demolition activities of structures on Department projects that cross a waterway(s) under the jurisdiction of the U.S. Coast Guard. As the permit holder, the Department must apply to the U.S. Coast Guard for approval of permit modifications to the original Department permit that the Contractor requests.

Prior to starting demolition or construction operations the Contractor shall meet with the Engineer and the U.S. Coast Guard (U.S. Coast Guard Coordination Meeting) to present its planned operations and the potential impacts those operations may pose to water traffic. As part of this meeting, the parties shall establish in writing the proper protocol for emergency closures and be governed accordingly.

- (a) **Activities subject to Coast Guard regulation under the Permit.** Following the U.S. Coast Guard Coordination meeting, the Contractor shall submit its proposed schedule of operations in writing to the Engineer. The Engineer shall review and provide written comments, if applicable, to the Contractor within 7 calendar days following receipt of the Contractor's schedule of operations. The Contractor shall incorporate the Engineer's comments and submit its notice of scheduled operations to the Engineer and to the U.S. Coast Guard at least 30 days prior to commencement of any permitted construction or demolition operations. U.S. Coast Guard acceptance of the Contractor's written schedule of operations is a condition precedent to the Contractor's commencement of those operations.
- (b) **Activities that require channel closures or restrictions.** In addition to the submittal of its proposed schedule of operations as described in (a) above, Contractor shall submit plans that comply with the Permit for falsework, cofferdams, floating equipment and other obstructions to the channel or channels to the Engineer. The Contractor's attention is directed to the possibility that advance notification for consideration of approval may vary depending on the type and duration of proposed closures, the time of year for requested closure(s), and location of existing bridge(s) and waterway(s) involved, and the impact to entities served along or through the waterway(s). The Engineer shall review and provide written comments, if applicable, to the Contractor within thirty (30) calendar days following receipt of the Contractor's plans. The Contractor shall incorporate the Engineer's comments and submit its plans to the Engineer and to the U.S. Coast Guard at least 30 days prior to commencement of any permitted construction or demolition operations. The Contractor may not commence activities that require channel closures or restrictions without the prior written approval of the Department and the U.S. Coast Guard. The Contractor shall be responsible for complying with all operational requirements that the U.S. Coast Guard may place on the Contractor as conditions of approval.

In addition, the Contractor shall request and obtain Department and U.S. Coast Guard approval in writing before commencing any operations that deviate from the Contractor's schedule of operations when these operations interfere or have the potential to interfere with navigation of water traffic outside of timeframes previously approved by the Department and the U.S. Coast Guard.

Notices shall be sent to the U.S. Coast Guard, Fifth District Bridge Office (OBR), 431 Crawford Street, Portsmouth, VA 23704-5004. Payment of any penalty or fine that may be levied by the U.S. Coast Guard for Contractor violations of bridge regulations found in 33 CFR Parts 115, 116, 117 and 118 shall be the responsibility of the Contractor. Further, any delay to the contract as a result of actions or inaction by the Contractor relative to the requirements herein that are determined by the Department to be the fault of the Contractor will not be compensable.

Excerpts from VDOT 2007 Road and Bridge Specifications as related to VDOT Stormwater Management Program

The cost to comply with the requirements of this provision and to provide and maintain temporary navigation lights, signals and other temporary work associated with the structure(s) under this contract required by the U.S. Coast Guard for the protection of navigation during construction or demolition operations shall be included in price bid for other appropriate items.

SECTION 108—PROSECUTION AND PROGRESS OF WORK

108.01 - Prosecution of Work

The Contractor shall begin work within 15 calendar days of the date of contract execution unless otherwise permitted by specific language in the Contract or as permitted by the provisions of Section 108.02.

Prior to beginning construction operations, the Contractor shall attend a pre-construction scheduling meeting to discuss the Contractor's general plan of operations, work times, and proposed means and methods for accomplishing the work. The pre-construction scheduling meeting may be held in conjunction with the pre-construction conference or in a separate meeting as mutually agreed to by the Department and the Contractor.

The Contractor shall provide a sufficient force of workers, materials, equipment, and tools; and shall prosecute the work with such diligence as is required to attain and maintain a rate of progress necessary to ensure completion of the project in accordance with the plans, specifications, and other requirements of the Contract.

Once the Contractor has begun work, it shall be prosecuted continuously and to the fullest extent possible except for authorized suspensions ordered by the Engineer as defined in Section-108.05. If approval is given to discontinue the work temporarily, the Contractor shall notify the Engineer at least 24 hours in advance of resuming operations.

At least once every 30 days or as specified in the contract documents, the Contractor shall meet with the Engineer to discuss his current progress relative to his Schedule of Record (SOR) and to establish the approximate date for starting each critical inspection stage during the following 30 days. The Engineer shall be advised at least 24 hours in advance of any changes in the Contractor's planned operations or critical stage work requiring inspection. For the purposes stated herein, the Schedule of Record (SOR) is defined in accordance with the provisions of Section 108.03.

Work shall be conducted in such a manner and with sufficient materials, equipment, tools, and labor as are necessary to ensure its completion in accordance with the plans and these Specifications within the time limit specified in the Contract-Documents. Once the Contractor has begun work, it shall be prosecuted continuously and to the fullest extent possible except for interruptions caused by weather or delays authorized or ordered by the Engineer. If approval is given to discontinue the work temporarily, the Contractor shall notify the Engineer at least 24 hours in advance of resuming operations.

108.02 - Limitation of Operations

(a) General.

The Contractor shall conduct the work in a manner and sequence that will ensure its expeditious completion with the least interference to traffic and shall have due regard for the location of detours and provisions for handling traffic. The Contractor shall not open any work to the prejudice or detriment of work already started. The Engineer may require the Contractor to finish a section of work before work is started on any other section.

(b) Holidays

Except as is necessary to maintain traffic, work shall not be performed on Sundays or the following holidays without the permission of the Engineer: January 1, Easter, Memorial Day, July 4, Labor Day, Thanksgiving Day, and Christmas Day.

If any of these holidays occurs on a Sunday, the following Monday shall be considered the holiday.

108.03 - Progress Schedule

General Requirements: The Contractor shall plan and schedule the work on the project so as to complete the work within the time limit and budget established by the contract and shall submit his plan to accomplish these objectives in the form of a Progress Schedule for the Engineer's review and acceptance. The Progress Schedule shall be used by the Engineer for planning, coordination and inspection activities, and for evaluation of the Contractor's rate of progress and the effects of time-related impacts on the project.

Prior to preparing the schedule, the Engineer or the Contractor may request a meeting to discuss any project specific items required for preparation of the progress schedule. The Contractor shall prepare and submit a practicable schedule to reflect a logical progress of the work. The progress schedule shall represent the Contractor's overall work plan to accomplish the work in accordance with the requirements herein and

those of the Contract as detailed in the Contract documents. It shall include all time-based tasks required for timely completion of the work, including as applicable the work to be performed by sub-contractors, suppliers, the Department, and/or others. When preparing the schedule, the Contractor shall consider all applicable constraints and restrictions such as seasonal, weather, traffic, utility, railroad, right-of-way, environmental, permits, and other limitations to the work.

(a) Progress Schedule Requirements:

1 **Baseline Progress Schedule** – The Contractor shall submit to the Engineer his Baseline Progress Schedule at least 7 calendar days prior to beginning work. The Baseline Progress Schedule submittal shall include three (3) sets of a written Progress Schedule Narrative and, where applicable, a Progress Earnings Schedule as defined below:

a) *Progress Schedule Narrative:* The Progress Schedule Narrative shall consist of the following information, as applicable:

(i.) A description of the Contractor's overall plan of operations including the planned procedures and crew(s) required to accomplish each major operation;

(ii.) A Tabular Schedule to establish milestone(s) for completing each phase, feature, or stage of work as specified by contract or, where not specified by the contract, as determined by the Contractor. The schedule shall also indicate the planned sequence and start/finish dates for all time-based tasks required to complete each milestone;

(iii.) A discussion on the working calendar with considerations for applicable constraints or restrictions; (i.e. normal weather, traffic, holidays, time of year, utility, etc.);

(iv.) A description of any potential issues that may impact the schedule.

b) *Progress Earnings Schedule:* Progress Earnings Schedule will not be required for projects with a contract duration of sixty (60) calendar days or less. The Progress Earnings Schedule shall be prepared on forms furnished by the Department to indicate the anticipated earnings for each payment period as of the Contractor's payment cut-off date as determined in accordance with Section 109.08. Progress earnings shall be based on the total contract value. Total contract value will be considered to mean the original amount of the contract including any authorized adjustments in accordance with, but not limited to, the provisions of Sections 104 and 109.05. Payments for stored or stockpiled material in accordance with Section 109.09 of the Specifications will not be considered in the earnings schedule.

2 **Revised Progress Schedule:**

The Contractor shall submit a Revised Progress Schedule as determined and requested by the Engineer, if prosecution of the work deviates significantly from the phasing, general sequence, or the proposed means and methods as represented on the Schedule of Record (SOR). The Contractor will also be required to submit a Revised Progress Schedule to reflect any impacts to the schedule for changes authorized by the Engineer including, but not limited to changes in the work in accordance with the requirements of Section 104 and Section 109.05 of the Specifications.

The Contractor shall submit the Revised Progress Schedule within 10 calendar days of the date of the Engineer's written request. The Revised Progress Schedule shall be in the form of a Revised Baseline Progress Schedule which shall reflect the actual progress of accomplished work (actual work to date), any impact of a change authorized by the Engineer, and the proposed time based plan for completing the remaining work. Upon acceptance by the Engineer, the latest Revised Progress Schedule shall replace the previously accepted Baseline or Revised Progress Schedule.

3 **Failure to Furnish Progress Schedules** – Work shall not commence until the Contractor submits his Baseline Progress Schedule in accordance with the requirements of this section, unless otherwise approved in writing by the Engineer.

Delays in work resulting from the Contractor's failure to provide the progress schedule will not be considered just cause for extension of the contract time limit or for additional compensation.

(b) Review and Acceptance

The Engineer will review all progress schedule submittals within 7 calendar days of receipt of the Contractor's complete submittal. Review and acceptance by the Engineer will be based on completeness and conformance with the requirements of this section, the Contract and the Specifications. If the Contractor's Progress Schedule is deemed to be unacceptable, the Engineer will issue a written notification for resubmission describing the deficiencies in completeness or conformance prompting the Engineer's decision.

Upon acceptance, the Engineer will issue a written notice of acceptance that may include comments or concerns on the schedule. The Contractor shall respond within 7 calendar days of receipt of the Engineer's comments, concerns or written notification for resubmission.

Upon acceptance, the latest Baseline Progress Schedule or Revised Progress Schedule shall become the Schedule of Record (SOR). The SOR is defined as the currently accepted progress schedule by which all schedule references will be made and progress evaluated.

Review and acceptance by the Engineer will not constitute a waiver of any contract requirements and will in no way assign responsibilities of the work plan, scheduling assumptions, and validity of the schedule to the Department. Failure of the Contractor to include in the Progress Schedule any element of work required for timely completion of the project shall not excuse the Contractor from completing the entire scope of work within the Contract specified completion milestone(s).

(c) Monitoring the Work and Assessing Progress

- 1 Monitoring the Work – The Engineer will monitor the work regularly to identify any deviations from the Contractor's scheduled performance relative to the currently accepted Baseline or Revised Progress Schedule. The Engineer may request a meeting with the Contractor to discuss the Contractor's current progress or to establish the approximate date for starting each critical inspection stage during the following 30 days. At least once a week, the Contractor shall advise the Engineer of the approximate timing for anticipated critical stages for the subsequent week. The Engineer shall be advised at least 24 hours in advance of any changes in the Contractor's planned operations or critical stage work requiring Department inspection.
 - 2 Progress Evaluation – The Engineer will evaluate the Contractor's progress monthly relative to the currently accepted Baseline or Revised Progress Schedule. The Contractor's actual progress may be considered unsatisfactory if:
 - a) The cumulative actual earnings for work completed is 10 or more percentage points behind the cumulative earnings for work scheduled; or
 - b) Any interim milestone is later than the scheduled milestone by more than 7 calendar days or the projected project completion date is later than the contract completion date by the least of 14 calendar days or 10 percent of the remaining contract time.
- (d) Progress Deficiency and Schedule Slippage: When the Contractor's actual progress is deemed to be unsatisfactory, the Engineer will issue a written notice of unsatisfactory performance to indicate that further actions may be taken as defined in Sections 102.01 and 109.08 of the Specifications, if progress remains unsatisfactory at the time of preparation of the next monthly progress estimate. Within 10 calendar days of the date of the Engineer's notice of unsatisfactory progress, the Contractor may submit to the Engineer, a recovery plan to reflect a proposed plan to correct the progress deficiency or schedule slippage, or submit to the Engineer a written explanation and supporting documentation to establish that such delinquency is due to conditions beyond the Contractor's control. Any schedule revisions resulting from a recovery plan will be reviewed in accordance with subsection (c) herein, but shall not replace the SOR.

108.04 - Determination and Extension of Contract Time Limit

The Contract time limit for completion will be determined by the Department and specified in the Contract Documents. No request for an extension of time will be considered that is based on any claim that the contract time limit as originally established was inadequate.

With a fixed date contract when the date of contract execution is not within 60 calendar days after the opening of bids, or when the Contractor is unable to commence work because of any failure of the Department, or when the Contractor is delayed because of the fault of the Department, the Contractor will

be given an extension of time based on the number of days delayed beyond the 60 calendar days. No time extension will be allowed for a delay in the date of contract execution when the delay is the fault of the Contractor.

The Engineer will determine if an extension of the Contract time limit for completion is warranted by additions to the Contract. The Contractor shall inform the Department, in writing, of a request for time extensions in his Work Order in accordance with the applicable portion(s) of Section 104 or 109. The Contractor shall provide written supporting data for any request for extension of time due to quantity additions and or additional or altered work.

During prosecution of the work, the Contractor shall identify the causes for any delays attributable to conditions he deems to be beyond his control and shall identify the particular construction operations affected, their criticality to project milestones or overall contract completion, and the significant dates that encompass the periods of delay. The Contractor shall furnish all such information necessary for the Department to make an adequate evaluation of any claim received from the Contractor for an extension of the contract time limit within three days of experiencing such a delay.

- (a) **Fixed Date:** Unless otherwise indicated in the Contract, the contract time limit will be specified as a fixed date for completion. The Contractor shall take into consideration normal conditions considered unfavorable for the prosecution of the work, and shall place sufficient workers and equipment on the project to complete the work in accordance with the specified contract time limit.

The Engineer may give consideration for extension of time when a delay occurs due to unforeseen causes beyond the control of or without the fault or negligence of the Contractor. However, consideration will not be given to extensions of time attributable to normal weather conditions or conditions resulting from normal weather.

For the purposes of this Section normal weather is defined as that which is not considered extraordinary or catastrophic and is not reasonably conducive to the Contractor progressively prosecuting critical path work under the Contract. Weather events considered extraordinary or catastrophic include, but are not limited to tornados, hurricanes, earthquakes, and floods that exceed a 25-year storm event as defined by National Oceanic and Atmospheric Administration (NOAA) for the NOAA data gathering location that is nearest the project site.

If there is a delay in the progress of the work due to unforeseen causes described within these Specifications, and the delay extends the contract time limit into the period between November 30 of one year and April 1 of the following year and working conditions during such period are unsuitable for the continuous prosecution or completion of the work, then consideration may only be given to granting an extension of time that will encompass a suitable period during which such work can be expeditiously and acceptably performed.

108.05 - Suspension of Work Ordered by the Engineer

If the performance of all or any portion of the work is suspended or delayed by the Engineer in writing for an unreasonable period of time (not originally anticipated, customary, or inherent to the construction industry) and the Contractor believes that additional compensation and/or contract time is due as a result of such suspension or delay, the Contractor shall submit to the Engineer in writing a request for adjustment within seven calendar days of receipt of the notice to resume work. The request shall set forth the reasons and support for such adjustment.

Upon receipt, the Engineer will review the Contractor's documentation and evaluate the Contractor's request. If the Engineer agrees that the cost and/or time required for the performance of the contract has increased as a result of such suspension and the suspension was caused by conditions beyond the control of and not the fault of the Contractor, his suppliers, or subcontractors at any approved tier, and was not caused by normal weather, the Engineer will make an adjustment (excluding profit) and modify the contract in writing accordingly. The Engineer will notify the Contractor of the determination regarding whether or not an adjustment of the contract is warranted.

No contract adjustment will be allowed unless the Contractor has submitted the request for adjustment within the time prescribed.

No contract adjustment will be allowed under this clause to the extent that performance would have been suspended or delayed by any other cause, or for which an adjustment is provided for or excluded under any other term or condition of the contract.

108.06 - Failure To Complete on Time

(a) General

For each calendar day that any work remains incomplete after the contract time limit specified for the completion of the work, the Department will assess liquidated damages against the Contractor. Liquidated damages will be assessed at the rate applicable to the Contract in accordance with the Schedule of Liquidated Damages, Table I-1, or as otherwise specified in the contract provisions. Liquidated damages will be deducted from any monies due the Contractor for each calendar day of additional time consumed until final completion and acceptance of the work, subject to such adjustments as provided in accordance with the requirements of Section 108.04, not as a penalty, but as liquidated damages.. The Contractor waives any defense as to the validity of any liquidated damages stated in the Contract, the Contract Documents, or these Specifications and assessed by the Department against the Contractor on the grounds that such liquidated damages are void as penalties or are not reasonably related to actual damages.

(b) Liquidated Damages.

The following Schedule of Liquidated Damages, representing the cost of administration, engineering, supervision, inspection and other expenses, will be charged against the Contractor for each calendar day beyond the fixed contract time that the Contract remains in an incomplete state:

**TABLE I-1
Schedule of Liquidated Damages**

Original Contract Amount in Dollars	Daily Charge in Dollars
0 – 500,000.00	350
500,000.01 – 2,000,000.00	600
2,000,000.01-8,000,000.00	1,350
8,000,000.01- 15,000,000.00	2,500
15,000,000.01- Plus	3,100

108.07 – Default of Contract

The Contractor may be declared in default if he does *any* one of the following:

- (a) fails to begin the work under the Contract within 15 calendar days of the date of contract execution except as otherwise permitted by specific contract language or the provisions of Section 108.02.
- (b) fails to perform the work with sufficient workers and equipment or with sufficient materials to ensure prompt completion of the work
- (c) performs the work unsuitably or neglects or refuses to remove materials or perform anew work that is unacceptable
- (d) discontinues prosecution of the work
- (e) fails to resume work that has been discontinued within a reasonable time after notice to do so
- (f) becomes insolvent, is declared bankrupt, or commits any act of bankruptcy or insolvency
- (g) allows any final judgment to stand against him unsatisfied for a period of 10 days
- (h) makes an assignment for the benefit of creditors, or
- (i) fails for any other cause whatsoever to carry on the work or contractual obligations in an acceptable manner

If any of these conditions exists, the Engineer will give notice in writing to the Contractor and his surety of the delay, neglect, or default. If within 10 days after the date of such notice the Contractor or his surety has not taken measures that will, in the judgment of the Chief Engineer, ensure satisfactory progress of the work or give assurances satisfactory to the Engineer that the provisions of the Contract will be fully carried out and instructions complied with, the Commissioner may then, or at any time thereafter, declare the Contractor in default. Without violating the Contract, the Commissioner may call upon the Contractor’s surety for the satisfactory and expeditious completion of all work under the Contract or may otherwise terminate the Contract in accordance with the provisions of Section 108.08.

If the Contractor is declared in default, subsequent payments will be made to the surety and further

negotiations will be conducted with the surety.

If the Contractor's surety fails or refuses to proceed with the work in accordance with the instructions of the Commissioner, the Commissioner will appropriate and use any or all materials and equipment on the project site that are suitable and acceptable and will enter into an agreement with others for the completion of the work, or he will use such other methods as he deems necessary to ensure the completion of the work.

Costs and charges incurred by the Department, including the cost of completing the work under the Contract, will be deducted from any monies due or that will become due the Contractor and his surety. If the expense incurred by the Department is less than the sum that would have been payable under the Contract had the work been completed by the Contractor, the Contractor and his surety will be entitled to receive the difference. If the expense exceeds the sum that would have been payable under the Contract, the Contractor and his surety shall be liable for and shall pay to the Commonwealth the amount of the excess.

108.08 - Termination of Contract

- (a) **Conditions for Termination:** The Department may terminate the Contract or any portion thereof because of *any* of the following conditions:
 - 1 Default
 - 2 national emergency
 - 3 action by the Commonwealth, U.S. government, or court order, or
 - 4 conditions beyond the control of the Department
- (b) **Provisions of Termination:** Termination will be in accordance with the following:
 - 1 Disturbed areas shall be promptly placed in an acceptable condition as directed by the Engineer. Payment for such work will be made at the contract unit prices or, in the absence of contract unit prices, in accordance with the requirements of Section 104.03.
 - 2 Payment will be made for the actual number of units or items of work completed at the contract unit price, or as mutually agreed, for items of work partially completed. No claim for loss of anticipated profits will be considered, and the provisions of Section 104.02 will not apply.
 - 3 Reimbursement for organizing the work when not specified in the Contract and moving equipment to and from the job will be considered where the volume of work completed is too small to compensate the Contractor for these expenses under the contract unit prices.
 - 4 At the option of the Engineer, materials the Contractor obtains for the work that have been inspected, tested, and accepted by the Engineer and that have not been incorporated in the work may be purchased from the Contractor at actual costs as shown by receipted bills, purchase orders, bills of lading or other similar actual cost records at such points of delivery as may be designated by the Engineer.
 - 5 The termination of the Contract or a portion thereof shall not relieve the Contractor of his responsibilities for the completed work, nor shall it relieve his surety of its obligation for and concerning any just claims arising out of the work performed or remaining to be performed.

108.09 - Acceptance

- (a) **Contractor's Responsibility for Work:** Until final acceptance of the work by the Engineer in accordance with the requirements of this Section, the Contractor shall have charge and care thereof and shall take every precaution against damage to any part thereof by action of the elements or from any other cause. The Contractor shall rebuild, repair, restore, and make good on damage to any portion of the work occasioned by any of the foregoing causes before final acceptance and shall bear the expense thereof. The Department may reimburse the Contractor for repair of damage to work attributable to unforeseeable causes beyond the control of and without the fault or negligence of the Contractor as determined by the Engineer.

In case of suspension of work, the Contractor shall be responsible for the project and shall take such precautions as may be necessary to prevent damage to the work, provide for erosion control and drainage, and erect any necessary temporary structures, signs, or other facilities as determined by the Engineer. During the suspension of work, the Contractor shall properly and continuously maintain in an acceptable growing condition all living material in newly established plantings, seedings, and soddings furnished under the Contract and shall take adequate precautions to protect

new tree growth and other important vegetation against damage.

- (b) **Partial Acceptance:** If at any time during the prosecution of the project the Contractor completes a unit or portion of the project, such as a structure, an interchange, slopes, pavement, or a section of a roadway in its entirety, he may ask the Engineer to make final inspection of such work. If the Engineer finds upon inspection that the work conforms to the requirements of the Contract and that acceptance is in the best interest of the public, he may accept the work as being completed, and the Contractor will be relieved of further responsibility for the work. Partial acceptance shall in no way void or alter any terms of the Contract.

If any damage is sustained by an accepted unit or portion of the project attributable to causes beyond the control of the Contractor, the Engineer may authorize the Contractor to make the necessary repairs. These repairs will be paid for at the contract price for the items requiring repair. In the absence of contract prices covering the items of repair, the work will be paid for in accordance with the requirements of Section 109.05.

- (c) **Final Acceptance:** Upon receipt of a written notice from the Contractor of presumptive completion of the entire project, the Engineer will make an inspection. If all work specified in the Contract has been completed, the inspection will constitute the final inspection and the Engineer will make the final acceptance. The Contractor will be notified in writing, of the determination of final acceptance within five days of the date of the Engineer's final acceptance.

If the Engineer's inspection discloses that any work, in whole or in part, is incomplete or unacceptable, the Contractor shall immediately correct the deficiency. A written list of deficiencies will be provided to the Contractor by the Engineer. Upon completion or correction of the work, another inspection will be made of the deficient work. If the work is then satisfactory, the Engineer will notify the Contractor in writing within five days of the Engineer's final acceptance. In any event, the Contractor shall be responsible for and maintain the project until final acceptance except under conditions that may be specifically exempted by the Specifications or specific contract language.

108.10 - Termination of Contractor's Responsibilities

The Contract will be considered complete upon final acceptance. The Contractor's responsibility to the work of the Contract will then cease except as set forth in his bond and the requirements of Sections 109.08 and 109.10.

SECTION 203—COARSE AGGREGATE

203.01—Description

These specifications cover material for use as coarse aggregate in hydraulic cement concrete, asphalt concrete, asphalt surface treatments, and drainage.

203.02—Materials

Coarse aggregate shall consist of crushed stone, crushed slag, crushed or uncrushed gravel with clean, hard, tough, and durable pieces free from adherent coatings and deleterious amounts of friable, thin, elongated, or laminated pieces; soluble salts; or organic materials.

- (a) **Crushed hydraulic cement concrete** will be permitted for use as a coarse aggregate provided it conforms to the physical requirements specified herein and shows no adverse chemical reaction. Crushed hydraulic cement concrete will not be permitted in the following: (1) reinforced cement concrete, (2) in combination with other materials in contact with geotextile fabric when such fabric is used as a drainage item, and (3) in backfill or bedding for perforated pipe.
- (b) **Crushed gravel** shall consist of particles of which at least 80 percent by weight shall have at least one face fractured by artificial crushing. Tests will be performed in accordance with the requirements of VTM-15.
- (c) **(Blast furnace slag** shall be relatively free from foreign minerals and glassy or spongy pieces. It shall weigh at least 70 pounds per cubic foot, dry rodded, for size No. 68 and smaller and at least 65 pounds per cubic foot, dry rodded, for larger sizes. Tests will be performed in accordance with the requirements of AASHTO T19. When used in asphalt surface treatments, blast furnace slag shall contain not more than 10 percent nonporous material and shall have an absorption of at least 3 percent. Tests will be performed in accordance with the requirements of AASHTO T85.
- (d) **Crushed glass** shall consist of particles of curbside-collected or waste glass. It shall be free from sources of glass that include automotive glass, lead crystal, TV monitors, lighting fixtures and electronics applications. Non-glassy material associated with curbside collection (paper, capping materials, etc.), excluding fragments of broken ceramics and pottery, shall be limited to 5 percent by weight using a gravimetric determination, and including loss on ignition performed in accordance with the requirements of ASTM D2974. One hundred percent of the crushed glass shall pass the 9.5 mm (3/8 inch) sieve with less than 5 percent passing the No. 200 sieve. Crushed glass shall not be used in hydraulic cement concrete, asphalt, base/subbase, or exposed shoulder applications.

**TABLE II-3
Sizes of Open-Graded Coarse Aggregates**

Amounts Finer Than Each Laboratory Sieve (Square Openings) (% by Weight)															
Va. Size No.	4 in.	3½ in.	3 in.	2½ in.	2 in.	1½ in.	1 in.	¾ in.	½ in.	3/8 in.	No. 4	No. 8	No. 16	No. 50	No. 100
1	Min. 100	90-100		25-60		Max. 15		Max. 5							
2			Min. 100	90-100	35-70	Max. 15		Max. 5							
3				Min. 100	90-100	35-70	0-15		Max. 5						
357				Min. 100	95-100		35-70		10-30		Max. 5				
5						Min. 100	90-100	20-55	Max. 10	Max. 5					
56						Min. 100	90-100	40-85	10-40	Max. 15	Max. 5				
57						Min. 100	95-100		25-60		Max. 10	Max. 5			
67							Min. 100	90-100		20-55	Max. 10	Max. 5			
68							Min. 100	90-100		30-65	5-25	Max. 10	Max. 5		

**TABLE II-3
Sizes of Open-Graded Coarse Aggregates**

Amounts Finer Than Each Laboratory Sieve (Square Openings) (% by Weight)															
Va. Size No.	4 in.	3½ in.	3 in.	2½ in.	2 in.	1½ in.	1 in.	¾ in.	½ in.	3/8 in.	No. 4	No. 8	No. 16	No. 50	No. 100
7								Min. 100	90-100	40-70	Max. 15	Max. 5			
78								Min. 100	90-100	40-75	5-25	Max. 10	Max. 5		
8									Min. 100	85-100	10-30	Max. 10	Max. 5		
8P									Min. 100	75-100	5-30	Max. 5			
9										Min. 100	85-100	10-40	Max. 10	Max. 5	
10										Min. 100	85-100				10-30

203.03—Detail Requirements

- (a) **Grading:** Open-graded aggregates shall conform to the requirements of Table II-3. Tests will be performed in accordance with the requirements of AASHTO T27.
- (b) **Soundness:** Soundness shall conform to the requirements of Table II-4. Tests will be performed in accordance with the requirements of AASHTO T103 or T104. The requirement for soundness test for crushed glass is waived due to its preclusion from the applications shown in Table II-4.
- (c) **Abrasion Loss:** Abrasion loss shall conform to the requirements of Table II-5. Tests will be performed in accordance with the requirements of AASHTO T96 on aggregate with a grading the most nearly identical with the grading to be used in the work.
- (d) **Deleterious Material:** The amount of deleterious material shall be not more than the following:

Material	% by Weight	AASHTO Test Method
Coal and lignite	0.25	T113
Clay lumps	0.25	T112
Material passing No. 200 sieve by washing ¹	1.00	T11

¹When the material passing the No. 200 sieve by washing is dust of fracture, the percentage of deleterious material may be increased to 1.50 percent.

**TABLE II-4
Soundness**

Use	Max. Soundness Loss (%)	
	Magnesium Sulphate (5 Cycles)	Freeze and Thaw (100 Cycles)
Hydraulic cement concrete	12	5
Asphalt surface courses	15	6
Asphalt and aggregate bases	20	7
Select material (Type I) and subbase	30	12

**TABLE II-5
Abrasion**

Use	Max. Los Angeles Abrasion Loss (%)	
	100 Rev.	500 Rev.
Grade A stone	9	40
Grade B stone	12	45
Grade C stone	14	50
Slag	12	45
Gravel	12	45

- (e) **Flat and Elongated Particles:** Coarse aggregate to be used as a riding surface during construction activities or as the riding surface after construction shall contain not more than 30 percent by mass of aggregate particles retained on and above the 3/8-inch sieve having a maximum to minimum dimensional ratio greater than 5 as determined in accordance with the requirements of ASTM D4791

SECTION 204—STONE FOR MASONRY, RIPRAP, POROUS BACKFILL, AND GABIONS

204.01—Description

These specifications cover aggregate materials used to protect ground slopes from erosion or wave action and those used for drainage, generally behind a backwall or abutment.

204.02—Detail Requirements

- (a) **Stone for rubble or mortar rubble masonry** shall be sound, durable, and free from seams, cracks, and other structural defects and shall be minimum Grade C stone free from rounded, worn, or weathered surfaces.
- (b) **Stone for riprap and bedding** shall be sound, durable, and free from seams, cracks, and other structural defects. Riprap stone and bedding exposed to the wave action of water shall be of igneous or metamorphic origin. Riprap bedding shall be crushed stone, minimum Grade B.
- (c) **Porous backfill** shall be aggregate size No. 78 or No. 8, a minimum Grade B. Crushed glass meeting the gradation requirements specified in Section 203.02(d) of the Specifications can be directly substituted for size No. 78 and 8 aggregates.
- (d) **Gabion stone** shall be durable and free from seams and cracks. Weathered stone shall not be used. Stone shall weigh between 4 and 30 pounds except that approximately 5 percent of the individual stones may weigh less than 4 or more than 30 pounds. At least 50 percent of the stone shall weigh more than 10 pounds

SECTION 239—SODIUM CHLORIDE AND CALCIUM CHLORIDE

239.01—Description

These specifications cover chloride used as a stabilizer or to control snow and ice.

239.02—Detail Requirements

- (a) **Sodium chloride** shall conform to the requirements of AASHTO M143, Type I, with the following exceptions:

- 1 The sodium chloride content shall be at least 97 percent of the dry weight.
- 2 The moisture content shall be not more than 5 percent.
- 3 When shipped in bulk, sodium chloride shall contain an anticaking additive.
- 4 Sodium chloride will be tested in accordance with the requirements of VTM-28.

When practicable, samples will be taken at the source from indoor storage or adequately protected outdoor storage at the rate of approximately one sample per 4,000 tons. Samples will not be taken from uncovered storage.

When inspection at the source is not practicable or when material is shipped from uncovered storage, samples will be taken at the destination at the time of delivery.

When shipments of sodium chloride are made from approved stock at the source, inspection service will be provided when the frequency of shipments makes it economically justified. This inspection will be indicated by the Inspector's stamp on the shipping or delivery report. When inspection service is not provided, the supplier may ship but shall certify that the material came from an approved source. The certification may be stamped on the shipping or delivery report but shall be signed by an authorized representative of the company.

- (b) **Calcium chloride** shall conform to the requirements of AASHTO M144.

SECTION 240—LIME

240.01—Description

These specifications cover lime to be used as a stabilizer or soil conditioner.

240.02—Detail Requirements

- (a) **Hydrated lime** shall conform to the requirements of ASTM C207, Type N, except that the average percentage of calcium oxide shall be at least 93. Single test results shall not be below 90 percent.
- (b) **Hydraulic lime** shall conform to the requirements of ASTM C141.
- (c) **Agricultural lime:**
 - 1 **Ground limestone** shall be of such fineness that at least 86 percent will pass a No. 20 mesh screen, at least 47 percent will pass a No. 60 mesh screen, and at least 28 percent will pass a No. 100 mesh screen. Material shall have a calcium carbonate equivalent of at least 85 percent.
 - 2 **Pulverized limestone** shall be of such fineness that at least 90 percent will pass a No. 20 mesh screen and at least 66 percent will pass a No. 100 mesh screen. Material shall have a calcium carbonate equivalent of at least 85 percent.
- (d) **Lime for soil stabilization** shall be quicklime or hydrated lime conforming to the requirements of AASHTO M216.

SECTION 242—FENCES

242.01—Description

These specifications cover material requirements for fence components used in the construction of chain link, pedestrian, barbed wire, woven wire, and lawn fences and material specifications for temporary silt fences, geotextile fabric silt barriers, and filter barriers used for erosion control.

242.02—Detail Requirements

Steel posts and braces for standard fence and chain link fence may be fabricated from pregalvanized material in lieu of galvanization after fabrication provided ends and other areas of exposed metal are

(c) Temporary Silt Fences, Geotextile Fabric, Silt Barriers, and Filter Barriers:

- 1 **Geotextile fabric** shall conform to the requirements of Section 245.
- 2 **Posts for temporary silt fences** shall be a nominal 2 1/2 by 2 1/2 inch or 3 inch diameter No. 2 Southern pine, a nominal 2 by 2 inch oak, or steel having a weight of at least 1.25 pounds per linear foot and a length of at least 5 feet.
- 3 **Supports for temporary filter barriers** shall be a nominal 1 by 2 inch or 1 1/2 inch diameter No. 2 Southern Pine or oak or steel having a weight of at least 1.00 pound per linear foot and a length of at least 2.5 feet.

SECTION 244—ROADSIDE DEVELOPMENT MATERIALS

244.01—Description

These specifications cover the various materials, such as fertilizers, seeds, plants, sod, and mulch, for use in landscaping and materials used for soil retention to help prevent erosion.

244.02—Detail Requirements

- (a) **Herbicides:** Herbicides shall be registered with the Virginia Department of Agriculture and Consumer Services in accordance with the Virginia Pesticide Law and shall be supplied in the manufacturer's containers clearly labeled as to the composition, brand, and name and address of the manufacturer.
- 1 **Herbicide for control of broadleaf weeds** shall contain at least 3 pounds of 2,4-D as an oil-soluble, water-emulsifiable amine salt. It shall have a shelf life of at least 2 years and shall be homogeneous with slight agitation. The type of amine salt and the actual acid equivalent per gallon shall be shown on the container.
 - 2 **Herbicide for stump treatment** shall be dicamba CST and shall be applied in accordance with the manufacturer's registered label.
- (b) **Topsoil:**
- 1 **Class A topsoil:** Class A topsoil shall be stockpiled topsoil that has been salvaged in accordance with the requirements of Section 303.04(a). It shall be the original layer of the soil profile formed under natural conditions, technically defined as the "A" horizon or as defined by the United States Department of Agriculture–Natural Resources Conservation Service (USDA–NRCS) Soil Survey Division. It shall be free from refuse and any other materials toxic to plant growth and subsoil, stumps, viable noxious weeds, roots, brush, rocks, clay lumps, or similar objects larger than 3 inches in any dimension.
 - 2 **Class B topsoil:** Class B topsoil shall be topsoil furnished from sources outside the project limits and shall be the original top layer of a soil profile formed under natural conditions, technically defined as the "A" horizon or as defined by USDA–NRCS Soil Survey Division. It shall consist of natural, friable, loamy soil without admixtures of subsoil or other foreign materials and shall be free of viable noxious weed seed, plant propagules, brush, rocks or other litter, and rocks greater than 3 inches in any dimension. It shall have demonstrated by evidence of healthy vegetation growing or having grown on it prior to stripping that it is well drained and does not contain substances toxic to plants. The Contractor shall submit a source of materials for topsoil on the project prior to use. The Department reserves the right to reject any topsoil material not complying with the requirements of this specification.
The allowable pH range for Class B topsoil for use in establishing sod or turf shall be 5.5 to 7.0.
Class B topsoil shall be a "sandy loam," "loamy sand," or "sandy clay loam" soil as defined by the USDA Soil Textural Classification System with an organic matter content between 1 and 8 percent or as approved in writing by the Engineer.
 - 3 **Testing and documentation:** The Contractor shall submit the following test reports to the Engineer for Class B topsoil prior to use. Testing shall be completed by an independent commercial soils testing laboratory:
 - a. **Soil analysis** of topsoil including pH factor, mechanical analysis (composition), salinity, percentage of organic content, and soil classification based thereon.
 - b. **Recommendations** on type and quantity of additives required to establish a satisfactory pH and bring the supply of nutrients to a level satisfactory for sustaining turf and/or for use as a soil mix for planting if applicable.
- (c) **Seeds:** Kinds and varieties of seeds shall be delivered to the project in separate sacks bearing a green seed label denoting that the seed was inspected and approved by the Virginia Crop Improvement Association. Open bags will not be accepted for use. Seeds shall be mixed under the observation of the Engineer on the project or at other approved locations. Seeds shall comply with applicable state and federal seed laws and contract requirements. Seed shall not be used until approved by the Engineer.
Seed shall be subject to inspection by Virginia State Seed Regulatory Inspectors of the Virginia Department of Agriculture and Consumer Services.

Seed tests shall be completed within the 9-month period prior to the beginning of the area scheduled seeding period during which the seed is to be used.

Seed shall not be or have been stored in an enclosure where herbicides, kerosene, or other material detrimental to seed germination is stored.

Noxious weed seeds, as defined by the rules and regulations adopted for enforcement of the Virginia Seed Law, will not be permitted. The number of restricted noxious weed seeds shall be not more than the number per ounce or per pound of noxious weed seeds specified in the rules and regulations of the Virginia Seed Law.

The tag from each sack of seed shall be signed by the Contractor and delivered to the Engineer after each sack is completely used.

- (d) **Fertilizers:** Fertilizer shall be uniform in composition, free flowing, and suitable for application with approved equipment. The fertilizer shall be delivered to the project in bags or other convenient containers, each fully labeled, and shall conform to all applicable state and federal laws and regulations. Additional nutrients shall be added only when specified in the contract documents or in accordance with the provisions of Section 109.05. Fertilizer shall be subject to testing by the Virginia Department of Agriculture and Consumer Services. The Department reserves the right to reject fertilizer materials that do not comply with the requirements of these specifications or to be compensated in an amount as decided by the Engineer for failure of complying with the requirements of the Virginia Fertilizer Law. Other fertilizer products and rates may be substituted with the prior written approval from the Engineer.

A copy of the material safety data sheet (MSDS) shall be provided to the Department for each type of fertilizer supplied with each fertilizer delivery. Any fertilizer delivery that is not accompanied by the appropriate MSDS will be rejected.

- 1 **Fertilizer for seeding, sodding, sprigging, and plugging** shall have a guaranteed 1-2-1 ratio and a nitrogen, phosphorous, and potassium (NPK) analysis as detailed in the plans with a minimum 30 percent of the nitrogen from a slow release or slowly soluble source with the remainder of the nitrogen from urea or ammonium nitrate. The following types of slow release or slowly soluble nitrogen fertilizers may be used: urea formaldehyde (UF) (ureaform, methylene urea, and methylene diurea/dimethylene triurea); isobutylidene diurea (IBDU); sulfur-coated urea (SCU); and polycoated urea (PCU). UF products shall have a minimum activity index of 40 percent. The IBDU minimum size guide number shall be 230. All UF and IBDU products shall indicate the slow release/slowly available nitrogen source on the fertilizer analysis label as water-insoluble nitrogen. PCU and SCU shall have a minimum 3-month release duration for the total product. The phosphorous content of the fertilizer shall be triple superphosphate or diammonium phosphate. The potassium content of the fertilizer shall be potassium chloride, commonly known as muriate of potash. Slow release or slowly soluble fertilizers may be applied with a hydraulic seeder except for SCU. Fertilizer shall be applied in accordance with the requirements of Section 603.
 - 2 **Fertilizer for planting plants** shall have a guaranteed 1-2-1 ratio and a 15-30-15 analysis with a minimum of 40 to 50 percent of the nitrogen from one of the following slow release or slowly soluble sources, with the remainder of the nitrogen from urea or ammonium nitrate: soluble UF, SCU, and PCU. The UF products shall have a minimum activity index of 40 percent. SCU and PCU shall have a minimum 3-month release duration for the total product. Slow release or slowly soluble fertilizers shall be applied as a dry surface application as shown in the Department's *Road and Bridge Standards*, Volume II, Landscape Section.
- (e) **Lime:** Lime shall be agricultural grade ground limestone. Agricultural grade pulverized or pelletized lime products may be substituted at no additional cost to the Department.
- 1 The material source shall be registered with and approved by the Virginia Department of Agriculture and Consumer Services in accordance with the Virginia Agricultural Lime Law and shall conform to the requirements of Section 240. All lime shall be subject to testing by the Virginia Department of Agriculture and Consumer Services. Other lime products may be substituted with approval from the Engineer.
- (f) **Inoculating Bacteria for Treating Leguminous Seeds:** Bacteria shall be a pure culture of nitrogen-fixing bacteria selected for maximum vitality. Cultures shall be not more than 1 year old and shall be subject to the approval of the Engineer.

(g) **Mulch:** Mulch shall conform to the following unless otherwise approved in writing by the Engineer:

- 1 **Mulch for seeding** (vegetative) shall consist of dry straw or hay, free from noxious weeds. Mulch shall be reasonably bright in color and shall not be musty, moldy, caked, decayed, or dusty.
- 2 **Wood cellulose fiber mulch for hydraulic seeding** shall consist of specially prepared wood cellulose processed into a uniform fibrous physical state. Mulch shall be dyed green or contain a green dye in the package that will provide an appropriate color to facilitate visual inspection of the uniformly spread slurry. Mulch, including dye, shall not contain germination-inhibiting or growth-inhibiting factors. Mulch shall be manufactured and processed so that it will remain in uniform suspension in water under agitation and will blend with seed, fertilizer, and other additives to form a homogeneous slurry. Mulch shall form a blotterlike ground cover, on application, having moisture absorption and percolation properties and shall cover and hold grass seed in contact with the soil without inhibiting the growth of grass seedlings. Field and equipment performance determinations by the Department shall be prerequisites for the approval of a source of supply for mulch.

The manufacturer shall provide certification that the mulch conforms to the following:

Property	Value
Fiber or particle size	
Length	To approximately 0.39 inch (10 mm)
Thickness or diameter	Approximately 0.04 inch (1 mm)
Net dry weight content (VTM-47)	Minimum stated on bag
pH range (TAPPI T509 or ASTM D 778)	4.0 to 8.5
Ash content (TAPPI T413 or ASTM D 586)	Maximum 7.0%
Water-holding capacity (VTM-46)	Minimum 90%

Mulch shall not contain elements or compounds at concentration levels that will be phytotoxic.

In addition to making field performance determinations, the Department may sample and perform such other tests on mulch to ensure that it conforms to these specifications. Only those materials that have been evaluated by the Department and that appear on its list of approved sources of supply will be accepted.

Mulch shall be delivered in packages of uniform weight bearing the name of the manufacturer, the net weight, and an additional statement of the net dry weight content.

- 3 **Wood chips** processed from clearing and grubbing operations may be used for mulch on seeded areas as directed by the Engineer. Wood chips shall be not more than 3/8 inch in thickness or 6 square inches in area.
 - 4 **Mulch for individual planting pits and planting beds** shall be double-shredded hardwood mulch aged for at least 1 year and brown in color. A representative sample shall be submitted to the Engineer for approval prior to delivery to the work site.
- (h) **Sod:** Sod shall be cultivated material conforming to the requirements of the State Board of Agriculture for state-approved sod or the State Sod Certification Agency for state-certified sod. Root development shall be such that standard size pads will support their own weight and retain their size and shape when suspended vertically from a firm grasp on the uppermost 10 percent of the area. The top growth of sod shall be mowed so that the height of the grass will be 2 to 3 inches at the time of the stripping operation. Sod may be furnished in any standard pad width and length provided the dimensions do not vary from the average by more than 5 percent. Sod shall be machine stripped at a uniform soil thickness of at least 1 inch. Broken, torn, or irregularly shaped pads will be rejected.
- (i) **Trees, Shrubs, Vines, and Other Plants:** The botanical and common name of plants shall be in accordance with the latest edition of *Standardized Plant Names*, prepared by the Editorial Committee of the American Joint Committee on Horticultural Nomenclature in effect on the date of the Notice of Advertisement.
- 1 **Quality and size:** Plants shall conform to the requirements of the current *American Standard for Nursery Stock* (ANSI Z-60.1) by the American Nursery and Landscape Association and these specifications.
Plants shall be representative of their normal species and varieties; shall have well-furnished branch systems and vigorous fibrous root systems characteristic of their respective kinds; shall be

grown in a state-approved, certified nursery; and shall bear evidence of proper nursery care, including adequate transplanting and root pruning. Plants shall comply with state and federal laws governing inspection for plant diseases and insect infestation and shall be free from insect pests, plant diseases, disfiguring knots, stubs, sunscald, bark abrasions, or any other form of damage or objectionable disfigurements.

When a minimum and maximum size or range is specified, an average size shall be furnished. Plants shall not be pruned before delivery or cut back from larger sizes to conform to the sizes specified. Sizes furnished shall be those specified at the time of delivery and before the usual pruning at the time of planting. Nursery-grown trees shall be free from cuts of limbs that are not healed and cuts more than $\frac{3}{4}$ inch that have not completely callused over. Plants from cold storage will not be accepted. Deciduous plants, except those grown in containers, shall be dormant when planted.

- 2 **Digging and protection:** Digging shall be in accordance with the current *American Standards for Nursery Stock* and done in a manner that will avoid damage to or loss of roots, but roots that are cut shall be cleanly cut. Balled and burlapped plants shall be properly dug and protected to preserve the natural earth in contact with the roots. Manufactured balls or processed balls will not be accepted. Balls shall be firmly wrapped and tied with approved materials. Balled plants will not be accepted if the ball is broken, cracked, or loose. After plants are dug, their roots shall be protected from damage. Roots of bare root plants shall be kept moist at all times. Bare root plants shall be further protected by wrapping in wet straw, moss, burlap, or other approved material.
 - 3 **Plantable pots:** In lieu of using burlap with balled plants, plants may be dug as specified herein and placed in plantable pots. Pots shall be constructed of organic, biodegradable material that will readily decompose in soil and shall not be smaller in any dimension than the size specified for balled and burlapped root systems. At the time of planting, the lip or rim of pots shall be broken away, and drainage holes shall be provided as directed. Plants with balls that have been grown in pots or with loose stems will be rejected.
 - 4 **Container-grown plants:** In addition to the requirements of the *American National Standard for Nursery Stock*, container-grown plants shall conform to the following:
 - a. The space between the rim or top of the container and the soil line within the container shall not be more than $1\frac{1}{2}$ inches for the 1-gallon and 2-gallon sizes and not more than $2\frac{1}{2}$ inches for the 5-gallon size.
 - b. Encircling roots shall not have grown in such a manner that they will cause girdling of the trunk or stems.
 - c. Roots shall have been grown in the soil medium for a minimum of 6 months extending to the limits of the container on all sides and from top to bottom.
 - 5 **Collected plants:** Collected plants from wild or native stands shall not be used without the written permission of the Engineer unless specified on the plans. Wild or native plants shall be clean, sound stock and free from injury, and the quality of the plants shall be similar to that specified for nursery-grown material. Stock shall have sufficient root systems to ensure successful transplanting. Balls, when specified, shall be tight and well formed.
 - 6 **Clumps:** Clumps shall be dug from good soil that has produced a fibrous root system typical of the nature of the plant and shall have earth and incidental vegetation adhering to roots.
- (j) **Miscellaneous Planting Materials:**
- 1 **Twine** for wrapping balled and burlapped trees shall be made of an organic material, biodegradable twine, at least two-ply.
 - 2 **Composted yard waste** shall be dark brown or black in color and consist of decomposed leaves, branches, and grass clippings. Prior to delivery, the Contractor shall submit to the Engineer for approval, a sample of the composted yard waste and a test report from an independent laboratory verifying that the material conforms to the following analysis:
 - pH = 5.5 dry–8.0 wet
 - Moisture Content = 35%–45%
 - Particle Size = Pass through a 1-inch screen or smaller
 - Stability = Stable to highly stable, thereby providing nutrients for plant growth
 - Maturity/Growth Screening = Aged (cured) for a minimum of 6 months, reach

thermophilic (113—158 degrees F) temperature ranges following a minimum of two successive turnings of the compost, and pass maturity tests or demonstrate its ability to enhance plant growth

Soluble Salt Concentration = 3.0 dS/m (mmhos/cm) or less

Nutrient Content: Nitrogen = 0.5%–3.5%

Phosphorous = 0.2–4.0%

Potassium = 0.3%–2.0%

Density = Not more than 1,250 pounds per cubic yard.

The Contractor shall submit the following information to the Engineer 30 days prior to the date the compost is shipped to the construction site:

- a. A vendor’s certificate or affidavit attesting that the “Composted Yard Waste” complies with the requirements of this specification.
 - b. A test report from an independent certified laboratory verifying that the material complies with the requirements for use as specified by the Virginia Department of Environmental Quality and United States Environmental Protection Agency/40 CFR Part 503 Regulations February 1993 with regard to heavy metal content and restrictive use of biosolids.
 - c. A 2-gallon sample of the material for visual inspection. In addition, the test report shall indicate that the compost material is free of viable weed seed, plant propagules, and harmful pathogens. Non-organic materials such as concrete, plastic, metal, glass, paper products, chemically treated plywood, plywood, pressboard, and organic pine by-products will not be accepted. The Engineer reserves the right at any time to test and reject compost material that does not comply with the requirements of this specification. Other compost products may be substituted with the written approval of the Engineer.
- 3 **Horticultural Grade Perlite** shall be a fine-to-medium grade, non-organic volcanic mineral identified as Perl-Lome having closed air cells and surface cavities, expanded to form a granular, snow-white material, 5 to 20 times its original volume. Perlite shall have a weight of 5 to 8 pounds per cubic foot. Prior to delivery, the Contractor shall submit to the Engineer for approval, a sample of the perlite and a manufacturer’s test report or product certification verifying that the material complies with the following analysis and gradation:

pH = 6.5 to 7.5

Nutrient Content = Sterile.

Standard Sieve or Micron Size	Perlite Gradation	
	Fine	Medium
+16 mesh	10% maximum	60% maximum
+100 mesh	60% minimum	85% minimum

- 4 **Burlap used for wrapping the tree ball** shall be made of an organic biodegradable material.
- 5 **Water** used in watering plants shall be obtained from fresh water sources and shall be free from chemicals and other toxic substances harmful to plants. Brackish water shall not be used. The source of water will be subject to the approval of the Engineer.
- 6 **Staking and guying materials** shall be 14-gage galvanized steel wire. Hose shall be corded rubber, ½ inch or ¾ inch, and solid green in color. Turnbuckles shall be galvanized steel or zinc-coated steel. Stakes for anchoring trees and shrubs shall be 2 inch by 2 inch rough dressed hardwood in the appropriate length and reasonably free of knots. Trees and shrubs shall be anchored in accordance with Section 1200 of the Department’s *Road and Bridge Standards* unless otherwise indicated on the plans. Other staking, guying, and anchoring methods and materials specifically designed for securing trees and shrubs may be substituted with prior approval in writing from the Engineer or as designated on the plans.
- 7 **Below-ground tree anchors** shall be below-grade steel stabilizers capable of fixing the root ball in place until the tree has established itself in place. Prior to ordering material, the Contractor shall furnish the Engineer manufacturer’s product data for the type of anchoring system he proposes to supply for review.

- 8 **Tree protection tubes** shall be constructed from flexible UV-inhibited polyethylene, polypropylene, or similar material designed to speed photosynthesis, promote seedling growth, and reduce planting stress by trapping moisture, thereby raising relative humidity and ambient temperature inside the tube. Tree tubes shall protect the tree seedlings from animals, wind desiccation, small rodents, chemical sprays, and insects. The design of the tree tubes shall not be detrimental to the establishment and growth of the seedling or young tree. Tree tube designs shall be capable of accommodating tree growth for at least 3 years after planting.

(k) **Soil Retention Coverings:**

- 1 **Jute mesh** shall be a uniform, open, plain weave of undyed and unbleached single layer jute yarn. The yarn shall be loosely twisted and shall not vary in thickness by more than its normal diameter. Jute mesh shall be new, and its length shall be marked on each roll.
Between strands lengthwise, openings shall be 0.60 inch \pm 25 percent. Between strands crosswise, openings shall be 0.90 inch \pm 25 percent. Jute mesh shall weigh 0.9 pound per square yard \pm 5 percent.
- 2 **Soil retention mats** shall consist of a machine-produced mat of wood fibers, wood excelsior, or manmade fiber that shall intertwine or interlock. Matting shall be nontoxic to vegetation and germination of seed and shall not be injurious to the unprotected skin of the human body.
Mats shall be of consistent thickness, with fiber evenly distributed over its entire area, and covered on the top and bottom side with netting having a high web strength or covered on the top side with netting having a high web strength and machine sewn on 2-inch centers along the longitudinal axis of the material. Netting shall be entwined with the mat for maximum strength and ease of handling.
- 3 **Soil stabilization mats** shall be from the Department's approved products list for the site conditional use(s) specified.

- (l) **Fencing and Steel Posts for Protection of Landscape:** When specified on the plans, fencing to delineate areas of landscaping to be protected shall be 40 inches in height, international orange, high-visibility, plastic (polyethylene) web fencing. Fence posts shall be conventional metal "T" or "U" posts 6 feet in length. The plastic fencing shall be securely fastened to the posts in a manner approved by the Engineer. The plastic fencing shall have the following physical qualities:

Tensile Yield = Average 2,000 pounds per 4-foot width (ASTM D 638)

Ultimate Tensile Yield = Average 2,900 pounds per 4-foot width (ASTM D 638)

Elongation at Break (%) = Greater than 1000% (ASTM D 638)

Chemical Resistance = Inert to most chemicals and acids.

Other fencing materials may be specified for use in accordance with the requirements of Section 507 or as noted on the plans and specifications or as approved by the Engineer.

SECTION 245—GEOSYNTHETICS

245.01—Description

These specifications cover artificial fiber textile products to be used in transportation construction work.

245.02—Detail Requirements

Geosynthetics shall include a label that clearly shows the manufacturer or supplier name, style name, and roll number. The shipping document shall include documentation to comply with the requirements of Section 245.03.

Each geosynthetic roll shall be wrapped or otherwise packaged in a manner that will protect the geosynthetic, including the ends of the roll, from damage due to shipment, water, sunlight, and contaminants. The protective wrapping shall be maintained during periods of shipment and storage.

During storage, geosynthetics rolls shall be elevated off the ground and adequately covered to protect them from the following: site construction damage; precipitation; extended ultraviolet radiation including sunlight; chemicals that are strong acids or strong bases; flames including welding sparks; temperatures in excess of 160 degrees F; and other environmental conditions that may damage the physical property values of the geosynthetic. Geosynthetics that are not properly protected may be subject to rejection.

245.03—Testing and Documentation

Each geosynthetic material provided to the project shall be tested by the Contractor to determine conformance with the material properties specified herein within 24 months of submission. Test results reported from AASHTO’s National Transportation Product Evaluation Program—Laboratory Results of Evaluations on Geotextile and Geosynthetics may be used. The Contractor shall provide certification of the material in accordance with the requirements of AASHTO M288, Section 4, Certification, and copies of the test results. The Contractor’s testing, however, will not be the sole basis for acceptance.

The Department will sample and test the geosynthetics for acceptance to verify conformance with this specification. Sampling shall be performed in accordance with the requirements of ASTM D4354, Procedure C. In the absence of the Department’s testing, acceptance may be based on the manufacturer’s certifications as a result of testing by the manufacturer of quality assurance samples obtained using the procedure for ASTM D4354 Procedure B Sampling for Manufacturer’s Quality Assurance (MQA) Testing. A lot size shall be considered to be the shipment quantity of the given product or a truckload of the given product, whichever is smaller.

Property values, with the exception of apparent opening size (AOS) and panel vertical strain, in these specifications represent minimum average roll values (MARV) in the weakest principal direction (i.e., average test results of any roll in a lot sampled for conformance or quality assurance testing shall meet or exceed the minimum values provided herein). Values for AOS and panel vertical strain represent maximum average roll values.

Tests shall be performed in accordance with the methods referenced in this specification for the indicated application. The number of specimens to test per sample is specified by each test method. Geotextile product acceptance shall be based on conformance to the requirements of ASTM D4759. Product acceptance is determined by comparing the average test results of specimens in a given sample to the specification MARV.

- (a) **Geotextile Fabric for Use in Silt Fences, Silt Barriers, or Filter Barriers:** Geotextile shall function as a vertical; permeable interceptor designed to remove suspended soil from overland water flow. Fabric shall filter and retain soil particles from sediment-laden water to prevent eroding soil from being transported off the construction site by water runoff. Fabric shall contain ultraviolet inhibitors and stabilizers to provide at least 6 months of expected, usable construction life at a temperature of 0 degrees F to 125 degrees F. The tensile strength of the material after 6 months of installation shall be at least 50 percent of the initial strength.

Physical Property	Test Method	Requirements
Filtering efficiency	VTM-51	Min. 75%
Flow rate	VTM-51	Min. 0.2 gal/ft ² /min

In addition to these requirements, the geotextile shall comply with the requirements of AASHTO

M288 for temporary silt fence property requirements, Table 6, for grab strength and ultraviolet stability.

- (b) **Geotextile for Use as Riprap Bedding Material:** Geotextile shall comply with the requirements of AASHTO M288 for separation geotextile properties, Table 3, for apparent opening size and ultraviolet stability and geotextile strength property requirements, Table 1, Class 2, for grab strength and puncture strength.
- (c) **Geotextile Fabric for Use in Drainage Systems (Drainage Fabric):** Drainage fabric shall be nonwoven and clog resistant, suitable for subsurface application, and thermally and biologically stable.

The geotextile shall retain at least 75 percent of its ultimate strength when subjected to substances having a pH of a minimum of 3 and a maximum of 12 for a period of 24 hours.

Physical Property	Test Method	Requirements
Permittivity	ASTM D4491	Min. 0.5 sec-1
Apparent opening size	ASTM D4751	Max. No. 50 sieve

In addition to these requirements, the geotextile shall comply with the requirements of AASHTO M288 for strength requirements, Table 1, Class 3, for grab strength.

- (d) **Geotextile for Use in Stabilization:** These are geotextiles used in saturated and/or unstable conditions to provide the functions of separation and reinforcement.

1 **Subgrade Stabilization Fabric:**

Physical Property	Test Method	Requirements
Apparent opening size	ASTM D 4751	Max. No. 20 sieve

In addition to this requirement, the geotextile shall comply with the requirements of AASHTO M 288 for strength property requirements, Table 1, Class 3, for grab strength, tear strength, and puncture strength.

2 **Embankment Stabilization Fabric Up to 6 Feet High:**

Physical Property	Test Method	Requirements
Apparent opening size	ASTM D 4751	Max. No. 20 sieve
Seam strength	ASTM D 4632	90% specified grab strength

In addition to this requirement, the geotextile shall comply with the requirements of AASHTO M288 for strength property requirements, Table 1, Class 1 for grab strength, tear strength, and puncture strength.

- (e) **Prefabricated Geocomposite Pavement Underdrain:** Prefabricated geocomposite pavement underdrain shall consist of a polymeric drainage core encased in a nonwoven filter fabric envelope having sufficient flexibility to withstand bending and handling without damage. Prefabricated geocomposite pavement underdrain shall conform to the following:

- 1 **Core:** The drainage core shall be made from an inert, polymeric material resistant to commonly encountered chemicals and substances in the pavement environment and shall have a thickness of not less than 3/4 inch.

Physical Properties	Test Method	Requirements
Compressive strength panel vertical strain and core area change	ASTM D1621/D2412	Min. 40 psi at 20% deflection
Panel vertical strain and core area change at 22.7 psi	ASTM D6244	Max. 10% for core area and panel height
Water flow rate (after 100 hr at 10 psi normal confining pressure gradient of no more than 0.1)	ASTM D4716	Min. 15 gal/min/ft width for 12-in specimen length

The core shall retain at least 75 percent of its ultimate strength when subjected to temperatures of 0 degree F and 125 degrees F, respectively, for a period of 24 hours.

- 2 **Filter Fabric:** Geotextile shall be bonded to and tightly stretched over the core. Geotextile shall not sag or block the flow channels, shall have a life equivalent to that of the core material, and shall conform to the requirements of (c) herein.
- (f) **Geocomposite Wall Drains:** Geocomposite wall drains may be used as an alternative to porous backfill when permitted by the Engineer. Geocomposite wall drains will not be permitted for use with walls considered critical by the Engineer. Critical walls shall include walls over 15 feet in height and walls supporting bridge abutments or other structures on spread footings.

Prefabricated geocomposite wall drain shall consist of a polymeric drainage core encased in a nonwoven filter fabric envelope having sufficient flexibility to withstand bending and handling without damage. Geocomposite wall drains shall conform to the following:

- 1 **Core:** The drainage core shall be made from an inert, polymeric material resistant to commonly encountered chemicals and substances in the roadway.

Physical Property	Test Method	Requirements
Compressive strength at 20% deflection	ASTM D1621/D2412	Min. 40 psi
Water flow rate (after 100 hr at 10 psi normal confining pressure and gradient of no more than 0.1)	ASTM D4716	Min. 15 gal/min/ft width (for 12-in specimen length)

The core shall retain at least 75 percent of its ultimate strength when subjected to temperatures of 0 degree F and 125 degrees F for a period of 24 hours.

- 2 **Filter Fabric:** Geotextile shall be bonded to and tightly stretched over the core. Geotextile shall not sag or block the flow channels, shall have a life equivalent to that of the core material, and shall conform to the requirements of (c) herein.
- (g) **Geomembrane Moisture Barrier:** Geomembrane moisture barrier shall be resistant to biological attack. Geomembrane shall be constructed of PVC, shall have a thickness of 30 mils, and shall conform to the requirements of the PVC Geomembrane Institute 1197 material specification for PVC geomembrane or shall conform to the following requirements:

Physical Property	Test Method	Requirements
Thickness	ASTM D5199	Min. 30 mils
Tensile (1-in strip)	ASTM D882	Min. 130 kip/ft
Tear (Die C)	ASTM D1004	Min. 200 lbf
Puncture	ASTM D4833	Min. 620 lbf

- (h) **Dewatering Bag:** A nonwoven geotextile sewn together to form a bag that can be used in lieu of a de-watering basin for the purpose of filtering out suspended soil particles. The bag shall be capable of accommodating the water flow from the pump without leaking at the spout and seams.

Physical Property	Test Method	Requirements
Grab strength @ Elongation >50%(CRE/Dry)	ASTM D4632	Min. 250 lb (min)
Seam strength	ASTM D4632	90% Specified grab strength
Puncture	ASTM D4833	Min. 150 lb
Mullen burst	ASTM D3786	Min. 450 psi
Flow rate	ASTM D4491	Min. 0.189 ft ³ /sec/ft ² (min)
Permittivity	ASTM D4491	Min. 1.2 sec-1
UV resistance	ASTM D4355	Min. 70% at 500 hr
AOS	ASTM D4751	Max. 100 sieve

- (i) **Paving Geosynthetics:** Paving geosynthetics shall be used as an interlayer between pavement layers. Specific application of these paving geosynthetics shall be determined by the Engineer.
 - 1. **Geotextile Paving Fabric:** The geotextile shall conform to the requirements of AASHTO M288 Paving Fabric Property Requirements, Section 9.
 - 2. **Pavement Reinforcing Mat:** The geotextile shall meet the requirements of ASTM D7239 Geosynthetic Paving Mat, Type 1.

SECTION 248—STONE MATRIX ASPHALT CONCRETE

248.01—Description

These specifications cover the materials used to produce stone matrix asphalt (SMA) concrete pavement. SMA shall be in accordance to this specifications and Section 211. SMA consists of a combination of coarse aggregate, fine aggregate, mineral filler, fiber additives, and liquid asphalt binder mechanically mixed in a plant to produce a stable gap-graded asphalt concrete paving mixture.

248.02—Materials

- (a) **Coarse Aggregate:** Coarse aggregate shall conform to the following requirements when tested in accordance with the specified tests:

1. Los Angeles Abrasion	AASHTO T96	40% max.
2. Flat and Elongated Particles: Measured on No. 4 retained, 3 to 1	VTM-121	20% max.
5 to 1		5% max.
3. Magnesium Sulfate Soundness Loss, 5 cycles	AASHTO T104	15% max.
4. Particles retained on No. 4 sieve shall have at least 1 fractured face	ASTM D5821	100% min.
2 fractured faces		90% min.
5. Absorption	AASHTO T 85	2% max.

Except for the determination of flat and elongated particles (Section 248.02(a)2), the aggregate properties specified are for each stockpile of coarse aggregate material designated on the job mix form (Form No. TL-127). The material contained in each stockpile shall meet the minimum or maximum criteria specified.

For flat and elongated particles, these values are based on the mathematical blend of the coarse aggregate material designated on the job mix form (TL-127). During production, these values are based on the SMA material sampled during the acceptance process (QC testing).

The use of slag will not be permitted.

- (b) **Fine Aggregate:** Fine aggregate shall consist of a blend of 100 percent crushed aggregate. The magnesium sulfate soundness loss in 5 cycles shall not exceed 20 percent. In addition, the liquid limit shall not exceed 25 as determined in accordance with AASHTO T89.
- (c) **Asphalt Binder:** Asphalt binders shall be performance-graded binder PG 70-22 or polymer-modified binder PG 76-22 conforming to the requirements of the mix designation (M) as designated by the Department. The supplier shall certify to the Department that the binder complies with the requirements for all properties of that grade as specified in AASHTO M320 (Provisional Specification MP-1) for performance-graded asphalt binder. This certification shall be based on testing performed on samples of binder provided to the Contractor for incorporation into the mixture. Certification based on testing performed on laboratory-produced binders will not be acceptable.

The Contractor shall submit to the Engineer for Department review the source, formulation, and PG grading of the binder at least 15 days prior to the production of the SMA mixture.

During mixture production, testing to determine the binder PG grade will be performed by the Department on samples taken from storage at the hot-mix asphalt plant as directed by the Engineer. The Contractor shall be responsible for obtaining the sample of binder when requested. In the event it is determined that the binder does not comply with the requirements of the specified PG grade, production shall be stopped until further testing indicates that the problem has been corrected.

- (d) **Mineral Filler:** Mineral filler shall consist of finely divided mineral matter such as rock or limestone dust or other suitable material. Hydrated lime and fly ash will not be allowed. Up to two mineral fillers may be blended to comply with the mineral filler requirements. Mineral filler shall

conform to the requirements of Section 201 with the following modifications. The mineral filler or mineral filler blend used in surface and intermediate SMA shall have a minimum of 55 percent passing the No. 200 sieve. At the time of use, it shall be sufficiently dry to flow freely and be essentially free from agglomerations.

- (e) **Fiber Additive:** Cellulose fiber in either loose or pelletized form shall be used. The minimum dosage rate for cellulose is 0.3 percent by weight of the total mixture. During production, the Department may require the percentage of fiber additive to be increased if visual inspection or draindown testing on plant-produced material indicates that draindown in excess of 0.3 percent by weight of the mixture is occurring as determined in accordance with VTM-100. Allowable tolerances of fiber dosage shall be ± 10 percent of the required fiber weight.

NOTE: When using pelletized fiber, the dosage rate shall be adjusted to comply with the specified minimum dosage rates for cellulose fiber. Pelletized fiber consists of cellulose fiber and a binder. The specified minimum dosage rates are based on fiber content only. Therefore, the amount of pelletized fiber added shall typically be higher than for loose fiber.

Fibers will be accepted based on the manufacturer's certification.

TABLE II-23

Cellulose Fiber Properties

Sieve Analysis		
Method A: Alpine Sieve 1 Analysis		
Fiber Length:		0.25 inch max.
Passing	No. 100 Sieve	70% ($\pm 10\%$)
Method B: Mesh Screen 2 Analysis		
Fiber Length:		0.25 inch max.
Passing	No. 20 Sieve	85% ($\pm 10\%$)
	No. 40 Sieve	65% ($\pm 10\%$)
	No. 140 Sieve	30% ($\pm 10\%$)
Ash Content³		18% ($\pm 5\%$) non-volatile
pH⁴		7.5 (± 1.0)
Oil Absorption⁵		5.0 (± 1.0) (times fiber weight)
Moisture Content⁶		<5%

¹*Method A: Alpine Sieve Analysis.* Performed using an Alpine Air Jet Sieve (Type 200 LS). A representative 5-gram sample of fiber is sieved for 14 minutes at a controlled vacuum of 22 inches (± 3 inches) of water. The portion remaining on the screen is weighed.

²*Method B: Mesh Screen Analysis.* This test is performed using standard Nos. 20, 40, 60, 80, 100, and 140 sieves, nylon brushes, and a shaker. A representative 10-gram sample of fiber is sieved, using a shaker and two nylon brushes on each screen. The amount retained on each sieve is weighed and the percentage passing calculated.

³*Ash Content:* A representative 2- to 3-gram sample of fiber is placed in a tared crucible and heated between 1100 and 1200 degrees F for not less than 2 hours. The crucible and ash are cooled in a desiccator and reweighed.

⁴*pH Test:* Five grams of fiber is added to 3.5 ounces of distilled water, stirred, and allowed to set for 30 minutes. The pH is determined with a probe calibrated with a pH 7.0 buffer.

⁵*Oil Absorption Test:* Five grams of fiber is accurately weighed and suspended in an excess of mineral spirits for not less than 5 minutes to ensure total saturation. It is then placed in a screen mesh strainer (with a hole size of approximately 0.5 square millimeter), and shaken on a wrist action shaker for 10 minutes (approximately 1¼-inch motion at 20 shakes/minute). The shaken mass is then transferred without touching to a tared container and weighed. Results are reported as the amount (number or times its own weight) the fibers are able to absorb.

⁶*Moisture Content:* Ten grams of fiber is weighed and placed in a 250 degree F forced air oven for 2 hours. The sample is then reweighed immediately upon removal from the oven.

- (f) **RAP:** The use of RAP will not be permitted.

248.03—Composition of SMA Mixture

The SMA mixture shall be designed and tested using a gyratory compactor and shall conform to the requirements listed in Table II-24 and Table II-25 One percent hydrated lime will be required as an antistripping additive. An alternative antistripping additive can be used only if permitted by the Engineer.

**TABLE II-24
SMA Design Range**

Type No. (See Note)	Percentage by Weight Passing Square Mesh Sieves (in)							
	1	¾	1/2	3/8	No. 4	No. 8	No. 30	No. 200
<i>Surface Mixes</i>								
SMA 12.5	-	100	85-95	80 max.	22-30	16-24	15-20	10-12
SMA 9.5	----	100	90-100	70-85	25-40	15-25	-	10-12
<i>Intermediate Mixes</i>								
SMA 19.0	100	85-95	50-60	30-45	----	16-24	12-16	8-10

Note: The required PG binder will be shown in parentheses as part of the mix type on the plans or proposal, e.g., SMA 12.5 (76-22).

**TABLE II-25
SMA Mixture Requirements**

Mix Type	VTM ¹	VMA Design	VMA Production	VCA Design and Production ²	AC	Draindown	Design Gyration	Specimen Height ³
	(%)	(Min. %)	(Min. %)	(%)	(Min. %)	(%)		
SMA 9.5	2.0-4.0	18.0	17.0	<VCA _{DRC}	6.3	0.3 max	75	115
SMA 12.5	2.0-4.0	18.0	17.0	<VCA _{DRC}	6.3	0.3 max	75	115
SMA 19.0	2.0-4.0	17.0	16.0	<VCA _{DRC}	5.5	0.3 max	75	115

1. Asphalt content shall be selected at the midpoint of the VTM range but shall not be less than the minimum specified.
2. The voids in coarse aggregates (VCA) of the dry rodded condition (DRC) and mix shall be determined in accordance with VTM-99.
3. Specimen height after compaction shall be between 4.25 and 4.75 inches. The fines-effective asphalt ratio shall be 1.2-2.0

NOTE: The SUPERPAVE gyratory compactor (SGC) shall be from the Department’s approved list maintained by the Department’s Materials Division. Gyratory procedures shall be performed in accordance with VTM-99. Calculations for volumetrics shall be performed in accordance with VTM-57 and VTM-58, 6-inch specimens. Draindown testing shall be conducted in accordance with VTM-100.

248.04—Acceptance

A lot will be considered acceptable for gradation and asphalt content if the mean of the test results obtained is within the tolerance allowed from the job-mix formula. The production tolerances for the control sieves and asphalt content shall be as follows:

Process Tolerance

Tolerance on Each Laboratory Sieve (in) and Asphalt Content (+/-%)									
No. Tests	Top Size	3/4	1/2	3/8	No. 4	No. 8	No. 30	No. 200	AC
1	0.0	8.0	8.0	8.0	6.0	6.0	6.0	4.0	0.60
2	0.0	5.7	5.7	5.7	4.3	4.3	4.3	2.8	0.43
3	0.0	4.4	4.4	4.4	3.3	3.3	3.3	2.2	0.33
4	0.0	4.0	4.0	4.0	3.0	3.0	3.0	2.0	0.30
8	0.0	2.8	2.8	2.8	2.1	2.1	2.1	1.4	0.21

The production tolerance for the specimen height after compaction is 4.25 to 4.75 inches.

The Contractor shall check and report the VCA of the mix during production for each gyratory sample. If the VCA of the mix exceeds the VCA of the DRC, the Contractor shall stop production and notify the Engineer. Production shall not resume until the Contractor has taken corrective action.

The Contractor shall check and report the percentage of Flat and Elongated Particles (F&E) in the Coarse Aggregates of the mix during production. When the SMA material is sampled for acceptance (gradation and AC content); one of the four sub-lots must be selected for F&E verification. The F&E testing will be performed on the coarse aggregate material retained on the #4 sieve (per ASTM VTM-121) after the gradation analysis is performed. At initial start-up of production, the F&E shall be determined for each of the first two lots of material produced. If passing results are obtained on each sample in the first two lots, then F&E testing shall be performed on a frequency of every second lot of material produced (i.e. – Lots 4, 6, 8, etc.). If the F&E of the mix exceeds the specified limits, the Contractor shall stop production and notify the Engineer. Production shall not resume until the Contractor has taken corrective action and the Engineer has approved the corrective action. Once production has resumed, the Contractor shall determine the F&E of the mix for two consecutive lots. If passing results are obtained for these two lots, then the F&E testing frequency shall return to every second lot of material produced.

In the event the Department determines that the mixture being produced does not conform to the approved job-mix formula and volumetric properties in Table I-B based on Department or Contractor’s test results, the Contractor shall immediately make corrections to bring the mixture into conformance with the approved job-mix formula or cease paving with that mixture.

Subsequent paving operations, using either a revised or other job-mix formula which has not been verified as described herein, shall be limited to a test run of 300 tons maximum if such material is to be placed in Department project work. No further paving for the Department using that specific mixture shall occur until the acceptability of the mixture being produced has been verified using the 300-ton constraint.

248.05—SMA Mixing Plant

Plants used for the preparation of the SMA mixture shall conform to the following:

- (a) **Handling of Mineral Filler:** Adequate dry storage shall be provided for the mineral filler that will, at a minimum, consist of a waterproof cover that shall completely cover the stockpile at all times. Provisions shall be made for metering of the filler into the mixture uniformly and in the desired quantities. In a batch plant, mineral filler shall be added directly into the weigh hopper. In a drum plant, mineral filler shall be added directly onto the cold feed belt. Equipment shall be capable of accurately and uniformly metering the large amounts of mineral filler up to 25 percent of the total mix.
- (b) **Fiber Addition:** Adequate dry storage shall be provided for the fiber additive, and provisions shall be made for accurately and uniformly metering fiber into the mixture at plus or minus 10 percent of the desired quantities.

Introduction of loose or pelletized fiber shall require a separate system that can accurately proportion, by weight, the required quantity of fiber in such a manner as to ensure consistent, uniform blending into the mixture at all rates of production and batch sizes. This supply system shall be interlocked with the other feeding devices of the plant system, and sensing devices shall provide for interruption of mixture production if the introduction of fiber fails.

Batch Plant: Loose fiber or pelletized fiber shall be added through a separate inlet directly into

the weigh hopper above the pugmill. The addition of fiber shall be timed to occur during the hot aggregate charging of the hopper. Adequate dry mixing time is required to ensure proper blending of the aggregate and fiber stabilizer. Therefore, dry mixing time shall typically be increased 5 to 15 seconds. Wet mixing time shall typically be increased at least 5 seconds for cellulose fibers to ensure adequate blending with the asphalt cement.

When fiber is used, the fiber supply system shall include low level and no flow indicators and a printout of the date, time, and net batch weight of fiber.

Drum Mix Plant: When fiber is used, the fibers shall be added in such a manner as not to be entrained into the exhaust gases of the drum plant. The fiber supply system shall include low level and no flow indicators and a printout of status of feed rate in pounds per minute.

When pelletized fibers are used, they shall be added directly into the drum mixer through the RAP inlet or a specialized fiber inlet. Operation of the drum mixer shall be such as to ensure complete blending of the pelletized fiber into the mix.

- (c) **Hot Mixture Storage:** When the hot mixture is not hauled immediately to the project and placed, suitable bins for storage shall be provided. Such bins shall be either surge bins to balance production capacity with hauling and placing capacity or storage bins that are heated and insulated and that have a controlled atmosphere around the mixture. The holding times shall be within limitations imposed by the Engineer, based on laboratory tests of the stored mixture. In no case shall the SMA mixture be kept in storage more than 8 hours.
- (d) **Mixing Temperatures:** The recommended plant mixing temperature shall be 315 to 340 degrees F and at no time shall the exceed 350 degrees F.

SECTION 301—CLEARING AND GRUBBING

301.01—Description

This work shall consist of clearing, grubbing, removing, and disposing of vegetation, debris, and other objects within the construction limits except for vegetation and objects that are designated to be preserved, protected, or removed in accordance with the requirements of other provisions of these specifications.

301.02—Procedures

If approved by the Engineer, the Contractor may clear and grub to accommodate construction equipment within the right of way up to 5 feet beyond the construction limits at his own expense. The Contractor shall install erosion and siltation control devices prior to beginning clearing or grubbing operations and such devices shall be functional before upland land-disturbing activities take place.

The surface area of earth material exposed by grubbing, stripping topsoil, or excavation shall be limited to that necessary to perform the next operation within a given area. Grubbing of root mat and stumps shall be confined to that area of land which excavation or other land disturbance activities shall be performed by the Contractor within 15 days following grubbing.

Stumps, roots, other perishable material, and nonperishable objects that will be less than 5 feet below the top of earthwork within the area directly beneath the pavement and shoulders shall be removed. However, such material and objects that will be 5 or more than 5 feet below the top of earthwork within the area directly beneath the pavement and shoulders and all such material and objects beneath slopes of embankments shall be left in place unless removal is necessary for installation of a structure. The top of stumps left in place shall be not more than 6 inches above the existing ground surface or low water level.

Branches of trees that overhang the roadway or reduce sight distance and that are less than 20 feet above the elevation of the finished grade shall be trimmed using approved tree surgery practices in accordance with the requirements of Section 601.03(b).

Vegetation, structures, or other items outside the construction limits shall not be damaged. Trees and shrubs in ungraded areas shall not be cut without the approval of the Engineer.

Combustible cleared and grubbed material shall be disposed of in accordance with the following:

- (a) **Trees, limbs, and other timber having a diameter of 3 inches and greater** shall be disposed of as saw logs, pulpwood, firewood, or other usable material; however, treated timber shall not be disposed of as firewood. Not more than 2 feet of trunk shall be left attached to grubbed stumps.

When specified that trees or other timber is to be reserved for the property owner, such material shall be cut in the lengths specified and piled where designated, either within the limits of the right of way or not more than 100 feet from the right-of-way line. When not reserved for the property owner, such material shall become the property of the Contractor.

- (b) **Material less than 3 inches in diameter** shall be used to form brush silt barriers when located within 500 feet of the source of such material when specified on the plans or where directed by the Engineer. Material shall be placed approximately 5 feet beyond the toe of fill in a strip approximately 10 feet wide to form a continuous barrier on the downhill side of fills. Where selective clearing has been done, material shall be piled, for stability, against trees in the proper location. On the uphill side of fills, brush shall be stacked against fills at approximately 100-foot intervals in piles approximately 5 feet high and 10 feet wide. Any such material not needed to form silt barriers shall be processed into chips having a thickness of not more than 3/8 inch and an area of not more than 6 square inches and may be stockpiled out of sight of any public highway for use as mulch.
- (c) **Stumps and material less than 3 inches in diameter** that are not needed to form silt barriers and that are not processed into wood chips shall be handled in accordance with the requirements of Section 106 and Section 107.

301.03—Measurement and Payment

Clearing and grubbing will be measured and paid for in accordance with one of the following methods, as specified:

- (a) **Lump sum basis:** No measurement of the area to be cleared and grubbed will be made.
- (b) **Acre basis:** The work to be paid for will be the number of acres, computed to the nearest 1/10 of an acre, actually cleared and grubbed. Areas within the limits of any existing roadway or local material pit will not be measured.

- (c) **Unit basis:** The work to be paid for will be determined by the actual count of trees, stumps, structures, or other obstructions removed.

These prices shall include disposing of cleared and grubbed material.

When clearing and grubbing is not a pay item, the cost thereof shall be included in the price for other appropriate pay items. Allowance will not be made for clearing and grubbing borrow pits or other local material pits.

Payment will be made under:

Pay Item	Pay Unit
Clearing and grubbing	Lump sum, acre, or unit

SECTION 302—DRAINAGE STRUCTURES

302.01—Description

This work shall consist of installing pipe culverts, endwalls, box culverts, precast concrete and metal arches, storm drains, drop inlets, manholes, spring boxes, junction boxes, and intake boxes and removing and replacing existing structures in accordance with these specifications and in conformity with the lines and grades shown on the plans or as established by the Engineer.

302.02—Materials

- (a) **Pipe** shall conform to the requirements of Section 232 and shall be furnished in accordance with the diameter, wall thickness, class, and strength or corrugation specified for the maximum height of fill to be encountered along the length of the pipe culvert, storm drain, or sewer.
- (b) **End sections** shall conform to the applicable requirements of Section 232. End sections used with rigid pipe shall be concrete. End sections used with asphalt-coated or paved pipe shall not be asphalt coated or paved.
- (c) **Pipe fittings**, such as tees, elbows, wyes, and bends, shall conform to the applicable requirements of Section 232. Fittings shall be of the same type, class, thickness, gage, and strength as the line in which they are used.
- (d) **Steel grates, steel frames, and structural steel** shall conform to the requirements of Section 226 and shall be galvanized in accordance with the requirements of Section 233.
- (e) **Concrete blocks** shall conform to the requirements of Section 222 for masonry blocks.
- (f) **Brick** shall conform to the requirements of Section 222.
- (g) **Hydraulic cement mortar** shall conform to the requirements of Section 218.
- (h) **Cast-in-place concrete** shall conform to the requirements of Section 217 for Class A3.
- (i) **Bedding material** shall conform to the requirements of Section 205.
- (j) **Joint material and gaskets** shall conform to the requirements of Section 212.
- (k) **Gray-iron castings** shall conform to the requirements of Section 224.
- (l) **Reinforcing steel** shall conform to the requirements of Section 223, Grade 40 or 60. .
- (m) **Curing materials** shall conform to the requirements of Section 220. .

302.03—Procedures

Excavation and backfill operations shall be performed in accordance with the requirements of Section 303. Foundation exploration shall be performed in accordance with the requirements of Section 401 unless otherwise provided herein. Concrete construction shall conform to the requirements of Section 404. Reinforcing steel placement shall conform to the requirements of Section 406. Bearing pile operations shall be performed in accordance with the requirements of Section 403. When specified on the plans or directed by the Engineer, a temporary diversion channel shall be constructed to facilitate installation of a pipe or box culvert.

The Contractor shall be responsible for anticipating and locating underground utilities and obstructions in accordance with the requirements of Section 105.08.

When construction appears to be in close proximity to existing utilities, the trench(es) shall be opened a sufficient distance ahead of the work or test pits made to verify the exact locations and inverts of the utility to determine if changes in line or grade are required for the new work.

When lift holes are provided in concrete pipe or precast box culverts, the Contractor shall install a lift hole plug furnished by the manufacturer in accordance with the requirements of Section 232.02(a)1. After pipe installation and prior to backfilling, plugs shall be installed from the exterior of the pipe or box culvert and snugly seated.

- (a) **Pipe Culverts:** Not more than one type of pipe shall be used in any one pipeline. When the proposal indicates that all types of pipe of one size are combined into one bid item, one bid price shall be submitted for each size of pipe to be used.

When field cutting corrugated metal pipe is permitted by the Engineer, damaged areas of the protective coating shall be repaired in accordance with the requirements of Section 233 for galvanized pipe and in accordance with the manufacturer's recommended procedures for all other metallic or

polymer coatings.

- 1 **Jack and bore method:** The Contractor shall submit to the Engineer a complete plan and schedule for jack and bore pipe installation prior to beginning such work. The submission shall include complete details for dewatering; soil stabilization; jacking and receiving pits; jacks; reaction block; boring equipment; sheeting, shoring, and bracing for protecting the roadbed; installation sequence; materials; and equipment. The Contractor shall not proceed with pipe installation until the plan has been reviewed and accepted by the Engineer.

The jack and bore method shall be applicable for installing concrete pipe 12 through 108 inches in diameter and smooth-wall steel pipe 12 3/4 through 48 inches in diameter.

Pipe shall have a design strength and wall thickness sufficient to withstand the jacking operation and maximum height of fill to be encountered along the length of the pipe.

Construction shall be performed in such a manner that the ground surface above the pipe line will not settle. The hole shall be bored mechanically with a suitable boring assembly designed to produce a smooth, straight shaft and so operated that the completed shaft shall be at the established line and grade. The size of the bored hole shall be of such diameter to provide ample clearance for bells or other joints. The holes shall be bored mechanically. The boring shall be done by using either a pilot hole or a dry bore method.

In operating jacks, even pressure shall be applied to all jacks used. Suitable bracing between jacks and the jacking head shall be provided so that pressure shall be applied to the pipe uniformly around the ring of the pipe. The jacking head shall be of such weight and dimensions that it shall not bend or deflect when full pressure is applied at the jack. The jacking head shall be provided with an opening for the removal of excavated material as the jacking proceeds. The pipe to be jacked shall be set on guides that are straight and securely braced together in such manner as to support the section of pipe and to direct it in the proper line and grade.

Installation of the pipeline shall immediately follow heading or tunneling excavation. Voids occurring behind the pipe during installation shall be filled with hydraulic cement grout, placed under pressure, upon completion of the jack and bore operation.

Joint sealant material on concrete pipe shall be placed ahead of the jacking frame. The Contractor shall replace or repair, as directed by the Engineer, pipe that is damaged during jacking operations at his own expense. Joints of steel pipe shall be butt welded, watertight, as installation progresses.

When work is stopped, the heading shall be bulkheaded.

When the Contractor encounters an obstruction during the jacking and boring operation that stops the forward progress of the work for more than 60 minutes, the following procedure shall be followed:

The Contractor shall notify the Engineer immediately upon encountering an obstruction that stops the forward progress of the work. The Engineer shall verify that an obstruction has stopped the forward progress of the work in excess of 60 minutes and that the Contractor's efforts to remove or bore through the obstruction have been deliberately and diligently pursued.

The Contractor shall consult with the Engineer and offer appropriate options for consideration. Upon authorization by the Engineer, the Contractor shall proceed with removal of the obstruction by other methods on a force account basis in accordance with the requirements of Section 109.05. Such alternative methods may include tunneling. In the event tunneling is determined to be necessary by the Engineer, the Contractor shall detail a plan for such an operation including all necessary safety and health precautions for workers as required by local, state, and federal regulations as required by the work being performed. Work shall not commence until this plan is received and authorized by the Engineer. The Contractor shall notify the Engineer before resuming work and afford the Engineer the opportunity to witness all work performed by the Contractor. Payment for obstruction removal shall be from the start of removal operations until the successful removal of the obstruction.

- a. Upon removal of the obstruction, the Engineer shall make a determination as to the method to use to proceed with the pipe installation.

b. **Open trench method:**

- a. **Foundation:** The foundation shall be explored below the bottom of the excavation to determine the type and condition of the foundation. However, explorations need not be made for routine entrance or crossover pipe 12 through 30 inches in diameter that is to be installed under fills 15 feet or less in height. Foundation exploration shall extend to a depth equal to 1/2 inch per foot of fill height or 8 inches, whichever is greater. The Contractor shall report the findings of the foundation exploration to the Engineer for approval prior to placing pipe.

Where unsuitable foundation is encountered at the established grade, as determined by the Engineer, such material shall be removed and replaced.

Backfill for areas where unsuitable material has been removed shall be placed and compacted in accordance with the requirements of Section 303.04(g).

- b. **Bedding:** Bedding material for culvert foundations, including foundations in soft, yielding, or otherwise unsuitable material, shall be aggregate No. 25 or 26 conforming to the requirements of Section 205. Where standing or running water is present in the pipe foundation excavation, pipe bedding material shall be aggregate No. 57 for the depth specified on the plans or as directed by the Engineer capped with 4 inches of aggregate No. 25 or 26. Where such conditions are discovered in the field and the Contractor is directed by the Engineer to use No. 57 stone, No. 57 stone will be paid for at the existing contract unit price or, if not in the contract, in accordance with the provisions of Section 109.05.

Pipe bedding shall be lightly and uniformly compacted and shall be carefully shaped so that the lower section of the pipe exterior is in contact with the bedding material for at least 10 percent of the overall height of the pipe. Bedding material shall be shaped to accommodate the bell when bell and spigot pipe is used. The depth of bedding material shall be at least 4 inches or as specified on the plans.

- c. **Placing pipe:** Pipe shall be placed beginning at the downstream end of the pipeline. The lower segment of pipe shall be in contact with the shaped bedding for its entire length. Bell or groove ends of rigid pipe shall be placed facing upstream.

Paved or partially lined pipe shall be placed so that the longitudinal centerline of the paved segment coincides with the flow line.

Pipe will be inspected before backfill is placed. Pipe found to be out of alignment, unduly settled, or damaged shall be taken up and reinstalled or replaced.

d. **Joining pipe:**

- (1.) **Rigid pipe:** The method of joining pipe sections shall be such that ends are fully entered and inner surfaces are reasonably flush and even so as to permit sealing as specified herein.

Joints shall be sealed with any one or combination of the following to form a leak-resistant joint: rubber, preformed plastic, or mastic gaskets from the Department's approved list; oakum and mortar; oakum and joint compound; or cold-applied pipe joint sealer.

Rubber ring gaskets shall be installed to form a flexible, leak-resistant seal. Where oakum is used, the joint shall be caulked with this material and then sealed with mortar or joint compound.

- (2.) **Flexible pipe:** Flexible pipe sections shall be aligned and firmly joined by approved coupling bands to form a leak-resistant joint.

- e. **Structural plate pipe, pipe arches, and arches:** Erection shall be in accordance with the manufacturer's assembly diagrams and instruction sheets. Splices in the haunch areas of structural plate pipe arches shall be constructed using the reverse shingle method or the side plates shall be provided without longitudinal seams in the haunch areas. The complete line shall be assembled before backfill is placed. Bolts shall be tightened to a torque of 150 to 250 foot-pounds. If spiraling occurs during installation, bolts shall be loosened and the pipe assembly adjusted to the correct position.

- f. **Arch structures:** Each side of an arch shall rest in a groove formed into the masonry or on a galvanized angle or channel securely anchored to or embedded in the substructure. Where the span of the arch is more than 15 feet or the skew angle is more than 20 degrees, a metal bearing surface having a width at least equal to the depth of the corrugation shall be provided.

Metal bearings for arches shall be cold-formed galvanized channel conforming to the requirements of ASTM A 569 at least 3/16 inch in thickness, with the horizontal leg securely anchored to the substructure at points spaced on centers of not more than 24 inches. When the metal bearing is not embedded in a groove in the substructure, one vertical leg shall be punched to allow bolting to the bottom row of plates.

- g. **Backfilling:** Class I backfill material shall be crusher run aggregate, No. 25 or 26; aggregate base material, Size 21A or 21B; or flowable fill.

Class I backfill material shall be crusher run aggregate size No. 25 or 26, aggregate base material size 21A or 21B, flowable fill, or crushed glass conforming to the size requirements for crusher run aggregate size 25 and 26.

Regular backfill material outside the neat lines of the Class I areas shown on the Standard PB-1 drawings shall be regular excavation conforming to the requirements of Section 303. Regular and classified backfill shall be placed in uniform layers not more than 6 inches in thickness, loose measurement, before compaction. Each layer of Class I and regular backfill material shall be thoroughly compacted as specified in Section 303.04(g) with the exception that Class I backfill material shall be placed and compacted at a moisture content of optimum to plus 2 percentage points of optimum. Class I backfill material shall be thoroughly compacted under the haunches of pipe culverts. Each layer of Class I and regular backfill material shall be compacted by rolling, tamping with mechanical rammers, or hand tamping with heavy metal tampers with a face of at least 25 square inches. If vibratory rollers are used in the backfill operations, vibratory motors shall not be activated until at least 3 feet of backfill has been placed and compacted over the pipe. Backfill and compaction shall be advanced simultaneously on both sides of the pipe. The fill above the top of the regular backfill shall be installed and completed as specified for embankment construction.

Field density determinations will be performed in accordance with the requirements of VTM-1, VTM-10, or other methods approved by the Engineer.

Concrete pipe with a height of cover greater than that shown in the Standard PC-1 drawings, table for Class V pipe, shall be special design pipe with Method A bedding and backfill in accordance with the requirements of Standard PB-1.

Puddling will not be permitted. Rock more than 2 inches in its greatest dimension shall not be placed within 12 inches of pipe.

Backfill and compaction shall be advanced simultaneously on both sides of the pipe. The fill above the top of the pipe shall be completed as specified for embankment construction unless the induced trench method of installation is used.

- 2 **Tunneling operations:** The jacked tunneling method shall be applicable for installing concrete pipe 30 through 108 inches in diameter and smooth-wall steel pipe 30 through 48 inches in diameter. Where the plans specifically identify tunneling as the means of pipe installation, tunneling shall be performed by the Contractor as follows:

The tunnel shall be excavated in such a manner and to such dimensions that shall permit placing of the proper supports necessary to protect the excavation. The Contractor shall take the proper precautions to avoid excavating earth or rock or shattering rock beyond the limits of excavation necessary for the safe and proper installation of the pipe. Damage from excavating and blasting, either to surface or subsurface structures, shall be repaired or replaced by the Contractor at his own expense. Adequate provisions shall be made for the safety and health of the workers required by the work being performed.

No pipe shall be placed until the foundation is in a condition satisfactory to the Engineer. Tunnel dimensions shown on the plans are minimum dimensions. Any excess excavation and subsequent backfill, concrete or grout fill shall be at the Contractor's expense. The pipe shall

be laid in the tunnel true to line and grade. If required by the plans or if required for safety, suitable steel or timber sheeting, shoring, and bracing shall be used to support the sides and roof of the excavation. Supports may be left in place provided they clear the encasement or carrier pipe. No separate payment shall be made for supports left in place. Installation of the pipeline shall immediately follow tunneling excavation.

If indicated or specified, the entire void between the outside of the pipe and the tunnel walls or the inside face of the tunnel lining shall be grouted in accordance with ASTM C 476 unless the permanent sheeting, bottom, sides, and roof of the tunnel are in a condition satisfactory to the Engineer. The minimum thickness of grout backfill shall be maintained throughout. Grout required for backfill in excess of the excavation tolerances specified herein shall be at the Contractor's expense.

Any pipe damaged during construction operations shall be repaired, if approved by the Engineer, or removed and replaced by the Contractor at his expense.

If corrugated galvanized metal pipe is used, joints may be made by field bolting or by connecting bands, whichever is feasible. When reinforced concrete pipe 24 inches and larger in diameter with tongue-and-groove joints is used for the encasement pipe, the interior joints for the full circumference shall be sealed, packed with mortar, and finished smooth and even with the adjacent section of pipe.

- (b) **Precast Drainage Structures:** Submittal of designs for precast items included in the standard drawings will not be required provided fabrication is in accordance with the standard details. Submittal of designs for precast box culverts on the Department's approved list will not be required provided the Contractor submits a certification that the item will be fabricated in accordance with the preapproved design drawings.

Requests for approval of a precast design shall include detailed plans and supporting computations that have been reviewed and approved by a registered Professional Engineer having at least 5 years experience in structural design of the type of precast structures or components proposed and licensed in the Commonwealth. Concrete shall conform to the requirements of Section 217 unless otherwise specified and have a design strength at 28 days of at least 4,000 pounds per square inch and an air content of 6 ± 2 percent. The design of the concrete mixture and the method of casting, curing, handling, and erecting shall be subject to review by the Engineer. Precast units may be shipped after reaching 85 percent of the design strength as determined by control cylinders tested in accordance with the requirements of Section 404. However, units shall retain their structural integrity during shipment and shall be subject to inspection at the job site. Approval to use precast units shall not be construed as waiving the size and weight hauling limitations specified in Section 107.21.

- 1 **Standard precast drainage units** shall conform to the material requirements of AASHTO M 199 and the following:

- a. If the grade on the adjacent gutter is less than 1.5 percent, the grade on the invert of the throat section of the inlet shall be at least 1.5 percent. Precast throats having flat inverts will be permitted in sag locations provided the total length of the required throat opening does not exceed 6 feet.
- b. Pipe openings in precast drainage units shall not exceed the outside cross-sectional dimensions of the pipes by more than a total of 8 inches regardless of the placement of the pipes, the angles of intersection, or the shapes of the pipes. Pipe openings shall be formed, drilled, or neatly cut.
- c. The Contractor shall use brick, masonry block, other standard masonry units, and sound local stone in conjunction with mortar to fill the void between the pipe culverts and the precast drainage structures. Stone or masonry units, areas of the pipe openings, and exterior walls of pipe shall be thoroughly wetted and then bonded with mortar by standard masonry practice in such a manner as to provide a contiguous masonry connection between the precast drainage structures and the pipe culverts. The remaining exterior and interior voids shall be filled with mortar and shaped to the contour of the precast structure.
- d. When precast units are to be located adjacent to the subbase or base course, units with chambers shall be provided with weep holes 3 inches in diameter and hardware cloth and shall be located to drain the subbase or base.

- e. Precast units located adjacent to cast-in-place concrete items, such as flumes, ditches, and gutters, shall be connected to the adjacent unit by means of No. 4 smooth steel dowels spaced on approximately 12-inch centers throughout the contact length and extending at least 4 inches into both the precast unit and the cast-in-place item. If holes to receive the dowels are provided in the precast unit, they shall be not more than 5/8 inch in diameter. Other methods of providing the connection, such as keyed joints, shall be approved by the Engineer prior to fabrication.
 - f. The chamber section shall be installed in the plumb position. The throat and top sections shall have positive restraints, such as adjacent concrete, pavement, or soil, on all sides to prevent displacement and shall have a positive interlock, such as dowels, with the chamber section. The throat and top sections shall be installed to conform with the normal slope of the finished grade and may be canted up to a maximum grade of 10 percent. The chamber may be built up to a maximum of 12 inches at any point to provide for complete and uniform bearing of the throat and top sections on the chamber flat slab top or other approved top section. The built-up section shall be constructed using whole concrete spacer units where feasible and partial and whole sections of concrete block or brick with high-strength grout and mortar. High-strength grout shall be used to provide the final grade adjustment and uniform bearing. The width of the built-up section shall match the wall thickness of the chamber section. The concrete block and brick shall be thoroughly bonded with mortar, and the inside and outside of the built-up section shall be plastered with mortar except that the concrete spacer unit shall not be plastered.
- 2 **Precast arches** shall conform to the applicable requirements of AASHTO's *Standard Specifications for Highway Bridges* with the following modifications:
- a. **Combination of loads:** For service load design: E: vertical loads: 1.00; lateral loads: 1.00 and 0.5 (check both loadings).
For load factor design: E: vertical loads: 1.00; lateral loads: 1.30 and 0.5 (check both loadings).
 - b. **Protection against corrosion:** The concrete cover of reinforcement shall be at least 1 1/2 inches.

In corrosive or marine environments or other severe exposure conditions, reinforcement shall be epoxy coated in accordance with the requirements of Section 223.

Exposed reinforcing bars, inserts, and plates intended for bonding with future extensions shall be protected from corrosion as directed by the Engineer.

Reinforcement shall be designed and detailed in consideration of fabrication and construction tolerances so that the minimum required cover and proper positioning of reinforcement shall be maintained.
 - c. **Anchorage:** Sufficient anchorage shall be provided at the terminus of lines of precast units. Anchorage may consist of a cast-in-place end section at least 3 feet in length with a headwall or collar around the precast unit(s) provided adequate connection can be made between the collar and units.
 - d. **Joints:** Joints between units shall be sealed by preformed plastic or mastic gaskets or grout. When preformed gaskets are used, they shall be of a type listed on the Department's approved products list.
 - e. **Pipe openings:** Pipe openings will not be allowed in the precast arch but may be provided through the wingwalls. When required, openings shall conform to the requirements of (b)1.b. herein.
- 3 **Precast box culverts** shall conform to the applicable requirements of AASHTO M 259 or M 273 and AASHTO's *Standard Specifications for Highway Bridges* with the following modifications:
- a. The combination of loads shall be as follows: For service load design or load factor design: E: new reinforced concrete boxes: vertical loads: 1.00; lateral loads: 1.00 and 0.5 (check both loadings).
 - b. For protection against corrosion, the following minimum concrete cover shall be provided for reinforcement: For boxes with more than 2 feet of fill over the top slab: 1 1/2 inches. For boxes with less than 2 feet of fill over the top slab: top reinforcement of

top slab: 2 1/2 inches; bottom reinforcement of top slab: 2 inches; all other reinforcement: 1 1/2 inches.

The minimum cover for reinforcement may be reduced by not more than 1/2 inch provided the reinforcement having reduced cover is epoxy coated or the concrete surfaces adjacent to the reinforcement are coated in accordance with the requirements of Section 416.

Reinforcing steel for box culverts used in 0 to 2 foot fills, used in corrosive or marine environments, or used in other severe exposure conditions shall be epoxy coated. When epoxy-coated reinforcing steel is required due to these conditions, the minimum cover specified shall not be reduced.

- c. The type of sealant used in joints between units shall be from the Department's Approved List of Preformed Plastic or Mastic Gaskets.

Where double or greater lines of precast units are used, a buffer zone of 3 to 6 inches between lines shall be provided. This buffer zone shall be backfilled with porous backfill conforming to the requirements of Section 204. The porous backfill shall be drained by a 3-inch-diameter weep hole, formed by non-rigid tubing, located at the top of the bottom haunch, centered in the outlet end section and at approximately 50-foot intervals along the length of the box. Weep holes shall be covered with a 3-foot-square section of filter barrier cloth firmly attached to the outside of the box. A 3-foot width of filter barrier cloth shall also be centered over the buffer zone for the entire length of the structure after placement of the porous backfill material. Filter barrier cloth shall conform to the requirements of Section 245.

Forming weep holes and furnishing and placing of the filter barrier cloth shall be included in the price bid per linear foot for the precast box culvert.

- d. At the terminus of precast units, sufficient anchorage shall be provided. This anchorage may consist of a cast-in-place end section at least 3 feet in length with a headwall and curtain wall or a collar cast-in-place around the units provided adequate connection can be made between the collar and units.

When the ends of precast units are skewed, the end section shall be cast monolithically. The skew may be provided by forming, saw cutting, or other methods approved by the Engineer. Regardless of the method used, the variation in the precast unit from the exact skew shall be not greater than 1 1/2 inches at any point.

- e. Pipe openings shall conform to the requirements of 1.b. herein.
- f. Bedding and backfill shall be in accordance with Standard PB-1 for box culverts.

- (c) **Drop Inlets, Manholes, Junction Boxes, Spring Boxes, Intake Boxes, and Endwalls:** Masonry construction shall not be initiated when the air temperature is below 40 degrees F in the shade.

The foundation shall be explored below the bottom of the excavation to determine the type and condition of the foundation. Foundation exploration shall extend to a depth equal to 1/2 inch per foot of fill height or 8 inches, whichever is greater. The Contractor shall report the findings of the foundation exploration to the Engineer for approval prior to placing structure.

Where unsuitable foundation is encountered at the established grade, as determined by the Engineer, such material shall be removed and replaced.

Backfill for areas where unsuitable material has been removed shall be placed and compacted in accordance with the requirements of Section 303.04(g).

Bedding material shall be placed in accordance with the Standard Drawings and shall be aggregate No. 25 or 26 conforming to the requirements of Section 205 except where standing or running water is present in the foundation excavation; then, bedding material shall be aggregate No. 57 for the depth specified on the plans or as directed by the Engineer capped with 4 inches of aggregate No. 25 or 26. Where such conditions are discovered in the field and the Contractor is directed by the Engineer to use No. 57 stone, No. 57 stone will be paid for at the existing contract unit price or, if not in the Contract, in accordance with Section 109.05.

Bedding shall be lightly and uniformly compacted. The depth of bedding material shall be as specified on the standard drawings or in the plans.

Brick and concrete block masonry shall be placed so that each unit will be thoroughly bonded with

mortar. Joints shall be full-mortar joints not more than 1/2 inch in width. Where brick masonry is used, headers and stretchers shall be arranged to bond the mass fully. Every seventh course shall be placed entirely with headers. Inside joints shall be neatly pointed, and the outside of such walls shall be plastered with mortar as they are placed.

Iron fittings entering the masonry shall be placed as the work is built up, thoroughly bonded, and accurately spaced and aligned.

Inlet and outlet pipe connections shall conform to the same requirements as the pipe to which they connect and shall be of the same size and kind. Pipe sections shall be flush on the inside of the structure wall and shall project outside sufficiently for proper connection with the next pipe section. Masonry shall fit neatly and tightly around the pipe.

Immediately following finishing operations, hydraulic cement concrete shall be cured and protected in accordance with the requirements of Section 316.04(j).

Backfilling shall be performed in accordance with the requirements of Section 303.04(g). Surplus material shall be removed, and the site shall be left in a neat and orderly condition.

When grade adjustment of existing structures is specified, frames, covers, and gratings shall be removed and the walls shall be reconstructed as required. Cleaned frames shall be reset at the required elevation. Upon completion, each structure shall be cleaned of silt, debris, and foreign matter and shall be kept clear of such accumulation until final acceptance.

302.04—Measurement and Payment

Pipe culverts will be measured in linear feet. The quantity will be determined by counting the number of sections and multiplying by the length of the section used. When a partial section is required, the actual length of the partial section will be measured in place.

Structural plate pipe and pipe arches will be measured in linear feet along the invert line.

Pipe tees and elbows will be measured in linear feet of pipe.

Pipe reducers will be measured in linear feet of pipe for payment at the larger pipe size.

Pipe shall be paid for at the contract unit price per linear foot. This price shall include excavating, when not paid for as minor structure excavation; sheeting; shoring; dewatering; disposing of surplus and unsuitable material; and restoring existing surfaces. The upper 4 inches of bedding material and the Class I backfill material within the neat lines shown for each foundation type on the Standard PB-1 drawings shall be included in the price for the related pipe. When unit prices for extended pipelines are not specified, the unit price for new pipe of the same size shall apply. When not a pay item, the cost of the temporary relocation of a stream to facilitate the installation of the pipe shall be included in the price for the pipe. The cost of fittings, anti-seepage collars, and anchor blocks shall be included in the price for the pipe.

Jacked and bored pipe will be measured in linear feet to the nearest 1/10 of a foot along the centerline of completed jacked and bored pipe for the size indicated and will be paid for at the contract unit price per linear foot. This price shall include excavating and backfilling jacking and receiving pits, sheeting, shoring, bracing, jacking equipment, casing pipe, casing chocks, furnishing and installing carrier pipe, grout to install carrier pipe, drainage, safety equipment, and all other items necessary for this operation,

Tunneled pipe will be measured in linear feet to the nearest 1/10 of a foot along the centerline of completed tunnel for the size of lining and will be paid for at the contract unit price per linear foot. This item shall include equipment, materials, handling and disposal of all materials encountered, drainage, pumping and dewatering, tunnel support, lining, furnishing and installing pipe, grouting, ventilation, lighting and wiring, coordination and planning with the railroad or other specified entity, and all other appurtenances necessary to complete the work.

Reinstalled pipe will be measured in linear feet along a line parallel to the flow line and will be paid for at the contract unit price per linear foot of pipe and per cubic yard of minor structure excavation. This price shall include excavation involved in removing pipe, hauling, cleaning, relaying, backfilling, necessary cutting for joining to other sections of pipe, furnishing new coupling bands, disposing of surplus excavation, and replacing any otherwise usable sections damaged or broken because of the negligence of the Contractor.

End sections and pipe spillouts will be measured in units of each, complete-in-place, and will be paid for at the contract unit price per each.

Endwalls and arch substructures will be measured in cubic yards of concrete and pounds of reinforcing steel except that EW-12 endwalls will be measured in units of each, complete-in-place. Endwalls and arch

substructures will be paid for at the contract unit price per cubic yard of miscellaneous concrete and per pound of reinforcing steel except that crack control bars shall be included in the price bid for miscellaneous concrete and Standard EW-12 endwalls will be paid for at the contract unit price per each.

Minor structure excavation will be measured and paid for in accordance with the requirements of Section 303.06.

Cast-in-place box culverts will be measured in cubic yards of concrete and pounds of reinforcing steel and will be paid for at the contract unit price per cubic yard of concrete and per pound of reinforcing steel. These prices shall include excavating, sheeting, shoring, dewatering, waterproofing, disposing of surplus and unsuitable material, restoring existing surfaces, the upper 6 inches of bedding material within the neat lines shown on the Standard PB-1 drawings, and all necessary work to key the bottom slab into an existing rock foundation. When not a pay item, the cost of the temporary relocation of a stream to facilitate the installation of the structure shall be included in the price for the concrete and steel.

If the Contractor elects to furnish and install precast box culverts or precast arches, payment will be made for the original quantities shown on the plans for cast-in-place units. No additional compensation will be made for casting, prestressing, or shipping precast units or performing additional work, such as waterproofing, epoxy coating, or joint sealing, required as a result of the substitution.

Precast box culverts will be measured in linear feet along the centerline of the barrel from face of curtain wall to face of curtain wall and will be paid for at the contract unit price per linear foot. This price shall include designing, casting, reinforcing, excavating, sheeting, shoring, dewatering, installing, waterproofing, sealing joints, anchoring, disposing of surplus and unsuitable material, restoring existing surfaces, the upper 6 inches of bedding material within the neat lines shown on the Standard PB-1 drawings, fittings, and providing buffer zones and porous backfill for multiple lines. When not a pay item, the cost of the temporary relocation of a stream to facilitate the installation of the structure shall be included in the price for the box culvert.

If the Contractor elects to furnish and install precast box culverts or precast arches, payment will be made for the original quantities shown on the plans for cast-in-place units. No additional compensation will be made for casting, prestressing, or shipping precast units or performing additional work, such as waterproofing, epoxy coating, or joint sealing, required as a result of the substitution.

Grates and frames will be measured in units of each and will be paid for at the contract unit price per each.

Pipe grate will be measured in linear feet and will be paid for at the contract unit price per linear foot. This price shall include fabricating, furnishing, galvanizing, and installing.

Drop inlets and intake boxes will be measured as complete units, including the frame and grate or cover, and will be paid for at the contract unit price per each. The contract unit price for drop inlets will be adjusted at the rate of 5 percent per foot for increases or decreases in the depth indicated on the plans except that no adjustment will be made for changes amounting to less than 6 inches in the height of a single drop inlet. Where curb or curb and gutter extend along the drop inlet, the contract unit price for drop inlets shall include that part of the curb or gutter within the limits of the structure. Bedding material, except aggregate No. 57, will be included in the price of the structure.

Base sections of pipe tee units used as drop inlets and manholes will be measured in linear feet horizontally and will be paid for at the contract unit price per linear foot of pipe specified. The riser section and additional costs for the tee shall be included in the price for the drop inlet or manhole.

Manholes will be measured in linear feet, vertical measure, from top of foundation slab to top of masonry on which the casting frame is placed. However, when manholes are constructed as tee sections, measurement will be made to the pay limits shown on the plans. Manholes will be paid for at the contract unit price per vertical linear foot exclusive of frame and cover. Bedding material, except aggregate No. 57, will be included in the unit price per foot for the manhole.

Concrete spring boxes will be measured in cubic yards of concrete, pounds of reinforcing steel, and linear feet of pipe and will be paid for at the contract unit price per cubic yard of concrete, per pound of reinforcing steel, and per linear foot of pipe.

Junction boxes will be measured in cubic yards of concrete, pounds of reinforcing steel, pounds of structural steel, and each complete frame and cover assembly and will be paid for at the contract unit price per cubic yard of concrete, per pound of reinforcing steel, per pound of structural steel, and per each frame and cover assembly. Bedding material, except aggregate No. 57, will be included in the price of the structure.

Casting frames and covers will be measured in units of one complete frame and cover and will be paid for at the contract unit price per each.

Reconstructed manholes will be measured as a complete unit and will be paid for at the contract unit price per each.

Precast arches will be measured in linear feet along the centerline of the invert from face of headwall to face of headwall. When a pay item, precast arches will be paid for at the contract unit price per linear foot. This price shall include designing, forming, casting, reinforcing, excavating, wingwalls, installing, waterproofing, sealing joints, anchoring and bedding, and providing buffer zones for multiple lines. The cost for cast-in-place work other than that specified on the plans shall be included in the price for precast arches.

Temporary diversion channel lining will be measured in square yards for the class specified and will be paid for at the contract unit price per square yard. This price shall include installing the channel lining and removal when no longer required.

Temporary diversion channel excavation will be measured in cubic yards and will be paid for at the contract unit price per cubic yard. This price shall include excavation, temporary pipe culverts, removal of pipe culverts when no longer required, backfilling, and site restoration including regrading and seeding.

Excavation, backfill, and disposal of unsuitable or surplus material for drop inlets, intake boxes, manholes both new and reconstructed, spring boxes, junction boxes, and base sections of pipe tee units used as drop inlets and manholes will not be measured for separate payment, and the cost thereof shall be included in the bid price for such items. In the event steps or invert shaping is required, the cost thereof shall also be included in the price for such items.

Storm water management drainage structure will be measured in linear feet, vertical measure, from top of concrete foundation to the top of the concrete cover. The price bid shall include Class A3 concrete; reinforcing steel; trash rack; debris rack; orifice; steps; steel plate; and, when required, polyethylene tubing, pipe hangers, and steel pipe.

Temporary sediment riser pipe will be measured in linear feet for the size specified and will be paid for at the contract unit price per linear foot. The price shall include the riser pipe, steel plate, perforated pipe, debris rack, orifice and Class A1 riprap, and anti-vortex device when required.

Storm water management dam will be measured and paid for at the contract unit price per cubic yard of concrete and pound of reinforcing steel.

Payment will be made under:

Pay Item	Pay Unit
Pipe (Size and type)	Linear foot
Structural plate arch (Size)	Linear foot
Jacked and bored pipe (Size)	Linear foot
Tunneled pipe (Size)	Linear foot
Reinstalled pipe	Linear foot
End section (Standard and size)	Each
Pipe spillout (Standard)	Each
Concrete (Class)	Cubic yard
Reinforcing steel	Pound
Endwall grate and frame (Standard)	Each
Precast box culvert (Size)	Linear foot
Endwall pipe grate (Type)	Linear foot
Drop inlet (Standard and length)	Each
Intake box (Standard)	Each

Pay Item	Pay Unit
Structural steel (Type)	Pound
Manhole (Standard)	Linear foot
Frame and cover (Standard)	Each
Reconstructed manhole	Each
Precast arch (Size)	Linear foot
Temporary diversion channel lining (Class)	Square yard
Temporary diversion channel excavation	Cubic yard
Endwall, Standard EW-12	Each
Storm water management drainage structure (Type)	Linear foot
Temporary sediment riser pipe (Size)	Linear foot

SECTION 303—EARTHWORK

303.01—Description

This work shall consist of constructing roadway earthwork in accordance with these specifications and in conformity with the specified tolerances for the lines, grades, typical sections, and cross sections shown on the plans or as established by the Engineer. Earthwork shall include regular, borrow, undercut, and minor structure excavation; constructing embankments; disposing of surplus and unsuitable material; shaping; compaction; sloping; dressing; and temporary erosion and siltation control work.

303.02—Materials

- (a) **Borrow excavation** shall consist of approved material required for the construction of the roadway and shall be obtained from approved sources outside the project limits. Borrow excavation shall conform to the requirements of AASHTO M57 and the requirements herein.
- (b) **Materials for temporary silt fences, geotextile fabric silt barriers, and filter barriers** shall conform to the requirements of Sections 242.02(c) and 245.03(a).
- (c) **Geotextile materials used for embankment stabilization** shall conform to the requirements of Section 245.03(e).
- (d) **Mulch** shall conform to the requirements of Section 244.02(g).

303.03—Erosion and Siltation Control

Erosion and siltation shall be controlled through the use of the devices and methods specified herein or as is otherwise necessary. The Engineer reserves the right to require other temporary measures not specifically described herein to correct an erosion or siltation condition.

Erosion and siltation control devices and measures shall be maintained in a functional condition at all times. Temporary and permanent erosion and siltation control measures shall be inspected after each rainfall and at least daily during periods of prolonged rainfall. Deficiencies shall be immediately corrected. The Contractor shall make a daily review of the location of silt fences and filter barriers to ensure that they are properly located for effectiveness. Where deficiencies exist, corrections shall be made immediately as approved or directed by the Engineer.

When erosion and siltation control devices function by using wet storage, sediments shall be removed when the wet storage volume has been reduced by 50 percent. Sediments shall be removed from dewatering basins when the excavated volume has been reduced by 50 percent. Sediments shall be removed from all other erosion and siltation control devices when capacity, height, or depth has been reduced by 50 percent. Removed sediment shall be disposed of in accordance with the requirements of Section 106.04. Sediment deposits remaining in place after the device is no longer required shall be dressed to conform with the existing grade, prepared, and seeded in accordance with the requirements of Section 603.

Geotextile fabric that has decomposed or has become ineffective and is still needed shall be replaced. Temporary erosion and sediment control devices except brush silt barriers shall be removed within 30 days after final site stabilization or after the temporary devices are no longer needed as determined by the Engineer.

- (a) **Earth Berms and Slope Drains:** The top of earthwork shall be shaped to permit runoff of rainwater. Temporary earth berms shall be constructed and compacted along the top edges of embankments to intercept runoff water. Temporary Berms and temporary dikes are to be stabilized immediately following installation. Temporary slope drains shall be provided to intercept runoff and adequately secured to prevent movement. Slope drains may be flexible or rigid but shall be capable of being readily shortened or extended. A portable flume shall be provided at the entrance to temporary slope drains.
- (b) **Soil Stabilization:** Soil stabilization shall be applied within 7 days after attaining the appropriate grading increment for that stage of the construction operations, or upon suspension of grading operations for an anticipated duration of greater than 15 days, or upon completion of grading operation for a specific area. Areas excluded from this requirement include areas within 100 feet of the limits of ordinary high water or a delineated wetland which shall be continuously prosecuted until completed and stabilized immediately upon completion of the work in each impacted area. Soil stabilization includes: temporary and permanent seeding, riprap, aggregate, sod, mulching, and soil stabilization blankets and matting in conjunction with seeding. The

applicable type of soil stabilization shall depend upon the location of areas requiring stabilization, time of year (season), weather conditions and stage of construction operations.

Cut and fill slopes shall be shaped and topsoiled where specified. Seed and mulch shall be applied in accordance with the requirements of Section 603 as the work progresses in the following sequence:

- 1 Slopes whose vertical height is 20 feet or greater shall be seeded in three equal increments of height. Slopes whose vertical height is more than 75 feet shall be seeded in 25-foot increments.
- 2 Slopes whose vertical height is less than 20 but more than 5 feet shall be seeded in two equal increments.
- 3 Slopes whose vertical height is 5 feet or less may be seeded in one operation.

Areas that cannot be seeded because of seasonal or adverse weather conditions should be mulched to provide some protection against erosion to the soil surface. Organic mulch shall be used, and the area then seeded as soon as weather or seasonal conditions permit in accordance with the requirements of Section 303.03(e). Mulch shall be applied in accordance with the requirements of Section 603.04. Organic mulch includes: straw or hay, fiber mulch, wood cellulose, or wood chips conforming to the requirements of Section 244.02(g).

- (c) **Check Dams:** As an initial item of work, required check dams shall be constructed at 25-foot intervals, unless otherwise shown on the plans, below the outfall end of drainage structures.

Synthetic check dams recorded in the Department's Approved List may be substituted for Standard EC-4, Rock Check Dams, Type II, with the approval of the Engineer at no additional cost to the Department. Synthetic check dams shall be installed in accordance with the manufacturer's recommendation.

- (d) **Baled Straw Silt Barriers:** Baled straw silt barriers may be substituted for temporary filter barriers with the approval of the Engineer in noncritical areas, such as pavement areas and rock locations where filter barriers cannot be installed in accordance with the plans and specifications and locations where the Engineer determines that streams and water beds will not be affected.

- (e) **Temporary Silt Fences, Geotextile Fabric Silt Barriers, and Filter Barriers:**

- 1 **Temporary silt fences:** Fences shall be erected at locations shown on the plans or determined by the Engineer. Geotextile fabric used for silt fences shall be provided, and posts shall not be spaced more than 6 feet apart. Posts shall be uniformly installed with an inclination toward the potential silt load area of at least 2 degrees but not more than 20 degrees. Attaching fabric to existing trees will not be permitted.

Fabric shall be firmly secured to the post or wire fence. The bottom of the fabric shall be entrenched in the ground in a minimum 6-inch by 6-inch trench. Temporary silt fence may also be entrenched using a slicing method with a minimum of 8 inches sliced into the ground. Fabric may be spliced only at support posts and with an overlap of at least 6 inches. The top shall be installed with a 1-inch tuck or reinforced top end section. The height of the finished fence shall be a nominal 29 inches.

- 2 **Geotextile fabric silt barriers:** Existing fences or brush barriers used along the downhill side of the toe of fills shall have geotextile fabric attached at specified locations as shown on the plans. The bottom of the fabric shall be entrenched in the ground in a minimum 6-inch by 6-inch trench, and the top shall be installed with a 1-inch tuck or reinforced top end section. Temporary fabric silt barriers may also be entrenched using a slicing method with a minimum of 8 inches sliced into the ground.

Brush barriers shall be installed prior to any major earth-disturbing activity and trimmed sufficiently to prevent tearing or puncturing fabric. Fabric shall be fastened securely to the brush barrier or existing fence. A 6-inch overlap of fabric for vertical and horizontal splicing shall be maintained and tightly sealed.

- 3 **Temporary filter barriers:** Barriers shall consist of geotextile fabric and shall be securely fastened to wood or metal supports that are spaced at not more than 3-foot intervals and driven at least 12 inches into the ground. At least three supports shall be used. The bottom of the fabric shall be entrenched in the existing ground in a minimum 4-inch by 4-inch trench.

Temporary filter barriers may also be entrenched using a slicing method with a minimum of 6

inches sliced into the ground. The top of the fabric shall be installed with a 1-inch tuck or reinforced top end section. The height of the finished temporary filter barrier shall be a nominal 15 inches.

Temporary filter barriers shall be installed at temporary locations where construction changes the earth contour and drainage runoff as directed or approved by the Engineer.

After removal and disposal of the temporary silt fence, geotextile fabric silt barrier, and temporary filter barrier, the area shall be dressed and stabilized with a permanent vegetative cover or other approved permanent stabilization practice approved by the Engineer.

- (f) **Sediment Traps and Sediment Basins:** Sediment traps are required if storm water runoff from less than 3 acres flows across a disturbed area of 10,000 square feet or more. Sediment basins are required if storm water runoff from 3 or more acres flows across a disturbed area of 10,000 square feet or more. Once a sediment trap or basin is constructed, the dam and all outfall areas shall be stabilized immediately.
- (g) **Erosion Control Mulch:** This work shall consist of furnishing and applying mulch as a temporary erosion control treatment on slopes exposed to the elements but not at final grade during the period from December 1 to March 1 for periods of up to 30 days prior to final grading or to areas to receive stabilization or paved surfaces within 6 months in accordance with this provision and as directed by the Engineer.

Mulch shall be applied to exposed slopes requiring mulch or to areas to be stabilized or paved, within 48 hours after performance of grading operations. Straw or hay mulch shall be applied on bare slope areas at the rate of approximately 3 tons per acre (1.24 pounds per square yard). Straw or hay mulch shall be applied at a uniform thickness in such a manner that not more than 10 percent of the soil surface will be exposed. Straw or hay mulch shall be anchored to the slope surface by one of the following methods: spraying with cellulose fiber mulch at the rate of 750 pounds per acre (0.15 pound per square yard); disking or punching the mulch partially into the soil; using approved netting; or using other materials or methods approved by the Engineer. The Contractor may use more than one method on the same project.

303.04—Procedures

Loose rock 3 inches or larger shall be removed from the surface of cut slopes.

When slides occur, the Contractor shall remove and dispose of material as directed by the Engineer.

Where required, surface ditches shall be placed at the top of cut slopes or at the foot of fill slopes and at such other points not necessarily confined to the right of way or shown on the plans and shall be of such dimensions and grades as directed by the Engineer.

Allaying dust, when specified, shall be performed in accordance with the requirements of Section 511.

Prior to the beginning of grading operations in the area, necessary clearing and grubbing shall be performed in accordance with the requirements of Section 301.02.

- (a) **Regular Excavation:** Existing foundations and slabs located within the construction limits shall be removed and disposed of in a location approved by the Engineer. In lieu of removal, foundations and slabs located 5 feet or more below the proposed subgrade may be broken into particles not more than 18 inches in any dimension and reoriented to break the shear plane and allow for drainage.

Cisterns, septic tanks, wells, and other such structures shall be cleared in accordance with the requirements of Section 516.

Balance points shown on the plans are theoretical and may vary because of actual field conditions.

When the material to be excavated necessitates the use of explosives, the requirements of Section 107.11 relating to the use of explosives shall apply. To prevent damage to newly constructed concrete, the Contractor shall schedule blasting operations in the proximity of proposed concrete structures so that work will be completed prior to placement of concrete.

Regular excavation shall consist of removing and disposing of material located within the project limits, including widening cuts and shaping slopes necessary for preparing the roadbed; removing root mat; stripping topsoil; cutting ditches, channels, waterways, and entrances; and performing other work incidental thereto. The Engineer may require materials in existing pavement structures to be salvaged for use in traffic maintenance.

Undrained areas shall not be left in the surface of the roadway. Grading operations shall be conducted so that material outside construction limits will not be disturbed.

Where rock or boulders are encountered, the Contractor shall excavate and backfill in accordance with the plans and contract documents.

When the presplitting method of excavation is specified for rock cuts, work shall be performed in a manner to produce a uniform plane of rupture in the rock and so that the resulting backslope face will be unaffected by subsequent blasting and excavation operations within the section. Rock shall be presplit along rock slopes at locations, lines, and inclinations shown on the plans or as determined by field conditions. A test section shall be provided to establish the spacing of drill holes and the proper blasting charge to be used in the presplitting operation. Drill holes shall be spaced not more than 3 feet apart and shall extend to the plan grade or in lifts of not more than 25 feet, whichever is less. If drilled in benches, an offset may accommodate the head of the drill, but no offset shall be more than 12 inches. Presplitting shall extend at least 20 feet ahead of the limits of fragmentation blasting within the section.

Where the project has been designed and slopes have been staked on the assumption that solid rock will be encountered and the Contractor fails to encounter solid rock at the depth indicated, he shall cease excavation in the area and immediately notify the Engineer. If it is necessary to redesign and restake slopes, any additional excavation necessary will be paid for at the contract unit price per cubic yard.

Topsoil stockpiled for later use in the work shall be stored within the right of way unless the working area is such that the presence of the material would interfere with orderly prosecution of the work. Stockpile areas outside the right of way shall be located by the Contractor at his expense. Topsoil used in the work shall be removed first from stockpiles located on private property. Surplus topsoil remaining on private property after completion of topsoiling operations shall be moved onto the right of way and stockpiled, shaped, and seeded as directed by the Engineer.

Stripping topsoil shall be confined to the area over which grading is to be actively prosecuted within 15 calendar days following the stripping operation. Grading operations shall be confined to the minimum area necessary to accommodate the Contractor's equipment and work force engaged in the earth moving work.

- (b) **Borrow Excavation:** The Contractor shall make his own arrangements for obtaining borrow and pay all costs involved in accordance with the provisions of Section 106.03.

If the Contractor places an excess of borrow and thereby causes a waste of regular excavation, the amount of such waste, unless authorized, will be deducted from the volume of borrow as measured at the source or computed by vehicle count as specified in Section 109.01.

When borrow is obtained from sources within the right of way and the excavation is performed simultaneously with regular excavation, borrow excavation will be designated as regular excavation. Material secured by widening cuts beyond slope stakes, when taken from previously excavated slopes, will be designated as borrow excavation. When such a procedure is approved, slopes shall be uniform and no steeper than shown on the plans.

Borrow excavation areas shall be bladed and left in a shape to permit accurate measurements after excavation has been completed.

CBR values, stipulated for borrow excavation, shall apply to the uppermost three feet of fill below the top of earthwork, as defined in Section 101 of the Specifications. Borrow excavation, installed below the top three feet shall consist of suitable fill material, available from regular excavation or borrow excavation, as defined and of a quality consistent with project requirements.

- (c) **Undercut Excavation:** Undercut excavation shall consist of removing and disposing of unsuitable material located within the construction limits in accordance with the requirements of Section 303.06(a)3.

Undercut excavation shall be disposed of in accordance with the requirements of Section 106.04.

- (d) **Minor Structure Excavation:** Minor structure excavation shall consist of removing material necessary to accommodate the structure, such as box or arch culverts, including pipe arches, structural plate arches, structural plate pipe, pipe culverts, and storm drains with a span(s) or opening(s) of 48 inches or greater. Minor structure excavation shall also include dewatering,

sheeting, bracing, removing existing structures, and backfilling. Removing existing structures shall also include foundations that might be necessary to clear the site.

- (e) **Removing Unsuitable Material:** Where excavation to the finished graded section results in a subgrade or slopes of unsuitable material, such material shall be excavated below the grade shown on the plans or as directed by the Engineer. Areas so excavated shall be backfilled with approved material in accordance with (f) herein.

Excavation for structures shall be carried to foundation materials satisfactory to the Engineer regardless of the elevation shown on the plans. If foundation material is rock, the Contractor shall expose solid rock and prepare it in horizontal beds for receiving the structure. Loose or disintegrated rock and thin strata shall be removed. Excavated material, if suitable, shall be used for backfilling around the structure or constructing embankments.

Material shown on the plans as unsuitable and during construction found to be suitable for use shall first be used in embankments where needed in lieu of borrow. However, the use of this material in lieu of borrow shall not alter the provisions of Section 104.02 regarding underruns.

Material shown on the plans as suitable material but found at time of construction to be unsuitable shall be disposed of as unsuitable material.

Unsuitable material shall be disposed of in accordance with Section 106.04.

- (f) **Backfill for Replacing Undercut Excavation:** Backfill shall be composed of regular excavation, borrow, select material, subbase material, or other material as directed by the Engineer. Backfilling operations shall be performed in accordance with (g) herein.
- (g) **Backfilling Openings Made for Structures:** Backfill shall be suitable material removed for the structure, although the Engineer may require that backfill material be obtained from a source within the construction limits entirely apart from the structure or other approved material. The opening to be backfilled shall be dewatered prior to backfilling. Backfill shall not be placed against or over cast-in-place box culverts or other structures until the top concrete slab section(s) has been in place 14 days, exclusive of days on which the average high-low ambient temperature is below 40 degrees F in the shade or until the concrete control cylinder(s) has attained a compressive strength equal to 93 percent of the 28-day design compressive strength.

Backfill shall be compacted in horizontal layers not more than 6 inches in thickness, loose measurement, and as specified in (h) herein. Backfill shall be placed in horizontal layers such that there will be a horizontal berm of compacted undisturbed material behind the structure for a distance at least equal to the remaining height of the structure or wall to be backfilled. Backfill shall be placed in a manner to deter impoundment of water and facilitate existing drainage. Backfill around piers in areas not included in the roadway prism shall be constructed in uniformly compacted layers. However, density requirements will be waived.

Box culverts shall not be opened to construction equipment traffic until concrete has attained 100 percent of the 28-day design compressive strength and has a backfill cover of at least 4.0 feet. The minimum height of backfill cover required to protect pipe culverts from construction equipment shall be in accordance with Standard Drawing PC-1 for the type and size specified.

Where only one side of abutments, wingwalls, piers, or culvert headwalls can be backfilled, care shall be taken that the area immediately adjacent to the structure is not compacted to the extent that it will cause overturning or excessive pressure against the structure. When both sides of a concrete wall or box structure is to be backfilled, operations shall be conducted so that the backfill is always at approximately the same elevation on both sides of the structure.

Openings subject to flooding shall be backfilled as soon as practicable or as directed by the Engineer.

- (h) **Embankments:** Work shall consist of constructing roadway embankments; placing and compacting approved material within roadway areas where unsuitable material has been removed; and placing and compacting approved material in holes, pits, utility trenches, basements, and other depressions within the roadway area.

Embankment shall be constructed with approved material and placed so as to be uniformly compacted throughout. Embankment shall be placed adjacent to structures in the same manner as for backfill as described in (g) herein. Embankment shall not contain muck, frozen material, roots, sod, or other deleterious material. Embankment shall not be placed on frozen ground or areas covered with ice or snow.

Unsuitable material used in widening embankments and flattening embankment slopes shall be placed in uniform layers not more than 18 inches in thickness before compaction. Each layer of material placed shall be compacted to the extent necessary to produce stable and reasonably even slopes.

Wherever rock excavation is available on the project, an 8 to 15-inch layer of such materials shall be dump spread over the lower region of embankments in the immediate vicinity of stream crossings and used to cover ditches, channels, and other drainage ways leading away from cuts and fills. However, drainage ways shall be prepared to receive the rock excavation to the extent necessary to avoid reducing their cross section. If rock excavation is not available on the project, rip-rap, jute mesh or soil retention mats shall be used as the covering material and shall be installed in accordance with the requirements of Section 606.03(c). Limits of the area to be covered will be as noted on the plans or as directed by the Engineer.

Wherever sufficient right of way exists, surplus materials shall be used to widen embankments and flatten slopes as directed by the Engineer.

Rock excavation may be placed on slopes by uniform end dumping of the material from along the top of the embankment or as directed by the Engineer. Slopes that are covered with rock excavation shall not receive topsoil or seed.

When geotextile drainage fabric is required under rock fills, preparation shall be as specified in Section 245.

The Contractor shall schedule excavation and embankment work in a manner that will minimize the quantity of unsuitable material for which more than one handling is required prior to final placement. Therefore, the provisions for additional payment for each rehandling of material specified in Section 303.06(a) will not apply to placing unsuitable material for widening embankments and flattening embankment slopes.

The surface area directly beneath the pavement and shoulders on which embankments of less than 5 feet in depth are to be constructed shall be denuded of vegetation. These areas shall be scarified and compacted to a depth of 6 inches to the same degree as the material to be placed thereon.

Areas that contain material unsuitable as foundations for embankments shall be undercut and backfilled in accordance with (e) and (f) herein.

Embankments to be placed over saturated areas that will not support the weight of hauling equipment may be constructed by end dumping successive loads in a uniformly distributed layer of a thickness capable of supporting the hauling equipment while subsequent layers are placed. The nose, or leading edge, of the embankment shall be maintained in a wedge shape to facilitate mud displacement in a manner that will prevent its entrapment in the embankment. The front slope of the embankment shall be maintained steeper than 2:1. The use of compacting equipment will not be required on the original course. However, the remainder of the embankment shall be constructed in layers and compacted in accordance with these specifications.

When geotextile for embankment stabilization is required, it shall be placed as shown on the plans. Geotextile shall be spliced by sewing double-stitched seams with stitching spaced $\frac{1}{4}$ inch to $\frac{1}{2}$ inch apart or as shown on the plans.

Once geotextile for embankment stabilization is placed, the initial lift of material to be placed atop shall be free draining and shall be end dumped onto the geotextile and spread to the thickness as shown on the plans. Free-draining material shall be any material of which 15 percent or less passes the No. 200 sieve. If the geotextile becomes punctured or torn, the Contractor shall repair the area with geotextile lapped at least 3 feet all around the damaged area.

When embankment is to be placed and compacted on an existing road, the surface shall be scarified to such degree as will permit an ample bond between old and new material. Hydraulic cement concrete and asphalt concrete pavement structures within the proposed roadway prism shall be demolished in accordance with Section 508.02(a).

Existing slopes shall be continuously benched where embankments are constructed one-half width at a time; against slopes of existing embankments or hillsides; or across existing embankments, hillsides, and depressions at a skew angle of 30 degrees or more or the existing slopes are steeper than 4:1. For slopes steeper than 4:1 but not steeper than 1-1/2:1, the bench shall be at least 6 feet in width. For slopes steeper than 1-1/2:1 but less than 1/2:1, the bench shall be at least 4 feet in width. Benching shall consist of a series of horizontal cuts beginning at the intersection with the

original ground and continuing at each vertical intersection of the previous cut. Material removed during benching operations shall be placed and compacted as embankment material.

When excavated material consists predominantly of soil, embankment shall be placed in successive uniform layers not more than 8 inches in thickness before compaction over the entire roadbed area. Each layer shall be compacted within a tolerance of ± 20 percent of optimum moisture content to a density of at least 95 percent of the theoretical maximum density as defined in Section 101.02.

Material having a moisture content above optimum by more than 30 percent shall not be placed on a previously placed layer for drying unless it is shown that the layer will not become saturated by downward migration of moisture in the material.

Field density determinations will be performed in accordance with the requirements of AASHTO T191, modified to include material sizes used in the laboratory determination of density, with a portable nuclear field density testing device or by other approved methods. When a nuclear device is used, density determinations for embankment material will be related to the density of the same material tested in accordance with VTM-1 or VTM-12 and a control strip will not be required.

As the compaction of each layer progresses, continuous leveling and manipulating shall be performed to ensure uniform density. Prior to placement of subsequent layers, construction equipment shall be routed uniformly over the entire surface of each layer or the layer shall be scarified to its full depth in the area where the equipment is routed.

When the excavated material consists predominantly of rock fragments of such size that the material cannot be placed in layers of the thickness prescribed without crushing, pulverizing, or further breaking down the pieces resulting from excavation methods, such material may be placed in the embankment in layers that are not thicker than the approximate average size of the larger rocks. Rock not more than 4 feet in its greatest dimension may be placed in an embankment to within 10 feet of the subgrade. The remainder of the embankment to within 2 feet of the subgrade shall not contain rock more than 2 feet in its greatest dimension. Each layer shall be constructed so that rock voids are filled with rock spalls, rock fines, and earth. Rock shall be placed, manipulated, and compacted in uniform layers. However, density requirements may be waived. Rock, rock spalls, rock fines, and earth shall be distributed throughout each embankment layer and manipulated as specified herein so that the voids are filled. Rock shall not be end dumped over the edges of the layer being constructed but shall be deposited on the layer and moved ahead so as to advance the layer with a mixture of rock, rock spalls, rock fines, and earth. The 2 feet of the embankment immediately below the subgrade shall be composed of material that can be placed in layers of not more than 8 inches before compaction and compacted as specified herein for embankments. Rock more than 3 inches in its greatest dimension shall not be placed within 12 inches of the subgrade in any embankment.

Rock, broken concrete, or other solid materials shall not be placed in embankment areas where piling is to be placed or driven.

The best material shall be reserved for finishing and dressing the surface of embankments. Work necessary to ensure the reservation of such material shall be the responsibility of the Contractor. Section 303.06(a) will not apply to subsequent handling of capping material.

CBR values, stipulated for Embankment, shall apply to the uppermost three feet of fill below the top of earthwork, as defined in Section 101 of the Specifications. Embankment, installed below the top three feet shall consist of suitable fill material, available from regular excavation, borrow excavation or embankment, as defined and of a quality consistent with project requirements.

Crushed glass shall be limited within the boundaries of the embankment as follows. Crushed glass shall be a minimum of two feet inside the side slope and contain a minimum of two feet of soil embankment cap. For those areas where crushed glass is to be incorporated into the embankment, glass may constitute up to approximately ninety percent by weight of that portion of the embankment, except where 100 percent crushed glass is used for drainage purposes (including blankets).

Crushed glass shall be blended with soil and/or soil like materials as follows:

- 1 The embankment shall be constructed by placing alternate four-inch layers of waste glass and soil and mixing and blending by scarification or other approved methods during compaction. The thickness of uncompacted layers of soil/glass shall be a maximum of 8 inches (loose); or
- 2 Pugmilled in predetermined ratios to a visually consistent blend and placed in lifts of a maximum of 8 inches (loose); or

3 As directed by the Engineer.

Compaction of the soil/glass embankment shall be to the satisfaction of the Engineer and shall be accomplished with a vibratory compactor or other approved methods. Moisture and density requirements for the soil/glass embankments shall be the same as other conventional soil embankment in accordance with the requirements of Section 303 of the Specifications.

Normal compaction procedures and requirements are to be used for compaction of the soil embankment "cap" above the crushed glass/soil blends.

(i) **Settlement Plates and Surcharge:** The Contractor shall expedite construction of embankment to provide the maximum time possible for settlement prior to completing grading operations.

1 **Settlement plates:** The base of settlement plates shall be firmly seated into original ground for the full depth of the steel fins. The base shall be leveled. The Engineer shall be provided time to obtain the elevation of the seated base and the top elevation of the pipe extensions prior to placement of embankment material. Pipe extensions shall not be more than 4 feet in length and shall be vertically installed as the embankment is constructed such that the top of the pipe is not covered. As each extension is added, the Engineer shall be provided time to obtain the top elevation of the existing pipe and the top elevation of the new pipe extension. Pipe extensions shall be properly flagged at all times. Care shall be taken while placing and compacting embankment material around pipe extensions. Settlement plates shall be maintained until no longer required, as determined by the Engineer. Upon completion of the normal embankment plus 2 feet of the specified surcharge, the Contractor shall immediately commence placing the remaining surcharge to the limits shown on the plans or as directed by the Engineer. The remaining surcharge shall be placed in lifts of not more than 1 foot in depth and compacted uniformly with construction hauling and spreading equipment. Each lift shall be completed over the entire surcharge area before the next lift is begun.

If a settlement plate is damaged, the Contractor shall notify the Engineer immediately and promptly repair it under the observation of the Engineer to the nearest undamaged pipe. Excavation, backfill, compaction, and repair of settlement plates shall be at the Contractor's expense. The Engineer shall be provided time to obtain the top elevation of the undamaged connection and the top elevation of each subsequent pipe extension.

Settlement plates shall remain in place until settlement has been completed as indicated by elevation readings taken by the Engineer at approximately 2-week intervals. Evaluation of the readings by the Engineer will be the final and sole governing factor for releasing embankments for grading operations. Upon written release by the Engineer, extensions of settlement plate pipe shall be removed to at least 2 feet below the subgrade, the pipe capped, and the area backfilled and compacted.

2 **Surcharge:** When authorized by the Engineer, surcharge shall be removed to the subgrade and embankment slopes graded to the typical section. Removed surcharge shall be placed in roadway embankments not previously brought to grade or shall be disposed of in accordance with Section 106.04 or as directed by the Engineer.

(j) **Hydraulic Embankment:** Hydraulic embankment shall consist of dredging and pumping materials approved by the Engineer from designated areas, placing the material in embankments, and dressing and completing the embankment. Material shall be nonplastic and of such grading that not more than 7 percent will pass the No. 200 sieve.

Unless otherwise shown on the plans, material for the embankment shall not be obtained from sources closer than 300 feet from the toe of the slope of the embankment. The Engineer may reject materials considered to be unsatisfactory for use in the embankment, and such materials shall be stripped at the Contractor's expense before the embankment is built. Muck and unsuitable material shall be removed to the line, grade, and section shown on the plans. Unsatisfactory material brought to the top of the embankment shall be removed by the Contractor at his own expense, and satisfactory material shall be substituted.

In placing material in the embankment, the Contractor shall begin at the centerline and deposit material in either or both directions toward the toe of slopes. Discharge shall always be in the direction of and parallel with the centerline. The maximum distance from the bottom of the discharge pipe to the surface on which material is being deposited shall be 5 feet unless otherwise directed by the Engineer. Material shall be deposited in a manner that will maintain a higher elevation at the center of the roadway than on either side. The Contractor will not be permitted to

construct retaining levees along the roadway of such dimensions as to cause damage to the foundation of the roadway. The Contractor shall conduct operations so as to ensure the completion of an embankment that will conform to the cross section shown on the plans except that he will be permitted to flatten side slopes. However, if material is deposited on private property, the Contractor shall obtain permission in writing from the affected property owner(s). No payment will be made for material beyond the limits of the net pay section.

The embankment shall be placed so as to ensure a minimum relative density of 80 percent of the theoretical maximum density when tested in accordance with (h) herein. If the method of placing the embankment fails to produce the required density, the Contractor shall use approved methods to obtain the specified density.

The Contractor shall take all necessary precautions to prevent placing material in streams. The Contractor shall be responsible for all damage to or caused by the hydraulic embankment. The Contractor shall provide sufficient material to maintain the embankment in accordance with the typical cross section as shown on the plans or as directed by the Engineer until final acceptance.

The Contractor's plan for support of suction or discharge pipes shall be submitted to and approved by the Engineer. Traffic shall be protected by the display of warning devices both day and night. If dredging operations damage an existing traveled highway, the Contractor shall cease operations and repair damage to the highway.

- (k) **Surplus Material:** Surplus material shall not be wasted or sold by the Contractor unless authorized in writing by the Engineer. When authorization has been given for surplus material to be wasted, it shall be disposed of in accordance with the requirements of Section 106.04.

Material shown on the plans as surplus material will not be considered for overhaul payment.

- 1 **Disposal of surplus material within the right of way where the haul distance is 2,000 feet or less:** Surplus material shall be used or disposed of where directed within a haul distance of 2,000 feet of its origin. Usage in this manner will not be considered a change in the character of the work.
- 2 **Disposal of surplus material within the right of way where the haul distance is more than 2,000 feet:** The Engineer reserves the right to require the Contractor to use surplus material in lieu of furnishing borrow, or as otherwise directed, where the haul distance from the origin of the material is more than 2,000 feet. Disposal of surplus material at locations requiring a haul of more than 2,000 feet will be considered a change in the character of work unless otherwise noted on the plans.

When material is declared surplus during construction and must be transported more than 2,000 feet from its origin, the Department will pay the Contractor \$0.03 per station per cubic yard for overhaul. The quantity of surplus excavation will be determined by vehicle measurement in accordance with the provisions of Section 109.01 or from cross-section measurements by the average end area method. The haul distance will be measured along a line parallel with the centerline of the roadway from the center of the excavated area to the center of the placement area. Overhaul will be the product of the quantity of surplus material in cubic yards and the haul distance in excess of 2,000 feet in 100-foot stations.

303.05—Tolerances

- (a) **Finished grade of subgrade** shall conform to the requirements of Section 305.03(c).
- (b) **Slopes** shall be graded in the following manner:
 - 1 Earth excavation slopes:
 - a. **Slopes steeper than 2:1** shall be grooved in accordance with the standard drawings and shall not deviate from the theoretical plane surface by more than 0.5 foot.
 - b. **Slopes steeper than 3:1 up to and including 2:1** shall be rough graded in a manner to provide horizontal ridges and grooves having no more than 0.5 foot deviation from the theoretical line of the typical cross section as is accomplished by the normal operation of heavy grading equipment.
 - c. **Slopes 3:1 or flatter** shall be uniformly finished and shall not deviate from the theoretical plane surface by more than 0.5 foot.

2 **Earth embankment slopes:**

Slopes steeper than 3:1 shall not deviate from the theoretical plane slope by more than 0.5 foot and shall be rough graded in a manner to provide horizontal ridges and grooves not more than 0.5 foot from the theoretical line of the typical cross section as is accomplished by the normal operation of heavy grading equipment.

- a. **Slopes 3:1 and flatter** shall be uniformly finished and shall not deviate from the theoretical plane surface by more than 0.5 foot.

3 **Rock slopes** shall not deviate from a plane surface by more than 2.0 feet and shall not deviate from their theoretical location by more than 2.0 feet measured along any line perpendicular to the theoretical slope line.

Finished excavation and embankment slopes shall not deviate from their theoretical location by more than 0.5 foot measured along any line perpendicular to the theoretical slope line.

303.06—Measurement and Payment

- (a) **Excavation:** Excavation will be paid for at the contract unit price per cubic yard unless otherwise specified.

Excavation requiring more than one handling prior to final placement will be paid for at the contract unit price for regular excavation for each handling approved by the Engineer unless there is a pay item for the second handling, in which case work will be paid for at the contract price for such handling.

Quantities of regular or borrow excavation used to backfill pipe, pipe culverts, and box culverts will not be deducted from quantities due the Contractor for payment.

- 1 **Regular excavation:** When payment is specified on a cubic yard basis, regular excavation will be measured in its original position by cross-sectioning the excavation area. This measurement will include overbreakage or slides not attributable to the carelessness of the Contractor and authorized excavation of rock, muck, root mat, or other unsuitable material except material included in undercut excavation. Volumes will be computed from cross-section measurements by the average end area method.

When it is impractical to measure material by the cross-section method, other acceptable methods involving three-dimensional measurements may be used.

Excavation for benching slopes to accommodate roadway embankments as specified in Section 303.04(h) will not be measured for separate payment. The cost thereof shall be included in the price for the related excavation or embankment item.

Excavation of existing roadways required to incorporate old roadway into new roadway or remove salvageable materials for use in traffic maintenance, other than those covered in Section 508, will be measured as regular excavation.

When “presplitting rock cuts” is shown on the plans, the work shall be considered incidental to the cost of excavation and will not be measured for separate payment.

In cut sections, excavation of topsoil and root mat and material down to a point 1 foot below the elevation of the top of earthwork or to the depth specified on the plans will be measured as regular excavation. When areas of unsuitable material are shown on the plans, excavation down to a point 1 foot below the elevation of such material shown on the plans will be measured as regular excavation.

In fill sections, excavation of topsoil and root mat and material down to an elevation of 1 foot below the bottom of topsoil and root mat will be measured as regular excavation. When areas of unsuitable material are shown on the plans, excavation down to a point 1 foot below the elevation of such material shown on the plans will be measured as regular excavation.

If slide material approved for measurement cannot be measured accurately, or if the removal of slide material will require different equipment than that being used in the regular excavation operations, payment therefor may be made on a force account basis when authorized by the Engineer.

Excavation of surface ditches specified on the plans or otherwise required by the Engineer will be paid for as regular excavation except that when required after the slopes have been completed and the work cannot be performed with mechanical equipment, the excavation will

be paid for as undercut excavation.

- 2 **Borrow excavation:** Borrow excavation will be measured in its original position by cross-sectioning the area excavated. The number of cubic yards will be computed from cross-section measurements by the average end area method. When it is impractical to measure the borrow excavation, vehicular measurement in accordance with Section 109.01 may be used.

Borrow excavation with a stipulated CBR value shall be measured and paid for as borrow excavation with the CBR value as specified.

Borrow excavation without a stipulated CBR value shall be measured and paid for as borrow excavation.

- 3 **Undercut excavation:** Measurement will be made by cross-sectioning the undercut area. The number of cubic yards will be computed by the average end area method. When it is impractical to measure material by the cross-section method because of erratic location of isolated deposits, acceptable methods involving three-dimensional measurements may be used.

When unsuitable material must be removed from an area of the project where undercut is not shown on the plans, unsuitable material removed after reaching the depth specified in (a)1. herein, or 1 foot below original ground in fill sections where topsoil and root mat are not required to be removed, will be measured as undercut excavation.

Excavation of rock or unsuitable material below the elevation of the bottom of the lower theoretical slab or culvert thickness or below the excavation limits shown on the plans or standard drawings for normal earth foundations, whichever is the greater depth, of minor structures having a span(s) or opening(s) of less than 48 inches will be measured for payment as undercut excavation. Such excavation for structures having a span(s) or opening(s) of 48 inches or greater will be measured as minor structure excavation in accordance with (a)4. herein.

Undercut excavation will be paid for at the contract unit price per cubic yard. This price shall include removal and disposal. When not a pay item, undercut excavation will be paid for at twice the unit price per cubic yard for regular excavation.

- 4 **Minor structure excavation:** Excavation of material above the elevation of the bottom of the lower theoretical slab or culvert thickness, or above the excavation limits shown on the plans for earth foundations, whichever is the greater depth, for culverts having a maximum span or opening of less than 48 inches will not be measured for payment.

Excavation of material for culverts having a span(s) or opening(s) of 48 inches or greater and excavation for minor structures not covered elsewhere in these specifications will be measured in cubic yards of minor structure excavation. The quantity allowed for payment will be the actual volume of material removed as bounded by the bottom of the lower theoretical slab or culvert thickness, or lower excavation limits shown on the plans for earth foundations, whichever is the greater depth; the original ground or regular excavation pay line, whichever is the lower elevation; and vertical planes 18 inches outside the neat lines of the structure (excluding wingwalls and other appurtenances) or bound by vertical planes coincident with the applicable bedding excavation limits shown on the plans. Payment for excavation for wingwalls and other appurtenances to structures will be based on the ratio of the plan area of the wingwalls or appurtenances to the plan area of the barrel. Once the ratio has been determined, the pay quantity for minor structure excavation will be increased accordingly.

If embankment is placed prior to installation of a minor structure, excavation of the embankment area will not be measured for payment unless the Contract requires placement of the embankment prior to the installation of the minor structure.

The volume of the interiors of culverts, drop inlets, and other existing minor structures that must be removed will not be deducted from the overall quantity of minor structure excavation allowed for payment.

The price of minor structure excavation shall include the cost of backfill above the horizontal planes of the neatlines of the Class I or Class II backfill areas to original ground. Class I and Class II backfill shall be measured and paid for in accordance with Section 302.04.

- 5 **Earthwork:** When a pay item, earthwork will be paid for at the contract lump sum price, wherein no measurement will be made. This price shall include regular excavation, minor structure excavation, and grading.

(b) **Embankments:**

- 1 **If embankment is not a pay item**, the cost of embankment construction will be considered incidental to other items of excavation.
- 2 **If embankment is a pay item and regular excavation is to be paid for on a plan quantity basis**, the quantity of embankment for which payment will be made will not be measured separately but will be computed in accordance with the following:

- a. The regular excavation plan quantity will be adjusted in accordance with (C) Plan Quantities herein.
- b. The quantity of unsuitable material will be measured and subtracted from the adjusted regular excavation quantity determined in 2.a. herein. Quantities of unsuitable material removed from fill areas or below the subgrade in cut areas will be determined by using plan dimensions and may be adjusted for deviations based on actual measurement. Actual dimensions will be used to determine the quantity of any other unsuitable material.
- c. The total quantity shown on the plans will be adjusted for quantities not anticipated on the plans, such as changes in grade or undercut determined to be necessary during construction.
- d. The quantity of suitable material determined in 2.b. herein will be subtracted from the adjusted total fill quantity determined in 2.c. herein. The resultant quantity will be the embankment quantity for which payment will be made.

The Contractor shall be responsible for determining the effect of the shrinkage or swell factor of the material, and no adjustment will be made in pay quantities for this factor.

Hydraulic embankment will be paid for as embankment.

- 3 **If embankment is a pay item and regular excavation is to be paid for on the basis of measured quantities**, the quantity of embankment will be measured in cubic yards computed by the average end area method from the dimensions of the embankment cross section.

Cross sections of the area to be covered by the embankment will be taken after the denuding or removal of unsuitable material and before any material is placed thereon. These cross sections shall extend laterally from the centerline to the toes of slopes as indicated on the typical cross section. The elevations as determined by these sections will be considered the original ground line. The pay quantity to be measured will be the volume of material included in the section above the original ground and below the upper limits of the typical cross section.

When regular excavation is a pay item, the embankment area to be cross-sectioned will exclude that portion of the fill constructed from regular excavation. Material outside the limits of typical cross sections as shown on the plans will not be measured or paid for.

- 4 **Extra embankment required for subsurface consolidation** will be determined by the use of settlement plates. The total settlement recorded at each settlement plate will be allowed across 75 percent of the lateral width of each section. Volumes will be computed using the average end area method. Embankment quantities will be adjusted as specified herein to include extra embankment for subsurface consolidation.

Settlement plates will be measured and paid for in units of each, complete-in-place. This price shall include furnishing, installing, maintaining, and removing when no longer required.

Surcharge placement and removal will be measured in cubic yards as determined by the plan quantity and will be paid for at the contract unit price per cubic yard. This price shall include furnishing, placing, and removing surcharge material and disposing of surplus and unsuitable materials.

- 5 **If geotextile drainage fabric is a pay item**, measurement and payment will be in accordance with the requirements of Section 504.

- 6 **Geotextile for embankment stabilization** will be measured in square yards complete-in-place. Overlaps and seams will not be measured for separate payment. The accepted quantity of geotextile will be paid for at the contract unit price per square yard, which price shall be full compensation for furnishing, placing, lapping, or seaming material and for all materials, labor, tools, equipment, and incidentals necessary to complete the work.

Embankment with a stipulated CBR value shall be measured and paid for as embankment with the CBR value as specified.

Embankment without a stipulated CBR value shall be measured and paid for as embankment.

- (c) **Plan Quantities:** The quantity of regular excavation for which payment will be made when plan quantities are specified will be that specified in the Contract. However, borrow excavation; excavation for entrances; unsuitable material below the top of earthwork; undercut excavation; slide excavation; rock excavation that changes the slopes or causes undercut; and side, inlet, and outlet ditches not covered by plan cross sections will be measured in their original position by cross sections and computed in cubic yards by the average end area method.

Where there are authorized deviations from the lines, grades, or cross sections, measurements will be made and the volume computed in cubic yards by the average end area method for these deviations. The plan quantity will then be adjusted to include these quantities for payment.

When unauthorized deviations occur, allowances will not be made for overruns. However, if the deviation decreases the quantities specified in the Contract, only the actual yardage excavated will be allowed.

- (d) **Backfill:** Furnishing and placing backfill material, including backfill for undercut, will be included in the price for excavation and will not be measured for separate payment unless specific material is a pay item for backfill or unless suitable material is not available within the construction limits. When a specific material is a pay item, the unit of measure of the material will be in accordance with the unit specified in the Contract. When suitable backfill is not available within the construction limits, the material furnished and placed by the Contractor will be paid for in accordance with the requirements of Section 109.05.

- (e) **Erosion Control Items:**

- 1 **Limiting the scope of construction operations, shaping the top of earthwork, and constructing temporary earth berms and brush silt barriers for temporary erosion and siltation control** will not be measured for payment but shall be included in the price for other appropriate pay items.

- 2 **Erosion control riprap** will be measured and paid for in accordance with the requirements of Section 414.04.

- 3 **Temporary protective covering** will be measured and paid for in accordance with the requirements of Section 606.04.

- 4 **Check dams** will be paid for at the contract unit price per each. This price shall include furnishing, excavating, constructing, maintaining, and removing check dams when no longer required.

Synthetic check dams may be substituted for Type II Rock Check Dams (Standard EC-4) at no additional cost to the Department.

- 5 **Temporary silt fences** will be measured in linear feet, complete-in-place, excluding laps, and will be paid for at the contract unit price per linear foot. Decomposed or ineffective geotextile fabric replaced after 6 months from the installation date will be measured in linear feet of temporary silt fence and paid for at 1/2 the contract unit price for temporary silt fence. Decomposed geotextile fabric required to be replaced prior to 6 months after installation will not be measured for payment. This price shall include furnishing, installing, and maintaining the silt fence, including wire reinforcement and posts; removing and disposing of these materials, and dressing and stabilizing the area.

- 6 **Geotextile fabric** attached to brush barriers or existing fence or used for another function specified on the plans and not included in other pay items will be measured in square yards, complete-in-place, excluding laps, and will be paid for at the contract unit price per square yard. The brush barrier will not be measured for payment. The cost thereof shall be included in the price for clearing and grubbing. This price shall include trimming the brush barrier; furnishing, installing, maintaining, and removing the fabric; and dressing and stabilizing the area.

- 7 **Temporary filter barriers** will be measured in linear feet, complete-in-place, excluding laps, and will be paid for at the contract unit price per linear foot. Decomposed or ineffective geotextile fabric replaced after 6 months from the installation date and decomposed or ineffective burlap fabric replaced after 3 months from the installation date will be measured in linear feet of temporary filter barrier and paid for at 1/2 the contract unit price for temporary filter barrier. Decomposed geotextile fabric required to be replaced prior to 6 months and decomposed burlap fabric required to be replaced prior to 3 months after installation will not be measured for payment. When permitted, baled straw silt barrier used in lieu of temporary filter barrier will be paid for in linear feet of temporary filter barrier, complete-in-place. This price shall include furnishing, installing, and maintaining the filter barrier, including filter barrier material and posts; removing and disposing of these materials; and dressing and stabilizing the area. If the Contractor is permitted to use baled straw silt barrier in lieu of temporary filter barrier, payment will be made at the price for temporary filter barrier.
- 8 **Silt cleanout**, when approved or directed by the Engineer, will be measured as siltation control excavation in cubic yards of vehicular measurement in accordance with the requirements of Section 109.01 for the full volume of the vehicle.

Silt removal and sediment cleanout will be paid in cubic yard of siltation control excavation. Payment shall be full compensation for removal of silt and sediment approved or directed by the Engineer and for transportation and disposal of the material.

If approved or directed by the Engineer, the installation of additional temporary silt fence and temporary filter barrier in lieu of silt cleanout will be measured in linear feet as specified in (e)5. and (e)7. herein.
- 9 **Seeding materials** will be measured and paid for in accordance with the requirements of Section 603.
- 10 **Temporary erosion and siltation measures required to correct conditions created because of the Contractor's negligence, carelessness, or failure to install permanent controls in accordance with the plans and sequence for performance of such work** will not be measured for payment.
- 11 **Slope drains** will be measured in units of each, per location regardless of size or length and will be paid for at the contract unit price per each. Raising of the slope drain and addition of pipe lengths will not be measured as a new location. This price shall include furnishing, installing, maintaining, and removing the drain and end section or portable flume.
- 12 **Sediment traps and basins** will be measured in cubic yards of sediment basin excavation and will be paid for at the contract unit price per cubic yard. This price shall include excavation, maintenance, and backfill or removing to original ground when no longer needed.
- 13 **Storm water management basin excavation** will be measured in cubic yards and will be paid for at the contract unit price per cubic yard. The price shall include excavation, maintenance, and shaping of basin.
- 14 **Temporary sediment basin excavation** will be measured in cubic yards and will be paid for at the contract unit price per cubic yard. The price shall include excavation, maintenance and when no longer required the removal of dam, pipe, riser pipe, trash rack, backfill and site restoration.
- 15 **Drop inlet silt trap** will be measured in units of each and paid for only one time during the life of the project.
- 16 **Dewatering basin** will be measured and paid for at the contract unit price per each. This price shall include furnishing, installing, maintaining, and when no longer required, removing the dewatering basin; backfill; and site restoration.
- 17 **Erosion control mulch** shall be paid for per square yard or acre. This includes all materials and equipment necessary for the application.

Payment will be made under:

Pay Item	Pay Unit
Regular excavation	Cubic yard
Borrow excavation	Cubic yard
Borrow excavation (CBR [value])	Cubic yard
Sediment basin excavation	Cubic yard
Siltation control excavation	Cubic yard
Undercut excavation	Cubic yard
Minor structure excavation (Item)	Cubic yard
Earthwork	Lump sum
Embankment	Cubic yard
Embankment (CBR [value])	Cubic yard
Settlement plate	Each
Surcharge placement and removal	Cubic yard
Geotextile (Embankment stabilization)	Square yard
Check dam (Type) (Log, rock, or straw)	Each
Temporary silt fence	Linear foot
Geotextile fabric	Square yard
Temporary filter barrier	Linear foot
Slope drain	Each
Storm water management basin excavation	Cubic yard
Temporary sediment basin excavation	Cubic yard
Drop inlet silt trap (Type)	Each
Dewatering basin	Each
Erosion control mulch	Square yard or acre

SECTION 401—STRUCTURE EXCAVATION

401.01—Description

This work shall consist of excavating and backfilling or disposing of material necessary for constructing substructures and superstructures of new bridges and altering existing bridges in accordance with the requirements of these specifications and in conformity with the lines and grades shown on the plans or as established by the Engineer.

401.02—Materials

- (a) **Backfill** shall be approved by the Engineer and shall be free from large or frozen lumps, wood, or rocks more than 3 inches in their greatest dimension or other extraneous material. Porous backfill shall conform to the requirements of Section 204.02(c).
- (b) **Pipe underdrains** shall conform to the requirements of Section 232.02.

401.03—Procedures

Excavated material shall generally be used for backfilling and constructing embankments over and around the structure. Surplus or unsuitable material shall be disposed of in a place and manner such that it will not affect or re-enter streams or otherwise impair the hydraulic efficiency or appearance of the structure or any part of the roadway.

Where practicable, substructures shall be constructed in open excavation and, where necessary, the excavation shall be sheeted, shored, braced, or protected by other means. If footings can be placed in the dry without the use of sheeting or cofferdams, forms may be omitted with the approval of the Engineer and the entire excavation filled with concrete to the required elevation of the top of the footing. When forms are eliminated, measurement and payment for structure excavation and concrete will be on a plan quantity basis wherein no adjustments will be made.

Unless tremie placement of concrete is specified, foundations for footings shall be kept free from standing or surface water until concrete and backfill operations have been completed. However, if the foundation is rock or the footing is supported on piles, other than for shelf abutments, dewatering need be performed only during concrete and backfill operations.

- (a) **Preserving the Channel:** Excavation shall not be performed outside caissons, cribs, cofferdams, or sheet piles. The natural streambed adjacent to the structure shall not be disturbed. Material deposited in the stream area because of the Contractor's operations shall be removed, and the stream area shall be freed from obstructions caused by the Contractor's operations.

Prior to beginning work, the Contractor shall submit a plan that shall include the specific location of temporary structures or other obstructions that will constrict the stream flow, a description of construction activities that will contribute to constricting the stream flow, the dimensions and number of temporary structures and constrictions that are to be placed in the stream at any one time, and a dimensional elevation view of the stream and proposed temporary structures and constrictions.

- (b) **Depths of Foundations:** Elevations denoting the bottom of footings shown on the plans shall be considered approximate only. Foundations shall not be considered satisfactory until approved by the Engineer.

When requested, the Contractor shall explore foundations by rod soundings or drillings to determine, to the satisfaction of the Engineer, the adequacy for the foundations to support the structure. If explorations indicate that satisfactory foundations can be obtained, variations from plan depths to foundations of open column abutments and solid or column piers shall be made only by adjusting stem lengths. Footing depths shown on the plans shall be considered minimum depths. Plan depths of concrete for footings may be increased not more than 24 inches at points of local irregularity over solid rock foundations.

Variations from plan depths to foundations of solid or deep curtain-wall abutments shall be made only by adjusting the depths of footings. Plan depths shall be considered minimum depths and shall not be exceeded by more than 3 feet.

If explorations reveal that foundations or subfoundations are inadequate for the structure, or are not within the limits of permissible variation from the bottom of footing elevations, the Engineer shall be consulted for instructions for further action or redesign.

- (c) **Preparing Foundations for Footings:** Hard foundation material shall be freed from loose material; cleaned; and cut to a firm surface, either level, stepped, or serrated as directed by the Engineer. Seams shall be cleaned out and filled with concrete as directed by the Engineer.

When concrete is to rest on an excavated surface other than rock, the bottom of the excavation shall not be disturbed. The final removal of foundation material to grade shall not be performed until just prior to concrete placement.

When the elevation of the bottom of a footing is above the level of the original ground, the footing shall not be placed until the approach embankment has been placed and compacted to the elevation of the top of the footing and excavation has been performed through the embankment to the elevation of the bottom of the footing. When a footing is to be placed over material subject to movement because of pressure from overlying or adjacent fill, the footing or piles for the footing shall not be placed until after the fill has been placed and compacted. Excavation for shelf abutment footings shall be limited to a perimeter extending not more than 18 inches outside the neat lines at the bottom of the footing.

When the material on which a foundation is to be placed using piles is declared unsatisfactory by the Engineer, the excavation shall be undercut for a depth of 6 to 12 inches as directed and backfilled with crusher run aggregate, select borrow, or other material approved by the Engineer.

- (d) **Holes for Drilled-In Caissons:** Foundation bearing areas shall be cut to an approximately level surface except that they may be stepped or serrated on hard rock. If material is encountered that is not sufficiently cohesive to maintain the proper diameter of the hole, casing shall be used.
- (e) **Cofferdams:** Cofferdams for foundation construction shall be as watertight as practicable and carried to a depth that will allow them to function properly without displacement. The interior dimensions of cofferdams shall be such as to give sufficient clearance for the construction of forms and inspection of their exteriors and permit pumping from outside the forms. Cofferdams that are tilted or moved laterally during sinking shall be realigned to provide the required clearance.

If conditions are encountered that render it impracticable to dewater the foundation, the Contractor may be required to construct a concrete foundation seal of the dimensions necessary to ensure that the balance of the concrete can be placed in the dry. When weighted cofferdams are employed and the weight is used to overcome the hydrostatic pressure acting against the bottom of the foundation seal, anchorage, such as dowels or keys, shall be provided to transfer the entire weight of the cofferdam into the foundation seal. Cofferdams that are to remain in place shall be ported at the low water level.

Cofferdams shall be constructed in a manner to prevent damage to fresh concrete from a sudden rising of the stream and prevent damage to the foundation by erosion. Timber or bracing left in cofferdams shall not extend into the substructure concrete.

Cofferdams, including sheeting and bracing, shall be removed after completion of the substructure in a manner that will not disturb or damage the finished concrete.

- (f) **Pumping:** Pumping from the interior of a foundation enclosure shall be performed in a manner to preclude the possibility of water moving through any fresh concrete. Pumping will not be permitted during concrete placement or for at least 24 hours thereafter unless it is performed from a suitable sump separated from the concrete work.
- (g) **Protecting Existing Structures or Utilities:** When foundations are located such that excavation may endanger or interfere with an existing structure or utility, the location of bracing and method of protection shall be subject to approval by the owner of the structure or utility.
- (h) **Inspection:** After each excavation has been completed, the Contractor shall notify the Engineer and request his inspection and approval. Concrete shall not be placed until the depth of the excavation and the character of the foundation material have been approved.
- (i) **Backfilling:** Excavated spaces that are not occupied by abutments, piers, or other permanent work shall be backfilled with soil to the surface of the surrounding ground. Backfill shall be uniformly compacted, and the top surface shall be neatly graded.

The fill around the perimeter of abutments, wingwalls, and retaining walls shall be placed in horizontal layers not more than 6 inches in loose thickness and compacted at ± 20 percent of optimum moisture to a density of at least 95 percent as compared to the theoretical maximum density as defined in Division I. Tests for compliance with density requirements will be performed in accordance with the requirements of VTM-12. As the work progresses, backfill in front of units

shall be placed and compacted in horizontal layers to the same elevation as the layers behind units until the final elevation in front is reached. Backfill shall be placed in a manner to prevent wedging action against the concrete. Slopes bounding excavation for abutments, wingwalls, or retaining walls shall be destroyed by stepping or serrating. Jetting of the fill behind abutments, wingwalls, or retaining walls will not be permitted.

Fills and backfills around piers not included in the roadway prism shall be constructed in uniformly compacted layers and placed alternately to maintain a uniform elevation on both sides of the structure. However, the density requirement will be waived.

Provision shall be made for drainage of backfill. Two-inch crusher run aggregate, conforming to the requirements of Section 205, shall be placed at the back of weep holes to extend 18 inches behind the entrance to the hole, 18 inches above the elevation of the bottom of the hole, and 18 inches laterally on each side of the centerline of the hole. Where crushed glass is used as porous backfill, No. 78 and/or No. 8 aggregate an 18-inch by 18-inch swatch of drainage fabric meeting the requirements of Section 245.03(c) shall be used to cover the #4 mesh at each weep hole opening exposed directly to crushed glass, or as approved by the Engineer.

ackfill shall not be placed against abutments or wingwalls until concrete has been in place 14 days, exclusive of days on which the average high-low air temperature is below 40 degrees F in the shade or until test cylinders have attained a compressive strength equal to 93 percent of the required 28-day design compressive strength.

Backfill shall be placed as soon as practicable following attainment of the required compressive strength but not later than 30 days after concrete placement. Excavation openings shall be maintained as dry as practicable at the time of backfilling. Backfill shall be placed in a manner to deter impoundment of water and facilitate existing drainage.

- (j) **Filled Spandrel Arches:** Fill for spandrel arches within 1 1/2 times the height of the arch shall be placed in a manner to load the ring uniformly and symmetrically. Fill material shall be homogeneous soil and shall be placed in horizontal layers not more than 6 inches in loose thickness, compacted in accordance with the requirements of Section 303.04(h), and brought up simultaneously from both haunches. Wedge-shaped sections of fill material against spandrels, wings, or abutments will not be permitted.
- (k) **Approach Embankment:** Approach embankment shall be constructed in accordance with the requirements of Section 303.04(h).

401.04—Measurement and Payment

Structure excavation will be measured in cubic yards of material removed from the limits of vertical planes within 18 inches outside the neat lines of footings or of neat work that does not have footings directly beneath it, such as curtain walls or cantilevered wingwalls. It will be measured from the surface of the original ground or approach roadway down to the bottom of the foundation shown on the plans or such foundation as the Engineer may approve, down to 18 inches below the bottom of the neat work not directly over footings, or to the top of existing concrete where excavation is to permit placing new concrete over existing concrete.

When specified on the plans, structure excavation will include material removed outside the limits specified for the substructure, in the vicinity of the substructure on which a superstructure rests, and to a depth of 18 inches below the lowest beam or bottom of the slab of the superstructure or to such depth as shown on the plans. The width of such excavation shall be limited to 18 inches outside the exterior beams or edges of the slab or as shown on the plans.

Excavation above the bottom of a proposed channel change or roadway template or an overpassed road will not be included as structure excavation.

Structure excavation will be paid for at the contract unit price per cubic yard. This price shall include clearing and grubbing, sheeting, shoring, bracing, placing and compacting backfill, dewatering, furnishing and placing aggregate for weep holes, disposing of unsuitable or surplus material, and clearing the channel of obstructions caused by construction operations.

Excavation for drilled-in caissons will be measured in linear feet of drilled hole from the existing ground to the bottom of the finished hole as measured along the centerline of the hole and will be paid for at the contract unit price per linear foot. This price shall include drilling, under reaming, casing, and preparing the hole.

Furnishing and placing backfill will be included in the price for structure excavation and will not be measured for separate payment unless specific material is required by the Engineer and no suitable material is available within the construction limits. When specific material is required for backfill by the Engineer,

measurement and payment will be in accordance with the requirements of Section 104.03.

Porous backfill, when a pay item, will be measured in cubic yards of material within the limits shown on the plans or as otherwise directed by the Engineer and will be paid for at the contract unit price per cubic yard.

Pipe underdrains, when a pay item, will be measured in linear feet and will be paid for at the contract unit price per linear foot.

Unsuitable materials removed below the plan foundation will be measured and paid for as structure excavation.

Foundation seals required by the Engineer and that are properly placed for structural adequacy as a part of the planned footing will be accepted as part of the permanent footing. Measurement and payment will be in accordance with the requirements of Section 404.08. Foundation seals that are not required by the Engineer will be included in the price for structure excavation.

Clearing and grubbing within the area defined by lines connecting the extremities of the substructure units, regardless of whether excavation is involved, shall be included in the price for structure excavation unless otherwise specified in the Contract.

Cofferdams will be measured in units of each per foundation and will be paid for at the contract unit price per each. This price shall include furnishing, erecting, maintaining, and removing.

Payment will be made under:

Pay Item	Pay Unit
Structure excavation	Cubic yard
Drilled holes	Linear foot
Porous backfill	Cubic yard
Pipe underdrain (Size)	Linear foot
Cofferdams	Each

SECTION 402—SHEET PILES

402.01—Description

This work shall consist of furnishing and installing the type of sheet piles shown on the plans or specified by the Engineer to be left in place or removed as part of the finished structure.

402.02—Materials

- (a) **Timber sheet piles** shall conform to the requirements of Section 236 and may be of any species of wood that can be driven satisfactorily. Piles shall be free from worm holes, loose knots, wind shakes, decayed or unsound portions, or other defects that might impair their strength or tightness.
- (b) **Concrete and reinforcing steel for concrete sheet piles** shall conform to the requirements of Sections 217 and 223.
- (c) **Steel sheet piles** shall conform to the requirements of Section 228.

402.03—Procedures

- (a) **Timber Sheet Piles:** Piles shall be sawed with square corners and provided with tongues and grooves of ample proportions, either cut from the solid material or made with three planks securely fastened together. Piles shall be drift sharpened at the lower ends to wedge the adjacent piles tightly together.
The top of piles shall be cut off to a straight line. Piles shall be braced with waling strips that are lapped and joined at splices and corners. Wales shall be in one length between corners and bolted near the top of the piles.
- (b) **Concrete Sheet Piles:** Concrete sheet piles shall be manufactured in accordance with the requirements of Section 403, 404, or 405, as applicable. Installation shall be in accordance with the requirements of Section 403.
- (c) **Steel Sheet Piles:** When assembled in place, pile sections shall be practically watertight at the joints. Painting of steel sheet piles shall be performed in accordance with the requirements of Section 403. Piles shall be provided with tongues and grooves of ample proportions for securely fastening together. Wales and structural supports shall be provided as necessary to ensure structural integrity.
- (d) **Temporary Sheet Piling:** When shown on the plans or directed by the Engineer, temporary sheet piling will be measured in square feet. The horizontal dimensions will be measured continuously along the outer face of the sheet piling. The bottom limit will be the bottom of the excavation shown on the plans or as authorized. The top limit will be the original ground line or, in areas adjacent to traffic, 3 feet above the original ground line, or as otherwise shown on the plans.

402.04—Measurement and Payment

Sheet piles will be measured in square feet of piles remaining in place and will be paid for at the contract unit price per square foot. The horizontal dimensions will be measured continuously along the outer face of the piling. For steel sheet piles, the horizontal dimension used shall be not more than the sum of the laying widths or driving dimensions of the individual piles.

Payment for **temporary sheet piling** will be made at the contract unit price per square foot, which price shall be full compensation for furnishing, driving, and removing the piling.

Sheet piles used for the convenience of the Contractor in his method and means will not be measured for separate payment but will be considered incidental to the work.

Payment will be made under:

Pay Item	Pay Unit
Sheet piles (Type)	Square foot
Temporary sheet piling	Square foot

SECTION 413—DISMANTLING AND REMOVING EXISTING STRUCTURES OR REMOVING PORTIONS OF EXISTING STRUCTURES

413.01—Description

This work shall consist of dismantling and removing all or portions of existing structures in accordance with these specifications and in conformity with the lines, grades, and details shown on the plans or as established by the Engineer. The Contractor shall make all necessary notifications, including, but not limited to, the National Emission Standards for Hazardous Air Pollutants (NESHAPs) demolition/renovation notification to the Virginia Department of Labor and Industry, amended notifications, and obtain any necessary permits in accordance with all applicable local, state, and federal laws and regulations. The Contractor shall protect the public and the environment from leaded paint or other hazardous material encountered in the work.

413.02—Procedures

- (a) **Dismantling and Removing Existing Structure:** Dismantling and removing existing structures shall include removing the entire superstructure, substructure, and slope protection. The substructure shall be removed down to the streambed or to an elevation of at least 2 feet below the natural ground or finished grade of embankment that is to remain in place. Removal shall include any part of the substructure or foundation piling that will interfere with the new construction. For bridges crossing streams under the jurisdiction of the U.S. Coast Guard, the substructure shall be removed to or below the bed of the stream as required by the U.S. Coast Guard.
- Dismantling structures for retention by the Contractor:** Removed materials shall become the property of the Contractor and shall be removed from the project. The Contractor shall assume all personal and property liability associated with such materials and shall protect and save harmless the Department from any and all damages and claims associated with the handling, transportation, storage, or use of such materials. The Department does not warrant the condition or the physical or chemical characteristics of the materials.
 - Dismantling structures for retention by the Department:** Dismantling shall be in accordance with a method approved by the Engineer and shall be such as to preserve the existing condition of materials.
Units shall be match marked for re-erection according to an approved diagram provided by the Department.
Material shall be stored as directed within ½ mile of the site of the existing structure.
- (b) **Removing Portion of Existing Structure:** The portions to be removed shall be the areas designated on the plans. No portion of the structure shall be removed by blasting or other methods that may damage any portion of the structure that will remain in place. When pneumatic hammers are used to remove concrete, their weight shall be not more than 90 pounds for widening work or 30 pounds for deck repair work. The use of tractor-mounted demolition hammers with a maximum manufacturer's rated striking energy of 1,000 foot-pounds will be permitted for the removal of concrete parapets down to the top of deck and for that portion of the deck where the reinforcing steel will be removed. The use of tractor-mounted demolition hammers or pneumatic hammers weighing more than 30 pounds shall not be allowed for the removal of that portion of the deck that is within 6 inches of the top flange of the beams/girders to remain in the structure. With the written approval of the Engineer, hydraulically actuated, jaw type, concrete crushers may be used for the removal of concrete parapets down to the top of the deck. The approval of hydraulically actuated, jaw type, concrete crushers shall be contingent upon continuous satisfactory results with no damage to any portion of the structure that is to remain in place. The removal of concrete parapet on prestressed concrete slab spans or prestressed concrete box beam spans shall be limited to 30-pound pneumatic hammers within 2 inches of the deck and not more than 90-pound pneumatic hammers for the remainder of the parapet unless otherwise approved by the Engineer.
Disturbed areas shall be uniformly graded to natural ground contours in a manner that will facilitate drainage and prevent impoundment of water.
Materials or portions of existing structures removed shall be handled in accordance with the requirements of (a)1. herein.
- (c) **Environmental and Worker Protection:** Heating, welding, flame cutting, grinding, chipping, needle gun cleaning, manual scraping, heat gun cleaning, drilling, straightening, and other

construction operations, or demolition of Type B structures, as defined in Section 411.01(b), that disturbs areas coated with a hazardous material shall require environmental and worker protection.

- 1 **Environmental protection** shall be in accordance with the requirements of Section 411.08 except that work involving the removal of 100 square feet or less of protective coating from a Type B structure will not require the Contractor to submit and implement an environmental plan as specified in Sections 411.08(a) and 411.08(b). However, the Contractor shall comply with appropriate local, state, and federal codes and regulations and shall employ appropriate measures to prevent the release of hazardous materials in the environment. Determination of the total square footage of removal area shall not include the cumulative area of coating disturbance from removal of bolts. Hazardous materials generated from the Contractor's operation shall be disposed of in accordance with the requirements of Sections 411.08(c) and 411.08(d).
- 2 **Worker health and safety protection** shall be in accordance with the requirements of Section 411.09 except that work involving the removal of 100 square feet or less of protective coating from a Type B structure will not require the Contractor to submit and implement a worker health and safety plan as specified in Sections 411.09(a) and 411.09(b). However, the Contractor shall comply with applicable codes and regulations regarding public and worker health and safety.

Upon completion of the project, the Contractor shall submit a written statement of certification for the Engineer's record, complete with all revisions including notations of any areas of non-compliance and corrective actions taken, that certifies both the Environmental Protection Plan and the Worker Health and Safety Plan were fully implemented during the performance of the work covered by this specification.

413.03—Measurement and Payment

Dismantling and removing an existing structure will be paid for at the contract lump sum price.

Removing a portion of an existing structure will be paid for at the contract lump sum price.

Environmental and worker protection, when a pay item, will be paid for at the contract lump sum price per structure. This price shall include containment operations, regulation compliance, plan approval services, worker protection, and other related costs.

Material disposal, when a pay item, will be paid for at the contract lump sum price per structure. This price shall include transporting, storing, and legal disposal of material.

If not shown as a pay item, the cost for worker and environmental protection and material disposal shall be included in other appropriate bid items.

Payment will be made under:

Pay Item	Pay Unit
Dismantle and remove existing structure (B or Str. No.)	Lump sum
Remove portion of existing structure (B or Str. No.)	Lump sum
Environmental and worker protection (B or Str. No.)	Lump sum
Material Disposal (B or Str. No. and type)	Lump sum

SECTION 414—RIPRAP

414.01—Description

This work shall consist of placing the specified type of riprap in accordance with the plans, Standard Drawings where applicable, and these specifications.

414.02—Materials

- (a) **Riprap** shall conform to the requirements of Section 204.
- (b) **Sand** shall conform to the requirements of Section 202. Grading A, B, or C sand may be used in mortared or grouted riprap.
- (c) **Mortar and grout** shall conform to the requirements of Section 218.
- (d) **Geotextile bedding** shall conform to the requirements of Section 245.
- (e) **Welded wire fabric** shall conform to the requirements of Section 223.

414.03—Procedures

- (a) **Dry Riprap:** The classes of dry riprap shall be as follows:
 - 1 **Class I:** Stones shall weigh between 50 and 150 pounds each. At least 60 percent shall weigh more than 100 pounds, and approximately 10 percent may weigh 50 pounds or less.
 - 2 **Class II:** Stones shall weigh between 150 pounds to 500 pounds each. At least 50 percent shall weigh more than 300 pounds, and approximately 10 percent may weigh 150 pounds or less.
 - 3 **Class III:** Stones shall weigh from 500 pounds to 1,500 pounds each. At least 50 percent shall weigh more than 900 pounds, and approximately 10 percent may weigh less than 500 pounds.
 - 4 **Class AI:** Stones shall weigh between 25 and 75 pounds each, except that approximately 10 percent may weigh 25 pounds or less and 10 percent may weigh 75 to 100 pounds.

Dry riprap shall be placed as follows:

Grading: Slopes shall be finished to a reasonably smooth and compact surface within a tolerance of 6 inches from the surface lines shown on the plans.

Immediately prior to placement of riprap bedding, the prepared base will be inspected. Riprap or bedding shall not be placed until the prepared base has been approved.

Bedding: Riprap bedding shall be placed on the embankment to form a backing for riprap. Riprap bedding shall be spread uniformly on the prepared base. Compaction of the bedding material will not be required, but material shall be finished to a reasonably even surface, free from mounds or depressions.

When geotextile bedding material is required, the entire perimeter of the material shall be turned down and buried at least 9 inches for anchorage. Adjacent strips of material shall run only up and down the slope and shall overlap at least 18 inches. Geotextile bedding material shall not be used on slopes greater than 1:1. If sewed, strips shall overlap at least 4 inches and shall be double stitched with a prayer seam, Type SSa 1. Damaged material shall be replaced or repaired with a patch of the same material overlapping the damaged area by at least 18 inches on all sides. Displaced material shall be repositioned, including, if necessary, removing and replacing riprap stone, at the Contractor's expense. Material shall be placed loosely so that positioning riprap will not stretch or tear it.

Placing stones: Riprap shall be placed on the embankment as soon as practicable after bedding has been finished but no later than 15 days in a manner that will produce a reasonably well-graded mass of rock with the minimum practicable percentage of voids. Riprap shall be placed to its full course thickness in one operation and in a manner to avoid displacing underlying material. Riprap stone shall not be dropped onto fabric from a height greater than 1 foot. Smaller-sized material shall not be dropped onto fabric from a height greater than 3 feet. Larger stones shall be reasonably well distributed.

Finished riprap shall be free from objectionable pockets of small stones and clusters of larger stones. Hand placing may be required to the extent necessary to secure the results specified and form uniform slopes.

A tolerance of $\pm 1/4$ of the thickness of the maximum-size stone from the lines and grades shown on the plans will be allowed in the finished surface. However, the extremes of such tolerance

shall be not continuous over an area of more than 200 square feet. Riprap shall be keyed into the natural ground in an approved manner and to a depth equal to the bed thickness or to solid rock.

The desired distribution of various sizes of stones throughout the mass may be obtained by selective loading at the source, controlled dumping of successive loads during final placement, or a combination of these methods. Placing riprap by dumping into chutes or similar methods likely to cause segregation of the various sizes will not be permitted.

Riprap protection shall be maintained until the riprap is accepted by the Engineer. Displaced material shall be replaced to the lines and grades shown on the plans at the Contractor's expense.

- (b) **Dumped Riprap:** The types of dumped riprap shall be as follows:
- 1 **Type I:** Core riprap shall be composed of compact angular pieces of derrick stone weighing from 3/4 ton to 2 tons each with an average weight of approximately 1 ton. Approximately 10 percent by weight may weigh less than 3/4 ton.
 - 2 **Type II:** Heavy riprap shall be composed of compact angular pieces of derrick stone weighing from 3 to 10 tons each with an average weight of approximately 4 tons. Approximately 10 percent by weight may weigh less than 3 tons.
- Dumped riprap shall be placed in the same manner described for dry riprap in (a) herein. Dumped riprap shall not be placed in layers.
- (c) **Mortared Riprap for Slopes:** Stone shall be the same size as specified for dry riprap, Class II, and shall be selected to secure fairly large, flat-surfaced stones that will produce a true and even surface with a minimum of voids. Stone shall be placed on a slope not steeper than the natural angle of repose of the fill material. Fifty percent of the mass shall be broad flat stones placed with the flat surface uppermost and parallel to the slope. Stones shall be placed first and roughly arranged in close contact, with the larger stones placed near the base of the slope. Spaces between larger stones shall be filled with stones of suitable size, leaving the surface reasonably smooth and tight and conforming to the contour required. Stones shall be placed in a manner so as to ensure for plane surfaces a maximum variation from a true plane of not more than 1/4 inches in 4 feet. Warped and curved surfaces shall have the same accuracy as specified for plane surfaces.
- As each larger stone is placed, it shall be surrounded by fresh mortar, and adjacent stones shall be shoved into contact. After larger stones are in place, spaces or openings between them shall be filled with mortar, and smaller stones shall then be placed by shoving them into position, forcing excess mortar to the surface, ensuring that each stone is carefully and firmly bedded laterally.
- After the work is complete, excess mortar forced up shall be spread uniformly to fill surface voids completely. Surface joints shall then be pointed roughly with flush or shallow smooth-raked joints.
- (d) **Grouted Riprap for Slopes:** Grout shall consist of 1 part hydraulic cement and 3 parts sand, thoroughly mixed with water to produce grout having a thick, creamy consistency.
- Stones shall be of the same sizes and placed in the same manner as specified for dry riprap, Class I. Care shall be taken during placing to keep earth or sand from filling spaces between stones. After stones are in place, spaces between them shall be filled with grout from bottom to top and the surface swept with a stiff broom. Riprap shall not be grouted in freezing weather. In hot, dry weather, the work shall be protected from sunlight and kept moist for at least 3 days after grouting by the use of saturated burlap.
- (e) **Erosion Control Stone for Culvert Outlet Protection:** Erosion Control Stone for Class AI, I, & II culvert outlet protection shall conform to the requirements for Dry Rip Rap Class AI, I, & II respectively of (a) herein for weight and shall be placed in a manner to present an irregular or rough surface.
- (f) **Erosion Control Riprap:** Riprap shall consist of sound, nonerodible shot rock or rock excavation, which may be obtained from within the excavation for the typical sections on the project. Erosion control riprap rock shall be not more than 15 inches in its greatest dimension and shall contain a sufficient percentage of smaller rocks to provide a reasonably dense mass with a thickness of at least 8 inches. Riprap shall be placed where shown on the plans or as directed by the Engineer in accordance with the requirements of Section 303.04(h).
- (g) **Concrete Riprap in Bags:**
- 1 **Wet mixture:** Riprap shall consist of Class C1 concrete in suitable burlap bags except in brackish or tidal water, where concrete shall be Class A3. Bags shall weigh approximately 100 pounds

when 2/3 filled with concrete. Each bag shall be securely tied and immediately placed in the work. When used for foundation protection, bags shall be placed in accordance with the provisions governing placement of stone riprap for foundation protection as specified. When used for slope protection, riprap shall be placed in conformance with the provisions governing placement of dry riprap.

- 2 **Dry mixture:** Riprap shall conform generally to the requirements for wet mixtures except that the mixture shall consist of the dry ingredients and the requirements for water, consistency, and air will be waived.

Burlap or paper bags will be permitted. Riprap shall be a rectangular solid approximately 3 inches in thickness and shall weigh approximately 80 pounds per bag. Paper bags shall be perforated throughout on approximate 1-inch centers and shall be of adequate seal, thickness, and strength to maintain the integrity of the riprap until setting of the concrete mixture. Bag compositions shall be such that bags will disintegrate without presenting environmental problems.

- (h) **Stone Riprap for Foundation Protection:** Riprap for pier, abutment, and bridge spill slope protection shall conform to the requirements of the applicable specifications.

- (i) **Concrete Slab Riprap for Stream Crossings:**

- 1 **Materials:** Riprap shall consist of Class A3 concrete, cast-in-place, 6 inches in thickness. Concrete shall have a consistency that will permit placement without the use of top forms.

Welded wire fabric shall be No. 6 gage wire, spaced 6 inches center to center.

- 2 **Excavation and fine grading:** The finished embankment slope shall be reasonably smooth and dense. A trench shall be dug at the toe of the slope to accommodate the toe of the slab. Slab riprap shall not be placed until the slope has been approved by the Engineer.
- 3 **Construction methods:** Riprap shall be constructed in accordance with the applicable requirements of Section 404 except as modified herein and shall be cured in accordance with the requirements of Section 316.04(j). Welded wire fabric shall be positioned at the center of the slab, shall run continuously throughout the slab, and shall lap approximately 6 inches at the edges of each sheet of fabric.

The berm portion of the slab shall be placed on a slope of approximately 12:1, draining away from the abutment. Where the edge of the slab is placed against the abutment, the joint shall be sealed to a depth of at least ½ inch with hot-poured joint sealer conforming to the requirements of Section 212.

The toe of the slab shall extend to an elevation at least 3 feet below the elevation of the toe of fill, and the lower edge of the slab shall be increased approximately 6 inches in thickness, tapering to its nominal thickness 3 feet up the slope from the lower edge of the slab. The tapering shall be on the underside of the slab. The slab shall be placed using one of the following methods:

- a. **Block method:** The slab shall be placed in alternate blocks approximately 4 feet by 4 feet.
- b. **Strip method:** The slab may be placed in alternate, continuous strips having scored or formed joints perpendicular to construction joints. Strips shall be placed in alternating widths of 4 feet and 5 feet, or 4 feet 6 inches each. Joints shall be at least 1 inch in depth and spaced approximately 4 feet 6 inches apart. The width of the joint shall be as small as possible.

Successive courses or strips shall not have joints that line up with the joints in the preceding courses or strips. Horizontal joints shall be normal to the slope. Joints shall be closed without filler.

After concrete is placed, it shall be consolidated and the surface struck off by means of a strike board. Concrete shall be float finished with a wooden or cork float. The surface shall not vary more than ½ inch under a 10-foot straightedge.

414.04—Measurement and Payment

Dry riprap will be measured in square yards of surface area or tons as specified.

Mortared riprap will be measured in square yards of surface area.

Grouted riprap will be measured in square yards of surface area or tons as specified.

Stone riprap for foundation protection will be measured in square yards of surface area or tons as specified.

Dumped riprap will be measured in square yards of surface area or tons as specified.

Concrete riprap in bags will be measured in cubic yards.

Concrete slab riprap will be measured in square yards. When an optional riprap is used in lieu of concrete slab riprap, bedding material will not be measured for payment and the riprap will be paid for at the contract unit price for concrete slab riprap.

Erosion control riprap will be measured in square yards of surface area or tons as specified.

Riprap will be paid for at the contract unit price. This price shall include furnishing and placing riprap, including welded wire fabric, mortar, or grout; excavation; and riprap bedding.

These prices shall include geotextile bedding material when required and when not a separate pay item for these purposes. The price bid shall include preparing the surface, furnishing and installing geotextile bedding material, overlaps, repair work, and excavating and backfilling toe-ins.

Erosion Control Stone used for Culvert Outlet Protection will be measured in square yards of surface area or tons for the class specified and will be paid for at the contract unit price per square yard or ton. This price shall include excavating, backfilling, preparing the surface, furnishing and installing geotextile bedding material including overlaps, repair work, excavating and backfilling toe-ins, and placing the required materials.

Payment will be made under:

Pay Item	Pay Unit
Dry riprap (Class and depth)	Square yard or ton
Mortared riprap (Depth)	Square yard
Grouted riprap (Depth)	Square yard or ton
Stone riprap (Depth)	Square yard or ton
Dumped riprap (Type and depth)	Square yard or ton
Concrete riprap in bags	Cubic yard
Concrete slab riprap	Square yard
Erosion control riprap (Depth)	Square yard or ton
Erosion control stone (Class, st'd)	Square yard or ton

SECTION 415—CONCRETE SLOPE PROTECTION

415.01—Description

This work shall consist of furnishing and installing precast concrete blocks in a bed of coarse sand or installing a concrete slab on embankments at or near bridge abutments as shown on the plans or as specified by the Engineer.

415.02—Materials

- (a) **Precast concrete blocks** shall conform to the requirements of Section 222.
- (b) **Mortar** shall be nonshrinking and shall conform to the applicable requirements of Section 218.
- (c) **Foundation course** shall be Grading B sand conforming to the requirements of Section 202 or approved local material similar in nature.
- (d) **Concrete** shall be Class A3 conforming to the requirements of Section 217.
- (e) **Welded wire fabric** shall be No. 6 gage, 6 inches center to center each way, conforming to the requirements of Section 223.
- (f) **Herbicide** shall conform to the requirements of Section 244.

415.03—Procedures

- (a) **Precast Concrete Block Slope Protection:** The subgrade shall be constructed at the required distance below the finished surface of the slope. Soft sections and unsuitable material shall be removed and replaced. The subgrade shall be compacted and shaped to a smooth, uniform surface.

The foundation course shall be spread on the subgrade to a depth of 2 inches and treated with an approved highly insoluble soil sterilant. Material shall be in a dry form and have a maximum solubility rate of 250 parts per million. Material shall be uniformly applied at the maximum rate recommended by the manufacturer.

Blocks shall be bedded in the foundation course perpendicular to the finished surface in straight rows, with the longest dimension horizontal. Blocks shall be placed with continuous joints extending horizontally on the face of the slope and with broken (staggered) joints extending perpendicular thereto, up or down the slope. Blocks shall be rammed until the surface is firm and conforms to the finished slope. Joints shall be filled with mortar.

Cast-in-place edging for block slope protection shall be placed as specified in (b) herein.

- (b) **Concrete Slab Slope Protection:** The subgrade shall be prepared as for block slope protection. The cast-in-place concrete slab shall be 4 inches in thickness and shall be placed in accordance with the requirements of Section 414.03(i).

Except at railroad grade separations, the Contractor may provide a combination concrete slab and stone slope protection in lieu of the specified concrete slab slope protection. Protection shall be in accordance with the following:

- 1 Concrete portions, consisting of a paved ditch and a strip of concrete approximately 3 feet in width placed on the berm along the face of the abutment, shall be furnished as required for concrete slab slope protection. Stone shall be placed at a depth of 7 to 9 inches over the remaining area to be covered with slope protection.
- 2 The subgrade for concrete and stone shall be prepared in accordance with the requirements of Section 414.03(i). The portion of the slope to be protected with stone shall be treated with a herbicide in accordance with the requirements of (a) herein. Care shall be taken to confine application to areas designated for sterilization.
- 3 Stone shall be crushed gravel or stone conforming to the requirements of Section 205.02. Sizes furnished shall be not smaller than the sizes specified in Table II-5 for aggregate size No. 1, and the pieces shall be not larger than 8 inches in their greatest dimension. Stone shall be in a sufficient range of sizes to create a stable and reasonably uniform slope.

The condition of the subgrade and method of placing stone shall be such that pieces of stone in contact with the subgrade shall be partially embedded where practicable. Stone immediately adjacent to concrete shall not project more than 3 inches above the concrete.

Approved splash blocks connecting with the paved ditch shall be provided under downspouts draining onto the slope protection.

415.04—Measurement and Payment

Concrete slope protection will be measured in square yards of surface area and will be paid for at the contract unit price per square yard. If limits are not shown on the plans, measurements will be from the outside edge to outside edge, including curb, and from the edge of slope protection at abutment to the bottom of the curtain wall or outside edge of the paved ditch as appropriate. This price shall include the foundation course and treatment.

Payment will be made under:

Pay Item	Pay Unit
Concrete block slope protection	Square yard
Concrete slab slope protection (Depth)	Square yard

SECTION 418—TIMBER STRUCTURES

418.01—Description

This work shall consist of furnishing and erecting timber materials required to complete a structure in accordance with these specifications and in conformity with the lines and grades shown on the plans or as established by the Engineer.

418.02—Materials

- (a) **Lumber and Timber:** Lumber and timber shall conform to the requirements of Section 236.
- (b) **Structural Shapes:** Rods, plates, shapes, and eyebars shall conform to the requirements of Section 226.
- (c) **Castings:** Castings shall be cast steel or gray iron, as shown on the plans, conforming to the applicable requirements of Section 224.
- (d) **Hardware:** Machine bolts, drift bolts, and dowels shall conform to the requirements of Section 226. Washers may be ogee gray iron or malleable castings or may be cut from mild steel plate as shown on the plans.
Machine bolts shall have square heads and nuts. Nails shall be cut or round wire of standard form. Spikes shall be cut, wire, or boat spikes as shown on the plans.
Nails, spikes, bolts, dowels, washers, and lag screws shall be black or galvanized, as specified on the plans.
Other hardware, except malleable iron connectors, shall be galvanized in accordance with the requirements of Section 233 or cadmium plated in accordance with the requirements of ASTM A165, Type OS.
- (e) **Paint:** Paint shall conform to the requirements of Section 231.

418.03—Procedures

- (a) **Storing Material:** Lumber and timber on the work site shall be stored in stacks or ricks.
Material shall be stacked at least 12 inches above the ground surface and sloped. It shall be protected from weather by a suitable covering. The ground underneath and in the vicinity of material shall be cleared of weeds and rubbish.
Untreated material shall be open stacked, and treated material shall be close stacked.
- (b) **Treated Timber:** Treated timber shall be handled with rope slings without sudden dropping, breaking of outer fibers, or bruising or penetrating of the surface with tools such as cant hooks, peaveys, pikes, or hooks.
Cutting, framing, and boring of treated timbers shall be performed before treatment insofar as is practicable. When treated timbers are to be placed in water infested by marine borers, as determined by the Engineer, untreated cuts, borings, or other joint framings below the high water elevation shall be avoided.
Cuts in treated piles or timbers and abrasions, after having been carefully trimmed smooth, shall be brush coated with at least two applications of the preservative used in the treatment of the pile.
Bolt holes bored after treatment shall be treated with a preservative. After being treated, unfilled holes shall be plugged,
Whenever forms or temporary braces are attached to treated timber with nails or spikes, holes shall be filled by driving galvanized nails or spikes flush with the surface or by plugging as required for bolt holes.
- (c) **Untreated Timber:** Ends, tops, and contact surfaces of sills, caps, floor beams, stringers, and bracing and truss units shall be thoroughly coated with two coats of preservative before assembly. The back faces of bulkheads and other timber that will be in contact with earth, metal, or other timber shall be similarly treated.
- (d) **Treatment of Pile Heads:** After required cutting to receive caps and prior to placement of caps, pile heads shall be treated to prevent decay. Heads of timber piles shall be protected by one of the following methods, as indicated on the plans. If not otherwise indicated, Method A shall be used.
 - 1 **Method A—zinc covering:** The sawed surface shall be brush coated with three applications of a preservative. Before the cap is placed, a sheet of 12 gage (0.028 inch) zinc shall be placed on each

pile head. The sheet shall be of sufficient size to project at least 4 inches outside the pile and shall be bent down, neatly trimmed, and securely fastened to the face of the pile with large-headed galvanized roofing nails.

- 2 **Method B—fabric covering:** Heads of piles shall be covered with alternate layers of hot pitch and cotton fabric for waterproofing, using four applications of pitch and three layers of fabric. The cover shall measure at least 6 inches more in dimension than the diameter of the pile and be neatly folded down over the pile and secured by large-headed galvanized nails or by binding with at least seven complete turns of galvanized wire securely held in place by large-headed galvanized nails and staples. Edges of fabric projecting below the wire wrapping shall be trimmed to present a neat appearance.
- (e) **Holes for Bolts, Dowels, Rods, and Lag Screws:** Holes for round drift bolts and dowels shall be bored with a bit 1/16 inch less in diameter than the bolt or dowel to be used. The diameter of holes for square drift holes or dowels shall be equal to the least dimension of the bolt or dowel.

Holes for machine bolts shall be bored with a bit the same diameter as the bolt.

Holes for rods shall be bored with a bit 1/16 inch greater in diameter than the rod.

Holes for lag screws shall be bored with a bit not larger than the body of the screw at the base of the thread.
- (f) **Bolts and Washers:** A washer shall be used under bolt heads and nuts that would otherwise come in contact with wood. Bolts shall be checked after nuts have been finally tightened.
- (g) **Countersinking:** Countersinking shall be performed wherever smooth faces are required. Recesses in horizontal surfaces shall be painted with a preservative and filled with hot pitch after the bolt or screw is in place.
- (h) **Framing:** Lumber and timber shall be cut and framed to a close fit so that the joints will have an even bearing over the contact surfaces. Mortises shall be true to size for their full depth, and tenons shall fit snugly. Shimming will not be permitted in making joints, and open joints will not be accepted.
- (i) **Pile Bents:** Preparing and driving piles shall be in accordance with the requirements of Section 403.

Piles for any one bent shall be carefully selected as to size to avoid undue bending or distortion of the sway bracing. Care shall be taken in distributing piles of varying sizes to secure uniform strength and rigidity in bents of any given structure.

Cutoffs shall be accurately made to ensure a uniform bearing between the cap and piles of a bent.
- (j) **Framed Bents:**
 - 1 **Mud sills:** Untreated timber used for mud sills shall be of heart cedar, heart cypress, redwood, or other durable timber. Mud sills shall be firmly and evenly bedded to solid bearing and tamped in place.
 - 2 **Concrete pedestals:** Concrete pedestals for the support of framed bents shall be finished so that sills or posts will take an even bearing. Dowels or anchor bolts at least 3/4 inch in diameter shall be set in pedestals when they are cast for anchoring sills or posts.
 - 3 **Sills:** Sills shall have a true and even bearing on mud sills, piles, or pedestals. They shall be drift bolted to mud sills or piles with bolts at least 3/4 inch in diameter and extending into the mud sills or piles at least 6 inches. When possible, earth shall be removed from contact with sills so that there will be free air circulation.
 - 4 **Posts:** Posts shall be fastened to pedestals with dowels at least 3/4 inch in diameter, extending at least 6 inches into the posts.

Posts shall be fastened to sills by one of the following methods, as indicated on the plans: by dowels at least 3/4 inch in diameter extending at least 6 inches into posts and sills or by drift bolts at least 3/4 inch in diameter driven diagonally through the base of the post and extending at least 9 inches into the sill.
- (k) **Caps:** Timber caps shall be placed with ends aligned in a manner to secure an even and uniform bearing over the tops of supporting posts or piles. Caps shall be secured by drift bolts at least 3/4 inch in diameter extending at least 9 inches into the posts or piles. Drift bolts shall be in the approximate center of the post or pile.

- (l) **Bracing:** Ends of bracing shall be bolted through the pile, post, or cap with a bolt at least 5/8 inch in diameter. Intermediate intersections shall be bolted or spiked with wire or boat spikes, as indicated on the plans. In all cases, spikes shall be used in addition to bolts.
- (m) **Stringers:** Stringers shall be sized at bearings and placed in position so that knots near edges will be in the top portions of stringers.

Outside stringers may have butt joints with ends cut on a taper, but interior stringers shall be lapped to take bearing over the full width of the floor beam or cap at each end. Lapped ends of untreated stringers shall be separated at least 1/2 inch for the circulation of air and securely fastened by drift bolting where specified. Where stringers are two panels in length, joints shall be staggered.

Cross bridging between stringers shall be neatly and accurately framed and securely toenailed with at least two nails in each end. Cross-bridging units shall have full bearing at each end against the side of stringers. Cross bridging shall be placed at the center of each span.

- (n) **Plank Floors:** Planks shall be surfaced on four sides (S4S).

Single-plank floors shall consist of a single thickness of plank supported by stringers or joists. Planks shall be carefully graded as to thickness and placed so that no two adjacent planks shall vary in thickness by more than 1/8 inch. Each plank shall be placed heart side down, firmly jacked together, and securely fastened to each joist.

- (o) **Wheel Guards and Railings:** Wheel guards and railings shall be erected true to line and grade. Wheel guards, rails, and rail posts shall be surfaced on four sides (S4S). Wheel guards shall be laid in sections at least 12 feet in length.
- (p) **Painting:** Rails and rail posts, untreated timber, or timber treated with a preservative shall be painted with three coats of paint.

Metal parts, except hardware, shall be given one coat of shop paint and, after erection, three coats of field paint.

Timber shall be painted with No. 11 paint.

418.04—Measurement and Payment

Lumber and timber will be measured in units of 1,000 foot-board-measure (MFBM) for materials placed in the finished structure and will be paid for at the contract unit price per MFBM. Computations for lumber quantities will be based on nominal sizes, complete-in-place. No other allowance for waste will be made.

Structural steel will be paid for in accordance with the requirements of Section 407.

Painting timber structures, when a pay item, will be paid for at the contract lump sum price. When not a pay item, the cost thereof shall be included in the price for other appropriate pay items.

These prices shall include preparing surfaces and preservative treatment.

Payment will be made under:

Pay Item	Pay Unit
Lumber (Treated or untreated)	MFBM
Painting timber structures	Lump sum

SECTION 501—UNDERDRAINS

501.01—Description

This work shall consist of constructing underdrains, using pipe, aggregate, and geosynthetics, in accordance with these specifications and in conformity to the lines and grades shown on the plans or as designated by the Engineer.

501.02—Materials

- (a) **Pipe** shall conform to the requirements of Section 232.
- (b) **Aggregate** shall conform to the requirements of Section 202 or 203
- (c) **Geosynthetics, to include geotextile fabric and prefabricated geocomposite pavement edgedrains**, shall conform to the requirements of Section 245.

501.03—Procedures

- (a) **Excavation:** The trench shall be excavated so that the walls and bottom are free of loose and jagged material. Large depressions shall be filled with sandy material, and sharp contours and rises shall be leveled. Excavated material shall be handled in a way that prevents contamination with the aggregate used to backfill the trench for the underdrain.
- (b) **Placing Geosynthetics:** When geotextile fabric or prefabricated geocomposite pavement edgedrain (PGPE) is required, it shall be placed as shown on the plans. Torn or punctured fabric shall be replaced at the Contractor's expense. Splices, when required for PGPE, shall be made using splice kits furnished by the manufacturer and in accordance with the manufacturer's written instructions. Spliced joints shall not damage the panel, shall not impede the open flow area of the panel, and shall maintain the vertical and horizontal alignment of the drain within 5 percent. Splices shall be made in such a manner as to prevent infiltration of the backfill or any fine material into the water flow channel.
- (c) **Installing Pipe:** Perforated pipe shall be placed with the perforations facing downward on a bed of aggregate material. Pipe sections shall be joined with appropriate couplings. Semi-round underdrain pipe shall be placed with the rounded section down.

Wherever the depth of the trench is modified to a lesser depth than shown on the standard drawings, concrete or corrugated pipe shall be used.

Pipe shall be placed with the bell end upgrade. Open joints shall be wrapped with the same geotextile used for lining the excavation.

Upgrade ends of pipe, except for combination underdrains, shall be closed with suitable plugs. Where an underdrain connects with a manhole or catch basin, a suitable connection shall be made through the wall of the manhole or catch basin.

After the Engineer has approved the pipe installation, aggregate backfill material shall be placed and compacted. Pipe and covering at open joints shall not be displaced during subsequent operations.

Outlet pipes shall be installed at the low points of a sag.

Endwalls for outlet pipes shall be placed on a prepared surface that has been compacted to comply with the requirements of Section 303.04. If settlement of the endwall occurs, the Contractor shall make necessary repairs at his expense.

Prior to final acceptance of the underdrain system, the Contractor shall conduct a video inspection of the installed system in accordance with the requirements of VTM-108.

- (d) **Combination Underdrain Outlets:** Pipe shall be placed in the trench with sections securely joined. After the Engineer has approved pipe installation, the trench shall be backfilled with aggregate material in layers not more than 6 inches in depth and thoroughly compacted.
- (e) **Inspection Ports:** Inspection ports shall be installed on the PGPE at a rate of two per mile of installed PGPE or a minimum of four per project. Inspection ports shall meet and be installed in accordance with the manufacturer's specification. The Department will use these ports in conjunction with a borscope camera as part of the basis for acceptance of the PGPE. The Department will perform inspection after PGPE installation but prior to paving of the shoulder. Bends, water flow restrictions, J-shaped panels, tears in the geotextile, debris in pipes, and sags are unacceptable and shall be removed and replaced at no cost to the Department.

501.04—Measurement and Payment

Underdrains and combination underdrains will be measured in linear feet, complete-in-place, and will be paid for at the contract unit price per linear foot. The contract unit price for underdrains installed at depths greater than those shown in the standard drawings will be increased 20 percent for each 1-foot increment of increased depth. No adjustment in the contract unit price will be made for an increment of depth of less than 6 inches. When drains are to be placed under pavement that is not constructed under the Contract, the contract unit price shall include removing and replacing pavement.

Geotextile drainage fabric, when a pay item, will be measured and paid for in accordance with the requirements of Section 504.04.

Outlet pipe for underdrains will be measured in linear feet, complete-in-place, and will be paid for at the contract unit price per linear foot.

These prices shall include geotextile drainage fabric when not a pay item, excavating, aggregate, backfilling, compaction, splicing, inspection ports, if any, disposing of surplus and unsuitable materials, and installing outlet markers.

Payment will be made under:

Pay Item	Pay Unit
Underdrain (Standard)	Linear foot
Combination underdrain (Standard)	Linear foot
Outlet pipe	Linear foot

SECTION 506—RETAINING WALLS

506.01—Description

This work shall consist of constructing rubble and hydraulic cement concrete retaining walls in accordance with the plans and these specifications and in conformity to the lines and grades shown on the plans or as established by the Engineer.

506.02—Materials

- (a) **Dry rubble and mortar rubble retaining walls** shall be constructed of stone conforming to the requirements of Section 204 and mortar conforming to the requirements of Section 218.
- (b) **Hydraulic cement concrete retaining walls** shall be constructed of concrete conforming to the requirements of Section 217.
- (c) **Reinforced concrete crib walls** shall be constructed of precast concrete units. Concrete shall conform to the requirements of Section 217 except that No. 7 aggregate may be used in lieu of No. 57 aggregate. Crib units shall be free from cracks, depressions, spalls, patched or plastered surfaces or edges, and any other defects that might impair their strength or durability.
- (d) **Drain pipe** shall conform to the requirements of Section 232.02.
- (e) **Reinforcing steel** shall conform to the requirements of Section 223, Grade 40 or 60.
- (f) **Porous backfill** shall conform to the requirements of Section 204.02(c).
- (g) **Granular backfill within crib walls** shall be any material available within the project limits consisting of sand, sandy loam, gravel, rock, or a combination thereof. Materials containing a high percentage of fines, such as clay and silt soils, shall not be used.
- (h) **Piles** shall conform to the requirements of Section 403.

506.03—Procedures

Excavation, backfill, and foundation exploration shall conform to the requirements of Section 401.

Concrete construction shall be performed in accordance with the requirements of Section 404. Immediately following finishing operations, concrete shall be cured and protected in accordance with the requirements of Section 404.03.

- (a) **Dry Rubble and Mortar Rubble Retaining Walls:** Stones shall not be placed in freezing weather or when stone contains frost.

Each stone shall have a thickness of at least 8 inches; a width of at least 1 1/2 times the thickness; and, except for headers, a length at least equal to 1 1/2 times the width. The thickness of courses if varied shall diminish from the bottom to the top of the wall.

Header stones in the heart of the wall shall be the same size as in the face and shall extend at least 12 inches into the core or backing. They shall occupy at least 1/5 of the face area of the wall and shall be evenly distributed. Header stones in walls 2 feet or less in thickness shall extend entirely through the wall.

Stones shall be roughly squared on joints, beds, and faces. Selected stone, roughly squared and pitched to line, shall be used at angles and ends of walls.

Stones shall be placed to line and in courses roughly leveled. Bottom or foundation courses shall be composed of large, selected stones. Courses shall be placed with bearing beds parallel to the natural bed of the material.

Shaping or dressing of stone shall be performed before stone is placed in the wall. Dressing or hammering that will loosen the stone will not be permitted after placement.

- 1 **Dry rubble retaining walls:** Face joints shall be not more than 1 inch in width.

Each stone shall have a firm bearing on the underlying course at no fewer than three points. Open joints, both front and rear, shall be chinked with spalls fitted to take firm bearing on their top and bottom surfaces and shall have a firm bearing throughout the length of the stone.

- 2 **Mortar rubble retaining walls:** Each stone shall be cleaned and thoroughly wetted with water before it is placed, and the bed that is to receive it shall be cleaned and moistened. Stones shall be bedded in freshly prepared mortar. Mortar joints shall be full, and stones shall be carefully settled in place before mortar has set. Spalls will not be permitted in beds. Joints and beds shall not have an average thickness of more than 1 inch.

Whenever possible, face joints shall be properly pointed before mortar has set. Joints that cannot be pointed shall be prepared for pointing by raking them out to a depth of 2 inches before mortar has set. Face surfaces of stones shall not be smeared with mortar forced out of joints.

Vertical joints in each course shall offset joints with those in adjoining courses by at least 6 inches. A vertical joint shall not be located directly above or below a header.

If a stone is moved or a joint is broken, the stone shall be taken up, mortar shall be thoroughly cleaned from the bed and joints, and stone shall be placed in fresh mortar.

Joints that are not pointed at the time stone is placed shall be thoroughly wetted with clean water and filled with mortar. Mortar shall be driven into joints and finished with an approved pointing tool. The wall shall be kept wet while pointing is being done. In hot or dry weather, pointed masonry shall be protected from the sun and kept wet by saturated burlap for at least 3 days after completion.

After pointing is completed and mortar has set, the wall shall be thoroughly cleaned and left in a neat, orderly condition.

- (b) **Concrete Retaining Walls:** Concrete retaining walls shall be constructed in accordance with the requirements of Sections 403, 404, and 406.
- (c) **Reinforced Concrete Crib Walls:** Crib units that are damaged during erection shall be removed and replaced at the Contractor's expense.

Granular backfill shall be used inside and approximately 2 feet in back of and beyond each end of a crib. Backfilling for the crib wall shall follow closely the erection of successive tiers of units. The wall shall not be placed higher than 3 feet above the backfilled portion. Backfill shall be placed carefully to avoid distorting the crib wall.

506.04—Measurement and Payment

Standard retaining walls will be measured in cubic yards, complete-in-place, within the limiting dimensions shown on the plans, and will be paid for at the contract unit price per cubic yard. This price shall include rubble stone, concrete, joint material, and weep holes.

Concrete and reinforcing steel for special design retaining walls will be measured and paid for in accordance with the requirements of Sections 404.08 and 406.04, respectively.

Reinforced concrete crib walls will be measured in cubic feet of the net volume of concrete in crib units, complete-in-place, and will be paid for at the contract unit price per cubic foot. This price shall include concrete and reinforcing steel.

Granular backfill will be measured and paid for as regular excavation in accordance with the requirements of Section 303.06.

Porous backfill for retaining walls will be measured and paid for in accordance with the requirements of Section 401.04.

Piles for retaining walls will be measured and paid for in accordance with the requirements of Section 403.08.

Excavation for retaining walls will be measured in accordance with the requirements of Section 401.04 and will be paid for at the contract unit price per cubic yard. This price shall include excavation, foundation exploration, sheeting and shoring, placing and compacting backfill and disposal of surplus material, and porous backfill when not specified as a separate pay item.

Payment will be made under:

Pay Item	Pay Unit
Retaining wall (Standard)	Cubic yard
Concrete crib (Standard)	Cubic foot
Retaining wall excavation	Cubic yard

SECTION 511—ALLAYING DUST

511.01—Description

This work shall consist of applying either moisture, calcium chloride, or both on areas designated by the Engineer for the purpose of allaying dust.

511.02—Procedures

The Contractor shall furnish a truck(s) equipped with a water tank having a capacity of at least 1,000 gallons and pumps for furnishing, loading, and applying water to the roadway.

Equipment and operators shall be available at all times.

Calcium chloride conforming to the requirements of Section 239 shall be applied at the rate specified on the plans or by the Engineer.

The Contractor shall plan and prosecute the work so as to expedite completion of the pavement structure as soon as is practicable.

511.03—Measurement and Payment

Allaying dust will be measured and paid for on the basis of the time the truck is in service on this work or per ton of calcium chloride. Loading time allowed for payment shall be not more than 30 minutes per 1,000 gallons of water. Truck hours shall be evidenced by daily time reports submitted by the Contractor and approved by the Engineer. This price shall include water and calcium chloride.

When in-place base material is used as a riding surface to maintain traffic or as a haul route, truck hours or tons of calcium chloride used for allaying dust will be paid for in accordance with the provisions herein.

Payment will be made under:

Pay Item	Pay Unit
Allaying dust	Hour or Ton

SECTION 520—WATER AND SANITARY SEWER FACILITIES

520.01—Description

This work shall consist of furnishing and installing necessary materials for water distribution systems and sanitary systems in accordance with these specifications and in conformity to the dimensions, lines, and grades shown on the plans or as established by the Engineer.

520.02—Materials

- (a) **Pipe, fittings, and flanges** shall conform to the requirements of Section 232.
- (b) **Gaskets and joint materials** shall conform to the requirements of Section 212.
- (c) **Casing pipe** shall be 14-gage corrugated metal half-circle pipe conforming to the requirements of Section 232 except as modified for securing interlocked or nested connections.
- (d) **Concrete blocks** shall conform to the requirements of Section 222.
- (e) **Bricks** shall conform to the requirements of Section 222.
- (f) **Hydraulic cement mortar** shall conform to the requirements of Section 218.
- (g) **Concrete** shall conform to the requirements of Section 217 and shall be Class A3.
- (h) **Reinforcing steel** shall conform to the requirements of Section 223.
- (i) **Curing material for concrete** shall conform to the requirements of Section 220.
- (j) **Timber skids** shall conform to the requirements of Section 236, and the preservative and treatment shall conform to the requirements of Section 236.
- (k) **Valves** shall conform to the requirements of AWWA C500, C504, C506, C507, C508, or C509 for the types and features specified.
- (l) **Fire hydrants** shall conform to the requirements of AWWA C502 or C503 with the various features specified.
- (m) **Water meters** shall conform to the requirements of AWWA C700, C701, C702, C703, C704, C706, C707, C708, or C710 for the type and features specified. Each meter shall be furnished with a meter box.
- (n) **Corporation stops** shall be made of bronze or red brass conforming to the requirements of ASTM B62. The fitting design and thread dimension shall conform to the requirements of AWWA C800. The working pressure of the corporation stop shall be equal to that of the water main to which it is attached.
- (o) **Castings** shall conform to the requirements of Section 224.
- (p) **Nonshrink waterproof grout** shall conform to the requirements of Section 218.
- (q) **Aggregate** shall conform to the requirements of Section 203. Aggregate for drain fields shall be No. 5.

520.03—Procedures

The Contractor shall be responsible for anticipating and locating underground utilities and obstructions in accordance with the requirements of Section 105.08.

When construction appears to be in close proximity to existing utilities, the trench(es) shall be opened a sufficient distance ahead of the work or test pits made to verify the exact locations and inverts of the utility to allow for changes in line or grade.

Connections to existing lines shall be made only after the proposed line is completed and approved by the Engineer. Connections shall be made in the minimum time possible with minimum interruption of service. Work and interruptions in existing service shall be scheduled with the utility owner.

Existing water and sewer lines and appurtenances and manholes not required in the completed system shall be abandoned as directed by the Engineer. Abandoned materials shall become the property of the Contractor, unless otherwise noted on the plans, upon satisfactory replacement with the new installation. Abandoned pipe that is not removed shall be cleaned of debris and plugged at open ends with Class A3 concrete.

Existing manholes that are not required in the completed system shall be removed to at least 2 feet below the proposed subgrade or natural ground line and shall be filled with approved backfill in accordance with the requirements of Section 302.03 (a)2.g.

Disturbed property shall be restored prior to final acceptance. Restoration shall include, but not be limited to, replacing shrubbery, sod, or topsoil, including lime, fertilizer, seed, and mulch; replacing paved or finished

surfaces with similar materials; and performing other work in accordance with the requirements of Section 107.08.

Sidewalks and streets shall be kept open for passage. The Contractor shall provide and maintain adequate and safe passage over excavations to accommodate pedestrians or vehicles as directed by the Engineer.

- (a) **Protecting Water Supplies:** During the course of construction, the Contractor shall protect water supply facilities within the construction limits from contamination by sewage. The Contractor shall use the following criteria to govern the installation of water and sewer facilities in proximity of each other:

- 1 **Parallel separation:** Except as specified hereinafter, water lines shall be placed at least 10 feet horizontally from existing or proposed sanitary sewer lines, combination sewer lines, and sanitary sewer manholes. Sanitary sewer lines shall be placed at least 10 feet horizontally from existing and proposed water lines. This distance shall be measured from edge to edge. If local conditions prevent a lateral separation of 10 feet, a water line may be placed closer than 10 feet to a sewer or a sewer line may be placed closer than 10 feet to a water line if the top of the sewer pipe is at least 18 inches below the bottom of the water line. Where the vertical separation cannot be obtained, the sewer shall be constructed of mechanical joint water pipe. Gravity sewers shall be pressure tested, in place, to 50 pounds per square inch without leakage prior to backfilling. Force main sanitary sewer shall be pressure tested in accordance with the requirements of Section 520.04(c).
- 2 **Crossings:** Water and sewer lines that cross shall be placed to provide a separation of at least 18 inches between the bottom of the water line and the top of the sewer line. Where this vertical separation cannot be obtained, the sewer shall be constructed of mechanical joint or other approved water pipe for at least 10 feet on each side of the crossing.

Sanitary sewers and combined sewers crossing over a water line shall have a vertical separation of at least 18 inches between the bottom of the sewer and the top of the water line. The support shall be adequate to prevent excessive deflection of joints and the settling on and breaking of the water line. The water or sewer line shall be centered at the point of the crossing so that joints will be equidistant and as far from each other as practicable.

Water lines shall not pass through or come in contact with any part of a sanitary sewer, combined sewer, or sanitary sewer manhole.

The Contractor shall immediately notify the Engineer if he becomes aware that the work will result in the violation of these criteria. Upon such notification, the Engineer will issue instructions concerning remedial measures.

- (b) **Excavation:** Excavation, backfill, and compaction shall be performed in accordance with the requirements of Section 302 except that stone larger than 1 inch in diameter shall not be used in backfill until the pipe has a cover of at least 1 foot. The remainder of backfill to the original ground or to within 12 inches of the finished subgrade shall not include stone larger than 10 inches in its greatest dimension. Pipelines installed outside the roadway shall be backfilled in 8-inch layers and compacted to approximately 85 percent of the theoretical maximum density.

Trenches for pipelines shall be excavated generally along straight lines, and bottoms shall be uniformly graded as required. Bedding material shall be placed in accordance with the plans. Where the trench bottom is in rock, it shall be excavated to at least 8 inches below the bottom of the pipe and backfilled with approved local or commercial bedding material. Pipe shall have a uniform bearing on a solid foundation for its entire length. Where pipe foundations are yielding, pipe shall be bedded on at least 8 inches of approved local or commercial bedding material. Bell holes, where applicable, shall be of sufficient size to ensure the making of proper joints.

Trenches below the grade line of the pipe shall be dewatered during installation of pipelines.

When work is not in progress for any reason, lines shall be securely closed.

Where adjacent pavements are to be retained, pavement removed for pipeline trenches shall be replaced in kind with equal or better material or as otherwise specified. After backfilling, the Contractor shall maintain a smooth riding surface until pavement repairs are completed.

- (c) **Inspecting Pipe and Fittings:** Pipe and fittings shall be inspected for cracks and defects before they are lowered into the trench. Faulty pipe and fittings shall be removed from the site.
- (d) **Placing Pipe:** Water mains shall have a cover of at least 36 inches, and water service lines shall have a cover of at least 24 inches. Pipe, fittings, valves, hydrants, and accessories shall be carefully lowered

into the trench to prevent damage to materials, protective coatings, and linings. Materials shall not be dropped or dumped into the trench.

If pipe, fittings, valves, hydrants, or accessories are damaged during handling, the damage shall be immediately brought to the Engineer's attention. The Contractor shall then submit to the Engineer, for approval, a method for repairing the damaged item. Damaged items shall be repaired as approved by the Engineer or shall be removed from the project.

Lumps, blisters, and excess coating shall be removed from ends of pipes that are to be joined. The inside of the bell and the outside of the spigot shall be wire brushed, wiped clean, dry, and free from oil and grease before pipe is placed. Foreign material shall be kept from entering pipe during placement.

As each length of pipe is placed in the trench, the spigot end shall be centered in the bell and the pipe forced home and brought to the correct line and grade. Pipe shall be secured in place with approved backfill material tamped under it except at bells. Precautions shall be taken to prevent dirt from entering the joint space. If it becomes necessary to deflect water main pipe during construction, the amount of deflection shall not exceed the manufacturer's recommendation.

- (e) **Cutting Pipe:** Pipe for fittings or closure pieces shall be cut in a neat and orderly manner without damage to the pipe so as to leave a smooth end at right angles to the axis of the pipe. The lining of the pipe shall not be damaged. Flame cutting of ductile iron or cast iron pipe with an oxyacetylene torch will not be permitted.
- (f) **Joining of Pipe:** Gasket and joint lubricant for water facilities shall be a nontoxic, tasteless, and odorless substance that will not support bacteria. Gasket end joint lubricant for sewer facilities shall be as recommended by the manufacturer or as approved by the Engineer. Pipe that is not furnished with a depth mark shall be marked before assembly to ensure that the spigot end is inserted to the full depth of the joint. Field-cut pipe lengths shall be filed or ground to resemble the spigot end of such pipe as manufactured.
 - 1 **Ductile iron pipe** shall be joined in accordance with AWWA C-111 and AWWA C-600.
 - 2 **Steel pipe** shall be joined by field welding unless otherwise specified on the plans. Pipe ends shall comply with the requirements of AWWA C-206 for the type of field joint specified. Field-welded joints shall comply with the requirements of AWWA-206; flanged joints shall comply with the requirements of AWWA C-207, and rubber gasket joints shall comply with the requirements of AWWA M11.
 - 3 **Galvanized steel pipe** shall be joined by fittings in accordance with the manufacturer's recommendation.
 - 4 **Copper pipe or tubing** shall be joined by fittings in accordance with the manufacturer's recommendation.
 - 5 **PVC pipe** shall be joined by gasketed bell and socket joints in accordance with AWWA C-900.
 - 6 **Concrete pipe** for water facilities shall have joints of the round rubber gasket type, unless otherwise specified, using either a bell and spigot joint or a double spigot and sleeve joint. Either joint shall be such that when the pipe is laid and the joint completed, the gasket will be confined within a groove or by shoulders on the bell and spigot. The contact surface in the joint shall be such as not to cause cutting of the rubber gasket during installation.

Concrete pipe for sewer facilities shall be joined by using rubber gaskets. The gasket shall be continuous and fit snugly into the annular space between the overlapping surfaces of the assembled pipe joint to form a flexible watertight seal. The annular space between the gasket bearing surface of the assembled and centered joint shall be more than 75 percent of the uncompressed thickness of the applied gasket including the manufacturer's tolerances of the joint and gasket.
 - 7 **Vitrified clay pipe** shall be joined with compression joints in accordance with ASTM C-12 and manufacturer's recommendation.
 - 8 **PE pipe** shall be joined in accordance with AWWA C-901 and the manufacturer's recommendation.
 - 9 **ABS pipe** shall be joined with a solvent cement joint in which pipe solvent cements into a coupling socket to form the joint. Primer for priming solvent cement joints shall be methylethyl ketone (MEK), and the cement shall be MEK containing a minimum of 20 percent by weight of

dissolved ABS. Type OR joint is a mechanical-seal joint in which a gasket shall be compressed between the pipe and the bell coupling to form the joint closure.

- (g) **Plugs, Caps, Tees, and Bends:** Plugs, caps, tees, and bends shall be anchored with reaction backing. Backing shall be concrete reaction blocks, metal reaction harnesses, or a combination thereof. Concrete shall be placed in accordance with the requirements of Section 404 and cured in accordance with the requirements of Section 316.04(j). Metal harness tie rods and clamps shall be of adequate strength to prevent movement and shall be galvanized or rustproofed by approved means.
- (h) **Encasement Pipe:** Encasement pipe shall be installed in accordance with the requirements of Section 302.
- (i) **Casing Pipe and Concrete:** Casing pipe and concrete shall be constructed in accordance with plan details and the applicable requirements of Sections 302, 303, 316, and 406, with the half-circle sections of casing pipe nested or interlocked to obtain a satisfactory union of the two sections of pipe. Prior to installation, existing pipe to be encased shall be cleaned and foreign material removed.
- (j) **Valves, Valve Boxes, and Manholes:** The valve box shall not transmit shock or stress to the valve and shall be centered and plumb over the wrench nut of the valve with the box cover flush with the surface of the finished pavement. Manholes shall be constructed to permit minor valve repairs and protect the valve and pipe from impact where they pass through the walls.
- (k) **Fire Hydrants:** Wherever a hydrant is set in pervious soil, drainage shall be provided at the base of the hydrant by placing coarse gravel or crushed stone mixed with coarse sand from the bottom of the trench to at least 6 inches above the waste opening in the hydrant and to a distance of 1 foot around the elbow.

Wherever a hydrant is set in clay or other impervious soil, a drainage pit 2 feet in diameter and 3 feet in depth shall be excavated below each hydrant. The pit shall be filled compactly with coarse gravel or crushed stone mixed with coarse sand under and around the elbow of the hydrant to a level 6 inches above the waste opening. The drainage pit shall not be connected to a sewer.

- (l) **Installing Corporation Stops:** Corporation stops shall be installed while the main is under pressure and at a 45-degree angle to the horizontal plane.
- (m) **Concrete Encasement:** Concrete encasement shall be constructed in accordance with the requirements of Sections 302, 303, 316.04(j), 404, and 406.
- (n) **Water Meters and Yokes:** The meter box shall not transmit shock or stress to the meter and shall be centered and plumb over the meter. The top of the box shall be flush with the surrounding surface.
- (o) **Jacked Encasement Pipe:** Jacked encasement pipe shall be installed in accordance with the requirements of Section 302.03(a)1.
- (p) **Sanitary Service Lateral Connection:** Connection shall be performed by approved methods prior to installation using wyes, bends, adapters, cleanouts, and necessary pipe. Existing service laterals shall mate with the new fitting, adapter, or pipe to produce a watertight joint.
- (q) **Sanitary Manholes and Manhole Frames and Covers:** These items shall be constructed in accordance with the requirements of Section 302.03(c). A secure bond between the pipe and manhole wall shall be obtained. Flexible insert gaskets shall be used to obtain a watertight joint. The gasket style and composition shall be subject to the approval of the Engineer. Precast wall sections shall be seated with flexible joint sealant for their full circumference. Lift holes, defects, joints between sections, and frames and covers shall be sealed with nonshrink waterproof grout.
- (r) **Sanitary Drop Connections:** Connections shall be constructed in accordance with the requirements of Sections 302, 303, 404, and 406.
- (s) **Sewer Cleanouts:** Cleanouts shall be constructed in accordance with the requirements of Sections 302, 303, 404, and 406.
- (t) **Conveying Sewage:** When it is necessary to contain or pump sewage during the adjustment of or connection to existing sewers, sewage shall be carried by a watertight conveyor to sewers or manholes approved by the Engineer or shall be disposed of in accordance with local and state health codes. Sewage shall not be allowed to flow onto or over any open surface.
- (u) **Manhole Frame and Covers, Valve Boxes, and Other Castings Located Within the Paved Roadway, Shoulder, or Sidewalk:** These shall be constructed within a tolerance of ± 0.05 foot of the finished grade.

- (v) **Reconstruct Existing Sanitary Manhole:** This shall consist of the removal of the existing manhole to the point indicated on the plans or directed by the Engineer. Reconstruction shall be accomplished by using existing units and pavement rings or new units and adjustment rings to attain the proposed finished grade.

520.04—Testing

Water and sewer mains, appurtenances, and materials shall be tested for leakage after installation. Testing shall be performed in the presence of the Engineer. The Contractor shall provide water, plugs, equipment, tools, labor, materials, and incidentals necessary to perform the testing. If any section of a main or manhole under test shows leakage in excess of that specified, the Contractor shall make necessary repairs or replacements at his own expense. Testing shall be repeated until satisfactory results are obtained. Visible leaks shall be repaired regardless of the amount of allowable leakage.

- (a) **Water Mains and Appurtenances:** New water mains and appurtenances shall be tested for leakage using the hydrostatic pressure test method in accordance with Section 4 of AWWA C600 and the following:
 - 1 The duration of each test shall be at least 2 hours. Sections of main with concrete reaction backing shall not be tested until at least 5 days after the backing is placed. If the backing is high-early-strength concrete, the test may be performed 2 days after backing is placed.
 - 2 Testing of tie-ins with existing mains shall be performed under the normal working pressure of the main involved. Visible leakage at these points will not be allowed during a period of at least 2 hours.
 - 3 The hydrostatic test pressure shall be 100 pounds per square inch or 1.5 times the working pressure, whichever is greater, based on the elevation of the lowest point in the line or section under test and shall be corrected to the elevation of the test gage. The Contractor shall ascertain the specific working pressure of the water main from the utility owner. Leakage loss shall not exceed the allowable leakage (*L*) as determined by the following formula:

$$L = \frac{ND\sqrt{P}}{7,400}$$

Where:

L = the allowable leakage in gallons per hour;

N = the number of joints in the length of pipe line tested;

D = the nominal inside diameter of the pipe in inches; and

P = the average test pressure during the leakage test in pounds per square inch.

- (b) **Gravity Sanitary Sewers:** Leakage shall be not more than 200 gallons per inch of pipe diameter per mile per day (24 hours) for pipe up to and including 24 inches in diameter and not more than 4,800 gallons per mile per day for pipe more than 24 inches in diameter for any section of the system, including manholes, when subjected to at least 4 feet of head above the line crown at the upstream manhole of the section being tested.
 - 1 **Infiltration test:** When in the opinion of the Engineer the trench or excavation is sufficiently saturated as a result of natural ground water, tests may be made on the basis of infiltration. The Contractor shall measure the flow of water at the nearest downgrade manhole. Three series of measurements shall be made at not less than 1-hour intervals, and the results shall be reduced to an average. The average for a 24-hour period shall then be computed. If the pipeline or manholes fail to meet the test requirements, leaks shall be repaired and defective pipe and manholes shall be replaced at the Contractor's expense. The test shall be repeated until satisfactory results are obtained.
 - 2 **Exfiltration test:** An exfiltration test shall be performed when the trench or excavation is dry and infiltration will not occur. The test shall be conducted as follows: The pipe shall be plugged at the lower manhole. The line and manhole shall be filled with water to a 4-foot level or to the top of the straight section if the manhole is less than 4 feet in height. The water shall stand until the pipe has reached maximum absorption and until trapped air has escaped (at least 4 hours). After maximum absorption has been reached, the manhole shall be filled to the original level. After 1 hour has elapsed, the difference in the level shall be recorded in terms of gallons. The 24-hour loss shall then be computed. If the pipe line system and manholes fail to meet test requirements,

leaks shall be repaired at the Contractor's expense. The test shall be repeated until satisfactory results are obtained.

- 3 **Air test:** In lieu of the infiltration or exfiltration test for leakage, the Contractor may test the sewers by using low air pressures. In the event low air pressure tests are used, the manholes shall be tested by exfiltration. Inflatable stoppers shall be used to plug all lines into and out of the manhole being tested. The stoppers shall be positioned in the lines far enough from the manhole to ensure testing of those portions of the lines not air tested. The manhole shall then be filled with water to the top and a 12-hour soaking period shall be allowed prior to test measurement. The manhole shall be refilled to a mark, and at the end of 1 hour, the amount of leakage shall be measured. Leakage shall not exceed ½ gallon per hour. If the manhole fails to comply with the test requirements, leaks shall be repaired at the Contractor's expense. The test shall be repeated until satisfactory results are obtained. The low air pressure test shall be conducted in accordance with the following:

- a. After backfilling and prior to air testing, the Contractor shall eliminate discernable water leaks and remove debris. Tests shall be conducted from manhole to manhole or from manhole to terminus. Personnel shall not be allowed in manholes once testing has begun.
- b. Immediately before testing, the Contractor shall provide securely braced test plugs at each manhole and a suitable means of determining the depth of the ground water level above the inverts.
- c. The Contractor shall slowly add air to the portion of the pipe being tested until the internal air pressure is at a test pressure of 4 pounds per square inch above the invert or ground water table, whichever is greater, or until the pressure is equal to the hydraulic gradient, whichever is greater. If the test plug shows leakage, as determined by the Engineer, the Contractor shall relieve the pressure for at least 2 minutes. The Contractor shall then disconnect the hose and compressor. If the pressure decreases to 3.55 pounds per square inch, the time shall be recorded for the amount of time required for the pressure to drop from 3.5 to 2.5 pounds per square inch. The minimum allowable holding times will be as specified herein. Pipes that fail to maintain minimum holding times will not be accepted. Repairs, replacement, and retesting as specified by the Engineer shall be performed at the Contractor's expense.

The minimum allowable holding time for an 8-inch sanitary sewer pipe that is required for the pressure to drop from 3.5 to 2.5 pounds per square inch is:

Line Length	Time (sec)
25	18
50	35
75	53
100	70
125	88
150	106
175	123
200	141
225	158
250	176
275	194
300	211
350	227
400	227

The minimum allowable holding time for a 12-inch sanitary sewer pipe that is required

for the pressure to drop from 3.5 to 2.5 pounds per square inch is:

Line Length	Time (sec)
25	40
50	79
75	119
100	158
125	198
150	238
175	277
200	317
225	340
250	340
275	340
300	340
350	340
400	340

- (c) **Force Main Sanitary Sewers:** Leakage shall not exceed the allowable leakage (*L*) as determined by the following formula:

$$L = \frac{ND \sqrt{P}}{1850}$$

Where:

L = the allowable leakage in gallons per hour;

N = the number of joints in the length of pipe line tested;

D = the nominal inside diameter of the pipe in inches; and

P = the average test pressure during the leakage test in pounds per square inch.

The hydrostatic test pressure shall be maintained for at least 30 minutes at 100 pounds per square inch or 1.5 times the working pressure, whichever is greater, based on the elevation of the lowest point in the line or section under test and shall be corrected to the elevation of the test gage. The Contractor shall ascertain the specific working pressure of the force main from the utility owner. Visible leaks shall be satisfactorily repaired regardless of the amount of allowable leakage.

- (d) **Offsets of Existing Pipe:** Offsets will not be subjected to hydrostatic pressure testing unless specified on the plans. After installation and connection to the existing mains, the offset shall be placed in service and left uncovered for visual inspection for at least 2 hours. Visible leaks shall be repaired to the satisfaction of the Engineer prior to acceptance of the offset. Offset of existing pipe for water mains shall be disinfected in accordance with AWWA C-651, Section 9.

520.05—Disinfecting Water Mains

New, relocated, and modified water mains and accessories shall be disinfected prior to tie-ins in accordance with AWWA C651.

If the initial disinfection fails to yield satisfactory samples, disinfection shall be repeated until satisfactory samples have been obtained. After each group of samples is taken, the Contractor shall submit a written report to the Engineer that states the results of the tests.

520.06—Measurement and Payment

Excavation and replacement of pavement removed for pipe trenches will not be measured for separate payment unless otherwise shown. However, minor structure excavation will be measured and paid for in

accordance with the requirements of Section 303.06. When excavation is required below the proposed trench bottom, necessitating additional bedding material, the bedding will be measured and paid for in accordance with the requirements of Section 302.04.

Water mains, water service lines, sanitary sewer pipe, and sanitary sewer force mains will be measured in linear feet of pipe through all valves and fittings, complete-in-place, and will be paid for at the contract unit price per linear foot. This price shall include excavating when not a specific pay item for the utility in question; testing; disinfecting; backfilling; compacting; dewatering; disposing of surplus and unsuitable material; sheeting and shoring; bedding material; installing pipe; connecting to existing lines or manholes; fittings less than 16 inches in diameter; reaction blocking; concrete anchor block; watertight welds; restrained joints; abandoning or removing lines, manholes, and other appurtenances; and restoring property. Pipe of one size, except for cast iron and ductile iron pipe, shall be combined into one contract item for the respective size of water main and sanitary sewer pipe. The salvage value of abandoned materials shall accrue to the Contractor and shall be reflected in the contract unit price for the respective replacement facility.

Encasement pipe and casing pipe and concrete will be measured in linear feet, complete-in-place, and will be paid for at the contract unit price per linear foot. This price shall include excavating, dewatering, sheeting and shoring, blocking, installing pipe, grouting, concrete encasement, reinforcing steel, masonry blocks, watertight bulkheads, backfilling, compacting, disposing of surplus and unsuitable material, and restoring property.

Jacked encasement pipe will be measured and paid for in accordance with the requirements of Section 302.04. This price shall include excavating, backfilling, disposing of surplus and unsuitable material, sheeting and shoring, blocking, bulkheads, and jacking.

Concrete encasement will be measured in linear feet of encased pipe or cubic yard of concrete, complete-in-place, and will be paid for at the contract unit price per linear foot or cubic yard. This price shall include excavating, sheeting and shoring, concrete, reinforcing steel, backfilling, compacting, and disposing of surplus and unsuitable material.

Sanitary service lateral connections will be measured in linear feet, complete-in-place, from the center line of the sewer main to the point of connection of sanitary service lateral and will be paid for at the contract unit price per linear foot. This price shall include excavating, backfilling, compacting, disposing of surplus and unsuitable material, sheeting and shoring, connecting to existing service lateral, and restoring property.

Sanitary drop connections will be measured in linear feet, vertical measure, complete-in-place, from the invert of the upper inlet pipe to the invert of the lower inlet pipe and will be paid for at the contract unit price per linear foot. This price shall include pipe and fittings, concrete, reinforcing steel, connections to sewer lines and manholes, excavating, bedding material, backfilling, compacting, disposing of surplus and unsuitable material, and restoring property.

Sanitary sewer manholes, frames and covers, and watertight frames and covers will be measured and paid for in accordance with the requirements of Section 302.04.

Sewer cleanouts (main or lateral) will be measured in units of each, complete-in-place, and will be paid for at the contract unit price per each. This price shall include fittings; riser pipe, frame, cover, and box; excavating; backfilling; compacting; disposing of surplus and unsuitable material; and restoring property.

Reconstruct existing sanitary manhole will be measured in linear feet, vertical measure, from the point of the removed section to the top of masonry on which the frame and cover is placed and will be paid for at the contract unit price per linear foot. This price shall include removing, salvaging and resetting frame and cover, reconstruction of manhole, new materials, excavation, backfilling, compaction, disposal of surplus of unsuitable material and restoring property.

Fire hydrants will be measured in units of each, complete-in-place, and will be paid for at the contract unit price per each. This price shall include excavating, dewatering, backfilling, compacting, connections, concrete blocking, pipe straps, crushed stone drain, disposing of surplus and unsuitable material, restoring property, and testing.

Water meters and boxes; water meter boxes and yokes; valves and boxes or manholes; and tapping sleeves, valves, and boxes or manholes will be measured in units of each, complete-in-place, and will be paid for at the contract unit price per each. This price shall include excavating, backfilling, and restoring property.

Bends, plugs or caps, reducers, and branches (tees, wyes, and crosses) will be measured in units of each

and will be paid for at the contract unit price per each. This price shall include restrained joints, excavating, reaction blocking, testing, backfilling, sheeting and shoring, watertight welds, abandoning or removing existing lines as noted on the plans, and restoring property.

Offsetting existing pipe will be measured in linear feet of pipe parallel to the flow line between tie-in points, complete-in-place, and will be paid for at the contract unit price per linear foot. This price shall include fittings, couplings, restrained joints, excavating, testing, disinfecting, backfilling, compacting, dewatering, disposing of surplus or unsuitable material, sheeting and shoring, bedding material, installing pipe, connecting existing lines as noted on the plans, reaction blocking, watertight welds, abandoning or removing existing lines as noted on the plans, and restoring property.

Leak detectors will be measured in units of each. This price shall include pipe, return bends, bird screens, clamps, excavating, backfilling, and restoring property.

These prices shall include containing or pumping sewage during adjusting or connecting existing sewers and providing and maintaining adequate and safe passage over excavations to accommodate pedestrians or vehicles as directed by the Engineer.

Payment will be made under:

Pay Item	Pay Unit
Water main (Size and type)	Linear foot
Water service line (Size)	Linear foot
Encasement pipe (Size and type)	Linear foot
Casing pipe and concrete (Size)	Linear foot
Leak detector (Standard)	Each
Bend (Size)	Each
Reducer (Size)	Each
Plug or cap (Size)	Each
Branch (Size)	Each
Offset existing pipe (Size)	Linear foot
Valve and (box or manhole) (Size and type)	Each
Tapping sleeve, valve, and (Box or manhole)	Each
Fire hydrant (Standard and type)	Each
Water meter and box (Size)	Each
Water meter box and yoke (Size)	Each
Jacked encasement pipe (Size and type)	Linear foot
Sanitary sewer pipe (Size and type)	Linear foot
Sanitary service lateral connection (Size)	Linear foot
Sanitary sewer force main (Size)	Linear foot
Bend-force main (Size)	Each
Reducer-force main (Size)	Each
Plug or cap-force main (Size)	Each
Branch-force main (Size)	Each
Offset existing pipe-force main (Size)	Linear foot
Sanitary sewer manhole (Standard)	Linear foot
Manhole frame and cover (Standard)	Each

Pay Item	Pay Unit
Sanitary drop connection	Linear foot
Valve and (box or manhole) (Size and type)-force main	Each
Tapping sleeve, valve, and (box or manhole) (Size)-force main	Each
Concrete encasement (Standard)	Linear foot or Cubic yard
Reconstruct existing sanitary manhole	Linear foot
Watertight manhole frame and cover (Standard)	Each

SECTION 602—TOPSOIL

602.01—Description

This work shall consist of applying topsoil in accordance with the requirements of these specifications and in conformity with the depths and limits shown on the plans or as established by the Engineer.

602.02—Materials

- (a) **Class A topsoil** shall conform to the requirements of Section 244.02(b)1.
- (b) **Class B topsoil** shall conform to the requirements of Section 244.02(b)2.

602.03—Procedures

- (c) (a) **Submittals:** When Class B topsoil is specified, the Contractor shall submit soil test reports to the Engineer for Class B topsoil in accordance with the requirements of Section 244.02(b).
- (b) **Preparing Areas to Receive Topsoil:** Unless otherwise designated on the plans or directed by the Engineer, areas designated to receive topsoil shall be graded, shaped, and then scarified or tilled by disking, harrowing, or other approved methods to a depth of approximately 2 inches. Topsoil shall be applied only when the subsoil is in a loose, friable condition. Subsoil on slopes that have been horizontally grooved in accordance with the plans shall not be loosened.
- (c) **Applying Topsoil:** The loose depth of topsoil shall be sufficient to allow the area to conform to the elevations shown on the plans after topsoil settles. After topsoil has been applied, large clods, hard lumps, and stones larger than 3 inches in diameter; brush; roots; stumps; litter; and foreign material shall be removed from the area. Where residential or commercial yards exist, the size of the large clods, hard lumps, and stones shall not exceed 3/4 inch in diameter. Such areas shall be hand raked to provide a smooth yard suitable for mowing by a yard mower. When the topsoiling operation is complete, the area shall be in a condition to receive seed, sod, or plants without further soil preparation. Areas shall be seeded within 7 calendar days after topsoiling is completed.

602.04—Measurement and Payment

Topsoil will be measured in acres of surface area computed to the nearest 0.1 acre and will be paid for at the contract unit price per acre. For smaller areas, and/or as identified on the plans, topsoil will be measured and paid for at the contract unit price per cubic yard. Such areas will be identified and labeled on the plans for cubic yards of soil to be applied. This price shall include soil testing and provision of test reports; preparing areas to receive topsoil; furnishing, loading, transporting, and applying topsoil; finishing areas; and restoring damaged areas.

Payment will be made under:

Pay Item	Pay Unit
Topsoil (Class and depth)	Acre
Topsoil (Class and depth)	Cubic yard

SECTION 603—SEEDING

603.01—Description

This work shall consist of furnishing and applying fertilizer, lime, mulch, and seed in the quantities specified for areas designated on the plans or selected by the Engineer.

603.02—Materials

- (a) **Seed** shall conform to the requirements of Section 244.02(c).
- (b) **Fertilizer** shall conform to the requirements of Section 244.02(d).
- (c) **Lime** shall conform to the requirements of Section 244.02(e).
- (d) **Mulch** shall conform to the requirements of Section 244.02(g).

603.03—Procedures

Unless otherwise specified, seeding operations shall be performed at the times specified in Sections 303.03(b) and 602.03(b). Seeding operations shall not be performed when the ground is frozen or when soil or weather conditions would prevent proper soil preparation and subsequent operations. When hydroseeding is performed, nozzles or sprays shall not be directed toward the ground in a manner that will cause erosion or runoff. The Contractor shall notify the Engineer at least 48 hours prior to beginning seeding operations.

- (a) **Applying Lime:** Lime shall be uniformly applied to areas to be seeded at the rate of 2 tons per acre. Any approved method may be used.
- (b) **Preparing Soil:** After lime is applied, areas to be seeded shall be prepared in accordance with the following: Slopes 3:1 or flatter shall be loosened to a depth of approximately 3 inches by disking, harrowing, or other approved methods. Loosening of soil on excavated slopes steeper than 3:1 will not be required except to eliminate hard or crusted surfaces. Shoulders and embankment slopes steeper than 3:1 shall be loosened to a depth of approximately 1 inch. Clods, loose stones, and other foreign material larger than 3 inches in any dimension shall be removed and disposed of in accordance with the requirements of Section 106.04 or as approved by the Engineer. Gullies, washes, and disturbed areas that develop subsequent to final dressing shall be repaired before they are seeded.
Topsoil, when specified, shall be applied in accordance with the requirements of Section 602.
- (c) **Applying Fertilizer:** When dry fertilizer is used, it shall be applied uniformly to the seeding areas at the time of seeding at the rate of 300 pounds of fertilizer per acre (approximately 45 pounds of nitrogen per acre or 1.0 pound of nitrogen per 1,000 square feet) or as directed by the Engineer. Slow release and slowly soluble fertilizer may be applied through a hydraulic seeder except for sulfur-coated urea (SCU). The method of application for fertilizer products will be approved by the Engineer prior to application of the fertilizer. When applied in liquid form or mixed with water, fertilizer shall provide the same value of nutrients per acre as specified for dry fertilizer. Fertilizer applied in liquid form shall be constantly agitated during application.
- (d) **Applying Seed:** Regular seeding shall consist of uniformly applying seed, fertilizer, and mulch on prepared areas.

Overseeding shall consist of applying seed and fertilizer on areas prepared as directed by the Engineer.

Where temporary seeding is employed as a means of soil stabilization it shall consist of applying seed, fertilizer, and mulch in accordance with the rates specified in the plans or in Section 603.03 to stabilize areas on which grading operations are anticipated to be suspended for durations greater than 15 days. Where temporary seeding is required or directed by the Engineer, the cost for removal of vegetation once grading operations resume shall be included in the price of seeding.

For hydroseeding, seed shall be put in the mixture slowly to result in a uniform mixture before application. Hydroseeding mixtures shall be constantly agitated from the time of mixing until application on the seed bed and used within 8 hours from the beginning of mixing.

If special seed is required in addition to the regular mixture, it will be furnished by the Department and shall be applied with the regular mixture at the Contractor's expense.

Leguminous seeds shall be inoculated or treated with approved cultures as specified by the manufacturer or directed by the Engineer before they are applied or mixed with other seeds to be applied. Seed shall be applied within 24 hours after treatment. When the hydroseeding method is used, leguminous seeds shall be treated with 5 times the amount of inoculant recommended by the manufacturer.

- (e) **Applying Mulch:** Mulch shall be applied in a separate application within 48 hours after completion of the seeding operation. When straw or hay mulch is used, it shall be applied on seeded areas at the rate of approximately 2 tons per acre. When wood cellulose fiber mulch is used, it shall be uniformly applied at the rate of approximately 1,500 pounds net dry weight per acre. Mulch will not be required on overseeded areas.

Straw and hay mulch shall be applied to a uniform thickness in such a manner that not more than 10 percent of the soil surface will be exposed at the conclusion of the mulching operations. Wet straw or wet hay shall not be used. Straw or hay mulch shall be anchored to the seeded surface by spraying with wood cellulose fiber mulch at the rate of 750 pounds per acre; spraying with an emulsified asphalt at the rate of at least 100 gallons per ton of mulch in a manner that will protect adjacent property and pedestrian traffic areas; disking or punching the mulch partially into the soil; using approved netting; or using other materials or methods approved by the Engineer. The Contractor may use more than one method on the same project.

603.04—Measurement and Payment

Lime will be measured in tons and will be paid for at the contract unit price per ton.

Fertilizer will be measured in tons and will be paid for at the contract unit price per ton. When a grade different than that specified in the Contract is used, the tonnage quantity will be adjusted to the grade specified.

Seed will be measured in pounds of seed used and will be paid for at the contract unit price per pound. When bags of seed are transferred from project to project, certified scales shall be used for weighing the seed. Open bags will not be accepted for use.

Prices for seed, fertilizer, and lime shall include preparing seed beds; furnishing and applying seed; furnishing and applying mulch; and maintaining seeded areas until final acceptance.

Overseeding will be paid for at the contract unit price per pound of seed. This price shall include preparing seedbeds and furnishing and applying seed and additional fertilizer.

Mulch will not be measured for separate payment. The cost thereof shall be included in the price for seed.

Payment will be made under:

Pay Item	Pay Unit
(____) seed	Pound
(____) overseeding	Pound
Fertilizer (Ratio)	Ton
Lime	Ton

SECTION 604—SODDING

604.01—Description

This work shall consist of preparing sod beds; furnishing and placing sod; and furnishing and applying lime, fertilizer, topsoil, and water at locations designated on the plans or by the Engineer.

604.02—Materials

- (a) **Sod** shall conform to the requirements of Section 244.02(h).
- (b) **Fertilizer** shall conform to the requirements of Section 244.02(d).
- (c) **Lime** shall conform to the requirements of Section 244.02(e).

604.03—Procedures

- (a) **Preparing Sod Beds:** Soil on which sod is to be placed shall be shaped to an even surface and graded to such an elevation that sod and adjacent surfaces will have a smooth contour.

Lime shall be uniformly applied to areas designated to receive sod at the rate of approximately 2 tons per acre.

Fertilizer shall be uniformly applied to areas designated to receive sod at the rate of 16 1/2 pounds of 15-30-15 fertilizer, or an equivalent quantity of 1-2-1 fertilizer, and 10 pounds of ureaformaldehyde per 1,000 square feet. Following application of lime and fertilizer, the soil shall be thoroughly cultivated to a depth of 2 to 3 inches and sprinkled with sufficient water to moisten the cultivated soil.

- (b) **Placing Sod:** Sod shall not be placed between June 1 and September 1 or at any time the ambient temperature is below 32 degrees F. Frozen sod shall not be placed, and sod shall not be placed on frozen soil. Sod shall be placed by hand, and joints shall tightly abut without overlapping. Open joints and gaps shall be plugged with sod that has been cut to the size and shape of the opening.

Sod shall be placed on sloping areas beginning at the bottom of the slope. Sod shall be placed in horizontal strips with the long edges of rectangular pads parallel to the contour. When practicable, horizontal joints shall be reasonably straight and vertical joints staggered. In areas where sod pads may be displaced by foot traffic during sodding operations, ladders or treaded planks shall be used.

Sod placed on slopes steeper than 2:1 shall be anchored in place with wood stakes driven flush with the top of the sod. Stakes shall be at least 8 inches in length with a cross-sectional area of approximately 1 square inch. The number and spacing of stakes shall be adequate to hold sod securely in place. Special attention shall be given to anchoring sod placed in drainage ditches, channels, and swales.

After sod has been placed, joints and gaps that were too small to be effectively plugged with sod shall be filled with loamy topsoil.

Sodded areas shall be watered thoroughly and rolled or tamped to press the root system of the sod into full contact with underlying soil.

Sodded areas shall be kept watered to maintain the life and growth of the sod until final acceptance.

604.04—Measurement and Payment

Sod will be measured in square yards of surface area, complete-in-place, and will be paid for at the contract unit price per square yard of surface area. This price shall include preparing sod beds; furnishing and applying lime, fertilizer, sod, and water; and maintaining sodded areas until final acceptance.

Payment will be made under:

Pay Item	Pay Unit
Sod	Square yard

SECTION 605 – PLANTING

605.01--Description

This work shall consist of furnishing and planting trees, shrubs, vines, and other plants of the kinds, sizes, and quantities specified on the plans or by the Engineer and maintaining and replacing plants as specified herein.

605.02--Materials

- (a) **Plants** shall conform to the requirements of Section 244.02(i).
- (b) **Drainage stone** shall conform to the requirements of Section 204.
- (c) **Composted Yard Waste** shall conform to the requirements of Section 244.02 (j).
- (d) **Geotextile Drainage Fabric** shall conform to the requirements of Section 245.
- (e) **Topsoil** shall conform to the requirements of Section 244.02(b)
- (f) **Horticultural Grade Perlite** shall conform to the requirements of Section 244.02(j).
- (g) **Tree Tubes** shall conform to the requirements of Section 244.02(j)
- (h) **Tree Anchors, Staking and Guying Materials** shall conform to the requirements of Section 244.02(j)
- (i) **All other Misc. Planting Materials** shall conform to the requirements of Section 244.02(j) and 244.02(k).

605.03--Procedures

- (a) **Documentation of Confirmed Order:** The Contractor shall submit documentation to the Engineer of a confirmed order of all plant materials 60 days in advance of the proposed planting operation. The documentation shall list the source(s) of supply, all species by common and botanical name, specific variety, and cultivar in the sizes reserved. When special requirements are listed on the planting summary sheet, such as “Specimen Quality,” or “Specimen Street Tree”, etc., the documentation shall certify that the species reserved meet those specific requirements. Once the Documentation of Confirmed Order is received, the Engineer reserves the right to require sample photographs of materials to be supplied. The Engineer also reserves the right to inspect and approve the selection of plant materials at the source of supply prior to delivery. In the event that specific materials are not available, the Contractor shall submit a request for substitutions in accordance with the requirements of (e) herein.
- (b) **Planting Season:** The Planting Season shall be from November 1, through March 31, unless otherwise identified on the plans. The Contractor shall notify the Engineer 48 hours prior to beginning work. All sources of supply, materials, construction schedule, and methods of construction shall be approved by the Engineer prior to beginning work on the project. Plants requiring either spring or fall planting only will be designated on the plans.
- (c) **Sources of Supply:** All plants shall be obtained from a nursery certified by a “Certificate of Registration” in accordance with The Virginia Department of Agriculture and Consumer Services (VDACS), or by a comparable agency responsible for nursery inspection and issuance of a “Certificate of Registration” from the State of origin. A copy of the certification shall accompany each separate delivery of plant materials to the project site, and shall be submitted to the Engineer.
- (d) **Inspecting and Identifying Plants:** Plants will be inspected and identified in accordance with the *Standardized Plant Names* prepared by the Editorial Committee of the American Joint Committee on Horticultural Nomenclature. The Engineer may inspect plants at any time and place. Plants will be inspected immediately prior to being planted. If plants are installed prior to inspection and found to be unsatisfactory, they shall be replaced with approved plants at the Contractor's expense.
- (e) **Substitutions:** No change in the quantity, size, kind, or quality of plants from those specified will be permitted without the written approval of the Engineer. When requesting permission to substitute, the Contractor shall submit to the Engineer written evidence in accordance with the requirements of (a) herein that the specified plants are not available and shall suggest substitute plants that conform to the requirements of the Contract. The Contractor shall indicate the reduced cost, if any, that will accrue to the Department as a result of the substitution. The Engineer may delete plants from the Contract in lieu of approving substitutions.
- (f) **Layout:** Plant locations and outlines of bed areas to receive plants shall be staked or marked by the Contractor and will be inspected by the Engineer for approval prior to plant installation. The

Contractor shall notify the Engineer a minimum of 48 hours prior to scheduling the inspection. Planting shall not be permitted until the Engineer has approved the staking layout. Unforeseen conditions such as the location of traffic signs, utilities and drainage items may necessitate adjustments in plant locations, and such adjustments will only be permitted when approved in writing by the Engineer.

- (g) **Delivery:** The Contractor shall notify the Engineer at least 48 hours in advance of the anticipated delivery date for plants. A legible copy of the invoice showing the kinds and sizes of plants in each shipment shall be submitted to the Engineer. A copy of the current Certificate of Nursery Inspection from the State of origin shall accompany each shipment of plants.
- (h) **Labeling:** Plant material delivered to the project shall be legibly identified with a waterproof label as to the genus, species, and size of the plants. When plants are in bales, bundles, boxes, or other containers, a legible label indicating the genus, species, size, and quantity of the plants shall be attached to each container. A minimum of 10 percent of each species in each shipment shall be so labeled. Failure to comply with this identification labeling will be cause for rejection.
- (i) **Transporting and Protecting:** Plants transported to the project in open vehicles shall be covered with suitable covers securely fastened to the body of the vehicle. Closed vehicles shall be adequately ventilated to prevent overheating plants. Plants shall be kept moist, fresh, and protected at all times.
- (j) **Storing:** When plants are to be stored, they shall be stored at a location approved by the Engineer. Plants stored for more than 30 days shall not be used unless approved by the Engineer. Unless the Engineer approves other methods of storage, bare-root plants that are not planted within 24 hours after delivery shall be heeled-in in a moist trench dug in the ground. Bundles shall be opened, and plants shall be separated and placed singly in the trench with the roots spread in a natural position. Roots of each layer of plants shall be immediately covered in a manner satisfactory to the Engineer with moist, pulverized soil; moist sawdust; or other approved material. Root-covering materials shall be kept moist at all times. Shade shall be provided as directed by the Engineer. At the discretion of the Engineer, balled material, container-grown material, and plants in plantable pots that are not planted within 48 hours of delivery shall have their root zone protected by wet sawdust or other approved material. Rejected plants shall be removed from the storage area within 24 hours of rejection or, with the written approval of the Engineer, may be marked with yellow paint or otherwise made readily identifiable. If rejected plants have not been removed or acceptably marked within 24 hours, the use of plants from the storage area will not be allowed until rejected plants have been removed or identified by marking.
- (k) **Planting:**
 - 1 **Underground and Aboveground Conditions:** It shall be the responsibility of the Contractor to have marked, the location of all underground utilities with Ticket Information Exchange (TIE) / (Miss Utility) and all other applicable underground utility providers such as sewer and water service, and VDOT traffic signal cable prior to digging. The Contractor shall be responsible for locating and working around aboveground utilities. If underground obstructions or any other unforeseen subsurface or above surface conditions that could interfere with a utility or become detrimental to plant growth are encountered, the Engineer may require that plant pits be enlarged or relocated or that the plants be deleted from the contract.
 - 2 **Planting Trees or Shrubs on Slopes Steeper than 3:1:** Drainage requirements for trees or shrubs on slopes steeper than 3:1 will be determined by percolation tests, with no more than 3 tests per slope, as designated by the Engineer. Slopes for this test are determined from cut and fill slopes shown on the cross sections. Percolation testing shall consist of the following: The Contractor shall auger holes that are 12 inches in diameter and 24 inches in depth. Three holes shall be distributed across the slopes vertically and horizontally. The Contractor shall fill the holes with water and allow them to drain. If soil is extremely dry, fill holes twice and allow to drain. Fill holes again and measure rate at which water percolates into the soil. Water in holes should recede at the rate of 2 inches per hour (minimum) or pit modification for improving drainage shall be required.
 - 3 **Preparing Planting Pits for Trees and Shrubs:** Planting pits shall be excavated to meet the minimum requirements VDOT Road and Bridge Standards unless otherwise indicated on the plans by detailed drawings. Sides of pits that become plastered or glazed shall be scarified. Surplus excavation and unsuitable material shall be disposed of in accordance with the requirements of Section 106.04 or as otherwise approved in writing by the Engineer. Preparation

of the planting medium (soil mix) shall utilize 3 parts of the original excavated soil from the digging operation thoroughly mixed with 1 part composted yard waste, except where linear or oversize planting pits are specified on the plans.

If the Contractor determines that the original excavated soil is not suitable for reusing with amendments for achieving an acceptable growing medium, the Contractor shall notify the Engineer. The Engineer shall make a determination as to the quality of the soil, and if found to be unacceptable; will direct the Contractor to use topsoil or composted yard waste for use in the soil mix. In such cases, the planting pit, and unsuitable soils surrounding the pit shall be removed as directed by the Engineer. The Engineer reserves the right to have the original soil tested prior to making a determination for replacement.

- 4 **Preparing Plant Beds:** Plant beds shall be prepared by the Contractor in accordance with the following:
 - a. Plant bed preparation shall only be required on slopes of 3:1 or flatter. Where grass and weeds are present, the Contractor shall treat the designated bed area(s) with a broad spectrum grass and weed killing herbicide at least two weeks prior to beginning bed preparation, or physically remove turf and weeds immediately before bed preparation. The entire area of the plant bed shall be cultivated to a depth of 4 inches by a rotary cultivator or other approved method. The Contractor shall then apply composted yard waste at a depth of three inches over the entire plant bed and re-till to form a homogenous soil medium. Soil shall be cultivated so that there are no clods larger than 2 inches in diameter.
 - b. Any remaining grass, sod, and weeds shall be removed from the bed. Rocks over 3 inches in diameter, clods, roots, and other objectionable material remaining on the surface shall be removed and disposed of in accordance with the requirements of Section 106.04 or as approved in writing by the Engineer. Individual planting pits shall not be dug until after the bed is prepared to the satisfaction of the Engineer.
 - c. Upon completion of planting, the bed shall be hand raked to an even surface and neatly edged with a “V” cut edge located a minimum of 12 inches from the root ball of plants along the outer edge of the bed. Mulch shall be applied to the entire bed area. On certain projects where mulched beds around large quantities of plant materials are used to control weed growth and are not intended as a prepared soil medium, tilling and application of composted organic material throughout the plant bed shall be waived when beds are labeled on the plans as “Bed Preparation Not Required”.
- 5 **Linear Planting Pit:** Areas labeled on the plans and details as “Linear Planting Pit” shall be excavated to the horizontal and vertical dimensions indicated on the plans to receive soil mixture. Soil mixture shall consist of 1 part composted yard waste, and 1 part horticultural grade perlite, unless otherwise indicated in the contract documents, and shall include any necessary excavation required for installation of plant underdrain systems. Plant underdrain system(s), as applicable, shall be indicated on the plans, listed as a pay item and installed in accordance with plan details.

Soil mix for linear planting pits shall be installed in 6 inch lifts, lightly compacted by foot or other approved method, and moistened prior to proceeding with next lift. If settlement occurs prior to planting, additional soil mix shall be added at the direction of the Engineer. Prior to planting the Contractor shall till the linear planting pit to a depth of 4 inches, hand rake the area and adjust the grade adjacent to curb or sidewalk to receive 3 inches of mulch.
- 6 **Oversize Planting Pit:** shall be prepared in accordance with the plan details at locations shown on the plans. Backfill shall consist of one-half part native soil excavated from the plant pit, and one-half part composted yard waste. If native soil is determined by the Engineer to be unsuitable, 100 percent composted yard waste shall be used. If settlement occurs prior to planting, additional soil mix shall be added at the direction of the Engineer. After planting the planting pit shall be neatly edged except when the planting pit falls within a larger bed area.
- 7 **Installing Trees and Shrubs:** Balled and burlapped and containerized plant materials shall be installed in plant pits in accordance with the requirements of the VDOT Road and Bridge Standards, unless otherwise indicated on the plans. Bare roots of plants shall be spread out in a natural position. Broken or bruised roots shall be pruned. After positioning plants in the planting pit and prior to backfilling, root ball wrapping materials, except metal root ball cages shall be cut and dropped to the bottom of the pit. Root ball wrapping materials shall not be removed from

under the root ball. Metal root ball cages shall be cut and removed to a minimum of 6 inches below finished grade. Wrapping materials within root ball cages shall be cut or unwrapped to the same elevation as the cage. All other wrapping materials such as tags, twine and colored marking ribbon shall be removed from the plant unless otherwise directed by the Engineer. The soil mixture shall then be filled in around roots and lightly tamped. Light tamping around root balls shall be performed using a method approved by the Engineer. Foot tamping will be permitted in the bottom of pits before plants are installed, around root balls when there is ample room to accommodate the foot without damage to the ball, and in the planting of bare-root plants after roots have been covered with the soil.

Backfill material in pits shall be saturated with water. The amount of water applied and method of application shall be approved by the Engineer. Failure to water properly at the time each plant is installed will be cause for rejection of the plant. Frozen backfill material shall not be used.

Potted plants shall not be removed from their container until immediately before planting. Containers shall be removed by approved methods that will not damage roots or loosen soil balls. The sides of containerized materials shall be scarified prior to planting.

When planted, watered, and fully settled, plants shall be vertical and shall stand at a height flush with the height of which they were growing.

- 8 **Handling Plants during Planting:** Roots of bare-root plants shall be kept covered with moist burlap or other approved material prior to planting. Forest tree seedlings and forest tree transplants shall be carried in a container filled with sufficient mud to puddle roots. When seedling roots have been coated with a protective material, the seedlings shall be protected in accordance with the U.S. Forest Service's recommendations relative to treatment of seedling roots while seedlings are being planted. Plants will be rejected if their roots are exposed to drying conditions at any time.
 - (l) **Forming Water Rings and Saucers:** Immediately after the installation of each plant, a saucer shall be formed around the plant pit. Soil used to form the saucer shall be compacted by tamping to prevent runoff of water from the pit. Saucers shall measure 4 to 6 inches in width, and 2 to 3 inches in height after tamping. Saucers will not be required for forest tree seedlings, or forest tree transplants. Water rings and saucers shall be formed on the wetland trees and shrubs planted on slopes and upland areas adjacent to the wetland.
 - (m) **Applying Mulch:** Mulch shall be applied uniformly to a 3-inch depth over the entire area of the plant pit or plant bed within 48 hours after completion of planting. Re-mulching at the terminus of the establishment period shall be applied at a depth of 1-1/2 inches. Mulch shall be anchored in a manner satisfactory to the Engineer. Mulch shall not be required for wetland trees and shrubs, or upland forest tree seedlings. Mulch shall be applied to wetland trees and shrubs on slopes and upland areas adjacent to the wetland.
 - (n) **Staking, Guying, Anchoring:** Each plant shall be staked and guyed or secured with below ground tree anchors immediately following planting, unless otherwise indicated in the Planting Plan Summary and General Notes. Below ground tree anchors shall be used when specified on detailed drawings in the plans. Staking and guying shall be required for wetland trees and shrubs on slopes and upland areas adjacent to the wetland.
 - (o) **Pruning:** Plants that have been freshly pruned before delivery will be rejected. If necessary, plants shall be pruned either immediately before or within 48 hours after they are planted. Pruning of trees and shrubs to be planted on projects shall consist of removing dead, diseased, broken or other branches deemed injurious to the health of the plant, and for removal of sprouts and sucker growth. Care shall be taken to preserve the natural character of the plant. Pruning shall be performed with tools and equipment in excellent working condition that are specifically designed for the appropriate work. All pruning shall be performed in accordance with the current American National Standards Institute (ANSI A300) and as directed by the Engineer. All debris removal including disposal from the pruning operation shall be the responsibility of the Contractor.
 - (p) **Pit Drains:** Pit drains or plant underdrain systems shall be installed as shown on the plans.
 - (q) **Tree Tubes:** This work shall consist of installing tree tubes on all seedling trees in accordance with the manufacturer's recommendations, the plans and product specifications.

605.04—Care of Plants

Plant care shall begin immediately after each plant is satisfactorily installed and shall continue until final acceptance. Care shall include but not be limited to replacing displaced mulch, repairing and reshaping water rings or saucers, maintaining stakes and guys as originally installed, watering when needed or as directed by the Engineer, and performing any other work required to keep plants in a healthy condition. Dead, defective, or rejected plants shall be immediately removed and replaced in accordance with the requirements of Section 605.05(b)4.

605.05--Establishment Period

- (a) **Beginning of Establishment Period:** The establishment period shall begin on a date following completion of the planting (spring or fall), when the Contractor receives written confirmation from the Engineer, that all work has been completed in accordance with the requirements of this Section and the plans, and that all plants are living, healthy and in a viable growing condition as determined by the Engineer. Plants that are replaced in order to meet these initial specifications are not considered as “plant replacements.”
- (b) **Establishment Period:** The establishment period shall continue through a minimum of one growing season, and shall terminate on the date determined in writing by the Engineer. During the establishment period, the Contractor shall do all work necessary to keep the plants in a healthy growing condition, including, but not limited to the following:
 - 1 **Watering:** The Contractor shall prepare and submit to the Engineer a schedule for watering in accordance with the frequency listed on the project summary sheet. However, the Contractor shall be responsible for watering as frequently as is necessary to maintain an adequate supply of moisture within the root zone of all plantings at all times or if there is less than 1 inch of rainfall in a seven day period during the months of April through September. Water shall not be applied at a force that will displace soil or mulch. Quantities and frequency of watering shown on the plans are for minimum estimating purposes only.
 - a. The Engineer may require the use of watering needles or other approved methods to prevent displacement of soil, mulch and runoff of water. The Engineer may make periodic inspections to ascertain the adequacy of the Contractor’s watering and the moisture content of the soil.
 - b. The quantity of water supplied shall not be in excess of that normally required to ensure optimum growing conditions. Watering shall not commence until methods and equipment have been approved by the Engineer. The Engineer may require or suspend watering at any time.
 - 2 **Notification and Scheduling:** When notified by the Engineer that watering is required, the Contractor shall begin watering within 48 hours with sufficient labor and equipment and shall continue to water daily where and as directed, without delays or interruptions, to ensure that the root zone does not become dry at any time. In the event the Contractor fails to begin watering operations within 48 hours after notification, the Engineer may proceed with adequate forces, equipment, and materials to perform the watering operations and the entire cost of the watering operations will be deducted from monies due the Contractor.
 - 3 **All establishment period maintenance work**, except watering, shall begin within 7 working days after the Engineer notifies the Contractor that the establishment period has begun.
 - 4 **Plant Replacements:** Between the beginning and ending dates of the establishment period, plants that are dead, defective, or otherwise not in a healthy growing condition as determined by the Engineer shall be removed immediately at the Contractor’s expense. Plant replacements shall be made once in the spring if required (Between March 1 and March 31), and once in the fall if required (Between November 1 and December 31), as necessary to replace dead or defective plant materials as directed by the Engineer. For each plant replaced, the first replacement, if required, shall be at the Contractor’s expense. The second replacement, if required, will be paid for at 35 percent of the original contract unit price per each plant replaced.
 - 5 **Stakes, and Guys, and/or Below Ground Tree Anchors** shall be repaired or replaced immediately as needed. Stakes and Guys shall be removed when no longer required as directed by the Engineer. Tree anchors shall remain in place.

- 6 **Eroded Saucer Rings** shall be repaired or replaced as needed and/or as directed by the Engineer.
 - 7 **Mulch** shall be redressed as needed and/or as directed by the Engineer throughout the establishment period.
 - 8 **Re-mulching:** When established as a separate pay item, remulching shall be reapplied to all individual plants and plant beds prior to the terminus of the establishment period at a rate of approximately 1 1/2 inch depth, uniformly over all individual plant pits and plant beds, and/or as directed by the Engineer.
 - 9 **Vegetation Control** shall consist of the control and/or removal of weeds, grass and root growth from plant beds and mulched areas around individual plants. Such weeding shall be performed once in the month of May, June, July, August, and September for a total of five weeding operations over the duration of the establishment period. The Contractor shall submit a schedule for vegetation control for approval by the Engineer prior to the Contractor beginning vegetative control operations.
 - a. Removal of weeds, grass and root growth may be completed by hand or through the application of “pre-emergent” and “post emergent” herbicides as approved by the Engineer. All herbicide applications shall be performed by certified pesticide applicators in accordance with the requirements of Section 601. Additional weeding may be performed when requested by the Engineer and with written agreement from both parties. The Engineer also reserves the right to delete individual weeding cycles at no cost to the Department when necessary. The Contractor shall be responsible for replacing plants that are damaged or that die due to the application of herbicide treatments.
 - b. When herbicides are used for post emergent weed control, the weeds shall be cut to a height of 6 inches or as recommended by the manufacturer if necessary, prior to applying the herbicide. The Engineer reserves the right to change the frequency or delete specific areas scheduled for weed control. Other pesticides, adjuvants and plant growth regulators may be used when approved by the Engineer.
 - c. Turf maintenance which includes grass and other vegetation around individual plant pits, between groups of plant pits that are 15 feet on center or less, and around the perimeter of plant beds shall be cut to a height of approximately 4 inches. For each individual plant pit, group of plant pits, and plant beds, a perimeter extending 5 feet in width shall be maintained around the outermost plant pits and edge of beds where grass and other vegetation is present, and where such areas exist within the right-of-way or construction easement. Mowing shall be performed once in each month of May through September. Additional mowing may be performed when requested by the Engineer. The Engineer reserves the right to delete individual mowing cycles when deemed necessary by the Engineer.
 - 10 **Additional Work**, including pruning of dead, broken or diseased branches, and seasonal spraying with approved insecticides and fungicides, shall be performed to ensure plant survival as approved or directed by the Engineer.
- (c) **Termination of Establishment Period:** Any dead, missing, or defective plants shall be replaced as directed by the Engineer prior to termination of the establishment period. The Engineer shall be notified within 48 hours prior to beginning the replacement work.
- The establishment period shall end on a date established by the Engineer, when the Contractor receives written notification from the Engineer that confirms all the requirements of (b) herein have been satisfactorily completed.

605.06--Guarantee

The Contractor's performance bond, furnished in accordance with the requirements of Section 103.05, shall provide for necessary maintenance during the establishment period and replacements in kind, or with a substitute acceptable to the Engineer, for plants that are not in a healthy growing condition or that have died back to the crown or beyond the normal pruning limit.

605.07--Measurement and Payment

Plants will be measured by an actual count of living plants in a healthy growing condition and will be paid for at the contract unit price per each. Plants that the Engineer deleted from the Contract will not be measured for

payment. This price shall include furnishing and delivering plants and miscellaneous planting materials; preparing planting pits, except when established as a separate pay item, forming saucers; installing plant materials; watering, except during establishment period, applying fertilizer; back filling with approved soil mixture; staking; guying; anchoring; pruning; applying mulch, except to areas designated on the plans as plant beds, replacing dead or damaged plants; repairing, replacing and removing stakes and guys when no longer needed; and maintaining plants in a healthy growing condition until final acceptance.

Trees or shrubs planted on a slope steeper than 3:1 with pit modification as indicated in the Department's Road and Bridge Standard Section 1201.06 will be paid for at 125 percent of the price bid. Such price shall also include the cost of pit modification, stone and geotextile filter fabric. Percolation tests shall be included in the price bid for plants.

Replacements for plants lost during the establishment period because of theft, damage, or destruction caused by persons or equipment belonging to persons or organizations other than those engaged in performing the work or during delivery of the plants, or plants lost due to damage from animals either wild or domestic, will be paid for at the rate of 35 percent the contract unit price per each. If vandalism or vehicle damage is determined by the Engineer to be the cause the rate will be 50 percent the contract unit price per each. This price shall include all costs associated with furnishing and installing the replacement. Replacements for plants that die due to other reasons shall be replaced and paid for in accordance with the requirements of Section 605.05(b)4.

Bed Preparation will be measured and paid for in units of 100 square feet of surface area. This price shall include herbicide spraying of areas to be prepared for planting or physically removing turf and weeds, restoring areas to original grade with topsoil (as applicable) as directed by the Engineer, tilling soil, furnishing, delivering, and applying composed yard waste at the specified depth, tilling in composted yard waste, hand raking, neatly edging bed, and all incidentals necessary to prepare a healthy growing medium for planting.

Pit drains when detailed and established as a separate pay item on the plans will be measured and paid for in units of each, complete-in-place, which price shall include drainage stone, pipe, pipe grate, prefabricated drainage core, drainage/aeration cloth, and geotextile drainage fabric as designated on the plans.

Linear Planting Pit will be measured and paid for in units of cubic yards of excavated material required to achieve the horizontal and vertical dimensions indicated on the plans to receive soil mixture, including furnishing, delivering and storage of soil mixture materials to be used, and disposal of surplus excavated materials. Such price shall also include the cost of mixing and installing all components of the soil mix (horticultural grade perlite and composted yard waste), bed preparation, hand raking and adjusting surface to receive mulch, and furnishing and installing underdrain system(s) if indicated on the plans, including drainage stone, drainage/aeration cloth, prefabricated drainage core, geotextile drainage fabric, pipe, and pipe grate in accordance with the plans and detailed drawings, and all necessary incidentals complete in place.

Oversize Planting Pit will be measured and paid for in units of each, which price bid shall include excavation and disposal of unsuitable material, provision, and installation of pit drain materials if shown as part of the plan details, installation of approved backfill material and mixing with composted yard waste.

Mulching will be measured in units of 100 square feet of surface area. Mulch for plant beds at the time of initial installation will be paid for at the contract unit price per 100 square feet. This price shall include furnishing, delivering, and applying mulch. No separate payment will be made for initial application or maintenance of mulch around plants that are not in continuous mulched plant beds. The cost thereof shall be included in the price for the plant.

Re-mulching, when established as a separate pay item, will be measured and paid for in cubic yards. This price shall include furnishing, delivering, and applying mulch to plant beds, and to plants in individual saucer rings prior to the terminus of the establishment period. Remulching does not include regular maintenance of individually mulched plants or plant beds for the duration of the establishment period.

Watering during the establishment period will be measured in units of 1000 gallons, and will be paid for at the contract unit price per unit, applied at the rates designated on the plans. This price shall include furnishing, delivering, and applying water and performing all other work in connection therewith and incidental thereto. No payment will be made for watering at the time of original planting or during the installation period until from plant installation until the start of the establishment period or whenever replacements are planted.

Vegetation Control will be measured and paid for on a unit basis. Compensation for weeding (removal of weeds, grass, and root growth) and turf maintenance shall be made on a Unit basis consisting of each complete project weeding and turf maintenance operation accomplished by the Contractor, including the removal and disposal of unwanted vegetation, application of pesticides, the performance of mowing around and between individual plants, and as reviewed and approved by the Engineer.

Tree Tubes will be measured and paid for at the contract unit price per each which shall include furnishing and installing the tube, including all incidentals necessary to complete the work. This price shall also include the removal and disposal of the tree tube at the end of the establishment period.

Payment will be made under:

Pay Item	Pay Unit
(Name of) Plant (Size)	Each
Bed Preparation	Unit (100 square feet)
Pit Drain	Each
Linear Planting Pit	Cubic Yard
Oversize Planting Pit	Each
Mulching	Unit (100 square feet)
Re-mulching	Cubic Yard
Watering	Unit (1,000 gallons)
Vegetation Control	Unit
Tree Tube	Each

SECTION 606—SOIL RETENTION COVERINGS

606.01—Description.

This work shall consist of furnishing and placing protective coverings for soil retention, including seed, fertilizer, lime, topsoil, and water, in accordance with the requirements of these specifications and in conformity to the dimensions, lines, and grades shown on the plans or as established by the Engineer.

606.02—Materials.

Materials shall conform to the requirements of Section 244.02(k).

606.03—Procedures.

- (a) **Preparing Areas:** Two inches of topsoil shall be applied to the area to be covered. Drainage channels shall be shaped in accordance with the cross section shown on the plans and shall be rolled or tamped to compact soil in place before final shaping.

During shaping operations, a seedbed approximately 3/4 inch in depth shall be provided.

Stones, roots, and other objects that will prevent protective covering from making close contact with the seedbed shall be removed before covering is installed.

- (b) **Applying Seed:** Seed shall be applied in accordance with the requirements of Section 603 except that mulch will not be required. Seed, fertilizer, and lime shall be applied prior to installation of protective coverings.

Seeded areas adjacent to the channel or ditch that are disturbed during installation of covering shall be uniformly reshaped, reseeded, and mulched at the Contractor's expense.

- (c) **Installing Soil Retention Coverings:** Coverings shall be installed in accordance with the standard drawings and manufacturer's recommendations.

- (d) **Watering:** After coverings are installed, seeded areas shall be watered sufficiently to saturate the seedbed. Water shall be applied in a spray, and no additional watering will be required.

606.04—Measurement and Payment.

Protective coverings and soil stabilization mats will be measured in square yards of area covered, complete-in-place, in accordance with the nominal plan dimensions and will be paid for at the contract unit price per square yard. Overlaps, overwidths, and cut slots will not be measured for separate payment. This price shall include furnishing, installing, and stapling soil retention coverings; smoothing and shaping ditch channels and waterways; preparing seed beds; and furnishing and applying topsoil, lime, seed, fertilizer, and water.

Payment will be made under:

Pay Item	Pay Unit
Protective covering (Standard)	Square yard
Soil stabilization mat (Standard and type)	Square yard

SECTION 607—HERBICIDE SPRAYING

607.01—Description

This work shall consist of applying an approved herbicide for the control of weeds in turfed areas as indicated on the plans or as designated by the Engineer.

607.02—Materials

Herbicide shall conform to the requirements of Section 244.02(a).

607.03—Procedures

Herbicide shall be applied in accordance with the manufacturer's recommendations. Herbicide shall not be applied when the ambient temperature is above 85 degrees F or below 60 degrees F. The spray pressure shall be at least 20 but not more than 30 pounds per square inch. Spraying shall not be performed when the vegetation is wet, when it appears that rain is imminent within 6 hours, or when the wind is blowing enough to scatter paper or trash.

607.04—Measurement and Payment

Herbicide spraying will be measured in units of 1,000 gallons of mixture and will be paid for at the contract unit price per 1,000 gallons. This price shall include furnishing and applying herbicide.

Payment will be made under:

Pay Item	Pay Unit
Herbicide spraying	Unit (1,000 gallons)

SECTION 608—MOWING

608.01—Description

This work shall consist of mowing designated areas to a height of not less than 4 inches when and as directed by the Engineer until final acceptance.

608.02—Equipment

Equipment used for mowing operations shall be mechanical with a cutting width of at least 5 feet.

608.03—Measurement and Payment

Mowing, when a pay item, will be measured in hours of operation and will be paid for at the contract unit price per hour. This price shall include equipment, operators, fuel, and lubricants.

Payment will be made under:

Pay Item	Pay Unit
Mowing	Hour

SECTION 609—TREE WELLS AND TREE WALLS

609.01—Description

This work shall consist of constructing wells and walls to protect the root system of trees, shrubs, or other woody plants at the locations shown on the plans or as designated by the Engineer.

609.02—Materials

Aggregate shall conform to the requirements of Section 203.

PVC pipe shall conform to the requirements of Section 232(g).

Geotextile fabric shall conform to the requirements of Section 245.

Rubble for masonry shall conform to the requirements of Section 204.

609.03—Procedures

Excavation incidental to and necessary for constructing tree wells and tree walls shall be conducted in a manner that will not damage the root system. Ends and damaged sections of roots shall be cleanly cut. Roots with a diameter of more than 3 inches shall not be cut.

Before any earth fill that will exceed 12 inches in thickness is spread over the feeding root system of trees or shrubs to be protected by tree wells, an aeration layer of coarse gravel or stone ranging from 1/2 to 5 inches in size shall be spread over the entire area for a depth of at least 6 inches or at the rate of 3 inches for every 12 inches of earth fill where such fills will be more than 2 feet in depth. The layer of aggregate shall be covered with sufficient fine screenings to choke the top of the porous fill. Aggregate shall not be placed inside the tree well.

609.04—Measurement and Payment

Tree wells and tree walls will be measured in cubic yards of masonry, complete-in-place, and will be paid for at the contract unit price per cubic yard of masonry. This price shall include excavation; drainpipe; and backfill, including aggregate.

Payment will be made under:

Pay Item	Pay Unit
Tree well (Standard)	Cubic yard
Tree wall (Standard)	Cubic yard

SECTION 610—GABIONS

610.01—Description

This work shall consist of furnishing and installing gabions in accordance with these specifications and in conformity to the lines, dimensions, and grades shown on the plans or as established by the Engineer.

610.02—Materials

- (a) **Gabions** shall have a uniform horizontal width of at least 36 inches. Their dimensions shall be within ± 3 percent of the manufacturer's stated sizes.
- (b) **Wire mesh** shall conform to the requirements of Section 223.02(a).
- (c) **Selvedge (or perimeter) wire** shall be at least 0.148 inch in diameter (9 gage) and shall conform to the requirements of Section 223.02(a) for wire mesh.
- (d) **Tie and connection wire** shall conform to the requirements for the wire used in the mesh except that it shall be not more than two gages smaller.
- (e) **Gabion stone** shall conform to the requirements of Section 204.

610.03—Procedures

Gabions shall be fabricated in such a manner that the sides, ends, lid, and diaphragms can be assembled at the construction site into rectangular baskets. Gabions shall be of single-unit construction whereby the base, lid, ends, and sides are woven into a single unit or whereby one edge of these units is connected to the base section of the gabion. The strength and flexibility at the point of connection shall be at least equal to those of the mesh.

If the length of the gabion exceeds its horizontal width, the gabion shall be equally divided into cells by diaphragms of the same mesh and gage as the body of the gabion. The length of each cell shall not exceed its width. The gabion shall be furnished with the necessary diaphragms secured in the proper position on the base so that no additional tying at the junction will be necessary.

Perimeter edges of the mesh forming the gabion shall be securely clip bound or selvedged in such a manner that the joints formed by tying the selvedges will have at least the same strength as the body of the mesh.

Tie and connection wire shall be supplied in sufficient quantity to fasten securely all edges of the gabion and diaphragms. At least two cross-connecting wires shall be in each cell whose height is one-third or one-half the width of the gabion. At least four cross-connecting wires shall be in each cell whose height equals the width of the gabion. The wire shall be secured through two open loops of the cage.

Excavating and backfilling for gabions shall be performed in accordance with the requirements of Section 303. Gabions shall be placed on a smooth foundation, and the final line and grade shall be approved by the Engineer.

Each gabion unit shall be assembled by binding the vertical edges with wire ties at approximately 6-inch intervals or by stitching a continuous piece of connecting wire around the vertical edges with a coil approximately every 4 inches. Wire ties or connecting wire shall be used to join units in the same manner as described for assembling. Internal tie wires shall be uniformly spaced and securely fastened in each cell of the structure.

A standard fence stretcher, chain fall, or iron rod may be used to stretch wire baskets and hold the alignment.

Gabions shall be filled with stone in a manner that will ensure alignment, ensure a minimum of voids, and avoid bulges. Rock and connection wires shall be alternately placed until the gabion is filled. After the gabion is filled, the lid shall be bent over until it meets the sides and edges of the gabion. The lid shall be secured to the sides, ends, and diaphragms with wire ties or connecting wire in the manner described for assembling.

610.04—Measurement and Payment

Gabions will be measured in cubic yards, complete-in-place, and will be paid for at the contract unit price per cubic yard. This price shall include furnishing and installing gabions; excavating; backfilling with suitable material; compacting; and disposing of surplus or unsuitable material.

Minor structure excavation for gabions, when specified on the plans, will be measured and paid for in accordance with the requirements of Section 303.

Payment will be made under:

Pay Item	Pay Unit
Gabion	Cubic yard

